

A Case for Non-phonological Constraints
on Nasal Substitution

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1. Introduction

One problem in phonology which continues to puzzle linguists in the field of Western Austronesian has to do with nasal assimilation. This seemingly simple and pervasive phonological process across languages may manifest certain complexities when it involves a prefix ending with a nasal followed by a base with an initial oral consonant. Tagalog, a major Philippine language, best illustrates these complexities. The facts of the language show that while homorganic nasal assimilation applies quite generally across morpheme boundaries, the related process called nasal substitution operates under certain restrictions which are not necessarily phonological. These two processes are defined below. They are shown to operate in derived forms with the prefixes paŋ- and maŋ- with four different effects as follows.¹

(a) Nasal assimilation. This is the widespread rule of homorganic nasal assimilation, also known as partial assimilation, whereby a nasal assimilates the point of articulation of the following consonant. Unlike some dialects of English where /n/ does not assimilate before velars across morpheme boundaries, e.g., incorrect, incapable, ingratiante, Tagalog /ŋ/ does before labials, dentals and alveolars, and remains a velar before all other consonants. One exception to this general process is when the consonant following the velar nasal is a nasal itself. In this case, the velar nasal is not affected by the place of articulation of the following nasal.² Some words that manifest these cases are as follows:

paŋ- + pitas	pampitas	'something (henceforth, s.t.) used for picking'
paŋ- + takip	pantakip	's.t. for covering'
paŋ- + kamot	paŋkamot	's.t. for scratching'
paŋ- + ?ahit	paŋ?ahit	's.t. for shaving'
paŋ- + bukas	pambukas	's.t. for opening'
paŋ- + hukay	paŋhukay	's.t. for digging'
maŋ- + basag	maŋbasag	'to go breaking things'
maŋ- + dukot	maŋdukot	'to go pick-pocketing'
maŋ- + gambala?	maŋgambala?	'to go disturbing'
maŋ- + habol	maŋhabol	'to go chasing'
paŋ- + negosyo	paŋnegosyo	's.t. for use in business'
paŋ- + masahe	paŋmasahe	's.t. used for massaging'
maŋ- + molestya	maŋmolestya	'to go asking for favours'

(b) Nasal substitution. In this process, the oral consonant following the nasal is lost or deleted after the nasal has assimilated to its point of articulation.³ In a sense, this process can be described as consisting of two stages, namely, (1) nasal assimilation and (2) oral consonant deletion. To illustrate:

maṅ- + pitas	mamitas	'to go picking'
maṅ- + takot	manakot	'to frighten'
maṅ- + kuha	maṅuha	'to go taking/collecting'
maṅ- + bili	mamili	'to go shopping'
maṅ- + dalaṅin	manalaṅin	'to pray fervently'
maṅ- + gabay	maṅabay	'to toddle/walk along a support'

(c) Optional consonant deletion. There are cases where two alternant forms occur, one with partial assimilation and another with nasal substitution. Unlike the forms in (b), this group undergoes homorganic nasal assimilation and then allows the voiceless consonant in the sequence nasal plus consonant to stay or be deleted as in the following examples:

paṅ- + kayod	paṅkayod ~ paṅayod	's.t. for scraping'
paṅ- + sala?	pansala? ~ panala?	's.t. for filtering'
paṅ- + patay	pampatay ~ pamatay	's.t. to kill with'
paṅ- + palenke	pampalenke ~ pamalenke	's.t. for use to/at the market'
paṅ- + piyesta	pampiyesta ~ pamiyesta	's.t. for use/wear at a feast day'

(d) Distinctive deletion. In still other cases, when the consonant following the nasal deletes after homorganic nasal assimilation, the resulting form is not an alternant form but a different lexical item. It is distinctive in meaning from the similar form where only partial assimilation has taken place. For example:

paṅ- + tanim	pananim	'aggregate plants in a garden or field'
(Cf. pantanim ~ pananim		's.t. used for planting')
paṅ- + pasko	pamasko	'a Christmas present'
(Cf. pampasko ~ pamasko		's.t. for use at Christmas time') ⁴
maṅ- + baril	mamaril	'to go hunting'
(Cf. mambaril		'to go on a shooting rampage')
maṅ- + galit	maṅalit	'to grit one's teeth in anger'
(Cf. maṅgalit		'to provoke one to anger')

