The University of Calgary

## Regulation of groE Expression in Bacillus subtilis

by

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#### ABSTRACT

The regulatory mechanism controlling groE expression in Bacillus subtilis is characterized. This operon is shown to be transcribed by the  $\sigma^A$  containing RNA polymerase. An inverted repeat sequence located downstream from the transcription start site plays two key functional roles in regulating groE expression. It serves as a signal ensuring the fast turnover of the groE transcript under the non-heat shock condition and also functions as an operator. Isolation and characterization of seven groE regulatory mutants demonstrate that orf39, the first gene in the dnaK operon, encodes a repressor that binds specifically to the inverted repeat sequence. All these findings allow the establishment of the regulatory mechanism controlling the expression of heat shock genes encoding molecular chaperones in B. subtilis. A similar mechanism is likely to exist in at least twenty eight different eubacteria.

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 ${\bf VI.7}$  Gel mobility shift assay

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## **ABBREVIATIONS**

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amyE	the structural gene of α-amylase
bgaB	the structural gene of $\beta$ -galactosidase gene from Bacillus
	stearothermophilus
bla	the structural gene of B-lactamase
bp	base pair
cat	chloramphenicol acetyl transferase gene
CIRCE	controlling inverted repeat of chaperone expression
DTT	dithiothreitol
hsp	heat shock protein
IPTG	isopropylthio-ß-D-thiogalactopyranoside
kb	kilobase pairs
LacZ	E. coli ß-galactosidase
lacZ	E. coli ß-galactosidase gene
NTG	N-methyl-N'-nitro-N-nitrosoguanidine
ONPG	o-nitrophenyl-D-galactoside
PAGE	polyacrylamide gel electrophoresis
PCR	polymerase chain reaction
SDS	sodium dodecyl sulfate
Tris	tris (hydroxymathyl) aminomethane
Xgal	5-bromo-4-chloro-3-indoly-ß-galactoside

### I Literature Review and Objectives

#### I.1 Literature Review

#### I.1.1 The Heat Shock Response and Molecular Chaperones

When cells are shifted from low to high temperature, a set of proteins designated heat shock proteins (hsps) is induced. Synthesis of hsps increases upon temperature upshift and decreases upon the temperature downshift. The heat shock response exists in most organisms ranging from bacteria, lower eukaryotes to higher eukaryoties including mammals and plants. Not only the response to the temperature upshift is conserved, but also the hsps are conserved. Some of the hsps are described as Molecular Chaperones, which are defined as a family of unrelated proteins that mediate the correct folding and assembly of other proteins but do not form part of the functional assembled structures (Ellis, 1991). These proteins include the GroE, DnaK, DnaJ, and GrpE, etc. Their functions involve assisting protein folding, assembly, export and preventing aggregation.

Among these molecular chaperones, *E. coli* GroEL and GroES are well characterized. They are identified by mutations affecting the morphogenesis of several bacteriophages (Georgopoulos *et al.*, 1973; Hohn *et al.*, 1979; Tilly and Richardson, 1985). GroEL exists as a complex of a 14-subunit oligomer which forms two stacked rings with seven subunits per ring. Each ring has a central hole (Hendrix, *et al.* 1979, Hohn *et al.*, 1979), whereas GroES is a single ring of seven subunits (Chandrasekhar *et al.*, 1986). Under physiological conditions, GroEL transiently exists as a complex with GroES. A single GroES heptamer binds to one end of the GroEL complex conferring the asymmetry to the chaperone complex. In *E. coli*, GroEL and GroES are also required for viability under normal growth conditions (Fayet *et al.*, 1989; Georgopoulos *et al.*, 1973; Sternberg, 1973; Tilly *et al.*, 1981). These proteins mediate the folding of many intracellular proteins (Horwich *et al.*, 1993). GroEL has been shown *in vitro* to form a complex with several secretory proteins, including pro-OmpA, pre-PhoE and pre- $\beta$ -lactamase (Bochkaneva *et al.*, 1988; Kumamoto, 1991; Lelker *et al.*, 1989). Requirement of GroEL for the export of  $\beta$ -lactamase is established *in vivo* (Kusukawa *et al.*, 1989). Besides interacting with intracellular proteins, overproduction of GroEL in *E. coli* can facilitate the export of *lacZ* hybrid proteins (Phillips *et al.*, 1991). It is not surprised to find the homologs of GroES and GroEL in many other organisms including bacteria and yeast, plant and human (Ellis and van der Vies, 1991).

In *E. coli*, groEL and groES are organized in an operon (Hemmingsen *et al.*, 1988). Regulation of groE and other hsps genes has been well studied. Although several *B. subtilis* hsps genes have been characterized, the regulation of hsps expression in *B. subtilis* is still not clear. To study the regulation of groE expression in *B. subtilis*, a summary of the current information in the regulation of the heat shock genes expression in *E. coli* and *B. subtilis* is presented.

#### I.1.2 Regulation of the Heat Shock Response in E. coli

**I.1.2.1 Heat shock genes form a regulon in** *E. coli*. A set of proteins including GroEL, GroES and DnaK has been identified as heat shock proteins (hsps). Each of these proteins exhibits a characteristic increase in its rate of

synthesis upon the temperature upshift. The increase of hsps synthesis happens immediately after the temperature shift, and reaches its maximum at about 5 minutes, then goes down to a new steady-state rate of synthesis somewhat greater than that at the low temperature (Yamamori *et al.*, 1978; Yamamori *et al.*, 1980). Heat shock genes form a regulon and express at the same time. Sequence alignment of the promoter region of heat shock genes generated consensus -35 and -10 sequences (Figure 1). These conserved promoters were confirmed to be recognized by the  $\sigma^{32}$  containing RNA polymerase (Cowing *et al.*, 1985).

I.1.2.2  $\sigma^{32}$  is the regulatory factor for the expression of heat shock genes. Genetic experiments found that mutations in rpoH (the structural gene for  $\sigma^{32}$ ) prevented the transient increase in hsp synthesis, upon the temperature upshift from 30°C to 42°C (Neidherdt and Vanbogelen, 1981). The level of hsps produced depended on the amount of the rpoH gene product (Grossman *et al.*, 1984; Skelly *et al.*, 1987). rpoH was cloned and characterized (Landick *et al.*, 1984). The amino acid sequence of RpoH shows striking similarity to that of  $\sigma^{70}$ , the major sigma factor in *E. coli*. Therefore, it could be a new sigma factor. In vitro transcription study showed that the core RNA polymerase with the purified 32-kDa rpoH product could initiate transcription from the promoter of heat shock genes (Bloom *et al.*, 1986; Cowing *et al.*, 1985). These findings indicate that the RpoH or  $\sigma^{32}$  is a sigma factor which positively regulates the heat shock response in *E. coli*.

I.1.2.3 The cellular level of  $\sigma^{32}$  controls the regulation of heat shock response. Several lines of experimental evidence suggested that the

Figure 1. Consensus -35 and -10 sequences in the promoter region of heat shock operons in  $E. \ coli$ .

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-35 -10 TCTC-CCCTTGAA---13-17 bp----CCCAT-TA

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heat shock response of E. coli was regulated by changes in the cellular concentration of  $\sigma^{32}$ . 1) Overexpression of rpoH gene product without a temperature upshift can increase the synthesis of heat shock proteins(Grossman et al., 1987); 2) Western blot analysis of  $\sigma^{32}$  showed that the  $\sigma^{32}$  level rapidly increased about 20 fold following a temperature upshift for the first 5 minutes, then decreased to a new steady-state level, which is still several fold higher than the level before heat shock (Straus et al., 1987); 3) By using the S-30 extract (E. coli extract prepared by centrifuging the cell lyzate at 30,000 g. This extract contains all the components for in vitro transcription and translation) for the in vitro transcription, the extract prepared from the heat-shocked cells can transcribe heat shock genes about 8 times higher than that from the extract prepared from the non-heat-shocked cells (Skelly et al., 1987). However, what is the control mechanism to modulate the level of  $\sigma^{32}$  in *E. coli* ? It has been demonstrated that  $\sigma^{32}$  is regulated by different mechanisms. Two regulatory mechanisms, stability and synthesis, have been well studied.

I.1.2.4 Stability of  $\sigma^{32}$ .  $\sigma^{32}$  is very unstable. Its half life is about 1 minute at both 37°C and 42°C (Straus *et al.*, 1987). However, following the temperature shift from 37°C to 42°C,  $\sigma^{32}$  is transiently stabilized in the first 4 minutes (T<sub>1/2</sub> = 8 mins). With the accumulation of  $\sigma^{32}$  in sufficient amount, this leads to the elevated synthesis of hsps (Straus *et al.*, 1987). Then,  $\sigma^{32}$  is unstable again. Mechanisms controlling the stability of  $\sigma^{32}$  are still not very clear. It was observed that mutations in *dnaK*, *dnaJ* and *groE* resulted in increasing the level of  $\sigma^{32}$  by increasing the  $\sigma^{32}$  stability (Tilly *et al.*, 1983, Tilly *et al.*, 1989). Since none of the above hsps are proteases, it is possible

that DnaK, DnaJ and GroE exert negative regulatory roles by binding to  $\sigma^{32}$ and presenting it to intracellular proteases. Alternatively, Hsps may work indirectly through their potential effects on protease activation. Recently, an essential protein HflB (FtsH), known to control proteolysis of the phage  $\lambda$ cII protein, was found to mediate the degradation of  $\sigma^{32}$ . The half life of  $\sigma^{32}$ increased 12 fold in the *hflB* mutant (Herman *et al.*, 1995). In vitro experiment also showed that HflB specifically degraded the  $\sigma^{32}$  (Tomoyasu *et al.*, 1995; Herman *et al.*, 1995).

#### I.1.2.5 Regulation of $\sigma^{32}$ synthesis

I.1.2.5.1 Transcriptional regulation. Analysis of the promoter region of rpoH by S1 nuclease mapping indicates that there are five different transcription start sites. They are transcribed from promoters P1, P2, P3, P4 and P5. Transcription from P2 was confirmed to be an artifact. Thus, there are four promoters in rpoH (Erickson et al., 1987, Nagai et al., 1990) (Figure 2). The most distal (upstream) promoter, P1, is the strongest one, and plays a major role under the physiological conditions, whereas others play subsidiary but specific roles. The activity of P4 is enhanced about 2 fold upon temperature upshift from 30° to 42°C. P5 is a weak promoter that is induced by the presence of ethanol or the absence of glucose. P1, P4 and P5 are  $\sigma^{70}$ type promoters. P3 is recognized by another minor sigma factor,  $\sigma^{E}.~\sigma^{E}$  is a 24-kDa sigma subunit of RNA polymerase that is essential for bacterial viability above 42°C. At 50°C, almost all the transcripts of rpoH are initiated from P3 promoter. However, only 15% of the rpoH transcription is carried out by  $\sigma^{E}$  at 42°C (Erickson *et al.*, 1987). This indicated that  $\sigma^{E}$  was required at much higher growth temperatures. Besides rpoH, overproduction of  $\sigma^{E}$  Figure 2. Organization of promoters in the regulatory region of rpoH. SD is the ribosomal binding site. +1 is the transcription start site of rpoH.

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resulted in the induction of at least 10 proteins. These results indicated that the  $\sigma^{E}$  dependent genes constitute a second heat shock regulon. Their function may strengthen the effects of the  $\sigma^{32}$  regulon in coping with heat shock or other stresses (Rouviere *et al.*, 1995, Raina *et al.*, 1995). The arrangement of the *rpoH* promoters can allow the cells to maintain the level of *rpoH* mRNA under different growth conditions, as well as to maintain the critical levels of *rpoH* transcripts to tolerate some extremely stressful conditions.

**I.1.2.5.2 Translational regulation**. Several results suggested that the regulation of  $\sigma^{32}$  synthesis may be at the translational level, rather than at the transcriptional level. 1) Heat induced synthesis of rpoH-lacZ fusions relies on the specific translation initiation region of rpoH, not the promoter region of rpoH (Tilly et al. 1986, Nagai et al., 1991). 2) Synthesis of  $\sigma^{32}$  after heat shock precedes the accumulation of the rpoH mRNA accumulation (Erickson et al., 1987). 3) When RNA synthesis is inhibited by rifampicin, the heat induction of *rpoH-lacZ* fusion proteins still increases 5-fold (Nagai et al., 1991). By extensive analysis of 3'- and 5'- deletion derivatives of rpoH-lacZtranslational fusions (364 nucleotides of 5' end of rpoH fused to lacZ), it was found that two regions in the rpoH mRNA were involved in the synthesis of  $\sigma^{32}$ . Region A, corresponding to nucleotides 6-20 (The first nucleotide is 'A' at the first codon AUG) functioned as a positive element for rpoH-lacZ translation. This sequence shows similarity to the downstream box in gene 0.3 of phage T7. The downstream box can enhances the expression of gene 0.3 and is complementary to nucleotides 1469-1483 of 16S rRNA. It stimulates translation by stabilizing the binding of the message to the 30S ribosomal subunit (Sprengart *et al*., 1990). Deletion of this region resulted in the reduction of the expression of rpoH-lacZ up to 15 fold. Region B (nucleotides 153-247) functioned as a negative regulatory element. Deletion of this region resulted in the constitutive expression of rpoH-lacZ fusion proteins (Nagai *et al.*, 1991). Based on the secondary structure prediction for the rpoH mRNA predicted by Nagai *et al.*, it was suggested that part of the sequence from region A can form a stem structure with part of the sequence from region B (Nagai *et al.*, 1991). This kind of structure of mRNA may be transiently disrupted upon the temperature upshift to allow the release of the translational repression. This model was supported by the observation that under the non-heat shock condition the synthesis of rpoH-lacZ increased when deletion and base substitution of regions A and B resulted in preventing the formation of the stem structure.

Another regulation occurs at the posttranslational level. It was found that the rpoH-lacZ translational fusions, which carried the region from 364 to 433 (amino acids 122 to 145) could shut off the rpoH-lacZ expression after heat induction. A frame shift mutation in this region resulted in constitutive expression of rpoH-lacZ fusions following heat induction. When the rpoHlacZ fusion (carrying the fragment covering the region from 364 to 443) was transformed into dnaK or dnaJ mutant strains, the heat induction of rpoHlacZ occurred normally, but failed to shut off the rpoH-lacZ fusion expression. Hence, the peptide (Amino acids 122 to 145 of RpoH) is involved in the interaction with DnaK and DnaJ which may interfere with the expression of rpoH.

I.1.2.6 A working model for the heat shock regulation in E. coli by  $\sigma^{32}$ . A set of heat shock operons forms a regulon in *E. coli*. The promoters of these heat shock genes are recognized by the  $\sigma^{32}$ -containing RNA polymerase. When the cells are under the heat shock condition, a transient increase in the cellular concentration of  $\sigma^{32}$  leads to a transient increase in the rate of hsps synthesis.  $\sigma^{32}$  is transcribed by  $\sigma^{70}$  containing RNA polymerase under heat shock and non-heat shock conditions. Under the non-heat shock condition, expression of rpoH is repressed at the translational level,  $\sigma^{32}$  is very unstable through binding to DnaK, DnaJ that may deliver  $\sigma^{32}$  to the protease, HflB, to be degraded. Following the temperature upshift: 1) Synthesis of  $\sigma^{32}$  is induced through the transient disruption of the  $\sigma^{32}$  mRNA secondary structure; 2) In the first several minutes, the amount of DnaK and DnaJ decreases due to the binding to the unfolded/denatured proteins.  $\sigma^{32}$  will become more stable. Thus, the cellular level of  $\sigma^{32}$  is increased. An increase in the concentration of  $\sigma^{32}$  is followed by a proportional increase in the transcription of heat shock genes, resulting in a transient increase in the rate of hsps synthesis.

#### I.1.3 Regulation of Heat Shock Response in B. subtilis

I.1.3.1 The heat shock response in *B. subtilis*. Bacillus subtilis can induce as many as 66 proteins with a temperature upshift from 37 to 48°C (Brian *et al.*, 1991). According to the induction condition, heat shock proteins can be classified into two main groups: specific heat shock proteins which are specifically induced by heat shock and general stress proteins that can be induced by heat shock and other stresses such as salt stress, glucose and

oxygen limitation or oxidative stress, *etc.*(Volker *et al.*, 1994). Many of these heat shock proteins exhibit functional and structural similarity to their homologs in *E. coli*. As discussed early, the heat shock response in *E. coli* is regulated by a minor sigma factor,  $\sigma^{32}$ . Early studies on the regulation of heat shock response in *B. subtilis* were focused on the following questions: Does *B. subtilis* use a similar mechanism to regulate the heat shock response? Which sigma factor mediates the regulation of the expression of the heat shock genes in *B. subtilis* ?

