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Title: Cortical excitability after pediatric mild traumatic brain injury

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Keywords: mild traumatic brain injury; concussion; pediatrics; transcranial magnetic stimulation; cortical silent period; long interval intracortical inhibition

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Abstract: Introduction: Mild traumatic brain injury (mTBI) outcomes are variable, and 10-15% may suffer from prolonged symptoms beyond 3 months that impair the child's return to normal activities. Neurophysiological mechanisms of mTBI are incompletely understood, particularly in children, but alterations in cortical excitability have been proposed to underlie post-concussion syndrome. Improved understanding is required to advance interventions and improve outcomes.

Objective/Hypothesis: To determine if cortical excitability is altered in children with mTBI, and its association with clinical symptoms.

Methods: This was a cross-sectional controlled cohort study. School-aged children (8-18 years) with mTBI were compared to healthy controls. Cortical excitability was measured using multiple TMS paradigms in children with (symptomatic) and without (recovered) persistent symptoms one-month post-injury. Primary outcome was the cortical silent period (cSP), a potential neurophysiological biomarker of GABAergic inhibition. Secondary outcomes included additional TMS neurophysiology, safety and tolerability. Associations between neurophysiology parameters and clinical symptoms were evaluated.

Results: Fifty-three children with mTBI (55% male; mean age 14.1 SD: 2.4 years; 35 symptomatic and 27 asymptomatic participants) and 28 controls (46% male; mean age 14.3 SD: 3.1 years) were enrolled. cSP duration was similar between groups ( $F(2, 73)=0.55, p=0.582$ ). Log10 Long interval intracortical inhibition (LICI) was reduced in symptomatic participants compared to healthy controls ( $F(2, 59)=3.83, p=0.027$ ). Procedures were well tolerated with no serious adverse events.

Conclusions: TMS measures of cortical excitability are altered at one month in children with mTBI. Long interval cortical inhibition is decreased in children who remain symptomatic at one month post-injury.

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34 **Keywords:** Transcranial magnetic stimulus, mild traumatic brain injury, pediatrics, cortical silent  
35 period, long interval intracortical inhibition

36

## 37 Introduction

38 Mild traumatic brain injury (mTBI) is a significant public health concern as it is both common,  
39 occurring in 350-799 per 100,000 per year(1–4), and 11-31% of children mTBIs have symptoms  
40 which last longer than 1 month: defined as post-concussion syndrome (PCS)(5,6). PCS is a  
41 constellation of physical, emotional, and cognitive symptoms following mTBI(7) that  
42 significantly impacts the quality of life of the child and family(8). The mechanisms underlying  
43 the pathophysiology of PCS are poorly understood(9–11), which significantly impedes the  
44 development of better diagnostic tools and treatments.

45

46 Traumatic brain injury results in dysregulated neurotransmitter release, altered receptor  
47 expression, and injury to interneurons and microcircuits, potentially leading to disruption in the  
48 functional balance between cortical excitation and inhibition. This is supported by both murine  
49 models of TBI(12,13), and adult human research(14–17). Initially, TBI results in an uncontrolled  
50 glutamate release and a disruption of ionic balance across neuronal membranes, the extent of  
51 which is dependent on the severity of the injury(18,19). Subsequent alterations in receptor  
52 expression occur, such as early changes in n-methyl-d-aspartate (NMDA) receptor subunit  
53 composition (20) and later shifts in  $\gamma$ -aminobutyric acid (GABA) subtype receptor subunits  
54 ratios(21,22).

55

56 Cortical excitation and inhibition can be interrogated *in vivo* in humans using transcranial  
57 magnetic stimulation (TMS)(23,24). Using TMS methodologies, cortical inhibition has been  
58 found to be increased both acutely(25) and chronically in adult athletes recovering from mTBI

59 (e.g., increased cortical silent period (cSP)(26,27) and long interval intracortical inhibition  
60 (LICI)(27,28)). Whether such alterations in cortical inhibition occur in children, who have  
61 shorter cSP(29), different physiological responses to injury, and different recovery  
62 profiles(30,31), is unknown. Nor is it known how these physiological changes relate to clinical  
63 symptoms.

