



# Synthesis of a novel spiro-phosphino-oxazine ligand and its application to Pd-catalyzed asymmetric allylic alkylation

Susan M. Lait, Masood Parvez and Brian A. Keay\*

*Department of Chemistry, University of Calgary, Calgary, Alta, Canada T2N 1N4*

Received 30 September 2003; accepted 22 October 2003

**Abstract**—Spiro-phosphino-oxazine (+)-**8** is prepared from the amino alcohol (+)-**5** in two steps with an isolated yield of 90%. When used as a ligand in the Pd-catalyzed alkylation of 1,3-diphenylallyl acetate with dimethyl malonate, products having enantiomeric excesses up to 91% were obtained.

© 2003 Elsevier Ltd. All rights reserved.

## 1. Introduction

A wide range of chiral phosphino-oxazoline ligands have been synthesized from 1,2-amino alcohols, many of which are readily derived from amino acids. These ligands have demonstrated their efficiency at chiral induction in many transition-metal catalyzed reactions<sup>1</sup> including Pd-catalyzed allylic alkylations,<sup>2</sup> allylic aminations,<sup>2,3</sup> Heck reactions<sup>2,4</sup> and Diels–Alder reactions,<sup>5</sup> Pt-catalyzed allylic alkylations,<sup>6</sup> Cu-catalyzed conjugate additions<sup>7</sup> and Diels–Alder reactions,<sup>8</sup> Rh-catalyzed hydrosilylations<sup>9</sup> and Ni-catalyzed Grignard cross-coupling reactions.<sup>10</sup>

Whereas phosphino-oxazolines are derived from 1,2-amino alcohols, phosphino-oxazines are derived from 1,3-amino alcohols, which are far less common. As such, only a few examples of phosphino-oxazines have been reported to date (Scheme 1). Of these, **1**,<sup>11</sup> **2a**,<sup>12</sup> **2b**,<sup>12</sup> **4a**<sup>13</sup> and **4b**<sup>13</sup> have been used in Pd-catalyzed allylic alkylations of 1,3-diphenylallyl acetate with dimethyl malonate, giving enantiomeric excesses of up to 99%, 95%, 64%, 84% and 95%, respectively. The only example that was not an allylic substitution was the use of **3b** in a Pd-catalyzed Heck reaction between phenyl triflate and 2,3-dihydrofuran, giving a product with 91% ee.<sup>14</sup>

As such, phosphino-oxazines have demonstrated themselves to be an effective class of ligands. Evans and Brandt<sup>12a</sup> also noted that **2a** and **2b** gave better turnover rates than the corresponding phosphino-oxazolines

under the same reaction conditions. The main factor limiting the study of phosphino-oxazines as ligands for transition metal-catalyzed reactions appears to be a lack of variety in 1,3-amino alcohol precursors.

We recently reported the synthesis of spiro-amino alcohol **5**, which can easily be resolved to give both enantiomers in >99% ee.<sup>15</sup> Herein we report the synthesis of spiro-phosphino-oxazine **8**, which is the first phosphino-oxazine to contain a fused spiro system. We also report the application of this ligand to the Pd-catalyzed alkylation of 1,3-diphenylallyl acetate with dimethyl malonate.

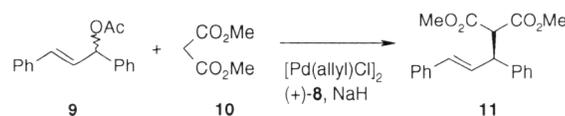
## 2. Results and discussion

Phosphino-oxazine (+)-**8** was prepared in two steps from (+)-**5** and **6** (Scheme 2).<sup>16</sup> In an adaptation of Pfaltz's method<sup>17</sup> for the synthesis of phosphino-oxazolines, (+)-**5** and **6** were coupled by refluxing in chlorobenzene with ZnCl<sub>2</sub> to give (+)-**7**. Treating this adduct with 2,2'-dipyridyl removes the complexed ZnCl<sub>2</sub> giving phosphino-oxazine (+)-**8** in an isolated yield of 90%. Compound **8** proved to be not conformationally mobile at room temperature as only one set of sharp peaks was observed in the <sup>1</sup>H NMR spectrum. To confirm that the oxazine (+)-**7** had indeed formed, an X-ray crystal structure of (+)-**7** was obtained (Fig. 1).<sup>18</sup>

To begin studying the effectiveness of (+)-**8** as a chiral ligand in transition metal-catalyzed reactions, we chose to use it in the well-defined Pd-catalyzed alkylation of