In all four cases above, nasal assimilation is observed to apply quite generally. It may be mentioned that in (a), the forms with paṅ- plus a voiceless C, except /ʔ, h/, have alternant forms like those in (c) where the consonant may be deleted, whereas those forms with initial voiced consonants or /ʔ, h/, following the nasal do not undergo consonant deletion. In (b) and (d), however, nasal substitution operates obligatorily in forms with maṅ- with either voiceless, except /ʔ, h/, or voiced consonants following. The latter case with the voiced stop deleting is considered particularly problematic. Given the same phonological environments for nasal assimilation and nasal substitution to operate, the question to inquire into is when does nasal substitution occur. This paper addresses itself to two specific questions:

- (a) Under what conditions does nasal substitution take place and under what conditions may it take place?
- (b) In what way can these conditions be formalized?

Assessment of what others have said about this specific phenomenon in Tagalog will be made, in particular how nasal substitution is accounted

for. Then, I propose an explanation which I judge to be able to account for this phenomenon more accurately.

2. Assessment of accounts of nasal substitution in Tagalog

Previous accounts of nasal assimilation in Tagalog never failed to recognize the occurrence of nasal substitution (or full nasal assimilation), but these widely-referred sources do not provide any coherent explanation for this interesting phenomenon.

2.1 Starting with Bloomfield's analysis (1917:213), which is considered the most influential scientific work on Tagalog grammar, we read that "prefixes ending in n (man-, nan-, pan-) alter a following initial, always in much-used words, frequently in others.... Initial p, b, t, d, s, are often, initial k is always changed to the corresponding nasal: pamálo? (pálo? with pan-), but also pan-pa-rikít; pamilmít (bilmít), but also pan-bambo; panáli? (táli?), but also pan-takíp; panálañin (daláñin), but also pan-dilìg; nanariwa? (sariwa?), but also pan-sakáy; nanapà? (kapà?)." In the examples cited, Bloomfield apparently calls attention to the operation of both nasal substitution and simple homorganic nasal assimilation on forms with identical initial consonants in the base. Unfortunately, even with such a caution, he does not inform us when either process applies. The criterion he uses for altering the consonant following the nasal is frequency of use of words. This is rather curious for it presupposes that one knows which are the "much-used words" and, concomitantly, what counts as a "much-used" word. This implies that new lexical items can only come about with the consonants in the base unaltered, which is, of course, contrary to many existing forms. Furthermore, it is inaccurate to state that initial k always changes to its corresponding nasal as evidenced by such forms as pañkasalukuyan 'for the present', pañkamay 'for the hand' and alternating items such as pañkamot ~ pañamot 's.t. for scratching' which are similar to the other alternating pairs cited in (c) above. In fact, the first form of the pair with the oral consonant occurring is more frequently used. On the other hand, with a particular class of derived verbs with man- or pan-, the form which undergoes nasal substitution is the only acceptable form. Finally, in saying that the initial consonants are "changed" to their corresponding nasal, Bloomfield overlooks the consequence of this account, which as can be gleaned from his examples is not the result he wants, i.e., a sequence of two nasals. Disregarding these inaccuracies, we find Bloomfield's account lacking in explanation as to the exact conditions that trigger nasal substitution.

2.2 Another monumental work on Tagalog is Blake's (1925) in which he describes the combination of the final nasal of the prefix plus consonant as being "regularly reduced" to the homorganic nasal (1925:7). Thus, nb and np are reduced to m; nt, ns and sometimes nd to n; nk and sometimes ng to ŋ.⁵ Except for the inclusion of nh as another sequence that may sometimes be reduced to its corresponding homorganic nasal, Blake's statement is essentially similar to that of Bloomfield. The distinction he makes between "regularly reduced" consonantal combinations and "occasionally reduced" ones is not clear. He does not show why, for instance, nb, np, nt, ns or nk are regularly reduced to their respective homorganic nasals whereas nd and nh are reduced only occasionally. Evidently, he fails to note the several instances of nb which do not reduce to m and the many cases where the sequence n plus a voiceless consonant does not have to undergo nasal substitution. One sequence which neither of these two linguists comments on is the ng which like the nb and nd sequences may or may not undergo nasal substitution. One final word on Blake's account is that his inclusion of nh as sometimes reducing to ŋ is