64

65 We explored cortical excitability following mTBI in children and its relationship with clinical  
66 symptoms to better understand mechanisms of symptom persistence and the variability in  
67 subject recovery. Specifically, we asked whether children with early versus late recovery  
68 differed in their neurophysiological parameters of cortical excitation and inhibition when  
69 compared to healthy controls of similar age and sex.

70

## 71 **Methods**

72 This prospective controlled cohort study was performed as part of PLAY GAME, a randomized  
73 controlled trial of melatonin for the treatment of PCS following childhood mTBI(32)

74 (<https://clinicaltrials.gov/ct2/show/NCT01874847>). This study was approved by the University

75 of Calgary Conjoint Health Research Ethics Board (REB13-0372).

76

### 77 Participants:

78 Children and adolescents (ages 8 to 18 years) presenting to the Alberta Children's Hospital with  
79 an mTBI were eligible. Mild TBI was defined as an impact to the head or body with a Glasgow  
80 Coma Score of 13-15 resulting in at least one of the following: an observed loss of

81 consciousness less than 30 minutes, or at least one acute symptom suggesting neurological  
82 dysfunction attributable to the injury (e.g., headache, confusion, vomiting, amnesia, balance  
83 problems)(8,33). Concussion was considered part of the mTBI spectrum(34). Exclusion criteria  
84 were: suspected child abuse; alcohol or drug use at the time of injury; inability to complete  
85 questionnaires; significant past medical or psychiatric history requiring medication;  
86 contraindications to TMS(35); previous mTBI within 3 months or failure to recover from a  
87 previous mTBI; and/or use of neuroactive drugs. Untreated Attention Deficit Disorders (ADHD)  
88 or mild learning disorders were not excluded. Typically developing children (ages 8 to 18 years)  
89 were eligible if they satisfied exclusion criteria and had no history of TBI (healthy controls).

90

91 Children with mTBI were identified from a tertiary care pediatric Emergency Department  
92 (n=761) and eligible children with mTBI were contacted by telephone at 4 weeks post-injury  
93 (n=294). The recruitment process is shown in Figure 1. Parental consent and participant assent  
94 were obtained. The Post-Concussion Symptom Inventory (PCSI) was used to document  
95 symptoms. Participants who had clinically recovered were selected to be similar in age and sex  
96 to the symptomatic group. Controls were recruited from friends or siblings of the mTBI  
97 participants. Outcome was assessed at 4-6 weeks post-injury before enrolment into the  
98 treatment trial.

99

#### 100 **Figure 1: Participant recruitment flow**

101 A flow chart of the recruitment of participants through each step in screening and final samples.

102 Analysed participants are those whose thresholds permitted at least one TMS paradigm to be performed

103 Clinical Outcome measures

104 Post-concussion symptom inventory: This age-appropriate, standardized questionnaire provides  
105 ratings for 26 symptoms (Guttman scale: 0 to 6) and an overall rating of post-concussive  
106 symptoms(5,36). It has 4 factor derived-domains: somatic, cognitive, affective, and sleep.  
107 Participants were asked to retrospectively report pre-injury symptoms at enrolment (baseline),  
108 and were considered *symptomatic* if they had an increase of two in two or more symptoms  
109 compared to baseline and a score greater than 0 to “Have you felt different from before your  
110 injury?” (score: 0 to 4) (5,32), or *recovered* if there was no increase in symptoms and a score of  
111 0 to the “feeling different” question.

112

113 CNS Vital Signs: This is a computerized neuropsychological test battery with adequate test-  
114 retest reliability(37) and is a validated measure of cognitive skills in children with TBI(38). The  
115 neurocognition index (NCI) is a summary score of the 5 domain scores: composite memory,  
116 psychomotor speed, reaction time, complex attention, and cognitive flexibility. All domain  
117 scores are normalized (mean: 100, SD: 15). The NCI was used to provide an overall estimate of  
118 cognitive function. As children may have an abnormal response to injury or illness, effort during  
119 cognitive testing was assessed using the test of memory malingering (TOMM)(39). Children  
120 were excluded from regression analyses if they scored less than 45 on the test and re-test  
121 TOMM.