1.1.3.2  $\sigma$  factor in *B. subtilis*. Regulation of gene expression in *B. subtilis* occurs primarily at the level of transcription. The specificity of transcription relies on interactions between RNA polymerase and the promoter sequence. RNA polymerases are isolated in two forms: the core RNA polymerase (which contains several subunits,  $\alpha$ ,  $\beta$ , and  $\beta'$ ) and the holoenzyme. The core RNA polymerase can catalyze the polymerization of ribonucleotides into RNA, whereas the  $\sigma$  factor recognizes and interacts with the specific promoter sequences. The holoenzyme is the complex of the core polymerase with the  $\sigma$ factor. There are at least 10  $\sigma$  factors ( $\sigma^A$  to  $\sigma^L$ ) in *B. subtilis*. Each  $\sigma$  factor recognizes different promoter sequence. The specific promoter sequences are usually located at the -35 and -10 regions of the promoter with +1 as the transcription start site.  $\sigma^A$ , the major sigma factor which is functionally equivalent to  $\sigma^{70}$  in *E. coli*, is responsible for the expression of housekeeping genes.  $\sigma^{B}$  is responsible for transcribing some of the heat shock genes. The function of  $\sigma^{C}$  is not clear.  $\sigma^{D}$  is involved in flagellar synthesis (Helmann, 1988).  $\sigma^{E}$ ,  $\sigma^{F}$ ,  $\sigma^{G}$ ,  $\sigma^{H}$ , and  $\sigma^{K}$  are involved in the sporulation process.  $\sigma^{L}$ regulates the expression of the levanase operon (Haldenwang, 1995).

**I.1.3.3 The Structure of**  $\sigma$  **factors.** Sequence comparison of the known sigma factors at the protein level reveals that four regions are conserved among these proteins. These regions are numbered from 1 to 4, beginning at the N-terminal end of the protein. The function of region 1 and 3 is not clear. Region 2 is responsible for the interaction with the -10 region of the promoter, and region 4 interacts with the -35 region. (Helmann and Chamberlin, 1988). Among the  $\sigma$  factors in *B. subtilis*,  $\sigma^A$  has been well characterized. Figure 3 illustrates the critical amino acid residues in  $\sigma^A$  that can interact with the -35 and -10 regions of the  $\sigma^A$  - dependent promoter. Amino acid conversions at region 2 and region 4 can change the specificity of  $\sigma^A$  to recognize the mutated promoter sequences. Amino acid substitutions at 347 (R to H) and 196 (Q to R) can restore the reduced  $\sigma^A$ -type promoter activity caused by mutations at the third nucleotide (G to A) in the -35 region and the first nucleotide (T to C) in the -10 region, respectively (Kenney and Moran, 1991).

I.1.3.4  $\sigma^{D}$  ( $\sigma^{28}$ ) does not transcribe the heat shock genes in *B.* subtilis. Three pieces of evidence indicated that the regulatory mechanism of heat shock gene induction in *B. subtilis* was different from that in *E. coli* : 1) A minor factor  $\sigma^{D}$  ( $\sigma^{28}$ ) (Gilman *et al.*, 1981) in *B. subtilis* can initiate transcription for the *E. coli*  $\sigma^{32}$  specific promoters *in vitro* (Briat *et al.*, 1985). However, the cell with disrupted  $\sigma^{D}$  gene (*rpoD*) showed a normal heat shock response (Helmann *et al.*, 1988); 2) Promoters of the major *E. coli* heat shock genes are not functional in *B. subtilis* (Wetzstein and Schumann, 1990); 3) Several *B. subtilis* heat shock operons have been cloned and characterized. None of the characterized promoters showed a consensus sequence recognized by  $\sigma^{32}$  (Li and Wong, 1992; Schmidt *et al.*, 1992; Wetzstein *et al.*, 1992). Figure 3. Interaction between  $\sigma^A$  and the  $\sigma^A$  dependent promoter. The sigma factor may lie between RNA polymerase and the promoter, where the sigma factor specifically contacts with the promoter. Sigma A factor have four domains, indicated as regions 1 to 4. Amino acids in region 2 and region 4 contact the - 10 and -35 regions of the promoter, respectively. A mutation at region 2 (Q-196-R) can suppress the effect of a single base-pair transition (T to G or C) at the first position of the -10 hexamer of the promoter. A mutation at region 4 (R-347-H) can suppress the effect of a substitution (G to A or C) at the third base pair of the -35 hexamer. This diagram is prepared to the illustrate of the contacts between SigA and the SigA dependent promoter based on the genetic data currently available (see text, Kenney and Moran, 1991).



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I.1.3.5  $\sigma^{B}$  controls the expression of the general stress heat shock genes in B. subtilis. Although  $\sigma^{B}$  has been found more than ten years ago, its physiological role remains obscure. Null mutations in the  $\sigma^B$  structural gene (sigB) have no obvious effect on vegetative growth or sporulation. In 1993, Benson & Haldenwang reported that the cellular level of  $\sigma^B$  increased 5 to 10 fold when the cell culture was shifted from 37°C to 48°C (Benson and Haldenwang, 1993). At least 5 known operons were found to have the  $\sigma^{B}$ dependent promoter preceding the genes which encoding the general stressproteins (Figure 4). The major group of general stress proteins (about 40 hsps) failed to be induced following heat shock in a sigB mutant (Volker et al, 1994). These results suggest that  $\sigma^{B}$  is responsible for the induction of a major group of general stress proteins by heat stress as well as by other stimuli. Besides this mechanism, another regulatory system may exist for the expression of some general stress protein operons since: 1) ClpC and LonA that are the heat inducible ATP-dependent proteases that show a normal heat induction in the null  $\sigma^B$  mutant; 2) Both clpC and lonA have a  $\sigma^{A}$ -type promoter in their regulatory region (Kruger *et al.*, 1994; Riethdorf *et* al., 1994).

Figure 4. Consensus sequences of the SigB-dependent promoters.



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**I.1.3.6**  $\sigma^A$  may transcribe groE and dnaK. The groE operon was isolated and characterized in our lab and Schumann's lab independently (Li and Wong, 1992; Schmidt *et al.*, 1992). Two genes, groES and groEL, are located in the operon. Analysis of the promoter region in the groE operon showed that a typical  $\sigma^A$ -dependent promoter sequence could be found in groE. The spacer region is 17 bp long between the putative -35 and -10 sequences (Figure 5). The transcription start site of groE was mapped by primer extension analysis under both heat shock and non-heat shock conditions. The same transcription start site was observed under these conditions. A  $\sigma^A$ -type promoter was also found in the dnaK operon (Figure 5) (Wetzstein *et al.*, 1992). This heat inducible operon consists of at least four genes (orf 39, grpE, dnaK, dnaJ). The products encoded by grpE, dnaK and dnaJ are molecular chaperones. The function of ORF39 is unknown. No homolog of ORF39 has been found in *E. coli*.

The following findings indicate that  $\sigma^A$  may transcribe groE and dnaK. 1) Expression of groE and dnaK was drastically reduced in a temperatureFigure 5. Organization of the promoter regions from groE and dnaK. The arrow indicated the inverted repeat sequence. The -35 and -10 regions of promters are underlined. The transcription start sites of groE and dnaK are outlined.

groE promoter sequence

## -35 -10 GAAAAATTTTTTATCTTATCAC<u>TTGAAA</u>TTGGAAGGGAGATTCTT<u>TATTAT</u>AAGAATTGTG TTAGCACTCTTTAGTGCTGAGTGCTAAAA

dnaK promoter sequence

# -35 -10 TTTTTTTGGGTGAGTTATAA<u>TTGACA</u>TTTTTTTGTGGTTGA<u>TACTTT</u>TGTTATAGAA

## **TTAGCACTC**GCTTATTGAGAGTGCTAAC

Consensus sequences of SigA dependent promoter
sensitive sigA (the structural gene for  $\sigma^{A}$ ) mutant after temperature upshift; 2)  $\sigma^{A}$  with the core RNA polymerase can initiate transcription from the groE promoter *in vitro* (Chang *et al.*, 1994). Since  $\sigma^{A}$  is the major sigma factor in B. subtilis and its expression shows no obvious increase following the temperature upshift, some other regulatory factors should exist to mediate the heat inducible expression of both groE and dnaK.

I.1.3.7 An inverted repeat sequence (CIRCE) may be the key element to regulate the expression of both groE and dnaK in B. subtilis and many other eubacteria. With further analysis of the promoter of groE, a inverted repeat sequence (IR) was found downstream of the transcription start site. A similar IR sequence was found in the dnaKoperon, which also located downstream of the transcription start site of the dnaK operon (Figure 4). It suggests that these two operons are likely to be regulated by the same mechanism. Besides B. subtilis, the conserved inverted repeats can also be found in groE, dnaK, and dnaJ operons in many othe gram-positive and gram-negative bacteria. Table 1 lists the sequences of all the published IR elements. By comparing all these sequences, a consensus sequence of the inverted repeat can be generated as follows: TTAGCACTC-N9-GAGTGCTAA. In most cases, this element is usually located downstream of the transcription start site. These inverted repeats may serve as a common regulatory element in most eubacteria to control the expression of these genes under the heat shock condition and is named "CIRCE" (controlling inverted repeat of chaperone expression) (Zuber and Schumann, 1994).

Bacterial Species	Gene	Position <sup>a</sup>	Sequences
Agrobacterium tumefaciens	groESL	+2	CTGGCACTC-N9-GAGTGCTAA
Bacillus stearothermophilus	groESL	+3	TTAGCACTC-N9-GAGTGCTAA
Bacillus subtilis	groESL	+3	TTAGCACTC-N9-GAGTGCTAA
Brucella abortus	groESL	ND <sup>b</sup>	TTAGCACTC-N9-GAGTGCTAA
Clostridium acetobutylicum	groESL	+2	TTAGCACTC-N9-GAGTGCTAA
Clostridium acetobutylicum	dnaK	+6	TTAGCACTC-N9-GAGTGCTAA
Clostridium perfringens	groESL	ND	TTAGCACTC-N9-GAGTGCTAA
Chlamydia pneumoniae	groESL	ND	TTAGCACTT-N9-GAGTGCTAA
Chlamydia psittaci	groESL	ND	GTAGCACTT-N9-AAGTGCTAA
Chlamydia trachomatis	dnaK	ND	CTAGCACTC-N9-GAGCGCTAA
Chromatium vinosum	groESL	ND	TTAGCACTC-N9-GAGTGCTAA
Erysipelothrix rhusiopathiae	dnaK	ND	TTAGCACTC-N9-TATTGCTAA
Lactococcis lactis	groESL	ND	TTAGCACTC-N9-GAGTGCTAA
Lactococcis lactis	dnaK	ND	TTAGCACTT-N9-GAGTGCTAA
Lactococcis lactis	dnaJ	-38	TTAGCACTC-N9-GAGTGCTAA
Leptospira interrogans	groESL	+6	TAAGCACTC-N9-TAGTGCTAA
Mycobacterium bovis BGC	groES	ND	CTAGCACTC-N9-GAGTGCTAG
Mycobacterium bovis BGC	groEL	ND	CTTGCACTC-N9-GAGTGCTAA
Mycobacterium leprae	groESL1	ND	CTAGCACTC-N9-GAGTGCTAG
Mycobacterium leprae	groESL2	ND	ATTGCACTC-N9-GAGTGCTAA
Mycobacterium tuberculosis	groESL	ND	CTTGCACTC-N9-GAGTGCTAG
Mycobacterium pneumoniae	dnaK	ND	TTAGCACTC-N9-AAGTGATAA

# Table1: Consensus sequences of the IR sequences

Mycobacterium pneumoniae	dnaJ	ND	TTAACACTC-N9-AAGTGCTAA
Rhizobium meliloti	groESL	ND	TTAGCACTC-N9-GAGTGCTAA
Rhizobium leguminosarum	groESL1	ND	CTAGCACTC-N9-GAGTGCTAA
Rhizobium leguminosarum	groESL2	ND	ATGGCACTC-N9-AAGTGCGGC
Rhizobium leguminosarum	groESL3	ND	TTGGCACTC-N9-GAGTGCCAC
Staphylococcus aureus	groESL	ND	TTAGCACTC-N9-AAGTGCTAA
Staphylococcus aureus	dnaK	ND	TTAGCACTT-N9-GAGTGCTAA
Streptomyces albus	groESL1	-48	TTGGCACTC-N9-GAGTGCTAA
Streptomyces albus	groESL1	-2	CTGGCACTC-N9-GAGTGCCAA
Streptomyces albus	groESL2	-35	CTTGCACTC-N9-GAGTGCTAA
Streptomyces albus	groESL2	+2	TTAGCACTC-N9-GAGTGACAG
Streptomyces coelicolor A3	groESL1	-38	TTGGCACTC-N9-GAGTGCTAA
Streptomyces coelicolor A3	groESL1	+1	CTGGCACTC-N9-GAGTGCCAA
Streptomyces coelicolor A3	groEL2	-33	CTGGCACTC-N9-GAGTGCCAA
Streptomyces coelicolor A3	groEL2	+1	TTAGCACTC-N9-GAGTGCTAA
Synechococcus sp. 6391	groESL	ND	TTAGCACTC-N9-GAGTGCTAA
Synechococcus sp. PCC7942	groESL	-8	TTAGCACTC-N9-GAGTGCTAA
Synechococcus sp. PCC6803	groESL1	ND	TTAGCACTC-N9-GAGTGCTAA
Synechococcus sp. PCC6803	groESL2	ND	TTAGCACTC-N9-GAGTGCTAA
Zymomonas mobilis	groESL	ND	TTGGCACTC-N9-GAGTGCCAG
Consensus sequences			TTAGCACTC-N9-GAGTGCTAA

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 $a_{+1}$  is the transcription start site.

b ND: the transcription start site is not determined.

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I.1.3.8 The putative functions of CIRCE. Site-directed mutagenesis of CIRCE in *B. subtilis dnaK* operon resultes in a higher level of dnaK expression at 37°C, indicating that this inverted repeat sequence acts as a negative regulatory element (Zuber and Schumann, 1994). It is possible that CIRCE can exert its negative regulatory effect at the DNA level as a repressor binding site or at the RNA level by affecting the mRNA stability or acting as a transcription terminator. In addition, CIRCE can possibly function at both levels. Besides the negative regulation, it is not certain whether other mechanisms (such as positive factors) are involved in regulating the heat induction of these operons.

**I.1.3.9 A model for the regulation of** groE in B. subtilis. From the above mentioned information, a regulatory model for groE expression was proposed. Transcription of groE is directed by the  $\sigma^A$  containing RNA polymerase under both heat shock and non-heat shock conditions. CIRCE serves as a negative regulatory element that is responsible for the low level expression of groE under the non-heat shock condition. This mechanism may also regulate the expression of dnaK. After the temperature upshift, the putative negative factor (possibly a repressor) is somehow inactivated, therefore, the expression of groE and dnaK is increased.

### **I.2 Objectives**

To determine the regulatory mechanism controlling groE and dnaK expression in *B. subtilis*. I have the following objectives.

# I.2.1 To determine whether *groE* is transcribed by the $\sigma^A$ containing RNA polymerase

As has already been discussed, the  $\sigma^A$  containing RNA polymerase may transcribe *groE*. However, there is no direct genetic evidence to demonstrate that *groE* transcription is indeed transcribed by the  $\sigma^A$  containing RNA polymerase. To address this problem, the putative *groE* promoter was mutated at both the -10 and -35 regions. The effect of these mutations on the promoter activity and the use of specific *sigA* mutants to suppress these mutations in an allele-specific manner are examined. The details of the studies is presented in Chapter IV.

# I.2.2 To determine the functional roles of the IR element (CIRCE) in the regulation of *groE* expression.

Since CIRCE is located downstream of the groE transcription start site, this sequence is present in the groE mRNA. It is possible that CIRCE can exert its function at either the RNA level or the DNA level. To study the function of CIRCE, half-lives of the groE messenger RNA under both 37°C and 42°C were determined. Effects for the presence and absence of CIRCE in groE on the stability of the groE transcripts were also examined. The effect of varying the distance between the groE transcription start site and CIRCE was systematically studied. The results are presented in the Chapter V.

# I.2.3 To develop a genetic system to study the structural gene encoding a negative regulatory factor controlling *groE* expression

A negative factor which bind to the CIRCE sequence may regulate the groE and dnaK expression. Therefore, one of my objectives is to identify this

factor. To identify this gene, I would like to isolate regulatory mutants which can constitutively express groE and dnaK. Once isolated, these regulatory mutants can be used to clone and characterize the gene for the negative factor. Results of these studies are described in Chapter VI.

# **II Materials and Methods**

#### **II.1** Biochemical reagents

Restriction endonucleases were purchased from Bethesda Research laboratories (BRL), Pharmacia, and New England Biolabs Inc. Klenow fragment, T4 Kinase, Calf Intestinal Alkaline Phosphatase, T4 DNA Ligase were obtained from BRL. DNA sequencing Kits,  $\lambda$  DNA, dNTP, Taq DNA polymerase and AMV Reverse Transcriptase were purchased from Pharmacia Inc. [ $\alpha$ -<sup>35</sup>S]-dATP (400 Ci mmol<sup>-1</sup>; 10 mCi ml<sup>-1</sup>), [ $\gamma$ -<sup>32</sup>P]-dATP (3000 Ci mmol<sup>-1</sup>; 10 mCi ml<sup>-1</sup>) and [ $\alpha$ -<sup>32</sup>P]-dATP (3000 Ci mmol<sup>-1</sup>; 10 mCi ml<sup>-1</sup>) were obtained from either Amersham Corp or ICN. Bacterial growth media were purchased from Difco. The DNA primers for PCR and sequencing were synthesized at the University Core DNA Service, the University of Calgary. Agarose, acrylamide, and bis-acrylamide were purchased from BRL Inc. Nitrocellulose and Hybond-N hybridization transfer membranes were acquired from Amersham Corp. Low-molecular-weight protein electrophoresis markers were obtained from Bio-Rad Laboratories. All other chemical reagents were obtained from Sigma, ICN and Fisher Scientific Company.