2.5 An unpublished paper by McGinn (1971) addresses itself to the problem of nasal sandhi in Tagalog. He proposes that "complete assimilation of the nasal (in prefix) takes place if the following consonant is p, t, k, ʔ, b, s or m, n, ŋ and if the morpho-syntactic class of the whole word is N or V (not Adj)" with the exception of two roots "/bi:ruh/ 'joke' and /buwi:sit/ 'annoyance' which retain their root-initial consonant after prefixation..." (1971:5-6). He also excludes /d/ and /g/ from the obstruents that undergo complete assimilation but lists four words that are "exceptions to the exceptions," namely, damit 'clothes', dalaḡin 'fervent prayer', dimdim 'meditation', and dikit 'stick'.

McGinn's proposal appears to be convincing and leading to the right direction. I cannot agree with him more, as noted in the preceding account, in the use of morpho-syntactic classes as a condition for nasal substitution. However, the broad classes N or V that he identifies need further subcategorization. In addition, the consonants he enumerates as undergoing complete assimilation, given that the prefixed words in which they appear are marked as either N or V, are short of being thoroughly accurate. Easily, there are instances of verbs with base initial /ʔ/ that do not undergo complete nasal assimilation. To wit:

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|-----|------|-----------|------------|---|
| (a) | maḡ- | + ʔakap | maḡʔakap | 'to go embracing' |
| | maḡ- | + ʔapi | maḡʔapi | 'to maltreat' |
| | maḡ- | + ʔakit | maḡʔakit | 'to lure' |
| | maḡ- | + ʔinis | maḡʔinis | 'to go annoying/provoking' |
| (b) | maḡ- | + ʔawat | maḡʔawat | 'to pacify two persons fighting' |
| | maḡ- | + ʔako | maḡʔako | 'to claim responsibility for someone else's obligation' |
| | maḡ- | + ʔalalay | maḡʔalalay | 'to provide support' |

It also begins to look suspicious when in addition to the two words with root-initial /b/ which McGinn lists as exceptions we can add many more such as:

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|-----|------|----------|-----------|--|
| (c) | maḡ- | + baril | mambaril | 'to go on a shooting rampage'
(Cf. mamaril 'to go hunting') |
| | maḡ- | + bato | mambato | 'to go stoning' |
| | maḡ- | + basag | mambasag | 'to go breaking' |
| | maḡ- | + buntal | mambuntal | 'to go punching/boxing' |
| | maḡ- | + bigwas | mambigwas | 'to go slapping/whacking' |
| | maḡ- | + bugaw | mambugaw | 'to go driving away' |

All the above forms are also prohibited from undergoing nasal substitution even as the following words are not:

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|-----|------|------------|------------|--|
| (d) | maḡ- | + baril | mamaril | 'to go hunting' |
| | maḡ- | + bili | mamili | 'to go shopping' |
| | maḡ- | + buhay | mamuhay | 'to live' |
| | maḡ- | + bahay | mamahay | 'to go managing a household; to reside' |
| | maḡ- | + bintanaʔ | mamintanaʔ | 'to pass the time staying by the window' |

In connection with the /d, g/ exceptions, we can list more forms, in addition to those given in section 2.4, with these initial consonants that likewise undergo nasal substitution. For example:

two parts that can best account for nasal substitution considering the forms where the process must apply, those where it optionally applies and those where it never applies. Given that the various cases are of identical phonological environments as outlined in the beginning of this paper, we look to non-phonological constraints in the application of the rule. Clearly, these can be derived from both syntactic and semantic features.