122

123 Transcranial magnetic stimulation protocol Participants and parents were first informed about  
124 TMS. Once comfortably seated, participants watched a movie of their choice during the TMS

125 session. Ag/AgCl EMG electrodes (Kendall; Chicopee, MA, USA, 1.5cm radius) were used to  
126 record surface EMG from first dorsal interosseous (FDI) muscles of both hands with a wrist  
127 ground band. EMG signals were amplified by 1000 and band-pass filtered from 20 to 2000 Hz  
128 and then digitized at a rate of 5000 Hz using CED 1401 hardware and Signal 6.0 software  
129 (Cambridge Electronic Design, Cambridge, UK). Using a Magstim BiStim 200 Transcranial  
130 Magnetic Stimulator (Magstim Company Limited, Carmarthenshire), stimuli were applied using  
131 an Alpha Branding Iron Range (70mm internal diameter) under image-guided neuronavigation  
132 (Brainsight2, Rogue Research Inc., Montreal) to define the FDI hotspot in the dominant motor  
133 cortex. The hotspot is the point where stimulation over the primary motor cortex produced the  
134 largest contralateral motor evoked potentials (MEPs). MEPs were recorded in Signal 4.0.6  
135 (Cambridge Electronic Design Limited, Cambridge, England). Voluntary contraction was  
136 measured using an EMG oscilloscope (GwinSTEK GDS-1022, 25MHz, 250M Sa/s, Good Will  
137 Instrument Co, New Taipei City, Taiwan).

138

### 139 *Single pulse paradigms*

140 Rest motor threshold (RMT) was defined as the lowest stimulus intensity eliciting an MEP  
141 response of 50 $\mu$ V (the 50 $\mu$ V RMT) in 5 out of 10 consecutive trials. Suprathreshold test stimuli  
142 (TS) were defined by the 1000 $\mu$ V (1mV) motor threshold. Active motor threshold (AMT) was  
143 the lowest stimulus intensity eliciting 200 $\mu$ V during isometric FDI contraction at 20% maximum  
144 voluntary effort. Stimulus response curves (SRC) were generated using pseudorandomized  
145 stimulus intensities of 10% intervals between 100-150% of the 50 $\mu$ V RMT (rest) and AMT  
146 (active).

147 Cortical silent period (cSP) was the primary outcome based on previous adult mTBI studies(40).  
148 Fifteen suprathreshold stimuli were applied (separated by 3s) to the dominant FDI hotspot  
149 during contralateral hand contraction at 20% of maximal effort(41). The silent period was  
150 defined as the onset of disrupted EMG waveform after the MEP to the point where EMG  
151 activity exceeds 25% of the rectified pre-stimulus EMG. Ipsilateral silent period (iSP) was  
152 measured in the dominant FDI during 50% maximal contraction in the hand ipsilateral to  
153 stimulation (non-dominant hand).

154

#### 155 *Paired-pulse paradigms*

156 Paired pulse TMS was used to evaluate cortical excitatory and inhibitory cortical circuitry. Short  
157 interval intracortical inhibition (SICI) and intracortical facilitation (ICF) stimulations were  
158 randomized. Here, a conditioning stimulus set to 90% of the 50 $\mu$ V RMT preceded a  
159 suprathreshold conditioning test stimulus of 120% of the 50 $\mu$ V RMT. The inter-stimulus interval  
160 was 2ms for SICI and 10ms for ICF. Ten conditioning-test stimuli pairs were applied for SICI and  
161 ICF and pseudorandomized with 10 unconditioned test stimuli. Long interval intracortical  
162 inhibition (LICI) was investigated with both the conditioning and test stimuli set to the 1000 $\mu$ V  
163 RMT, separated by 100ms. Ten conditioning-test stimuli pairs and 10 test stimuli alone were  
164 applied in pseudorandom order.