\* Corresponding author. E-mail: [keay@ucalgary.ca](mailto:keay@ucalgary.ca)



**Table 1.** Pd-catalyzed allylic alkylation reactions of **9** and **10** using (+)-**8** as a chiral ligand<sup>a</sup>

Base	Solvent	Temperature <sup>b</sup> (°C)	Duration	Yield <sup>c</sup> (%)	% ee <sup>d</sup>
LiH <sup>e</sup>	THF	25	48 h	62	81 (S)
LiH <sup>e</sup>	DME	25	48 h	61	85 (S)
NaH	CH <sub>2</sub> Cl <sub>2</sub>	0	4 h	96	87 (S)
<b>NaH</b>	<b>THF</b>	<b>0</b>	<b>30 min</b>	<b>99</b>	<b>89 (S)</b>
<b>NaH</b>	<b>DME</b>	<b>0</b>	<b>90 min</b>	<b>75</b>	<b>91 (S)</b>
NaH	1,4-Dioxane	25	1 h	78	81 (S)
NaH	CH <sub>3</sub> CN	25	24 h	68	87 (S)
KH	CH <sub>2</sub> Cl <sub>2</sub>	25	48 h	86	80 (S)
KH	THF	0	1 h	91	87 (S)
KH	DME	0	90 min	62	86 (S)
KH	1,4-Dioxane	25	1 h	63	80 (S)
Cs <sub>2</sub> CO <sub>3</sub>	THF	25	48 h	77	81 (S)

<sup>a</sup> All reactions were performed using 2 mol% [Pd(allyl)Cl]<sub>2</sub>, 4 mol% (+)-**8**, 2 equiv base, 2 equiv **10** and 1 equiv **9** in dry solvent under N<sub>2</sub>.

<sup>b</sup> Reactions performed at 25 °C gave no observable reaction at 0 °C (except for reactions in 1,4-dioxane, which freezes at 11 °C).

<sup>c</sup> Isolated yield.

<sup>d</sup> % ee ± 2<sup>19</sup> of **11** was determined by <sup>1</sup>H NMR (200 MHz, CDCl<sub>3</sub>) with 0.3 equiv Eu(hfc)<sub>3</sub>.

<sup>e</sup> After addition of **10** to LiH, the suspension was refluxed for 2 h then cooled to reaction temperature prior to adding **11** and the Pd–ligand complex. If this was not done, no alkylation occurred. Also, no reaction occurred if Li<sub>2</sub>CO<sub>3</sub> was used instead of LiH.

giving a product with up to 91% ee. Further asymmetric applications with oxazine (+)-**8** are currently underway and will be disclosed in due course.

### 3. Experimental procedures

#### 3.1. Synthesis of phosphino-oxazine (+)-**8**

2-Diphenylphosphinobenzonitrile **6** (535 mg, 1.86 mmol), (+)-**5** (402 mg, 2.59 mmol) and ZnCl<sub>2</sub> (504 mg, 3.70 mmol) were refluxed in chlorobenzene (8 mL) for 6 d. After cooling to rt, the resulting solution was filtered through silica (5 cm) rinsed with EtOAc (6 column volumes). Concentration in vacuo gave (+)-**7** as a beige solid, which was used without further purification.

2,2'-Dipyridyl (299 mg, 1.91 mmol) and (+)-**7** were dissolved in 15 mL dry CHCl<sub>3</sub> and stirred at rt for 1 h. The resulting solution was filtered through silica (5 cm), and rinsed with CHCl<sub>3</sub> (100 mL). Concentration in vacuo gave (+)-**8** (746 mg, 1.75 mmol, 89.5%) as a fluffy sticky white solid: mp 146–148 °C; [α]<sub>D</sub><sup>21</sup> +68.6 (*c* 1.25, CHCl<sub>3</sub>); IR (film) ν<sub>max</sub> 3066, 3051, 2952, 2930, 2865, 2209, 1663, 1650, 1582, 1555, 1461, 1432, 1345, 1314, 1273, 1254, 1198, 1177, 1142, 1096, 1070, 1026, 908, 777, 742, 692, 667, 545, 501 cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>): δ 7.77 (dd, *J* = 7.1, 3.6 Hz, 1H), 7.40–7.20 (m, 12H), 6.85 (dd, *J* = 7.5, 4.3 Hz, 1H), 3.79 (d, *J* = 4.4 Hz, 1H), 3.46 (t, *J* = 8.2 Hz, 1H), 2.23–2.12 (m, 1H), 1.89–1.76 (m, 1H), 1.73–1.18 (m, 9H), 0.91–0.80 (m, 1H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>): δ 155.5 (C), 139.3 (C, *d*, *J* =