#### **II.2** Bacterial strains and plasmids

B. subtilis strains used in this study are listed in Table 2. Plasmids used in this study are described in Table 3. EU9001 tms, EU9002 tms-13C, EU9004 tms-35A (Kenney & Moran, 1991) are the B. subtilis strains used for the in vivo study to demonstrate that the expression of groE is directed by SigA. They carry either an extra copy of the wild type or mutated sigA under the control of an IPTG inducible promoter, Pspac. These strains also carry either the wild type tms promoter or the mutated (-13C or -35A) tms promoter fused with lacZ. Bacillus subtilis 168 (trpC2) and E.coli DH5a [( $\phi$ 80lacZd\DeltaM15) endA1 recA1 hsd17 (r<sup>-m-</sup>) supE44 thi-1  $\lambda$ <sup>-</sup> gyrA relA1 F<sup>-</sup>  $\Delta$ (lacZYA-argF) U 169] served as hosts for routine transformations. B. stearothermophilus ATCC 7954 (strain code: 9A1) was obtained from the Bacillus Genetic Stock Center for the amplification of bgaB (ß-galactosidase gene). The E. coli Bluescribe plasmid (pBS, from Stratagene) and B. subtilis plasmid pUB18 were used for routine subcloning of DNA fragments and sequencing.

#### **II.2.1** Construction of pDL and pDK

To have a heat stable reporter to monitor gene expression under the heat shock condition, the *E. coli lacZ* gene in pDH32M (Kraus, 1994, see Table 3) was replaced by bgaB, a thermostable ß-galactosidase gene from *B.* stearothermophilus. The promoterless bgaB with the sequence corresponding to nucleotides 395-2478 of the reported sequence (Hirata, 1986) was generated through PCR amplification. A SnaBI site and a SstI site were introduced at the 5' and the 3' end of the fragment, respectively. The SnaBIand SstI double-digested bgaB fragment was inserted to the SnaBI-SstIdigested, 7.6-kb pDH32M to generate pDL. To construct pDK, the *Eco*RI-SalIfragment containing the *cat* gene in pDL was replaced by an *Eco*RI-SalIfragment carrying the P43-Kanamycin cassette. The *kan* gene was amplified through PCR using pUB18 (Wang and Doi, 1987) as the template.

Strain	Genotype and derivation, comments and reference.
B. subtilis 168	trpC2 (Spizizen,1958).
B. subtilis PY852	chr:: Tn 917 $\Omega$ HU 160 glyC, containing a silent Tn917
	transposon insertion (Kenney & Moran, 1991).
B. subtilis EU9000	PY852 ermC::cat, a derivative of PY852 which the ermC
	gene contained within the transposon was replaced with
	the cat (Kenney & Moran, 1991).
B. subtilis EU9001	EU9000 Pspac-sigAwt, a derivative of EU9000 which
	contains a wild type sigA gene controlled by an IPTG
	inducible promoter, Pspac, (Kenney & Moran, 1991).
B. subtilis EU9002	EU9000 Pspac-sigA Q-196-R, a derivative of EU9000
	which contains a mutated sigA allele controlled by Pspac
	(Kenney & Moran, 1991).
B. subtilis EU9004	EU9000 Pspac-SigA R-347-H, a derivative of EU9000
	which contains a mutated sigA allele controlled by Pspac
	(Kenney & Moran, 1991).
B. subtilis EU9001tms	EU9001 SP $\mathfrak{B}$ :: $tms$ -lacZ , a derivative of EU9001 which
	contains a $lacZ$ controlled by the $tms$ promoter (Kenney
	& Moran, 1991). SPB is a specialized transducing phage.
B. subtilis EU9002tms-13C	EU9002 SPB::tms-13C-lacZ, a derivative of EU9002
	which contains $lacZ$ controlled by the mutated $tms$
	promoter (Kenney & Moran, 1991).
B. subtilis EU9004tms-35A	EU9004 SPB::tms-35A-lacZ, a derivative of EU9004
	which contains a $lacZ$ controlled by the mutated $tms$
	promoter (Kenney & Moran, 1991).
B. subtilis WBG1	B. subtilis 168 containing a pDL plasmid integration in
	the <i>amyE</i> locus (This work).
B. subtilis WBG2	B. subtilis 168 containing a pDL2 plasmid integration in
	the <i>amyE</i> locus (This work).
B. subtilis WBG3	B. subtilis 168 containing a pDL3 plasmid integration in
	the $amyE$ locus (This work).

B. subtilis WBG4	B. subtilis 168 containing a pDL4 plasmid integration in
	the $amyE$ locus (This work).
B. subtilis WBG5	B. subtilis 168 containing a pDL5 plasmid integration in
	the <i>amyE</i> locus (This work).
B. subtilis WBG6	B. subtilis 168 containing a pDL6 plasmid integration in
	the <i>amyE</i> locus (This work).
B. subtilis WBG7	B. subtilis 168 containing a pDL7 plasmid integration in
	the <i>amyE</i> locus (This work).
B. subtilis WBG8	B. subtilis 168 containing a second copy of groE. The IR
	sequence in this copy of $groE$ is deleted. It was integrated
	in the <i>amyE</i> locus (This work).
B. subtilis WBG15	B. subtilis 168 containing a pDL15 plasmid integration in
	the <i>amyE</i> locus (This work).
B. subtilis WBG16	B. subtilis 168 containing a pDL16 plasmid integration in
	the $amyE$ locus (This work).
B. subtilis WBG17	B. subtilis 168 containing a pDL17 plasmid integration in
	the <i>amyE</i> locus (This work).
B. subtilis WBG101	Same as WBG2, except a point mutation in orf39, the
	first gene in <i>dnaK</i> operon (This work).
E.coli DH5a	$\phi 80 lacZ d\Delta M15 endA1 recA1 hsd 17 (r^m) supE44 thi-1 \lambda^{-1}$
	gyrA relA1 F <sup>-</sup> $\Delta$ (lacZYA-argF) U169].
E.coli BL21 (DE 3)	F <sup>-</sup> ompT lonA $r_B$ <sup>-</sup> $m_B$ <sup>-</sup> , this strain has an integrated
	structural gene encoding T7 RNA polymerase controlled
	by an IPTG inducible promoter (Novagen, Inc).

# **II.2.2** Construction of plasmids pDL2 and pDL3

DNA fragments carrying the groE promoter with (-238 to +36, +1 is the transcription start site) or without the inverted repeat sequence (-238 to +2) were generated through PCR amplification using *B. subtilis* chromosomal DNA as the template. An *Eco*RI site and a *Bam*HI site were introduced at the 5' and 3' ends, respectively, so that these fragments can be inserted into *Eco*RI and *Bam*HI digested pDL to generate pDL2 and pDL3. The resulting

plasmids were linearized by digesting with PstI and integrated into amyE locus in *B. subtilis* to generate WBG2 and WBG3.

Plasmid	Comment and reference
pBS	E. coli cloning vector, bluescribe plasmid from Strategene.
pBS-GROEP	containing a 272-bp E.coRI-BamHI groE promoter (-238 to
	+36) fragment in pBS (This work).
pBS-MTL	Insertion of a 1.5-kb HindIII-BglII fragment carrying part of
	the B. subtilis mannitol operon (This work).
pBS-P43ORF39	Insertion of a 1.4-kb EcoRI-HindIII fragment carrying the
	P43-ORF39 expression cassette in pBS. This insert is from
	pUBP43ORF39 (This work).
pDH32M	A B. subtilis integration vector with the promoterless $lacZ$ as
	the reporter gene. This $lacZ$ cassette with upstream $cat$ gene
	is flanked by the front and back portions of $amyE$ which
	allows the integration of the transcriptional fusion at the
	amyE locus. This plasmid also carries the $cat$ gene as the
	selection marker after integration. The pDH32M carries the
	replication origin of pBR322 and <i>bla</i> gene (Kraus et al., 1994).
pDL	A derivative of pDH32M. It is a <i>B. subtilis</i> integration vector
	with <i>bgaB</i> as the reporter gene (This work).
pDL2	Insertion of a 274-bp <i>Eco</i> RI- <i>Bam</i> HI groE promoter fragment (
	with the IR sequence) in pDL. This fragment was generated
	by PCR (This work).
pDL3	Same as pDL2 except that no inverted repeat sequence in the
	groE promoter region (This work).
pDL4	Same as pDL 2 except that a 5-bp fragment was inserted
	between the $groE$ transcription start site and the inverted
	repeat sequence (This work).

# Table 3: Plasmids used in this study

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Same as pDL 2 except that a 9-bp fragment was inserted
between the $groE$ transcription start site and the inverted
repeat sequence (This work).
Same as pDL 2 except that a 17-bp fragment was inserted
between the groE transcription start site and the inverted
repeat sequence (This work).
Same as pDL 2 except that a 21-bp fragment was inserted
between the groE transcription start site and the inverted
repeat sequence (This work).
Insertion of a 46-bp <i>Eco</i> RI- <i>Bam</i> HI groE promoter fragment (-
44 to +2) in pDL (This work).
Insertion of a 114-bp <i>Eco</i> RI- <i>Bam</i> HI groE promoter fragment
(-112 to +2) in pDL (This work).
Insertion of a 185-bp EcoRI-BamHI xyl (xylose operon)
promoter fragment (-180to +5) in pDL (This work).
An overexpression E. coli plasmid with T7 promoter
(Novagen)
A derivative of pET29b except that the sequence from $XbaI$ to
BglII was deleted through restriction digestion and end
reparing (filling in)(This work)
Insertion of the 2.3-kb SstI fragment carrying the groE-bgaB
transcription fusion from pDL2. The inserted groE regulatory
region contains both the groE promoter and the inverted
repeat sequence (This work).
Insertion of the 2.3-kb SstI fragment carrying the groE-bgaB
transcription fusion from pDL3. The inserted $groE$ regulatory
region contains only the groE promoter (This work).
An $E$ , coli vector with the p15a replicon and a kanamycin
resistance marker (Jobling, 1990).
Insertion of a 300-bp <i>Eco</i> RI-KpnI fragment carrying the B.

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pUB-P43ORF39Insertion of a 1.1-kb KpnI-XbaI fragment carrying the<br/>promoterless orf39 gene (nucleotide 221-1319 of the reported<br/>sequences) in pUB-P43. This insert was generated through<br/>PCR (This work)pUB18B. subtilis cloning vector; Kmr (Wang, 1987).
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#### **II.2.3** Construction of pDL4 and pDL7

To study the effects of varying the distance between the groE transcription start site and the inverted repeat sequence (CIRCE) on gene expression, a BglII site was introduced between nucleotides +2 and +3 of the groE promoter region through the PCR based site-directed mutagenesis using pBS-GROEP as the template. The primers for this study were designated ESL BglII and ESL10L, respectively. The mutated groE promoter was inserted into pDL to generate pDL4. This plasmid was digested by BglII, followed by filling in and ligation to generate pDL5. pDL6 was generated by inserting an 8-bp BclI linker to the BglII digested, end-repaired (by the fill-in reaction) pDL4. pDL6 was cut by BclI, followed by filling in and ligation to generate pDL7. These plasmids resulted in inserting 5, 9, 17 and 21 bp between nucleotides +2 and +3 in the groE regulatory region, respectively. These plasmids were linearized by PstI and integrated to the amyE locus in B, subtilis 168 to generate WBG4 to WBG7.

# **II.2.4 Construction of pDL15 and pDL16**

Different versions of the groE promoter were generated either by annealing the synthetic oligonucleotides (-44 to +2) or by PCR (-112 to +2). These promoter sequences were inserted between EcoRI and BamHI sites in the integrated vector pDL to generate groE-bgaB transcription fusions. The resulting vectors were pDL15 and pDL16. Integration of these plasmids to B. subtilis 168 generated in B. subtilis strains, WBG15 and WBG16, respectively.

## **II.2.5** Construction of pDL17

The DNA fragment covering *B. subtilis xyl* (Wilhelm and Hollenberg, 1985) promoter (xylose operon from -180 to +5, +1 is the transcription start site) was generated through PCR amplification using the *B. subtilis* chromosome DNA as the template. The amplified fragment was inserted to pDL at *Eco*RI and *Bam*HI sites. The resulting plasmid was designated pDL17. Integration of *Pst*I linearized pDL17 to *B. subtilis* 168 generated WBG17.

# II. 2.6 Introduction of a copy of the modified groE at the amyE locus

To study whether the IR sequences has any effects on the stability of groE transcript, *B. subtilis* carrying a modified groE in the amyE locus was created. This modified groE does not carry the IR sequence in the groE regulatory region. To construct this strain, a 2.0-kb BamHI-SstI fragment carrying bgaB in pDL16 was replaced by a 2.1-kb BamHI-SstI fragment carrying groESL (+33 to +2165, generated by PCR). The ligation mixture was digested by ScaI to linearize the ligated plasmid and transformed to *B. subtilis* 168. The resulting strain is designated WBG8.

# II.2.7 Construction of pUB-P43ORF39, and pBS-P43 ORF39

To study the function of orf39, the first gene in the *dnaK* operon, pUB-P43ORF39 and pBS-P43ORF39 were constructed. The DNA fragment containing the promoterless orf39 was generated by PCR amplification with the introduction of *KpnI* and *XbaI* sites at the 5' end and 3' end, respectively. The *KpnI* and *XbaI* digested DNA fragment was then ligated to pUB-P43 digested with the same restriction enzymes. The resulting plasmid was designated pUB-P43ORF39. In this plasmid, the expression of orf39 was controlled by the *B. subtilis* P43 promoter, a constitutively expressed promoter (Li and Wong, 1992). To generate pBS-P43ORF39, the 1.5-kb *Eco*RI and *Hind*III digested pUB-P43ORF39 carrying the P43-orf39 cassette was inserted into pBS digested by *Eco*RI and *Hind*III.

# **II.2.8 Construction of pET-ORF39**

To overproduce ORF39, pET-ORF39 was constructed. pBS-P43ORF39 was digested with KpnI and XbaI. The 1.2-kb fragment was then inserted into pET29b( $\Delta XB$ ) digested by the same enzymes. The resulting plasmid was designated pET-ORF39. The expression of *orf39* is controlled by an IPTG inducible T7 promoter. This plasmid was used for the overexpression of ORF39.

# II.2.9 Construction of pK-BGAB, pK-GRO(+) and pK-GRO(-)

In order to study the function of orf39, a binary plasmid system was developed. The first plasmid was pBS-P43ORF39, which is a high copy number plasmid in *E. coli*. The second plasmid was the derivatives of pK184 (Jobling *et al.*, 1990, see Table 2). pK184 is a low copy number (5-15)

plasmid. To generate pK-GRO(+), the 2.4-kb SstI digested pDL2, which carries the groE promoter with IR and bgaB, was inserted into the SstI digested pK184. pK-GRO(+) was then cut by KpnI to remove the groE promoter and the IR sequence to produce plasmid pK-BGAB. To generate pK-GRO(-), pDL3 was digested by SstI. The 2.4-kb fragment which contains the groE promoter without the IR sequence was insert to pK184 at the SstI site.

Primer	Gene	Location	Sequence
ESL 10U	groE	-22 to +2 a	GGAGATTCTTCATTATAAGAA
			TTG
ESL 10L	groE	-23 to -43 a	CTTCCAATTTCAAGTGATAAG
ESL 35U	groE	-22 to +2 a	GGAGATTCTTTATTATAAGAAT
			TG
ESL 35L	groE	-23 to -43 a	CTTCCAATTTTAAGTGATAAG
ESL BglII	groE	-22 to +13 a	GGAGATTCTTTATTATAAGAAT
-	-		TGAGATCTGTTAGCACTC
GROEP	groE	-115 to -95 a	GGGGGAGCTCTTATGCATATT
	-		ATGTTGCCAACTGTCGG
GROEP1	groE	+2 to -22 a	GGAGATCTGGATCCAATTCTT
	-		ATAATAAAGAATCTC
GROEU	groE	+32 to +55 a	GGGGATCCAATTACATATTCA
	0		TACTATTGAGG
GROEB	groE	+2166 to +2150 a	GGGGTACCGAGCTCATATGAC
			CGCTAATATGG
GROPE	groE	+99 to +81 a	CAATGACAACGCGATCACC
GROESX	groE	+350 to +333 a	GAATTAGCCGATAACAGC

Table 4 : DNA primers used in this study

BTBF	bgaB	465 to 502	GGGGTACGTAGGTACCTAAAT TTTAACTTAATTTATAATTAAA
			CGAAAATTAG
BTBB	bgaB	2506 to 2479	GGGGGAGCTCTTATGCATATT
			ATGTTGCCAACTGTCGG
BSXYF	xyl	-180 to -156	GGGGAATTCATGAAAAACTAA
			AAAAAAATATTG
BSXYB	xyl	+5 to -17	GGGGGATCCATTTTATGTCAT
			ATTGTAAG
BGABPE	bgaB	492 to 475	GGGTTATAATCTCCTCCG
ORF39F	orf39	221 to 244	GGGGTACCGTGCTAACAGAGG
			TGATGATG
ORF39B	orf39	1319 to 1299	GCTCTAGAGGAGAAGGACAGG
			TGCTGCCC

a + 1 is the transcription start site of *groE*. The other nucleotide sequences are numbered according to the reported sequences. The order of the number indicates the direction of the primer. The direction of the primer is from 5' end to 3' end.