Thus, for general lexical derivation rules for creating verb stems with the affix paŋ- (maŋ- when inflected for the active voice) and adjective stems with paŋ-, two phonological rules apply as follows:

Rule 1: Nasal assimilation

$$\begin{bmatrix} +nas \\ -ant \\ -cor \end{bmatrix} \text{ ---> } \begin{bmatrix} \alpha ant \\ \beta cor \end{bmatrix} / \text{ --- } + \begin{bmatrix} +cons \\ -nas \\ \alpha ant \\ \beta cor \end{bmatrix}$$

The rule states that a velar nasal changes in its place of articulation according to the place of articulation of the initial non-nasal consonant of the following base. The form of the rule is simpler and more general than that which lists the exceptions. It is implied in the rule that non-consonantals (the glides) will not affect the form of the velar nasal. The rule as stated applies quite generally and is purely phonologically conditioned.

Rule 2: Consonant deletion

(a) Obligatory

$$\begin{bmatrix} +obs \\ +vd \\ +obs \\ -vd \\ -lo \end{bmatrix} \text{ ---> } \emptyset / \begin{bmatrix} / \dots [+nas] + \text{ --- } \dots / V_1 \\ / \dots [+nas] + \text{ --- } \dots / V \end{bmatrix}$$

Where V_1 is a class of verb stems that have the semantic feature /-adversive/, /+stative/ or /+inchoative/.

(b) Optional

$$\begin{bmatrix} +obs \\ -vd \\ -lo \end{bmatrix} \text{ ---> } \emptyset // \dots [+nas] + \text{ --- } \dots / Adj_1$$

Where Adj_1 represents a class of derived instrumental adjectives.

After Rule 1 has applied, Rule 2 applies to forms that meet the specified syntactic and semantic conditions. Rule 2 (a) is one process involving two similar but different inputs with correspondingly different semantic constraints. The first portion where the input specifies voiced obstruents, which include /b, d, g/, applies to a subclass of derived paŋ-verbs specified with semantic features other than [+adversive].⁷ The second portion whose input specifies non-low voiceless obstruents /p, t, k, s/, with /ʔ, h/ excluded by the feature /-lo/, corresponds to derived paŋ-verbs without any semantic restrictions.

By implication, Rule 2 (a) prevents paŋ-verb stems with base-initial /b, d, g/ from undergoing nasal substitution or consonant deletion when they are further specified with the feature [+adversive]. This formulation represents most faithfully what is indicated by the various counter-examples given in the preceding two sections. This subclass of paŋ-verbs projects a semantic feature [+adversive] to mean an act perceived as harmful, destructive, or negative in contrast to one that is marked [-adversive], which may mean either a recreational, repeated, distributive or intensive activity, or to another labelled [+state] to mean non-active, descriptive state, or to still one other subclass marked [+inchoative] to mean a temporary reflection of some quality stated by the root. (These identifying features should suffice for the purpose of this paper.) Obviously, the use of a semantic feature as a constraint on the categorial restriction does not entail any complication in the formulation of the rule.⁸

The first portion of Rule 2 (a) then accounts for the occurrence of forms listed under section 1 (b) and section 2.5 (d), (e) and (f) and implicationaly, the occurrence of the verb forms like those listed under section 1 (a) and section 2.5 (c) which bear the feature [+adversive].

The second portion of Rule 2 (a) accounts for the deletion of the voiceless consonant involved regardless of whether the verb stem is marked [-adversive] or [+adversive]. This shows the propensity of voiceless stops following a nasal to delete in paŋ-derived verbs. This accounts for forms such as those listed in 1 (b). It will be noted, however, that of the voiceless obstruents, the two low ones, /ʔ, h/, are excluded. Thus, whether paŋ-verb stems with base initial /ʔ/ or /h/ are marked [+adversive] or not, these consonants do not generally delete. Thus, we see forms in 1 (a) and 2.5 (a) and (b). At this juncture, one may counter the observable deletion of the /ʔ/ in some [-adversive] verb forms:

maŋ-	+ ʔanak	maŋanak	'to give birth'
maŋ-	+ ʔibig	maŋibig	'to pay court to'
maŋ-	+ ʔulila	maŋulila	'to be lonely'

The only explanation we can posit for these rare occurrences is the productive process of lexicalization which can also be observed in the instances cited in 1 (d). The above individually lexicalized items do not fall within the scope of the more general paŋ-verb derivational rules that add the feature [+distributive], [+stative], [+intensive] or [+inchoative]. Rather, they pattern like those items with petrified affixes, e.g., manoʔod 'to watch', making 'to listen to', maniwalaʔ 'to believe'. What is interesting is the resistance of the glottal stop, like the voiced stops, to delete when the paŋ-verb stem is [+adversive]. This particular tendency of /ʔ/ to conform with the rule affecting only voiced stops may yet find a physiological explanation. But I leave this open for now.