20.7 Hz), 138.9 (C, *d*, *J* = 21.7 Hz), 138.8 (C, *d*, *J* = 20.9 Hz), 137.2 (C, *d*, *J* = 20.9 Hz), 134.0 (CH, *d*, *J* = 19.7 Hz), 133.8 (CH, *d*, *J* = 20.4 Hz), 130–128 (CH, *m*), 80.2 (CH), 60.3 (CH), 47.8 (C), 36.2 (CH<sub>2</sub>), 35.4 (CH<sub>2</sub>), 35.1 (CH<sub>2</sub>), 30.5 (CH<sub>2</sub>), 21.7 (CH<sub>2</sub>), 20.7 (CH<sub>2</sub>); <sup>31</sup>P NMR (160 MHz, CDCl<sub>3</sub>) –6.3; MS (VG7070): *m/z* 425 (2, M<sup>+</sup>), 261 (26), 225 (28), 208 (34), 183 (73), 153 (23), 152 (22), 127 (21), 125 (22), 113 (26), 111 (31), 107 (24), 99 (32), 97 (38), 95 (35), 79 (47), 71 (68), 69 (40), 67 (34), 58 (100), 56 (45), 45 (52), 43 (78); HRMS calcd for C<sub>28</sub>H<sub>28</sub>NOP 425.19085, found 425.18906.

(+)-**7**: mp 222–224 °C; [α]<sub>D</sub><sup>21</sup> +60.4 (*c* 1.05, CHCl<sub>3</sub>); IR (film) ν<sub>max</sub> 3055, 2955, 2928, 2868, 2235, 1622, 1478, 1469, 1434, 1365, 1263, 1154, 1131, 1102, 1072, 909, 746, 729, 696, 542, 509, 493 cm<sup>-1</sup>; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>): δ 7.86 (dd, *J* = 7.2, 4.8 Hz, 1H), 7.40–7.20 (m, 11H), 7.36–7.23 (m, 1H), 6.92 (t, *J* = 8.4 Hz, 1H), 4.17 (d, *J* = 4.4 Hz, 1H), 3.96 (t, *J* = 8.8 Hz, 1H), 2.72–2.62 (m, 1H), 2.03–1.07 (m, 9H), 0.92–0.68 (m, 1H), 0.34 (dt, *J* = 12.7, 9.2 Hz, 1H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>): δ 161.1 (C, *d*, *J* = 3.7 Hz), 134.7 (CH, *d*, *J* = 14.8 Hz), 134.4 (CH, *d*, *J* = 14.1 Hz), 133.8 (C, *d*, *J* = 10.9 Hz), 133.2 (CH, *d*, *J* = 2.6 Hz), 132.3 (CH, *d*, *J* = 5.7 Hz), 132.0 (CH, *d*, *J* = 5.4 Hz), 131.9 (CH, *d*, *J* = 2.1 Hz), 131.8 (CH, *d*, *J* = 2.1 Hz), 131.1 (CH), 129.6 (CH, *d*, *J* = 10.3 Hz), 129.5 (CH, *d*, *J* = 10.4 Hz), 128.8 (C, *d*, *J* = 34.6 Hz), 126.8 (C, *d*, *J* = 35.7 Hz), 125.1 (C, *d*, *J* = 33.3 Hz), 82.8 (CH), 60.1 (CH), 46.9 (C), 35.9 (CH<sub>2</sub>), 34.6 (CH<sub>2</sub>), 32.4 (CH<sub>2</sub>), 30.5 (CH<sub>2</sub>), 20.7 (CH<sub>2</sub>), 20.2 (CH<sub>2</sub>); <sup>31</sup>P NMR (160 MHz, CDCl<sub>3</sub>) –18.6; MS (VG7070): *m/z* 425 (8, [M–ZnCl<sub>2</sub>]<sup>+</sup>), 304 (32), 302 (48), 288 (22), 287.4 (48), 287.2 (100), 286 (99), 261 (22), 259 (27), 257 (27), 241 (32), 239 (20), 228 (35), 227 (25), 226

(55), 225.2 (23), 225.1 (59), 210 (30), 209 (54), 208.2 (44), 208.0 (96), 184 (37), 183.2 (30), 183.0 (95), 182 (50), 181 (43), 178 (29), 165 (22), 153 (46), 152 (46), 151 (37), 143 (46), 132 (27), 121 (21), 117 (25), 107 (64), 91 (64), 79 (26), 77 (28); HRMS calcd for C<sub>28</sub>H<sub>28</sub>Cl<sub>2</sub>NOPZn 559.05770, found 559.05715.