### **II.3 DNA Primers**

Table 4 lists all the primers used in this study. ESL 10U and ESL 10L were used to generate the T (-12) to C mutation in the groE promoter. ESL 35U and ESL 35L were used to generate G (-33) to A mutation in groE promoter. ESLBgIII and ESL10U were used to introduce the BglII site between the transcription start site and the IR sequence. GROEP and GROEPI were used to amplify the groE promoter (-115 to +2). GROEU and GROEB were used to generate the groESL fragment by PCR. GROEPE was used for the primer extension study to determine the half life of groE mRNA. BTBB and BTBF were used to produce the thermostable  $\beta$ -galactosidase gene (bgaB) from B. stearothermophilus. BgaBPE was used for primer extension to detect the half life of groE-bgaB mRNA. BSXYF and BSXYB were used to produce the promoter fragment from the *B. subtilis* xylose operon. ORF39F and ORF39B were used to generate the *orf39* through PCR.

## **II.4** Culture conditions

L-broth was used routinely to culture both B. subtilis and E. coli. Figure 6 illustrates cell culture conditions for both the heat shock and non-heat shock treatments and the temperature for BgaB ( $\beta$ -galactosidase from B. stearothermophilus) assay. For determining the BgaB specific activity under heat shock and nonheat shock conditions, cells were cultured up to 100 Klett units and divided into two sets. One set was kept at 37°C, the other set was at 48°C. After a 30-minute cultivation, cells were collected for enzyme (BgaB) assays. To determine the effect of the mutated sigA on the expression of groEbgaB, cells were cultured under the same condition as mentioned above except that IPTG at a final concentration of 1 mM was added at the beginning of the heat shock and the cells were cultured for one hour. To prepare RNA for the half-life determination, B. subtilis was cultured at 37°C until the cell density reached 100 klett units. Cells were then splited into two sets. One was kept at 37°C, the other one was transferred to 48°C. These cells were cultured for 10 minutes. Rifampicin at the final concentration of 10  $\mu$ g/ml was added. Cells were collected at different time points for RNA For the thermotolerant study, B. subtilis cells were grown in isolation. minimal medium for overnight, and then were transferred to fresh minimal medium. The initial cell density was 0.06 OD at  $A_{540}$ . The cells were divided into four sets. Three of them were cultured at 37°C, 48°C, 52°C respectively. The fourth one was cultivated at 48°C for thirty minutes then transferred to 52°C. To overproduce ORF39, Ecoli BL21 (DE3) carrying pET-ORF39 was

cultured in L-broth containing kanamycin (30  $\mu$ g per ml) at 37°C to 100 Klett units. IPTG was added at the final concentration of 0.4 mM. Cells were collected after a 2-hour induction. To study the functional role of ORF39 in *E.coli*, cells with the appropriate plasmids were cultured in L-broth at 37°C up to 100 Klett units. The cells were divided into two sets. One set was first transferred to 42°C for 15 minutes and then transferred to 48°C for 30 minutes. The other set was kept at 37°C as the control. Figure 6. Culture conditions for the heat shock and non-heat shock treatment and the assay temperature for BgaB activity ( $\beta$ -galactosidase from B. stearothermophilus).

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### **II.5** Detection of integration at the *amyE* locus in *B. subtilis*.

After the pDL plasmid was transformed into *B. subtilis*, the cells were plated on TBAB agar plates with 5 microgram per ml of chloramophenicol The plates were kept at 37°C for overnight. The transformants were then spotted on the TBAB plates containing 0.1% starch. The plates were incubated at 37°C for 12 hours. The iodine solution (24 mM I<sub>2</sub>, 24 mM KI) was then added to the plate. The colonies without a halo around them indicated that the *amyE* operon was disrupted through recombination.

#### **II.6** Nucleic acid manipulations

**II.6.1 DNA isolation.** Isolation of plasmid from *E. coli* was carried out according to Maniatis *et al.*, (1989). Preparation of plasmid from *B. subtilis* was performed according to Wu *et al.*, (1991). Chromosomal DNA from *B. subtilis* was prepared as described by Wu *et al.*, (1991).

**II.6.2 DNA manipulations**. Restriction endonucleases and other modification enzymes were used according to the suggestions from the manufacturers. PCR amplification and DNA sequencing were performed by methods described previously (Li & Wong, 1992). For genomic sequencing, sequences of interest were generated through PCR amplification by using Vent DNA polymerase (New England Biolabs Ltd., Canada) with the condition suggested by the manufacturer. The amplified DNA samples were used directly as templates for sequencing by using the fmol DNA sequencing system (Promega, Wisconsin).

Transformation of *E. coli* cells was carried out according to Maniatis *et al.*, (1989). Transformation of *B. subtilis* was performed according to Dubnau and Davidoff-Abelson, (1971).

II.6.3 Site-mutagenesis of the groE promoter. Figure 7 illustrates a method to generate site-directed mutagenesis by PCR (Hemsley et al., 1989). Two primers are used to generate mutations in the method. The target DNA to be mutated was inserted to a vector. The resulting plasmid was used as the template for PCR. The first primer carrying the mutated sequence is complementary to one strand of the template, the second primer is complementary to the other strand of the template. There is no gap between the two primers. After PCR, linear DNA fragments with mutation were generated. The fragments were treated with Klenow and phosphylated with T4 polynucleotide kinase in the presence of the ATP. The resulting DNA fragments were self-ligated and transformed to an appropriate host strain. The resulting plasmid can be sequenced to confirm for the presence of the site-directed mutation. By using this method, groE promoters carrying -12C (T to C) or -33A (G to A) mutation were generated. The mutated promoters were inserted into pDK between EcoRI and BamHI sites. The resulting plasmids were integrated at the amyE locus in three B. subtilis strains (EU9001tms, EU9002tms-13C, and EU9004tms-35A, see Table 2) developed by Kenney and Moran.

Figure 7: A PCR based site-mutagenesis method. The arrows represent the primer used for PCR. " \* " indicates the mutation in the primer. R1 and R2 represent the unique restriction sites. The fragment to be mutated is inserted between restriction sites R1 and R2.



**II.6.4 Genomic sequencing.** The protocol for genomic sequencing was described as follows: The target DNA for sequencing was amplified through PCR by using chromosome DNA as the template and then purified through electroelution to get rid of primers and other non-specific DNA For each set of the sequencing reaction, four 0.5 ml fragments. microcentrifuge tubes (G, A, T, C) were labeled. Two microliter of the appropriate dNTP/ddNTP mix were added to each tube. Then, the sequencing reaction mix was prepared as follows: 500 fmol DNA (from PCR) was mixed with 3.0 pmol Primer and 0.5  $\mu$ l [ $\alpha$ --<sup>35</sup>S] dATP (> 1000Ci/mmol) and the final volume was adjusted to 16 µl with sterilized distilled water. One microliter of sequencing grade Taq DNA polymerase (5 u/µl) was added to the mixture. Four microliter of this enzyme/primer/template mix were added to the inside wall of each tube containing dNTP/ddNTP mix. One drop (approx. 20 µl) of mineral oil was added to each tube and mixed by briefly spin. The reaction tubes were put into a thermal cycler that has been preheated to 95°C. The conditions for sequencing depended on the length and GC content of a primer. If a primer is over 24 nucleotides or is a short primer with a GC content more than 50%, using the following condition: 95°C for 2 minutes, then 95°C for 30 seconds, 70°C for 0.5 minute. It need 30 cycles for the reaction. If a primer is less 24 bases or with a GC-content less than 50%, the following conditions should be adopted: 95°C for 2 minutes, then 95°C for 30 seconds, 42°C for 30 seconds and 70°C for 1 minutes. The total cycle number is 30. After the reaction, three microliter of sequencing stop solution were added to each tube. Sequencing samples can be stored at -20°C. The reactions were heated at 70°C for 2 minutes before loading to a sequencing gel.

**RNA isolation**. The method to purify the RNA from *B.subtilis* **II.6.5** was described by Li and Wong, (1992). Five milliliters of the cell culture were harvested by centrifugation (SS 34 rotor, 10,000 rpm for 5 minutes). The cell pellet was washed by the disruption buffer (30 mM Tris-HCl, pH 7.4, 100 mM NaCl, 5 mM EDTA) and resuspended in 0.5 ml of disruption buffer. 25  $\mu$ l of SDS (20%) and 2.5 µl of proteinase K (20 mg/ml in disruption buffer) were added. The cells were sonicated three times (10 seconds pulse interval, 50 watts output) with a Bronson sonicator equipped with a microtip. Another 2.5 µl of proteinase K (20 mg/ml) was added immediately after sonication. The sample was kept at 37°C for 1 hr and extracted twice by phenol/chloroform (1:1). RNA in the sample was precipitated by adding 50  $\mu$ l of 3M sodium acetate (pH 4.8) and 2 volumes 95% ethanol. After drying by vacuum, the precipitated nucleic acid was dissolved in 300 µl of 20 mM Tris-HCl, pH 7.5, 10 mM CaCl<sub>2</sub>, 100 µg/ml RNA free DNAase. The digested sample was incubated 1 hr at 37°C and extracted 3 times by phenol/chloroform (1:1) and precipitated by ethanol. This methods generates **DNA-free RNA preparations.** 

**II.6.6** Determination of the half life of the mRNA for groE and groE-bgaB. The groE mRNA was detected by primer extension and Northern blot described by Li and Wong (1992). The primer used for primer extension is GROEPE (Table 4) which has the sequence complementary to the groES coding sequence from position +81 to +99 (Li and Wong, 1992), +1 corresponds to the transcription start site of groE. For Northern blot hybridization, two DNA probes were used. One was specific to groES with

the sequence covering nucleotides +20 to +326 while the other was specific to groEL with sequence covering nucleotides +617 to +1640. These probes were generated through PCR amplification. The probes were radiolabeled with [ $\alpha$ -<sup>32</sup>P]-dATP by using the random primer labeling kit (Bethesda Research Laboratories) with the condition specified by the manufacturer. To determine the half life of groE-bgaB transcripts by primer extension, a bgaB specific primer (BgaBPE) with the sequence complementary to nucleotides 475 to 492 of the reported bgaB sequence was designed. The band intensity in the autoradiograms was quantified with an LKB Ultrascan XL enhanced laser densitometer.

## **II.7** Chemical Mutagenesis

*B. subtilis* WBG2 was inoculated into L-broth (25 ml) and cultured at 37°C. When the cell density reached 0.8  $OD_{600}$ , the cells were collected and washed once with SC (0.15 M NaCl, 0.01 M Sodium Citrate, pH 7.0). The cell pellet was then resuspended in 10 ml of SC with the addition of N-methyl-N'-nitro-N-nitrosoguanidine (NTG) at a final concentration of 100 µg/ml. After culturing at 37°C for 30 minutes. The cell pellet was resuspended in 1 ml L-broth and kept at 37°C for an hour. The culture was collected and resuspended in 10 ml of SC. Cells were diluted and plated on TBAB agar medium (with X-gal 30 µg/ml, chloramphenicol al 5 µg/ml) and kept at 37°C for overnight.

### **II.8** Cellular protein manipulation

*B. subtilis* and *E. coli* cells were lyzed by sonication for three times (10 seconds pulse interval, 50 watts output) in SET buffer (20% surcose, 50 mM

Tris-HCl, 50 mM EDTA, pH 7.6). Cell debris was removed by centrifugation at 12,000 g for 5 minutes. The supernatant was kept at 4°C. Protein concentration was determined by the Bradford method with the coomassie brilliant blue reagant from Bio-Rad Laboratories. Recommended procedure for the protein assay was followed.

#### **II.9 Band shift assays**

Crude extracts were prepared from E. coli BL21 (DE3) carrying either pET29 b $\Delta$ (XB) or pET-ORF39. Cells were lyzed by sonication in the breakage buffer (10 mM Tris-HCl, pH 8.0, 10 mM MgCl<sub>2</sub>, 0.1 mM EDTA, 1% glycerol, 1 Cell debris and insoluble proteins were removed by mM DTT). centrifugation. Supernatant was saved for the mobility shift assay. The DNA probe was a 70-bp fragment containing the B. subtilis groE regulatory This fragment was generated by PCR sequence from -22 to +34. amplification and end-labeled by T4 DNA kinase with  $\gamma$ -[<sup>32</sup>P]-ATP. The band shift assay was performed under following conditions. The total volume of the assay was 30 µl. The assay mixture contained 10 mM Tris-HCl, pH 7.8, 10 mM MgCl<sub>2</sub>, 100 mM NaCl, 2mM DTT and 10% glycerol, 1 µg sonicated salmon sperm DNA. 0.2 pmol of the DNA probe and 15  $\mu$ g of total proteins from the crude extract were added to the mixture for the assay. The sample was kept at 25°C for 15 minutes and loaded to an 8% polyacrylamide gel, which was then run at 180 Volt in 0.5X TBE buffer. After runing, the gel was dried and autoradiographed.

### II.10 Determination of the specific activity of BgaB

The method was described by Hirata *et al.*, (1985). The cells were lyzed by sonication in Z buffer (60 mM Na<sub>2</sub>HPO<sub>4</sub>, 40 mM NaH<sub>2</sub>PO<sub>4</sub>, 10 mM KCl, 1 mM MgSO<sub>4</sub> • 7H<sub>2</sub>O, 50mM mercaptoethanol). Cell debris was removed by centrifugation at 10,000 X g for 5 minutes. The cell extract was diluted with Z buffer to 0.8 ml, which contains 50 to 100 microgram protein. Two hundred microliter of ONPG (o-nitrophenyl-ß-D-galactoside, 10 mg/ml in Z buffer) was added. The reaction was kept at 55°C for 2 to 10 minutes. The absorbance at 420 nm was determined. One unit of BgaB activity is defined as the hydrolysis of 1 nmol of substrate per min. The specific activity of BgaB is expressed as units per µg cellular protein.

# III. Development of a Vector to Study the Expression of Heat Shock Genes in *B. subtilis*

#### **III.1 Introduction**

Gene expression in certain cases is difficult to study since the gene product is not easily measured quantitatively. For these cases, a reporter gene is applied to monitor the gene expression. The most commonly used reporter gene is *lacZ*. The gene product of *lacZ*,  $\beta$ -galactosidase, can be assayed easily and accurately. However,  $\beta$ -galactosidase was not a suitable reporter to monitor the expression of the heat inducible genes in *B. subtilis* due to the loss of activity under the heat shock condition (Zuber & Schumann, 1994). Therefore, it is important to have a suitable reporter similar to  $\beta$ -galactosidase to study the gene expression under heat shock conditions. In this study, a  $\beta$ galactosidase gene, *bgaB*, was selected as a reporter gene to monitor the expression of *groE* in *B. subtilis*.

# III.2 Construction of pDL and pDK to study the gene expression under heat shock and non-heat shock conditions

 Figure 8: Features of the integration vector pDL and pDK. Unique restriction enzyme sites in pDL and pDK were indicated in the figure. bgaB, amyE, cat and bla are structure genes for  $\beta$ -galactosidase,  $\alpha$ -amylase, chloramphenicol acetyl transferase, and  $\beta$ -lactamase, respectively. kan is kanamycin resistant marker.

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in primer sequences). The presence of translational stop codons in all three reading frames in front of bgaB will prevent the formation of any potential translational fusions. The primer BTBB corresponding to the 3' end of bgaBcontains a SstI site. The amplified promoterless bgaB (465 to 2506 of the reported sequence, Hirata et al., 1986) fragment was digested by SnaBI and SstI and inserted into the SnaBI-SstI digested pDH32M (Kraus et al., 1994, see Table 3). The resulting plasmid was designated pDL. pDK was the same as pDL except that the selection marker, cat, was replaced by kan (kanamycin resistant marker, which was generated through PCR with pUB18 as the template). Figure 8 shows the features of both plasmids, pDL and pDK. The pDL has several features: 1) A ColE origin allows the replication of the plasmid in E. coli; 2) A bla (The B-lactamase gene) selection marker for selecting the transformed E. coli cells; 3) a cat (chloramphenicol acetyl transferase gene) gene for the selection of B. subtilis transformants; 4) A promoterless bgaBserves as the reporter gene; 5) In front of bgaB, there is a polylinker with several unique restriction sites which allow the insertion of the promoter of interest; 6) Sequences from 5' and 3' ends of the amyE operon allow the integration of the transcription fusions to the amyE locus in B. subtilis; 7) Two unique restriction sites PstI and ScaI can be used to linearize pDL. The linearized plasmid can be integrated to the amyE locus through the double reciprocal recombination.

# III.3 Detection of the integration of pDL plasmid at the *amyE* locus inB. subtilis

After the pDL plasmid integrated to the amyE locus, amyE was disrupted. The cells with the integration can be easily detected by spotting the transformed cells on TBAB plates containing 0.1% starch. The  $\alpha$ -amylase activity can be monitored by adding an iodine solution to the plate. A halo surrounding the colonies indicates the production of an active  $\alpha$ -amylase. Integration of pDL should disrupt the  $\alpha$ -amylase gene. Consequently, no  $\alpha$ amylase activity would be expected (Figure 9).



Figure 9: Detection of integration of pDL at the amyE locus in *B. subtilis*. The cells were spotted on the starch containing agar plate. Iodine solution was added for detecting the integration. a: *B. subtilis* 168 with intact amyE operon. The halo formed around the colony. b: *B. subtilis* with the integration of pDL. The amyE operon was disrupted, and no halo could be observed.