Similar to the second portion of Rule 2 (a), Rule 2 (b) allows for the optional deletion of base-initial consonants specified as non-low voiceless obstruents in derived paŋ-stems categorized as adjectives (Adj) with the additional feature subscript i for [+instrumental].⁹ This rule accounts for the identifiable forms listed in 1 (c) and in 1 (a).¹⁰ It may be added, however, that even as we mark the forms as [+instrumental] Adjectives, following Schachter and Otnes (1972:220), certain reservational adjectives, by analogy with the class of Adj_i, are gradually undergoing the same rule. Note the last two forms in 1 (c) repeated below

which are semantically [+reservational].

paŋ-	+ palaŋke	pampalaŋke	~	pamalaŋke
paŋ-	+ piyesta	pampiyesta	~	pamiyesta

Again, by implication, derived instrumental adjectives with base initial consonants other than non-low voiceless obstruents do not delete their consonants.

A semantic contrast between the alternating forms resulting from the application of Rule 2 (b) arises when the form with the deleted consonant takes on a specific meaning referring to a particular object with a particular use or a unique description. These items, as shown in 1 (d), are said to have been lexicalized, that is, they can no longer be predicted and must therefore be entered as separate lexical items in the dictionary. In this manner, the process of nasal substitution is used functionally in creating new lexical items, and consequently resulting in the loss or non-use of the alternant Adj₁ form. In this manner ambiguity is avoided. Similarly, the contrast between those paŋ-verb stems marked [+adversive] which do not undergo Rule 2 (a) and those that are [-adversive] and undergo the rule is maintained. It may be said that the same rule, although applying more widely in certain lexical derivation rules, is employed to prevent ambiguous forms.

One final point that needs to be clarified is the formation of what Schachter and Otnes call Mang-nominals (1972:104). It is superfluous to identify the same phonological rules as operating separately to this particular group of nominals with a concomitant duplication of the first CV of the base. For one thing the nominal forms are not derived from the roots or base through the affixation of the supposed maŋ-nominalizing affix. Rather, they derive from the active voice inflected form of the paŋ-verb stems involving a process of reduplication. The nasal initial consonant, where consonant deletion applied, plus the following vowel or the original base initial consonant, where consonant deletion did not apply, plus the following vowel is repeated to form nouns referring to the doer of what the maŋ-verb indicates. A sample derivation follows:

(a) paŋ- + bili >---> pamili 'to go shopping'

[+V]
	-adversive	
	+distributive	

The verb /pamili/ when inflected for the active voice is /mamili/.

mamili >---> ma:mimili¹¹ 'buyer, shopper'

[+N]
	+occupational	

(b) paŋ- + dukot >---> pandukot 'to go pickpocketing'

[+V]
	+adversive	

The active voice inflected form of /paŋdukot/ is /mandukot/.
mandukot >---> mandudukot >---> mandurukot¹² 'a pickpocket'

Other similar forms that cannot be accounted for as having derived from a corresponding maŋ-verb source are analogical formations of the types exemplified above. For example, maŋ'a'awit 'a singer' for which there is no existing verb form maŋ'awit from 'awit 'song'; ma:nunula? 'one who recites poems' without a corresponding manula? from tula? 'poem'.

Evidence to support this contention comes from another form of nominalization. The gerundive form of derived verb stems with pag- and paŋ- are also formed by reduplicating the first CV of the base. Below are some examples of uninflected derived verb stems from which gerundive forms derive:

Derived verb stem	Active voice form	Derived gerundive form	
pagluto?	magluto	pagluluto?	'cooking'
pamili	mamili	pamimili	'shopping'
paŋhabol	maŋhabol	paŋhahabol	'the act of chasing'
paŋ'api	maŋ'api	paŋ'a'api	'the act of oppressing'

It is only by viewing lexical derivations as operating in successive stages, as demonstrated above, that the unity of the morphological processes can be captured and, hence, significant generalizations in the area of inflection and derivation may be stated.