#### 4. General procedure for Pd-catalyzed allylic alkylation reactions

Base (1.0 mmol) was suspended in dry solvent (2.0 mL) and cooled to 0 °C. Dimethyl malonate (**10**, 0.12 mL, 1.05 mmol) was added. After stirring for 1 h, **9** (0.50 mmol) was added in a dry solvent (1.0 mL). A premixed solution of allylpalladium chloride dimer (4 mg, 0.01 mmol) and (+)-**8** (9 mg, 0.02 mmol) in dry solvent (1.0 mL; precooled to 0 °C) was then added, and the reaction stirred until monitoring by TLC showed that all of **9** had been consumed. Quenching with NaOH<sub>(aq)</sub> (4%, 5 mL), extraction with Et<sub>2</sub>O (3 × 5 mL), drying over Na<sub>2</sub>SO<sub>4</sub>, concentration in vacuo and flash column chromatography (silica, 9:1 hexanes/EtOAc) gave **11** as a white waxy solid: <sup>1</sup>H NMR (200 MHz, CDCl<sub>3</sub>): δ 7.43–7.17 (m, 10H, H4–H11), 6.55–6.26 (m, 2H, H2–H3), 4.28 (dd, *J* = 10.8, 5.5 Hz, 1H, H1), 3.96 (d, *J* = 10.8 Hz, 1H, H12), 3.71 (s, 3H, H14), 3.53 (s, 3H, H14). Enantiomeric excess of **11** was determined by <sup>1</sup>H NMR (200 MHz, CDCl<sub>3</sub>) with 0.3 equiv Eu(hfc)<sub>3</sub>.

#### Acknowledgements

We thank the Natural Sciences and Engineering Research Council of Canada (NSERC) and University of Calgary for financial support. S.M.L. gratefully acknowledges receipt of an NSERC scholarship and the Ralph Steinhauer Award of Distinction from the Alberta Heritage Foundation.