# III.4 groE expression studied by using the promoter-probe plasmid, pDL

Figure 10 illustrates the process to use pDL to generate the transcriptional fusion with bgaB and integrate the pDL plasmids to the amyE locus. The DNA fragment covering the groE regulatory region (-238 to +36) including the inverted repeat sequence was inserted into pDL. The resulting plasmid was integrated at the amyE locus of B. subtilis 168 to generate strain WBG2. WBG1 is the control strain (B. subtilis 168 integrated with pDL). As shown in Table 5, the background BgaB specific activity in WBG1 is about 23 units per microgram protein. The low level of the constitutive expression of groE at 37°C was reflected by a 3.5-fold increase in BgaB specific activity in WBG2. Under the heat shock condition, an 8.5-fold increase in the BgaB specific activity in WBG2 was observed. This reflects the typical heat-induced expression of groE. These results indicate that the expression of groE can be monitored by detecting the BgaB activity from groE-bgaB.
Figure 10: Integration of the linearized pDL at the amyE locus of B. subtilis. Arrows indicate the direction of gene transcription. The rectangle with shadow indicates the inserted promoter fragment.

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Table 5: BagB specific activities from groE-bgaB (with CIRCE)transcription fusion

Strains	Constructions	BgaB act	BgaB activity <sup>b</sup>	
		37°C <sup>c</sup>	<b>48°C</b> <sup>C</sup>	ndliu
WBG1 amyE		amyE 23±4	25±9	
WBG2	PgroE IR	81±12	692±62	8.5

a Ratio is calculated as follows: BgaB activity from WBG2 under the heat shock condition (48°) to that from WBG2 under the non-heat shock condition (37°).

b Data presented represent the average of three experiments, BgaB units is defined as the hydrolysis of 1 nmol OPNG per minute. The BgaB activity represents the sepectific activity of BgaB (units/ $\mu$ g protein). All the assays were performed at 55°C.

<sup>c</sup> Cultivation temperature.

The vertical rectangle in WBG1 indicates the promoter insertion site.

#### **III.5** Discussion

Previous studies to use *E. coli*  $\beta$ -galactosidase (LacZ) as a reporter to monitor the heat-inducible expression of *dnaK* in *B. subtilis* was not successful (Zuber & Schumann, 1994). Under the heat shock condition, the cells carrying *dnaK-lacZ* showed little activity of  $\beta$ -galactosidase. The loss of activity for  $\beta$ galactosidase may be due to the degradation of  $\beta$ -galactosidase by the heatinducible proteinases. Recently, a *lonA* and *lonB* (encoding ATP-dependent proteases) null mutant of *B. subtilis* with the *groE-lacZ* transcriptional fusion at the *amyE* locus was shown to have a ten-fold higher  $\beta$ -galactosidase activity relative to that from the control (*groE-lacZ* in wild type *B. subtilis* strain) under the heat shock condition (Ye and Wong, unpublished result). This result supported the hypothesis that the heat inducible proteases could affect the stability of LacZ under the heat shock condition.

For the first time, a thermostable  $\beta$ -galactosidase, was introduced to monitor the groE expression in B. subtilis in this study. Our results showed that expression of groE can be monitored by determining the expression of bgaB under both the heat shock and non-heat shock conditions. This system provides a simple and accurate method to monitor gene expression under these conditions.

### IV. B. subtilis groE is Transcribed by the $\sigma^A$ Containing RNA Polymerase under both Heat Shock and non-Heat Shock Conditions

#### **IV.1 Introduction**

In this chapter, the role of  $\sigma^A$  in the expression of *groE* is studied. A set of specific *B. subtilis* strains was used in this study. The principle and detail strategy to demonstrate that the  $\sigma^A$  containing RNA polymerase can transcribe *groE* is described in Chapter I (I.1.3.3) and the following text.

## IV.2 A short synthetic DNA fragment carrying the putative *groE* promoter is transcriptionally active.

The  $\sigma^{A-1}$  like promoter of groE was initially mapped by primer extension with RNA prepared under heat shock and non-heat shock conditions (Li and Wong, 1992). The same transcription start site was observed under these conditions. To confirm this putative groE promoter is active, a DNA fragment, which only covered the minimum elements (-10 and -35 regions) of the  $\sigma^{A-1}$  like groE promoter (-44 to +2, +1 is the transcription start site), was synthesized. To confirm that this region indeed functions as a promoter, this DNA fragment was fused with the promoterless bgaB and integrated to *B. subtilis* 168 to generate WBG15. Compared to the control strain WBG1, expression of bgaBcan be observed under heat shock and non-heat shock conditions in WBG15 (Table 6). This indicated that this sequence indeed functioned as a promoter. The bgaB expression at 37°C was found to be 5-fold higher in another groE-bgaB fusion strain (WBG16) which carried a longer groE promoter (-112 to +2). Extending the upstream sequence of the groE promoter to -238 did not result in any significant increase of bgaB activity in WBG3. This result suggested that the sequence between -44 and -112 contained additional regulatory elements that could enhance the transcription efficiency of the *groE* promoter.

Strain	DNA inserted sequence from the <i>groE</i>	BgaB activity <sup>a</sup>	
		37°Cb	48°Cb
WBG1	none	23±4	25±9
WBG15	-44 to +2	204±21	562±54
WBG16	-112 to +2	1127±168	2108±240
WBG3	-238 to +2	974±120	1870±344

Table 6:BgaB activity in various groE-bgaB transcriptional fusions

a Data presented represent the average of three experiments.

<sup>b</sup> Cultivation temperature.

## IV.3 Effect of mutations in the -10 and -35 regions of the groE promoter.

From the above study, we demonstrated that the groE promoter was active under heat shock and non-heat shock conditions. The groE promoter contains a 5 out of 6-bp match with each hexameric consensus sequence found in the -10 and -35 regions of the  $\sigma^A$  - dependent promoters. The spacer between -10 and -35 regions is 17 bp in length (Figure 11). To confirm the Figure 11: The strategy to study the interaction between the groE promoter and  $\sigma^A$  (see text for details). Expression of the second *sigA* (wild type or mutated) was controlled by the IPTG induced promoter *Pspac. ble* is the phleomycin resistance marker. *kan* is kanamycin resistance marker. *cat* is chloramphenicol resistance marker. *bgaB* encodes the ß-galactosidase gene from *B. stearothermophilus. lacZ* encodes the ß-galactosidase gene from *E. coli*. *Ptms* is a  $\sigma^A$ -dependent promoter from *B. subtilis*. *PgroE* is the *groE* promoter (-238 to +2) from *B. subtilis*. The asterisk indicates either mutated or wild type *groE* promoter or *sigA*.



Add IPTG to induce the expression of mutated SigA gene. Then assay BgaB activity.

any significant increase of bgaB activity in WBG3. This result suggested that the sequence between -44 and -112 contained additional regulatory elements that could enhance the transcription efficiency of the groE promoter.

Strain	DNA inserted sequence from the <i>groE</i>	BgaB activity <sup>a</sup>	
		37°Cp	48°Cb
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Table 6:BgaB activity in various groE-bgaB transcriptional fusions

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## IV.3 Effect of mutations in the -10 and -35 regions of the groE promoter.

From the above study, we demonstrated that the *groE* promoter was active under heat shock and non-heat shock conditions. The *groE* promoter contains a 5 out of 6-bp match with each hexameric consensus sequence found in the -10 and -35 regions of the  $\sigma^A$  - dependent promoters. The spacer between -10 and -35 regions is 17 bp in length (Figure 11). To confirm the putative -10 and -35 region is important for the function of the groE promoter, we used site-directed mutagenesis to generate two single base-pair substitutions at the highly conserved -10 (-12T to C) and -35 (-33G to A) regions in the groE promoter, respectively (Figure 11). To determine the effects of these mutations on the groE promoter activity, the mutated promoters were fused with promoterless bgaB in pDK and integrated into three different of strains developed by Kenney et al., (Table 8). In these strains, a second copy of  $\sigma^A$  gene was integrated into chromosome. Transcription from the second sigA was directed by an IPTG inducible promoter, Pspac. The second  $\sigma^A$  gene encodes either the wild type  $\sigma^A$  or one of the mutated  $\sigma^A(Q$ -196-R or R-347-H). Q-196-R represents glutamine at position 196 was replaced by arginine and R-347-H is the replacement of arginine at position 347 by histidine. These cells also carry an integrated lacZ transcription fusion directed by either the wild type or mutated tms promoter (-13T $\rightarrow$ C or -34G $\rightarrow$ A, Figure 12). The *tms* promoter is a  $\sigma^{A}$ -dependent promoter from *B. subtilis* (Kenney and Moran, 1991). The activities of the tms promoter with mutations in the -10 region (-13T $\rightarrow$ C) and the -35 region (-34G $\rightarrow$ A) can be restored selectively by cells producing mutated SigA factors with the Q-196-R and R-347-H conversion, respectively. The presence of the tms-lacZ fusion in these strains allows the verification of the properties of these strains before integration of the groE-bgaB fusion to the chromosome. After integration, all nine strains carry two reporter genes, E. coli lacZ and B stearothermophilus bgaB. Since only BgaB is thermostable, it is possible to eliminate the activity of E. coli ß-galactosidase (LacZ) by a brief heat treatment when BgaB and LacZ exist in the same host. As shown in Table 7, the strain E9001tms-lacZ (carrying lacZ under the control of the B. subtilis tms promoter) can express E.

coli ß-galactosidase at a high level. *E. coli* ß-galactosidase from the crude extract of E9001tms-lacZ was inactivated after a heat treatment at 70°C for 15 minutes. However, WBG2 retained 90% of the BgaB activity assayed at 55°C after the heat treatment. BgaB shows weak activity at 37°C as the optimal assay temperature for this thermostable enzyme is 55°C. Thus, the activity contributed by BgaB can be determined, even in the presence of LacZ. As shown in Table 8, the cells (WtsigA) with the mutated *groE* promoters carrying either the -12T $\rightarrow$ C mutation or the -33G  $\rightarrow$  A mutation showed a 5 to 6 fold reduction in BgaB activity compared to that from the strain carrying the wild type *groE* promoter (P*groE*). These data demonstrated that nucleotides at positions -12 and -33 are important for *groE* expression.

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Strain	Assay temperature	Activity <sup>a</sup> non-heat treatment heat treatment	
WBG2 <sup>b</sup>	37°C	53±3.2	68±4.6
	55°C	1202±146	972±123
WtsigA tms <sup>c</sup>	37°C	4227±790	1.7±1.5
	55°C	5417±954	1.9±1.7
-			

Table 7. Heat stability of BgaB and E.coli  $\beta$ -galactosidase

<sup>a</sup>  $\beta$ -galactosidase specific activity for the cell crude extract with and without the 70°C pre-treatment for 15 minutes.

b WBG2 is B. subtilis 168 with the groE-bgaB fusion. The groE promoter

(-238 to +2) inserted does not carry the CIRCE sequence.

Strain	Promoter	Activity <sup>a</sup>		Induction
		Uninduced	Induced	ratio <sup>b</sup>
wtsigA	PgroE	980±149	960±214	1
	-12T→C	200±25	255±19	1.3
	-33G→A	157±24	185±40	1.2
Q-196-R	PgroE	861±42	765±65	1
	-12T→C	208±21	726±32	3.9
	-33G→A	63±8	32±3	0.6
R-347-H	PgroE	690±62	542±29	1
	-12T→C	132±9	84±10	0.8
	-33G→A	146±20	603±67.5	5.3

Table 8: Restoration of the mutated groE promoters by sigA mutants under the non-heat shock condition

<sup>a</sup> BgaB specific activity 60 minutes after induction with 1mM IPTG.
Data presented represent the average of the three experiments
<sup>b</sup> Induction ratio is calculated as follows: (mutant promoter +IPTG/-IPTG/wild-type promoter +IPTG/-IPTG)

# IV.4 Amino acid substitutions in $\sigma^A$ suppress the effects of mutation in the *groE* promoter

To confirm that the  $\sigma^{A}$  containing RNA polymerase is responsible for transcribing groE, the ability of various sigA mutants to restore the promoter activity from the mutated groE promoters in an allele-specific manner was studied at 37°C and 48°C. As shown in Tables 8 and 9, no change in the level of expression of the wild-type (PgroE) or mutant groE promoters was detected upon induction of the wild-type sigA gene. In contrast, induction of the sigA gene with the Q-196-R mutation resulted in a 3 to 4 fold increasing in the activity from the groE promoter with a mutation at -12 (T $\rightarrow$ C) . With the induction of R-347-H allele of sig, a 3-5 fold increase of BgaB activity from the groE promoter with a mutation at -33 (G  $\rightarrow$  A) was also observed. The induction of the sigA allele with the Q-196-R mutation cannot restore the T to C mutation at position -12 of the groE promoter. sigA mutant (R-347-H) also failed to suppress the G to A mutation at position -33 of the groE promoter. These data illustrate the high selectivity of the sigA alleles in restoring the promoter mutants with specific mutations. Since the mutated  $\sigma^A$  factors can compete with the wild-type  $\sigma^A$  to bind to the core RNA polymerase, this may provide an explaination for the observed slight reduction of the BgaB activity from the strain with the wild type groE promoter upon induction.

Strain	Promoter	omoter Activity <sup>a</sup>		Induction	
		Uninduced	Induced	ratio <sup>b</sup>	
wtsigA	PgroE	1254±141	1685±277	1	
	-12T→C	288±63	486±53	1.2	
	-33G→A	213±50	286±54	1	
Q-196-R	PgroE	1654±249	1336±113	1	
	-12T→C	453±115	1073±232	2.9	
	-33G→A	133±31	94±18	0.6	
R-347-H	PgroE	1048±102	742±107	1	
	-12T→C	227±72	212±59	1.3	
	-33G→A	477±94	1207±249	3.6	

Table 9:	Restoration of mutated the groE	promoters by sigA	mutants under
the heat	shock condition		

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 <sup>&</sup>lt;sup>a</sup> BgaB specific activity 60 minutes after induction with 1mM IPTG. Data presented represent the average of three experiments
<sup>b</sup> Induction ratio is calculated as follows: (mutant promoter +IPTG/-IPTG/wild-type promoter +IPTG/-IPTG)

#### **IV.5** Discussion

Chang *et al.* reported that: 1) *groE* promoter can be transcribed by the  $\sigma^A$  containing RNA polymerase *in vitro*. 2) Heat induction of the *groE* gene *in vivo* was much lower in a temperature sensitive *sigA* mutant than that in the wild type *sigA* strain (Chang *et al.*, 1994). These findings suggest that  $\sigma^A$  plays a vital role in expressing *groE*. However, certain complications from these findings cannot be ignored. 1) It is possible for the existence of trace amounts of minor  $\sigma$  factor in the RNA polymerase preparation used for the *in vitro* transcription. The RNA polymerase associated with the minor  $\sigma$  factor may be the one that actually initiates the transcription from the *groE* promoter; 2) Expression of *groE* may be regulated by a possible positive regulatory factor that is transcribed by the  $\sigma^A$  containing RNA polymerase; 3) The ts *sigA* mutant showed pleiotropic effects on gene expression (e.g. an abrupt production of two proteins, alkyl hydroperoxide reductase and glucose starvation-inducible protein B, which are not normally expressed at such a high level under heat stress).

In this study, different approaches were used to determine whether the *groE* promoter is recognized by  $\sigma^A$ . Our results have confirmed that: 1) The short 46-bp putative *groE* promoter fragment is functional *in vivo*; 2) Mutations in the putative -35 and -10 regions of the *groE* promoter severely reduced the function of the *groE* promoter in *B. subtilis*. 3) These mutations in the *groE* promoter can be suppressed in an allele-specific manner by the appropriate *sigA* mutants at both 37°C and 48°C. These findings clearly demonstrate that the  $\sigma^A$  containing RNA polymerase is directly responsible for the transcription of *groE* that under these conditions.

We also found a positive regulatory element located upstream of the -35 sequence which could enhance the transcription of the groE promoter. A third recognition element in many E. coli promoters was found to interact with the  $\alpha$ subunit in the E. coli RNA polymerase (Ross et al., 1993). These elements are not conserved in sequence but they are AT rich. This element can increase the transcription efficiency. It is usually located between -40 and -60 region. This element is called "upstream activation sequence" (UAS). In B. subtilis, the promoter of flagellin gene can be activated over 20-fold by a UAS sequence. The  $\alpha$  subunit of the *B. subtilis* RNA polymerase can interact with this upstream activation sequence (Fredrick et al., 1995). Alignment of 236 promoters recognized by the *B. subtilis*  $\sigma^A$  containing RNA polymerase revealed that weakly conserved AT rich regions are presented upstream of the -35 region (Helmann, 1995). These data suggested that the sequence upstream of the -35 region can enhance the transcription. In the B. subtilis groE promoter, sequence between -40 to -56 is highly AT rich (Figure 5). Therefore, this region may function as the upstream activation sequence (UAS) to further stimulate the expression of groE.

Since  $\sigma^A$  is the major  $\sigma$  factor that is produced constitutively in the cell and its production level does not increase further under heat shock (Chang *et al.*, 1994), it is of interest to determine what is the induction mechanism of *groE* at elevated temperatures. An inverted repeat sequence was found downstream of the *groE* promoter. This element and  $\sigma^A$  factor may regulate the expression of *groE* and *dnaK*. Chapter V summaries the study of the functional roles of the IR sequence in the *groE* regulatory region.