4. Conclusion

In conclusion, this paper has shown that the four types of effects of the sequence nasal plus consonant across a morpheme boundary can be accounted for adequately by using syntactic and semantic feature constraints on the application of the phonological rules relating to nasal substitution. The category features V, Adj need to be identified along with the semantic feature oppositions [\pm adversive] and [\pm instrumental]. Rule 2 (a) accounts formally for the conditions under which consonant deletion or nasal substitution must apply and, implicationally, when it does not apply, while Rule 2 (b) specifies the conditions under which it may apply. Both parts of Rule 2 exhibit the great propensity of non-low voiceless obstruents to delete after a nasal. The fact that the deletion rule cannot apply across the board to the voiced obstruents implies that mere phonological or phonological and categorial conditions are not sufficient constraints to account for this type of consonant deletion. Only by specifying the input further by appropriate semantic features can the application of the rules be rendered systematic and likewise revealing of the morphological functioning of the phonological rules themselves.

Footnotes

¹Maŋ- is the verbal affix analyzed as the inflectional voice affix m- + paŋ-, the latter being a derivational affix different from the instrumental affix paŋ-. A discussion of this analysis is found in De Guzman, 1976.

²Compare with Schachter and Otnes (1972:21) where this process also applies to a sequence of two nasals. Their account is questionable for the number of dialects outside Manila, on which this study is based.

³Dempwolff's account of the process (Lopez 1939:19), however, in which he cites ma-, na- and pa- as the prefixes occurring before roots whose initial consonants are replaced by a nasal homorganic to the consonant is inaccurate. These affixes should have been identified with a final /ŋ/; the pairs of prefixes maŋ- and ma-, paŋ- and pa- (not to mention naŋ- and na-, representing the past forms of the former pair), do contrast.

⁴Where the new lexical items correspond with certain alternant forms, such forms are obviously ambiguous. Soon, the alternant form falls out of use.

⁵Blake assumes that the final nasal of the prefix which assimilates is /n/, although he admits that it is possible to regard it as originally /ŋ/.

⁶It is not at issue here whether or not a homorganic nasal counterpart replaces the oral initial consonant with the simultaneous deletion of the nasal in the prefix. It is a theoretical problem whether as stated earlier (and I am persuaded that this is the proper analysis) the oral consonant deletes after the nasal in the prefix assimilates the point of articulation of the oral consonant.

⁷Perhaps, the reason /d, g/ have always been excluded from the class of consonants deleting is that only a few words in a Tagalog dictionary with these initials do occur with the paŋ-verbal affix that are [-adversive]. A definite majority of them are [+adversive]. Nevertheless, these few occurring forms follow the pattern for /b/ deletion.

⁸This, I contend, has significant implications to a semantic feature analysis and subcategorization of verb stems which has to be accomplished anyway in any given theoretical framework. For a discussion of semantic features in verb subcategorization, see De Guzman, 1976.

⁹It may also be argued that this subcategory identified as Adj₁, instrumental adjectives, are formally N₁, nominalized instruments, but a discussion of this can take us outside the scope of this paper into the complexities of lexical derivations. Hence, for efficiency, we adopt this category.

¹⁰The reason an item such as paŋ- + paligo? 'apparel for bathing' does not undergo Rule 2 (b) is that /pa-/ occurring after the /paŋ-/ is the prefix of the derived stem /paligo?/ and not a part of the base /ligo?/. This prohibition applies to forms with an intervening affix before the base, e.g., paŋ + paputi? = pampaputi? and not pamaputi? 'something for whitening/bleaching'. This presents another restriction which can only be stated in terms of morphological features or morphological boundaries.

¹¹Note the consequent vowel length where consonant deletion applied.

¹²The phonetic form [mandurukot] results from the application of a d to r dissimilation rule.

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