#### References and Notes

- (a) Helmchen, G.; Pfaltz, A. *Acc. Chem. Res.* **2000**, *33*, 336–345; (b) Tye, H. *J. Chem. Soc., Perkin Trans. 1* **2000**, 275–298; (c) Ghosh, A. K.; Mathivanan, P.; Cappiello, J. *Tetrahedron: Asymmetry* **1998**, *9*, 1–45.
- (a) Dawson, G. J.; Frost, C. G.; Williams, J. M. J. *Tetrahedron Lett.* **1993**, *34*, 3149–3150; (b) Sprinz, J.; Helmchen, G. *Tetrahedron Lett.* **1993**, *34*, 1769–1772; (c) Allen, J. V.; Coote, S. J.; Dawson, G. J.; Frost, C. G.; Martin, C. J.; Williams, J. M. J. *J. Chem. Soc., Perkin Trans. 1* **1994**, 2065–2072; (d) Glaser, B.; Kunz, H. *Synlett* **1998**, 53–54; (e) Porte, A. M.; Reibenspies, J.; Burgess, K. *J. Am. Chem. Soc.* **1998**, *120*, 9180–9187; (f) Schaffner, S.; Muller, J. F. K.; Neuburger, M.; Zehnder, M. *Helv. Chim. Acta* **1998**, *81*, 1223–1232; (g) Wiese, B.; Helmchen, G. *Tetrahedron Lett.* **1998**, *39*, 5727–5730; (h) Lee, S.; Lim, C. W.; Song, C. E.; Kim, K. M.; Jun, C. H. *J. Org. Chem.* **1999**, *64*, 4445–4451; (i) Hou, D.; Reibenspies, J. H.; Burgess, K. *J. Org. Chem.* **2001**, *66*, 206–215; (j) Constantine, R. N.; Kim, N.; Bunt, R. C. *Org. Lett.* **2003**, *5*, 2279–2282.
- Sudo, A.; Saigo, K. *J. Org. Chem.* **1997**, *62*, 5508–5513.
- (a) Selvakumar, K.; Valentini, M.; Pregosin, P. S. *Organometallics* **2000**, *19*, 1299–1307; (b) Hashimoto, Y.; Horie, Y.; Hayashi, M.; Saigo, K. *Tetrahedron: Asymmetry* **2000**, *11*, 2205–2210; (c) Gilbertson, S. R.; Fu, Z.; Xie, D. *Tetrahedron Lett.* **2001**, *42*, 365–368.
- Hiroi, K.; Watanabe, K. *Tetrahedron: Asymmetry* **2002**, *13*, 1841–1843.
- Blacker, A. J.; Clarke, M. L.; Loft, M. S.; Mahon, M. F.; Humphries, M. E.; Williams, J. M. J. *Chem. Eur. J.* **2000**, *6*, 353–360.
- Stangeland, E. L.; Sammakia, T. *Tetrahedron* **1997**, *48*, 16503–16510.
- Sagasser, I.; Helmchen, G. *Tetrahedron Lett.* **1998**, *39*, 261–264.
- Lee, S.; Lim, C. W.; Song, C. E.; Kim, I. O. *Tetrahedron: Asymmetry* **1997**, *8*, 4027–4031.
- Lloyd-Jones, G. C.; Butts, C. P. *Tetrahedron* **1998**, *54*, 901–914.
- Liu, S.; Muller, J. F. K.; Neuburger, M.; Schaffner, S.; Zehnder, M. *Helv. Chim. Acta* **2000**, *83*, 1256–1267.
- (a) Evans, P. A.; Brandt, T. A. *Tetrahedron Lett.* **1996**, *37*, 9143–9146; (b) Evans, P. A.; Brandt, T. A. *Org. Lett.* **1999**, *1*, 1563–1565.
- Lee, S.; Lee, S. H.; Song, C. E.; Chung, B. Y. *Tetrahedron: Asymmetry* **1999**, *10*, 1795–1802.
- Kundig, E. P.; Meier, P. *Helv. Chim. Acta* **1999**, *82*, 1360–1370.
- (a) Lait, S. M.; Parvez, M.; Keay, B. A. *Tetrahedron: Asymmetry* **2003**, *14*, 749–756; (b) Burke, M. J.; Allan, M. M.; Parvez, M.; Keay, B. A. *Tetrahedron: Asymmetry* **2000**, *11*, 2733–2739; (c) Allan, M. M.; Ramsden, P. D.; Burke, M. J.; Parvez, M.; Keay, B. A. *Tetrahedron: Asymmetry* **1999**, *10*, 3099–3101; (d) Nieman, J. A.; Keay, B. A. *Synth. Commun.* **1999**, *29*, 3829–3840; (e) Nieman, J. A.; Keay, B. A. *Tetrahedron: Asymmetry* **1996**, *7*, 3521–3526; (f) Nieman, J. A.; Keay, B. A.; Kubicki, M.; Yang, D.; Rauk, A.; Tsankov, D.; Wieser, H. *J. Org. Chem.* **1995**, *60*, 1918–1919; (g) Nieman, J. A.; Parvez, M.; Keay, B. A. *Tetrahedron: Asymmetry* **1993**, *4*, 1973–1976.
- Ravindar, V.; Hemling, H.; Schumann, H.; Blum, J. *Synth. Commun.* **1992**, *22*, 1453–1459.
- Koch, G.; Lloyd-Jones, G. C.; Loiseleur, O.; Pfaltz, A.; Pretot, R.; Schaffner, S.; Schnider, P.; von Matt, P. *Recl. Trav. Chim. Pays-Bas* **1995**, *114*, 206–210.
- X-ray crystallographic analysis of (+)-**7** was performed by Dr. M. Parvez at the University of Calgary. CCDC 218863 contains the crystallographic data for this paper. These data can be obtained free of charge via [www.ccdc.cam.ac.uk/conts/retrieving.html](http://www.ccdc.cam.ac.uk/conts/retrieving.html). Compound (+)-**7**: monoclinic P2<sub>1</sub>; *a* = 8.555(4) Å, *b* = 18.608(13) Å, *c* = 8.972(6) Å, β = 113.69(4)°, *V* = 1307.9(14) Å<sup>3</sup>; *Z* = 2; *R* = 0.056; *R*<sub>w</sub> = 0.142.
- (a) Parker, D. *Chem. Rev.* **1991**, *91*, 1441; (b) Bucciarelli, M.; Forni, A.; Moretti, I.; Torre, G. *J. Org. Chem.* **1983**, *48*, 2640.