### V Regulatory Functions of the Inverted Repeat Sequence (CIRCE) in the *B. subtilis groE*

### **V.1 Introduction**

In this chapter, the functional role of CIRCE has been investigated. Point mutations in CIRCE of the dnaK operon resulted in the expression of dnaK at high levels (Zuber and Shumann, 1994). This suggests that CIRCE functions as a negative element. However, these point mutations may not be able to completely abolish the negative effects of CIRCE. It would be difficult to tell whether other regulatory elements or factors exist to regulate the expression of groE. In order to determine the functional role of CIRCE, CIRCE was deleted from the regulatory region of groE. The expression of groE-bgaB transcription fusion with the deletion of CIRCE was studied and compared with the expression of groE-bgaB transcription fusion. Our deletion results showed that CIRCE negatively regulated the groE expression (Table 10). As a negative element, CIRCE may exert its regulatory effect at either the RNA level or the DNA level (Figure 12). Since CIRCE is located downstream of the groEtranscription start site, the groE mRNA should carry CIRCE at the 5' end. At the RNA level, two models are proposed: 1) CIRCE could be a target for some heat-sensitive ribonucleases. Under the non-heat shock condition, the groEtranscripts are degraded by the putative ribonuclease. Following the temperature upshift, either the ribonuclease is inactivated or the recognition site of the ribonuclease cannot form. This leads to the increase of the half life of groE transcript. Consequently, the amount of groE mRNA and GroE proteins would increase; 2) CIRCE can be a transcription terminator. Under the non-heat shock condition, transcription of groE by the  $\sigma^A$  containing RNA Figure 12: Models for the function of the inverted repeat sequence (CIRCE) in regulating groE and dnaK expression. 1. Regulation at the RNA level as a recognition site for ribonucleases or as a transcription terminator. 2. Regulation at the DNA level as a repressor binding site. The arrows indicate the IR sequence (CIRCE) in groE.

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a. The ribonuclease model



### 2. DNA level

### non-heat shock condition





polymerase will be terminated. This terminator structure may be disrupted at high temperatures. The  $\sigma^A$  containing RNA polymerase can pass through this region. The expression of *groE* was then increased. For the DNA model, a repressor can bind to CIRCE. Transcription of *groE* was shut off by the repressor under the non-heat shock condition. When the cells are cultured at high temperatures, the putative repressor can be inactivated by either heat denaturation, chemical modifications, or proteolytic degradation. Then, the  $\sigma^A$ containing RNA polymerase can initiate the transcription of *groE*.

The ribonuclease model can be examined by determining the half life of the groE transcripts under heat shock and non-heat shock conditions. If this model is correct, the half life of the groE transcripts is expected to increase under the heat shock condition. The model of transcription terminator can be examined by the insertion of a small DNA fragment between the transcription start site and CIRCE. If this model is right, no matter where CIRCE locates the expression of groE will not be affected. For the operator model, the short distance between the operator and promoter is usually important for the repressor to shut off the gene expression efficiently. This model can also be examined by the insertion of the short DNA fragment between the transcription start site and CIRCE. The repressor may not be able to efficiently abolish transcription with the insertion of a long sequence between the groE promoter and CIRCE. With these approaches, we should be able to obtain vital information to see how CIRCE exerts its negative effects.

## V.2 CIRCE is a negative regulatory element controlling the expression of *groE*

To determine the functional roles of CIRCE, the groE promoter (-238 to +2) without the inverted repeat sequence was inserted into pDL. The resulting plasmid was integrated at the amyE locus of B. subtilis 168 to generate WBG3. The BgaB specific activity was determined under both heat shock and non-heat shock conditions. As shown in Table 10, at the non-heat shock condition (37°C), deletion of CIRCE resulted in the constitutive expression of groE-bgaB. Compared to WBG2, a 12-fold increase in BgaB specific activity was observed. These data indicated that CIRCE functioned as a negative regulatory element in groE expression under the non-heat shock condition.

## V.3 Introduction of a second copy of the modified *groE* gene at the *amyE* locus

To investigate whether the presence of CIRCE affects the stability of the groE transcripts, WBG8 was generated. WBG8 carries another copy of the modified groE operon in which the regulatory region, CIRCE, was deleted. This groE gene was integrated at the amyE locus. Figure 13a illustrates the process to create WBG8. Since we have hard time to generate the integration plasmid pDL-groE ( $\Delta$ IR) in *E. coli*, the ligation mixture containing the *Bam*HI and *SstI* digested pDL16 (pDL with the groE promoter from -115 to +2) and the promoterless groE fragment digested with the same restriction enzymes was transformed directly to *B. subtilis*. The strain with the proper insert integrated at the amyE locus was detected by the loss of the AmyE activity and confirmed by PCR. Figure 13b shows the PCR results using the WBG8 chromosomal DNA as the template. The primers used for PCR are illustrated

in the Figure 14a and their sequences are listed in Table 4. The first PCR reaction was performed by using a pair of primers from the groE operon, one was GROEPE that was complementary to the groE sequence from +99 to +81, the other one was GROEP that corresponds the groE sequence from -115 to -95. +1 represents the transcription start site of groE. Two bands were observed by this PCR amplification, one band was expected to be 214 bp (Figure 13b, band b in lane 1) from the wild type groE, the other band was expected to be 198 bp from the integrated groE with the deletion of the IR sequence (Figure 13b, band c in lane 1). This result confirmed that there were two copies of groE in the WBG8 chromosomal DNA. The second PCR reaction was performed by using the GROEPE primer (+98 to +81) and the pH1 primer which was 75 bp upstream of the inserted groE promoter and located in cat. A 290-bp fragment was amplified. This result indicated that the second copy of groE was in the pDL plasmid integrated at the amyE locus (Figure 13b, lane 2). Since, it was demonstrated that CIRCE (the inverted repeat sequence) functioned as a negative element in the expression of groE. Deletion of the inverted repeat sequence (CIRCE) in groE operon should result in the constitutive expression of groE under the non-heat-shock condition. As shown in Figure 14, a protein was found to give higher levels of expression in WBG8. The size of this protein is identical to that of GroEL. This result confirmed again that CIRCE was a negative element for groE expression. WBG8 is used to study the effect of IR on the stability of the groE transcript.

	Constructions	BgaB activity <sup>b</sup> R		atin <sup>a</sup>
Strains	Constructions	37°C <sup>C</sup>	48°C <sup>°</sup> 3	7°C
WBG1 amy	E - CAT B bgaB - amyE	23±4	25±9	0.3
WBG2	PgroE IR	81±12	692±62	1
WBG3	PgroE	974±120	1870±344	12.0
WBG4	PgroE 5bp IR	310±52	1095±94	3.8
WBG5	PgroE 9bp IR	660±38	1708±73	8.1
WBG6	PgroE 17bp IR	1002±102	2080±240	12.3
WBG7	PgroE 21bp IR	983±56	2130±248	12.1
WBG17	Pxyl	729±49	790±71	-

Table 10: Specific activities of BgaB from various groE-bgaB transcription fusions.

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<sup>a</sup> Ratio is calculated as follows: specific activity (37°C) of BgaB from WBG1 toWBG7/ specific activity of BgaB from WBG2. The 5' end of the inserted promoters in WBG2 to WBG7 is at position -238.

b Data presented represent the average of three experiments.

<sup>c</sup> Cultivation conditions.

Figure 13: a. Construction of WBG8. WBG8 carries two copies of the groE operon. The Lower one is wild-type gene at the groE locus, the upper one is the modified groE gene without carrying CIRCE. The modified groE locates at the amyE locus. b. PCR confirmation of the integration of the  $groE(\Delta IR)$  on the chromosome. Lane 1: PCR using primer GROEP and GROEPE, the upper band is from the wild type groE gene (band b) with the 27 bp inverted repeat sequence, the lower band is modified groE with IR deleted (band c). Lane 2: PCR product using GROEPE and upstream pDL primer, pH1 (band a). This band is specific for the modified  $groE[groE(\Delta IR)]$ .



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Figure. 14 : SDS-PAGE gel profile of the cellular proteins in WBG8. Lane 1 is the control strain WBG2, which has pDL2 integrated at the amyE locus. Lane 2 is the sample from WBG8. An overproduced protein band with the molecular mass of 66 kDa is indicated. This overproduced protein is expected to be GroEL.

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### V.4 Half-lives of *groE* mRNA under heat-shock and non-heat shock conditions

Half lives of the *groE* transcripts were determined by northern blot hybridization (Figure 15a and b) and primer extension (Figure 15c), the half-life of the *groE* transcript was about 5 minutes when the cells were cultured at  $37^{\circ}$ C or  $48^{\circ}$ C (Figure 15a, 15b and 15c, Table 11). Figure 16 is decay curve of the *groE* mRNA by using the result shown in Figure 15c. The plots of other data are not shown here. Similar results were observed for the *groE-bgaB* transcript from WBG2, the half-lives of *groE-bgaB* mRNA were about the same (3.6 and 2.8 min.) at  $37^{\circ}$ C and  $48^{\circ}$ C (Figure 15d, Table 11). Therefore, mRNA stability is not a key factor for the rapid increase of the *groE* mRNA level during the early phase of the heat shock response.

### V.5 The functional role of CIRCE on the stability of the groE transcript

Although mRNA stability is not responsible for the increase of groE mRNA under heat-shock condition, it is still interesting to know whether CIRCE affects the stability of the groE mRNA. To address this issue, the halflife of the groE transcript from WBG8 was determined. In WBG8, there are two different groE transcripts. The longer one corresponds to the transcript from the wild type groE and the shorter one is from the modified groE in which CIRCE was deleted. When WBG8 was cultured at 37°C, the half life of the groE mRNA (without CIRCE) was 17 minutes (Figure 17a, Table 11), which increased about 3 times in comparison with that of the wild-type groE mRNA. The groE mRNA without CIRCE (shorter one) showed a half life of 7.5 minutes at 48°C (Figure 17a, Table 11). This is comparable to that (5 minutes) of the wild-type groE mRNA under the same condition. The same experiment was performed in WBG2, which carried the groE-bgaB fusions without the CIRCE sequence. The groE-bgaB fusion transcripts without CIRCE showed the halflife of 20 minutes (Figure 17b), which increased about 6 times more than that of groE-bgaB (with the IR) at 37°C. (Figure 17b, Table 11). These results indicated that the presence of CIRCE at 5' end of mRNA could reduce the halflife of the transcript under the non-heat-shock condition. Figure 15: Half life of *groE* mRNA determined by Northern blot hybridization with RNA isolated from *B. subtilis* 168 probed with the *groES* probe (a) and the *groEL* probe (b). c) Half life of the *groE* mRNA determined by primer extension. d) Half life of the *groE-bgaB* transcript determined by primer extension.

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Figure 16. Rate of the *groE* mRNA decay under heat shock (diamond) and nonheat shock (square) conditions by using the data from Figure 15c.

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Figure 17: Half life of  $groE(\Delta IR)$  mRNA (with the deletion of CIRCE) (a) and  $groE(\Delta IR)$ -bgaB mRNA (with the deletion of CIRCE) (b) determined by primer extension. Transcript 1 is from the native groE. Transcript 2 comes from  $groE(\Delta IR)$ .

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		<b>N</b> E (1 - 1	Half life of mRNA (min)	
Strain	mRNA	Methods	37°C 48°	
B. subtilis 168	groE	Primer Extension	5.5	5.0
B. subtilis 168	groE	Northern blot (groES) <sup>a</sup>	5.8	5.0
B. subtilis 168	groE	Northern blot (groEL) <sup>b</sup>	5.0	5.5
WBG8	gro $E$ ( $\Delta$ IR)	Primer Extension	17.0	7.5
WBG2	groE-(+IR)-bgaB	Primer Extension	3.6	2.8
WBG4	groE-(5 bp+IR)-bgaB	Primer Extension	3.4	2.8
WBG7	groE-(21bp+IR)-bgaB	Primer Extension	5.2	4.2
WBG3	$groE$ -( $\Delta$ IR)- $bgaB$	Primer Extension	20.5	7.2

Table 11. mRNA stability of groE and its derivatives under heat shock and non-heat shock conditions.

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a Using groES as the probe.

b Using groEL as the probe.

## V.6 Effects of varying the distance between the transcription start site and CIRCE on *groE* expression

To further understand how CIRCE exerts its negative role in controlling groE expression, the distance between the groE transcription start site and CIRCE was increased by inserting DNA fragment of 5 to 21 bp. Table 12 shows the inserted sequences between the groE transcription start site and CIRCE. These groE promoter derivatives were inserted into the EcoRI and BamHI digested pDL to generate pDL4 to PDL7. These pDL plasmids were integrated to the chromosome in B. subtilis 168. The resulting strains were designated WBG4, WBG5, WBG6, and WBG7. The BgaB activity was gradually increased as the distance between the groE transcription start site and CIRCE increased. Increase of the distance up to 17 bp can totally abolish the negative effects of CIRCE in regulating the groE expression at 37°C (Table 10). This increase is not due to changes in the transcription start site or the changes in the stability of groE-bgaB transcripts, since the primer extension results showed that the same transcription start site was used and the half lives of groE-bgaB with 5 and 21 bp inserts were similar to that of groE-bgaB (WBG2) at both 37°C and 48°C (Figure 18a and 18b, Table 11). Thus, the short distance between CIRCE and transcription start site is essential to CIRCE to exert its negative regulatory effects.

plasmid	insertion	Sequence	
pDL2	0	* <b>V</b> TIGIGTI	
pDL4	5	TTGAGATCTGTT	
, pDL5	9.	TTGAGATCGATCTGTT	
pDL6	17	TTGAGATCCTGATCAGGATCTGTT	
pDL7	21	TTGAGATCCTGATCGATCAGGATCTGTT	

 Table 12: Sequence inserted between the groE transcription start start

and the inverted repeat sequence

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An asterisk indicates the groE transcription start site and the insertion site is marked by an arrow. Sequences inserted are shown in italic

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Figure 18. Half life of the groE(+5 bp)-bgaB mRNA (a) and the groE (+21bp)bgaB mRNA (b) determined by primer extension. pDL6, which containing a 17-bp insert between the groE transcription start site and CIRCE was used as the sequence ladder in (b). Thus the transcripts of groE (+21 bp) shows a 4bp upshift relative to the transcription start site "T".

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## V.7 Other regulatory mechanisms may mediate the expression of groEunder the heat shock condition

In the case of WBG3, WBG6 and WBG7, the negative role of CIRCE was abolished by deletion of CIRCE or by insertion of short sequences between CIRCE and transcription start site. However, a 2-fold increase in BgaB specific activity was observed in WBG3, WBG6 and WBG7 following the shift of the culture temperature from  $37^{\circ}$ C to  $48^{\circ}$ C (Table 10). This enhancement was *groE* specific. No increase in BgaB activity could be detected under the same condition by using the *B. subtilis xyl* (xylose operon) promoter as the control (WBG17, Table 10). Therefore, some other regulatory mechanisms may exist to increase the expression of *groE* under heat shock.

### V.8 Discussion

V.8.1 CIRCE is a negative regulatory element for groE expression. Deletion of the entire CIRCE resulted in a high level expression of groE-bgaB constitutively. It clearly demonstrated that CIRCE was a negative element controlling the groE expression.

V.8.2 The stability of the groE mRNA is not changed under both heat shock and non-heat shock conditions. For the ribonuclease model, either the specific ribonuclease that recognized CIRCE sequence was heatinactivated or the secondary structure of CIRCE which serves as the target site was changed at high temperatures. The groE mRNA of groE becomes more stable under heat shock. Our data (the mRNA half lives) did not support this model, since the half lives of the groE transcript show no changes under both heat-shock and non-heat shock conditions. The groE mRNA has a short half life (3 to 5 minutes) under both heat shock and non-heat shock conditions. Our results are also consistent with the transcriptional analysis of the two groE genes in S. colicolor A3 (Duchene et al., 1994). The conserved CIRCE sequence can be found in the two groE genes in this bacterium. The stability of the groE mRNA in S. colicolor A3 was reported to have no drastic changes under heat shock and non-heat shock conditions.

V.8.3 The presence of CIRCE can destabilize the groE transcripts under the non-heat shock condition. Although the stability of the *groE* transcript is not important for the heat induction of *groE*, our result indicated that deletion of CIRCE can prolong the half life of the *groE* transcripts at 37°C (Figure 17a, Table 11, WBG8). This suggests that CIRCE may serve as a mechanism to ensure the rapid turnover of the *groE* transcripts in *B. subtilis* under non-heat shock conditions. This mechanism may allow fine-tuning of the GroE level in the cell.

V.8.4 CIRCE does not functions as a transcription terminator. CIRCE (IR) could be a transcription terminator. At low temperature, the transcription of groE would be terminated, and at high temperature, this effect would be abolished. The transcription of groE was then increased. If this assumption is right, insertion of short sequences between the transcription start site and CIRCE should not affect the terminator function of CIRCE, on the condition that the inserted sequences show no homology to CIRCE sequence. Our results also did not support this hypothesis, since the insertion of 5, 9, 17, 21 bp DNA fragment gradually abolished the negative regulatory function of CIRCE (Table 10). Other pieces of evidence also do not support this hypothesis: 1) Similar amount of transcripts were observed when groEwas used as the template for *in vitro* transcription at 37°C and 48°C (Chang *et al.*, 1994); 2) CIRCE can be found in the groE operon in a thermophilic bacterium, *B. stearothermophilus* which grows at 55°C (Schumann, 1993). In this case, *B. stearothermophilus* groE is heat-inducible when the cultivated temperature is elevated to a higher temperature. At 55°C, CIRCE is unlikely to form any stable secondary structure.

CIRCE may be a binding site for a putative repressor. Our V.8.5 data (Table 12) favor the operator model for CIRCE to exert its negative regulatory effects. At low temperature, a repressor binds to the CIRCE sequence. Following the temperature upshift, the repressor is inactivated directly by heat or indirectly by proteolytic degradation or chemical modifications. The groE transcription was increased due to derepression. In order to exert its function efficiently, the binding site for repressor usually overlaps with the promoter or is located closely to the promoter (Lanzer and Bujard, 1988). The further away is the binding site, the less is the repression effect. This is well demonstrated in E. coli through systematic changes of the distance between the operator and the promoter (Morita et al., 1988). Other pieces of evidence are also consistent with the operator model which are listed as follows: 1) CIECE can be located upstream of the transcription start site in the groE operon of S. albus and the dnaJ operon of L. lactis (Guglielmi et al., 1993; Van Asseldonk et al., 1993); 2) By using the crude extracts and the partial purified fractions from S. coelicolor A3, the mobility of the CIRCE sequence from the *groE* operon of this strain was retarded (Duchene *et al.*, 1994).

Existence of other positive factors in regulating the groE V.8.6 **expression.** Our data also suggest that positive factor(s) may be involved in regulating the groE expression under the heat shock condition. This was supported by the fact that the activities of BgaB were consistently increased about 2 times in WBG3, WBG6, WBG7, WBG15, WBG16 (Table 6 and Table 10). In these strains, the negative effect from CIRCE was abolished either by deletion of the entire CIRCE or by increasing the distance between CIRCE and the transcription start site. This increase may be due to the modification of  $\sigma^A$ to increase its affinity to the promoter or by some other positive factors that can enhance the transcription efficiency of groE. In WBG15, the groE-bgaB contains only the 46-bp groE promoter, it is unlikely to have other cis-acting sequence in this region for other regulating factors. However, the presence of the positive regulatory factor was also supported by the isolation of a B. subtilis mutant that shows a low level of groE induction under the heat shock condition. This mutation was mapped between *leu* and *phe* locus that is not in the groE locus on the chromosome (Miller et al, 1993). It is possible that this factor may increase the activity of the  $\sigma^A$  containing RNA polymerase to the groE promoter.

V.8.7 mRNA processing was not observed in the groE transcript of B. subtilis. Although our study shows that the stability of groE mRNA does not change under heat shock and non-heat-shock conditions, it is still possible that RNA processing can be a mechanism in regulating the expression of groEL and groES. In A. tumefaciens, a heat-shock dependent processing of groE transcript was observed (Segal and Ron, 1995). It generates a groES transcript with short half life and a groEL transcript with long half life. This processing is mediated by an inverted repeat which shows no homology with CIRCE. RNA processing is suggested to serve as a mechanism to maintain the ratio of GroES and GroEL *in vivo*. In the case of *B. subtilis*, our Northern blot analysis indicated that this phenomenon did not exist in *B. subtilis* (Figure 15a and 15b).

In conclusion, 1) CIRCE may serve as a repressor binding site; 2) The presence of CIRCE can destabilize the *groE* transcripts at 37°C; 3) Positive regulatory factor(s) may exist which can enhance the expression of the *groE* transcription.

## VI Isolation and characterization of the *B. subtilis groE* regulatory mutants: evidence for *orf39* at the *dnaK* locus encoding the repressor controlling the expression of *dnaK* and *groE*

## **VI.1 Introduction**

Our previous results (Chapter IV & V) demonstrate that the  $\sigma^A$  RNA polymerase transcribes the *B. subtilis groE* under both the heat shock and non-heat shock conditions. CIRCE acts at the DNA level to negatively regulate the expression of *groE* and is likely to function as an operator. If this is the case, a repressor should exist in the cell to interact with CIRCE and reduce the transcription of *groE* under non-heat shock conditions. Since the *dnaK* operon also contains a similar CIRCE sequence, this repressor is likely to regulate the expression of both *groE* and *dnaK*. To determine the existence of such a repressor gene in *B. subtilis*, the regulatory mutants which exhibit constitutive expression of both *groE* and *dnaK* at high levels under the non-heat shock condition should be isolated.

Figure 19 illustrates the strategy to isolate regulatory mutants for groEand dnaK. B. subtilis WBG2 was mutagenized by N-methy-N'-nitro-Nnitrosoguanidine and plated on X-gal containing plate. Since the expression of bgaB was regulated by the groE promoter with the downstream of the IR sequence (CIRCE), the expression of bgaB is at low levels under the non-heat shock condition (37°C). WBG2 colonies were white in color on X-gal containing TBAB plate at 37°C. These colonies will turn blue at 48°C. If the putative repressor was completely inactivated by the NTG mutagenesis, bgaB in WBG2 should be expressed at a high level under normal culture conditions Figure 19: Isolation of groE regulatory mutants. NTG is N-methy-N'-nitro-Nnitrosoguanidine. PgroE is the promoter of groE. bgaB is the ß-galactosidase gene from *B. stearothermophilus*. IR is the inverted repeat sequence (CIRCE) downstream of the groE promoter. The open circle is the active repressor. The closed circle represents the inactivated repressor. The asterisk indicates the mutation in the repressor gene.



formation of blue colonies at 37°C

(37°C). Colonies of the regulatory mutants should show dark blue color. The expression of groE and dnaK should be at high levels as well.

In this study, we have isolated the regulatory mutants. We also found that the first gene in the dnaK operon, orf39, could restore the phenotype of these mutants back to normal. Furthermore, the nature of the mutations in these mutants was determined and the binding of ORF39 to CIRCE sequence in *groE* was demonstrated.

#### VI.2 Isolation of the groE regulatroy mutants

WBG2 was mutagenized with N-methy-N'-nitro-N-nitrosoguanidine. The cells were plated on the X-gal containing TBAB plate and incubated at  $37^{\circ}$ C. Seventeen dark blue colonies were observed from a total of about  $5\times10^{5}$  NTG-treated WBG2. Many colonies with a frequency of 2 per 1,000 plated cells also showed light blue color. Seven (WBG101 to WBG107) of the 17 dark blue colonies were selected for further characterization.

### VI.3 Characterization of the groE regulatory mutants

Figure 20a shows the expected features of the *groE* regulatory mutants. At least three genes dnaK, groE and bgaB were regulated by CIRCE in WBG2. Inactivation of the repressor should result in the constitutive expression of groE, dnaK and bgaB under the non-heat shock condition (37°C). WBG3 was used as the control strain, which is identical to WBG2 except CIRCE was deleted from the groE-bgaB transcription fusion. Figure 20b shows the phenotype of WBG3, bgaB is expressed constitutively at a high level under both non-heat shock and heat shock conditions, whereas the expression of groE Figure 20: a) The expected phenotype of the *groE* regulatory mutants. b) The phenotype of the control strain, WBG3. c) SDS-PAGE of the total proteins from the regulatory mutants. The gel was stained with coomassie blue. Lane1: the control strain WBG2, Lanes 2 to 4: mutants WBG101 to WBG103; Lane 5: the control strain WBG3; Lanes 6 to 9: mutants WBG104 to WBG107.



b





Figure 21:. Western blot analysis of cellular proteins from different groE regulatory mutants. a) with DnaK specific antiserum; b) with BgaB specific antiserum. c) with GroEL specific antiserum. Lane 1: *B. subtilis* WBG2, the control strain; Lanes 2 to 4 mutants WBG101 to WBG103; Lane 5: the control strain WBG3; Lanes 6 to 9: mutants WBG104 to WBG107

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and *dnaK* is at low levels under the non-heat shock conditions. The phenotype of the mutants was studied by culturing the mutants at 37°C. The cells were collected and lyzed by sonication. The protein profile of mutants was analyzed by SDS-PAGE and Western blot with DnaK, BgaB, and GroEL specific antibodies. Relative to WBG2, three overproduced protein bands were found in The 70-kDa and 66-kDa protein were N these mutants (Figure 20c). correspondent to DnaK and GroEL, respectively. The 69-kDa protein showed exactly the same position as BgaB in WBG3. Western blot results indicated that they were indeed DnaK, BgaB and GroEL respectively (Figure 21a, b, c). There are two possibilities to overproduce DnaK, BgaB and GroE. (i) Mutation is in the putative repressor gene, and (ii) Mutations are in the CIRCE sequences of groE-bgaB, dnaK and groE. To eliminate the second possibility, one of the mutants, WBG101, was used for genomic sequencing. No mutations could be found in the groE promoter and the CIRCE sequence in groE-bgaB locus. Therefore, WBG101 was postulated to carry mutation(s) in the putative regulatory repressor gene.

## VI.4 orf39 in the dnaK operon can restore the groE regulatory mutants to normal

Recent study by Schultz *et al.* suggests that either *orf39* or *grpE* can be the negative factor to regulate the expression of *groE*. Disruption of the entire *dnaK* operon, which includes four genes *orf39*, *grpE*, *dnaK* and *dnaJ*, increases the level of the *groE* mRNA at 37°C. Inactivation of both *dnaK* and *dnaJ* does not affect the expression of *groE* (Schultz *et al.*, 1995). To investigate whether *orf39* is the regulatory gene controlling *groE* and *dnaK* expression, a 1.1-kb DNA fragment containing *orf39* (nucleotides 221 to 1319 of the reported

sequence, Wetzstein et al., 1992) was amplified through PCR by using the B. subtilis chromosome DNA as templates. This promoterless orf39 was inserted into the vector pUB-P43. Expression of orf39 was controlled by the constitutively expressed promoter P43 (Wang & Doi, 1987). The resulting plasmid was designated pUB-P43ORF39. When the pUB-P43ORF39 was introduced to the seven mutants (WBG101 to WBG107) by transformation, white colonies on X-gal containing TBAB plate resulted. The protein profile from one of the mutants WBG101 carrying the pUB-P43ORF39 was analyzed by SDS polyacrylamide gel. Figure 22 shows the SDS-PAGE analysis by using the crude extract from cells cultivated under heat shock and non-heat shock conditions. At 37°C, overproduction of DnaK, GroEL and BgaB could be observed only in WBG101 carrying pUB-P43 (Lane 2), low level expression of these genes was observed in WBG101 carrying pUB-P43ORF39 (Lane 4). WBG2 carrying pUB-P43 (Lane 1) and pUB-P43ORF39 (Lane 3) express groE, dnaK and bgaB at low levels at 37°C. Expression of these genes (dnaK, groE and bgaB) at high levels could be observed for all of these strains under the heat shock condition (Lanes 5 to 8). These results suggest that expression of the functional orf39 from the plasmid can restore the groE and dnaKregulatory mutants to the wild-type phenotype. Co-transformation of orf39 containing DNA fragment and pUB-18 to the mutants can restore these mutants back to normal too.

Figure 22: SDS polyacrylamide gel analysis of cellular proteins from WBG101 transformed with pUB-P43ORF39. Lanes 1 to 4: WBG2 with pUB-P43, WBG101 with pUB-P43, WBG2 with pUB-P43ORF39, and WBG101 with pUB-P43ORF39 at 37°C. Lanes 5 to 8: the same order as lanes 1 to 4 except the cell were cultivated at 48°C. a) DnaK. b) BgaB. c) GroEL



#### VI.5 Sequencing analysis of the mutations in WBG101 to WBG107

To determine whether there is any mutation(s) in orf39 in these mutants, genomic sequencing was performed. As shown in Table 13, three groups of mutants were found based on the location of the mutations in orf39. Therefore, mutation in orf39 resulted in the constitutive expression of groE-bgaB, groE, and dnaK. Sequences of ORF39 homologs from other microorganisms are reported and aligned. Two of the amino acid residues changed, Gly63-Glu and Glv307 $\rightarrow$ Asp, were found to locate in the highly conserved region in ORF39 (Figure 23). Besides the single mutation found in the reading frame of orf39 in each mutant, there are two discrepancies in the orf39 sequence relative to the sequence reported previously in the seven mutants (Weztstein et al, 1992). An extra G should be added between nucleotides 112-113 (GenBank accession number M84964) and a T at nucleotide 148 should be deleted. Both nucleotides were located upstream of the *dnaK* promoter. Genomic sequencing of this region in B. subtilis 168 also exhibited the same sequences as observed in these mutants at these two locations. Therefore, discrepancies at these locations were not considered as mutations.

Mutant	Location of the mutation <sup>a</sup>	Base substitution	Amino acid replacement
Group 1 (WBG101, WBG104, WBG105)	1161	$GGC \rightarrow GAC$	$\text{Gly-307} \rightarrow \text{Asp-307}$
Group 2 (WBG102, WBG106, WBG107)	606	$\mathrm{TCC}\to\mathrm{TTC}$	Ser-122 $\rightarrow$ Phe-122
Group 3 (WBG103)	429	$GGA \rightarrow GAA$	Gly-63 → Glu-63

Table 13. Mutations in orf39 in the groE regulatory mutants (WBG101 to WBG107)

<sup>a</sup> Location is numbered according to the reported DNA sequence (Wetzstein *et al*, 1992)

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Figure 23: Sequence alignment of *B. subtilis* ORF39 with ORF39 homologs from other eubacteria. Identical and conserved residues are marked with an asterisk and a dot, respectively. Sequences in the highly conserved regions are shown in bold. Amino acid residues that were replaced in the mutants are shown in italic. BS: *B. subtilis*; CA: *Clostridium acetobutylicum*; SA: *Strphylococcus aureus*; LL: *Lactococcus lactis* and CT: *Chlamydia trachomatis* 

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0223656	MARANTERST	37
07.23255		27
URE SYCA	ME-MICHAELEN MICHAELEN MICHAE	20
ORF37SA	MIIIRQLSILMALVEDYVDFGQPVGSKTLIEREMLMV	37
CRF39LL	MITIRQRQILXLIVSLYAKDHTPIGSKSLLDSIQA	35
ORF45CT	MENRIENSQLRASHKDSHISYVLLMATKLYLESGQPVGSKLLKETYCSDL	50
	* * *.*.	
0223985	SSATIRTADLEELGFIEKTHSSSGRVPSEKGYRYYVDHLLSPUKLTKS	87
0223903	SSACTATION OF THE SACTOR SACTOR SACTOR AND THE SACTOR SACTOR AND THE SACTOR AND T	82
OFFSSCA		07
07237SA	SPATING AND ADDINI TEXTHOSOGRAPSQUORATIVARULE SEQAT	07
ORF39LL	SSATIFICMRALERLGLIQKEHTSSGRIPSVSGYRYPVENVIQLEEFSQN	85
ORF45CT	SSATINNYAQLETDGFLRRMHISGGRIPTDLAFRYYADHNAPFLEQE	98
	*.**** * * * *	
ORF39BS	DLDQIHS-IFKEKIFELEKTVQKSAQILSDLTNYTSIVLGPKLSENYL	134
ORF39CA	EEM_IYAKIIDSALYEIDKLVKQAMSLVSEMTKLTCVVKSLSARKSYI	136
0773752	NKLERINCLIVENOYDVSSALTYFADELSNISOYTTLVVHPNHKODII	135
0373911	DI STOLEY FOODEY - BI SOLEKTALY SUSELTOIN SEVI NA 202000L	132
		1/9
ORF45CT	ETDY MULTIPELSKNIAUDESKEPINEDIEGELACISEKLISDEA	140
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orf39es	KQIQIIFIQPDMAVAILVTNTGHVENKTINFPTKMDLSDIEKLVNILNDR	184
ORF39CA	KSISLINIEPNMILCVFITDSGMIKNSIIRVKSNIENSSLERIANILNSK	186
ORF37SA	NNVHLIPANPNLVIMVIVFSSGHVEHVHLASDIPFSNDKLNTISNFVINK	185
022301.1.	VSFFARCENHSVLSVITLGTGEVRTNOFILPKSMTEADLAVFSMLVKER	182
0224500	TNTCT TTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTT	198
052 2001	*	
00000	LOUDETNETTERTANC POLIXICONTLOLIROFT-LECOVERY.	232
08233555		222
ORF39CA		233
ORF37SA	LTEFNQNLQDDIVSFVQSEQEEIFINKLINTMANAISKQSNSI	228
ORF39LL	LVGKX/IDIHYTLRTEIPQIVQRYFKVTSEVLQLFESIFDDLFKEHL	229
ORF45CT	LRKCPSDSLLSQKEEDLGMVLYNEVVVRYLTRYCHFSEEDL	239
	*	
0223635	FFGGNINMINCPEFHDITRVRSLLSLIEKEQDVLKLVQSPHTGISIKI	280
0222000	WECOVIEW PERDIEKSKET, SVID-DBRILOTI, ENASGOVIVNI	280
OR JECA	INCOMENTAL INFORMATION OF THE ADDRESS IN A THIRT	276 -
OK-37SA		276
ORF39LL	TVAGE2. FOYAT-DNLAE_YKEFSDDERMLELIKEITNNDEARAVKF	2/0
ORF45CT	YQTGLSPLLKYETFKDPETLAQGLAFFENRKHMCQLLNTYLHKETPTAFI	289
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	·	
ORF39BS	GKENDYEEMENCSLITASYSVDQKQIGSIAIIGPTRMYSRVVSLLQ	327
03=39CA	GNENSIKEARDFSVVSSVYKYNGRPLGTIGIIGPTRIPYSKVIKVIM	327
0222703	CNETRDSLSDISIVESOYHEDETLKGOIAVIGPTAMHYONVIOLLN	322
0223734	DNDTUTWXNT TTISCKEWT DYRCECTUTWWCRVEWDYCE" SVT.D	321
0833911		220
ORF45C'I'	GRELIEVGWIDPSCAVITEPTIVDRIPGGIEGVEGEMEETQQVPGIES	200
	••••	
		212
ORF393S		242
ORF39CA	EVVDÇINMIDK-MNNSNSNS	242
ORF37SA	RI	325
ORF39LL	LVAKVLTMELSD-YYRYLDGNHYEISK	347
ORF45CT	LFTERLEVILTQSFYKFKLSFRRPCPTDPRCSQRPAELTRSSSIKLLPAK	389
ORF39ES	343	
ORF39CA	343	
ORF375A	325	
000000000	317	
0821500		

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## VI.6 ORF39 can exert its negative function in E. coli

Our studies demonstrated that ORF39 was a negative regulatory factor for groE and dnaK expression. However, how this ORF39 exerts its negative role in regulating the groE and dnaK expression is still not clear. It is still possible that expression of groE and dnaK is mediated by several regulatory factors and ORF39 may be just one of them. Since expression of heat shock genes in E. coli is not regulated by the CIRCE mediated mechanism, CIRCE specific repressor should not exist in this host. In order to study the function of orf39, a binary plasmid system in E. coli was established as shown in Figure 24. The first plasmid was pBS-P43ORF39 that is a high copy number plasmid and contains orf39 driven by the P43 promoter. The second plasmid was pK-GRO(+), which is a low copy number plasmid with the p15a replicon. This pK-GRO(+) carried the bgaB operon under the control of the groE promoter with CIRCE. If ORF39 functions as a repressor, the bgaB expression should be shut off by overexpressing orf39 from the high copy number plasmid in the same host. WGE106 serves as a control. This strain carries plasmids pKGRO(+) and pBM-MTL (pBS with a fragment of mannitol dehydrogenase operon). Constitutive expression of bgaB was observed in WGE106 (Table 14). When the pBS-ORF39 was introduced into the E. coli DH5 $\alpha$  strain containing pK-GRO(+) (pK184 with bgaB controlled by PgroE with CIRCE), the BgaB activity is reduced (135 units, WGE103) at 37°C relative to that of the control strain (1904 units, WGE106). This indicates that the expression of orf39 can reduce the expression of bgaB controlled by the groE promoter with CIRCE. This reduction of bgaB activity is CIRCE specific, since the expression of orf39 cannot shut off the expression of bgaB controlled by the groE promoter without CIRCE (Table 14, WGE102). WGE101 and WGE104 were the negitive control Figure 24: A binary plasmid system to study the function of orf39 in *E. coli*. IR is the inverted repeat sequence (CIRCE). PgroE is the groE promoter (-238 to +2). P43 is a SigA dependent promoter in *B. subtilis. orf39* is the first gene in dnaK operon in *B. subtilis. bgaB* is the galactosidase gene from *B. stearothermophilus*.

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strains, which contained pK-BGAB (pK184 with promoterless *bgaB* insert) with pBS-ORF39 or pBS-MTL. Regardless of the conditions, they showed low BgaB activities. It is interesting to find that the BgaB activity in WGE103 increased 5.4 fold when the cell was cultured at 48°C (WGE103). This observation suggested that ORF39 could be heat-inactivated either directly (e.g. temperature sensitive repressor) or indirectly (degraded by heat-inducible protease or inactivated through chemical modification).

Strain	Plasmid 1	Plasmid 2	BgaB activity <sup>a</sup>	
			37°Cb	48°Cb
WGE 101	pBS-ORF39	pK-BGAB	$7.9 \pm 2$	$7.5 \pm 1$
WGE 102	pBS-ORF39	pK-GRO(-)	$2041 \pm 151$	$1884 \pm 112$
WGE 103	pBS-ORF39	pK-GRO(+)	$135 \pm 10$	$728 \pm 26$
WGE 104	pBS-MTL	pK-BGAB	$3.1 \pm 1$	$4.2\pm0.3$
WGE 105	pBS-MTL	pK-GRO(-)	$1862\pm182$	$1992\pm63$
WGE 106	pBS-MTL	pK-GRO(+)	1904 ± 178	$2006 \pm 172$

Table 14. A binary plasmid system to study the regulation of groE-bgaB expression by ORF39 in *E. coli* 

<sup>a</sup> Data presented represent the average of the three independent experiments. <sup>b</sup> Cultivation temperature.

#### VI.7 Gel mobility shift assay

Based on the above study, it is suggested that ORF39 could be a CIRCE specific repressor. In order to support this hypothesis, crude cell extracts were prepared from both the control strain [E. coli BL23 carrying pET29b( $\Delta XB$ )] and the ORF39 production strain [E. coli BL23 carrying pET-ORF39] for the band shift assay. Although ORF39 was produced at a high level, the majority of this protein was insoluble. However, there was a low percentage of ORF39 in the soluble form. The CIRCE-containing DNA probe was retarded by the cell extract containing ORF39 (Lane 3) (Figure 25). This retardation is CIRCE specific, since prebinding the cell extract with cold IR containing DNA probe can eliminate the gel shift (Lane 4), and prebinding with the sonicated salmon DNA does not significantly affect the interaction of the extract with the labeled CIRCE DNA probe (Lane 5). No band shift was observed when the extract was prepared from the strain that does not produce ORF39 (Lane 2). We conclude from these studies that ORF39 is the repressor for groE and dnaKexpression. ORF39 exerts its function by binding to the inverted repeat sequences (CIRCE) located downstream of the groE and dnaK transcription start sites.

Figure 25: Gel retardation study of the CIRCE probe with crude extracts prepared from various *E. coli* strains. The strong band at the bottom of the gel is the free probe. The protein-probe complex is marked by an arrow. Lane 1: the labeled CIRCE probe alone. Lane 2: Crude extract from the control strain *E. coli* (DE3) [pET-29b $\Delta$ (XB)] was added. Lane 3: Extract from *E. coli* BL21(DE3)[pET-ORF39] was added. Lane 4: Same as lane 3 except 2 pmole of the unlabeled-IR probe was added for prebinding. Lane 5: Same as lane 3 except 4 µg of sonicated salmon sperm DNA was added for prebinding.



# VI.8 Growth of WBG101 and WBG2 cultivated under different temperatures

Since ORF39 is the negative regulatory factor controlling the expression of the groE and dnaK, inactivation of ORF39 resulted in the constitutive expression of at least groE, dnaK, dnaJ, grpE. The proteins encoded by these genes are GroEL, GroES, DnaK, DnaJ and GrpE. These proteins are known to function as molecular chaperones. It would be interesting to know whether the orf39 mutant (WBG101) can survive better than the wild type Bacillus subtilis strain under heat shock conditions. Figure 26 shows the growth curve for WBG101 and WBG2 under different culture temperatures. At 37°C and 48°C, both the mutant (WBG101) and the wild type strain (WBG2) could grow well in the same manner (Figure 26a, b). If both cell strains were cultured at 48°C for 30 minutes, then moved to 52°C which is a lethal temperature for B. subtilis, they both grew well. The mutant clearly grew better than the wild type strain (Figure 26c). If WBG2 is cultivated at 52°C directly, the cells cannot grow at all (Figure 26d). However, with a seven hours lag, WBG101 can grow (Figure 26d). This result indicates that the mutant can survive better when the cells are cultured under the lethal temperature.

Figure 26: Growth of both the control strain (WBG2) and the mutant strain (WBG101) under various temperatures. W and M represents WBG2 and WBG101, respectively.

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### VI.9 Discussion

The preliminary screening was based on the color of colony. WBG3, was used as a positive control. WBG3 has the same genetic background as WBG2 except that CIRCE in the groE-bgaB fusion was deleted. The putative repressor cannot shut off the expression of the groE-bgaB fusion. Therefore, bgaB is constitutively expressed at a high level at 37°C, and the colony of WBG3 is dark blue in color. If the mutants generated from WBG2 carried the mutations in the repressor gene, inactivation of the repressor should allow the expression of groE-bgaB to the same level as that in WBG3. By selecting the colony with a similar color intensity that observed in WBG3, it is possible to identify the potential groE regulatory mutants. Since groE and dnaK were controlled by the same regulatory element (CIRCE), mutants carrying the defective repressor gene should overproduce DnaK and GroE simultaneously at 37°C. This type of mutants should show a high level expression of bgaB as well. In addition, genomic sequencing of regulatory regions in groE-bgaB or groE and dnaK should be carried out to eliminate the possible mutations existed in CIRCE and the promoter of all three operons.

During the process of screening, many light blue colonies were observed. None of them showed a simultaneous overproduction of all three proteins (GroE, DnaK and BgaB). Several reasons may explain this observation :1) Mutations in either the *B. subtilis* endogenous  $\beta$ -galactosidase gene which expressed at a low level or its regulatory gene encoding a repressor (Errington and Vogt, 1990); 2) Partial inactivation of the repressor of *groE* and *dnaK*; 3) Mutations in the CIRCE sequence of the *groE-bgaB* fusion. In these cases, the mutations may weakly reduce the negative function of CIRCE; 4) Improper folding of proteins caused by chemical mutagenesis, which lead to an elevated expression of *groE* and *dnaK* and *groE-bgaB*.

NTG (N-methy-N'-nitro-N-nitrosoguanidine) was used to generate the groE regulatory mutant in this study. Seven mutants were isolated out of about  $5 \times 10^5$  cells. each of the seven mutants carries a single mutation in orf39. The size of orf39 is 1.029 kb, and the size of B. subtilis genome is about 4,000 kb. If each cell in 500,000 cells treated with NTG carried a single mutation, the expected number of mutants that have mutations in orf39 is about 125. Since not every mutation in orf39 can generate defective ORF39, only those mutants carrying mutations affecting the critical amino acid residues in ORF39 will show dark blue colony. We have aligned the sequence of ORF39 homologs. Two regions are highly conserved and these regions covered about 100 bp. Therefore, the number of mutants show dark blue colony should be around 12. Because, NTG prefers to generate mutations at G or C and the GC content of B. subtilis is about 40%, the expected number of mutants should be less than 10. Thus, seven mutants, the number of the orf39 mutants obtained is in close agreement with the theoratical calculation. The dark blue colony may also come from the mutations in the CIRCE sequence in groEbgaB. There are 27-bp nucleotides in CIRCE and only 18 nucleotides involved in the formation of the stem structure are importmant. Therefore, less one mutant carrying mutations in CIRCE is generated out of 500,000 cells treated with NTG. Of the seven mutants, three mutants have the same mutation (606C to T) and three mutants have another identical mutation (1161G to A). The possible reasons to generate the same mutation in these mutants are as follows: 1) These mutants are from a single parental mutant which propagated twice during NTG mutagensis; 2) This mutated nucleotide is critical to the function of ORF39.

Isolation of these mutants (WBG101 to WBG107) indicates that a common negative regulatory factor controls the expression of both groE and dnaK in *B. subtilis*. The fact that orf39 on a multiple copy plasmid can restore all seven mutants to normal and the existance of mutations in orf39 in these mutants suggest that ORF39 should be the negative regulatory factor controlling the expression of groE and dnaK. Our results from the binary plasmid system in *E. coli* and the gel mobility shift assay also provide strong evidence to support the idea that ORF39 acts directly on the groE promoter by binding specifically to the CIRCE sequence.

Since the conserved CIRCE sequences can be found in many bacteria, it is interesting to know whether or/39 homologs exists in these organisms. By search the known sequences in GenBank, four microorganisms including *Clostridium acetobutylicum*, *Staphylococcus aureus*, *Lactococcus lactis* and *Chlamydia trachomatis* with CIRCE sequences in their *dnaK* operons were found to carry a homologous orf39 gene. Alignment of the amino acid sequences of these ORF39 homologs shows two highly conserved regions (Figure 23). These conserved regions are located at positions 9-77 (the Nterminal region) and positions 307-322 (the C-terminal region), respectively. The change of the highly conserved Gly-307 to Asp in the group 1 mutants and Gly-63 to Glu in the group 3 mutants resulted in the inactivation of ORF39. It suggests that these residues may play a critical role in the repressor function.

Inactivation of orf39 results in the constitutive expression of groE and dnaK operon. Since these genes code for molecular chaperones which can help the cells survive the stress conditions. Our results show that WBG101 with

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mutations in orf39 can survive at  $52^{\circ}$ C, which is a lethal culture condition to the wild-type strain. Overproduction of these molecular chaperons indeed can help the cells survive better under harsh conditions. Over 60 proteins can be induced under the heat shock condition in *B. subtilis*. Therefore, besides GroEL, GroES, DnaK, DnaJ and GrpE, other heat shock proteins are also required to help the cells survive under the harsh conditions.

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# **VII Concluding Remarks and Perspectives**

# VII.1 Regulation of the groE and dnaK expression in B. subtilis

In this thesis, the regulatory mechanism of groE was investigated extensively. On the basis of our results, a working model for the regulation of groE and dnaK is proposed as follows: The  $\sigma^A$  containing RNA polymerase transcribes groE and dnaK operons under both heat shock and non-heat shock conditions. Under the non-heat shock condition, groE and dnaK are expressed at low levels due to two mechanisms mediated by CIRCE. 1) The transcription of groE and dnaK was repressed through ORF39 by binding to CIRCE (the IR sequence): 2) The presence of CIRCE at the 5' end of the transcript can increase the degradation rate of the groE mRNA. The autoregulation of orf39 allows cells to maintain the basal level of GroEL, GroES, GrpE, DnaK and DnaJ. These proteins are required to carry out the normal physiological functions at this temperature. *groE* is shown to be essential for cell growth in both B. subtilis and E. coli. Following the temperature upshift, ORF39 is inactivated by either heat denaturation, proteolytic degradation or chemical modifications, groE and dnaK can then be transcribed by the  $\sigma^A$  containing RNA polymerase. The increased transcription results in elevating the level of GroEL, GroES, GrpE, DnaK and DnaJ. Higher levels of these molecular chaperones can protect the nascent polypeptides from improper folding, allow refolding of denatured proteins and help degrading certain denatured proteins so that the cells can survive better under the heat shock condition.

Since CIRCE-like sequences can be found in *groE* and *dnaK* operons in 28 different bacteria, the regulatory mechanism deduced here can potentially be applied to these organisms.

#### VII.2 Perspectives

VII.2.1 Possible mechanisms for the inactivation of ORF39. As described in the model, ORF39 will lose its repressive function under the heat shock condition. However, whether it is inactivated by either heat denaturation, chemical modification (such as methylation) or proteolytic degradation is still unclear. To address this question, the purified ORF39 can be applied to study the ORF39-CIRCE interaction under non-heat shock (37°C) and heat shock conditions (48°C). If the repressor can be inactivated by heat denaturation, no retardation of the CIRCE containing DNA fragment would be expected. Otherwise, other mechanisms may involve in the inactivation of ORF39. Furthermore, *in vitro* transcription can also be performed by using the  $\sigma^{A}$  containing RNA polymerase in the presence or absence of ORF39, if the repressor were inactivated by heat denaturation, more transcripts would be observed under the heat shock condition.

VII.2.2 Regulation of orf39. It is particularly interesting to study how the expression of orf39 is regulated. Since orf39 is the first gene in the dnaK operon, expression of orf39 is subject to autoregulation. Thus, the expression of orf39 should also increase under the heat shock condition. In such a case, it is hard to explain why the cells would like to make more ORF39 which is useless under the heat shock condition. Two possible explanations can be provided: 1) ORF39 may have a dual functional roles. At low temperatures, it serves as a repressor. At high temperatures, it may have other physiological roles (e.g. molecular chaperone); 2) Some other mechanisms may control the expression of orf39. The ORF39 level may not actually increase. To address these questions, it is important to determine the expression of orf39 at both the transcriptional and translational levels under different conditions. At present, limited results were obtained. They tend to support the second assumption. 1) The transcript of orf39 does not increase as high as the transcripts of other genes (dnaK, dnaJ and grpE) in the same operon under heat shock condition (Zuber and Schumann, 1995). 2) Under the heat shock condition, the level of ORF39 is not increased as analyzed by SDS-PAGE. Further confirmation is needed by Western blot.

Several studies can be performed to investigate the regulation mechanism for orf39 expression. 1) Stability of the orf39 mRNA may regulate the expression of orf39. 2) It is important to determine whether the transcript of the dnaK operon is processed. This mechanism was observed in the regulation of the groE expression in A. tumefaciens, which can reduce the expression of groES by generate an unstable groES transcript. 3) ORF39 may be very unstable due to proteolytic degradation. The half life of ORF39 should be determined in different protease deficient strains to investigate which proteolytic pathway may determine the stability of ORF39. 4) As in the case of sigma 32, molecular chaperones may mediate the stability of ORF39. This can be confirmed by studying the half life of ORF39 in different null mutants carrying defective genes for dnaK and groE.

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