165

#### 166 *TMS Analysis*

167 Data were processed using Matlab (MATLAB and Statistics Toolbox Release 2014b, The  
168 MathWorks, Inc., Natick, Massachusetts, United States) by an assessor blinded to group. The  
169 duration of cSP was defined as the period between the onset of the disrupted waveform after

170 the MEP and the point where the EMG activity returned to 25% of rectified background activity.  
171 iSP durations were defined as the onset of EMG disruption after the stimulation to point where  
172 the EMG activity returned to 25% of rectified background activity.

173  
174 In the paired-pulse paradigms, peak-to-peak MEP amplitudes were calculated for each stimulus,  
175 then sorted into conditioned or unconditioned. The means of each state were calculated  
176 (unconditioned test stimulus amplitudes below 100 $\mu$ V and their corresponding conditioned  
177 states were removed, as they likely reflect issues with the neuronavigation goggles shifting).  
178 Paired pulse paradigms for each participant are expressed as a ratio of the mean conditioned  
179 response amplitude divided by their mean unconditioned response amplitude.

180  
181 *Safety and tolerability*

182 At the end of each session, participants completed the pediatric TMS tolerability questionnaire,  
183 documenting and quantifying all potential adverse events (headache, nausea, dizziness, and  
184 neck pain) and ranking their TMS experience against 7 other common childhood  
185 experiences(42).

186  
187 *Statistical analyses*

188 Analyses were performed using SPSS (IBM Corp. Released 2013. IBM SPSS Statistics for  
189 Windows, Version 22.0. Armonk, NY: IBM Corp.). Graphs were created in Sigmaplot 13.0 (Systat  
190 Software, Inc., San Jose California USA, [www.sigmaplot.com](http://www.sigmaplot.com)). The sample size was estimated as  
191 24 per group using the cSP data from Miller et al.(43). Normality was tested using Shapiro-Wilks  
192 analyses. RMT at 50 $\mu$ V, AMT, rest SRC area under the curve, rest ICF ratio, LICl ratio were

193 transformed to a normal distribution using a  $\log_{10}$  transformation. Group differences (CSP, iSP,  
194 SICI, ICF, and LICI) were assessed using analysis of variance (controls, recovered and  
195 symptomatic). Mixed models ANOVAs were used to compare between group differences for  
196 repeated measures paradigms and a Greenhouse-Geisser correction was applied where  
197 sphericity could not be assumed following Mauchly's test (MT, SRC, cSP). Tukey's post-hoc tests  
198 were used to correct for multiple comparisons between groups. Differences in group  
199 proportions were compared using chi squared tests. Exploratory analyses of the potential  
200 influence of gender, age, previous concussion, ADHD, PCSI score, and NCI on the outcome  
201 measures (cSP, SICI, LICI, iSP and ICF) were performed. Significant correlating factors ( $p < 0.1$ ) on  
202 univariate analysis were included in exploratory regression models to analyze the relationship  
203 between clinical symptoms (post-injury PCSI score, NCI), cSP and LICI, and mTBI whilst  
204 controlling for the potential effects of age, sex, ADHD(44) (including inattentive subtypes) and  
205 previous mTBI.

206

## 207 **Results**

208 Thirty-five symptomatic, 27 recovered, and 28 healthy control participants were enrolled.  
209 Groups were similar in age (overall mean age 14.16, SD 2.69 years), sex (42 males), handedness  
210 (77 right-handed), ADHD (n=3), and learning support requirements (n=5), see Table 1. A similar  
211 proportion of symptomatic and recovered participants had previous concussions, 22%  
212 ( $\chi^2(4)=2.01, p=0.366$ ). Pre-injury PCS symptoms did not differ between groups ( $H(2)=0.19, p =$   
213  $0.909$ ). Injury characteristics are shown in Table 2 and were similar between groups. As

214 expected, the median post-injury PCSI score was higher in the symptomatic group: 35 (range: 6-  
215 122), compared to the recovered group: 3 (range: 0-26),  $H(2)=4.81$ ,  $p<0.001$ .

216

### 217 *Neurophysiology*

218 TMS was well-tolerated with minimal adverse effects reported (see Table 3). Individual TMS  
219 paradigms were excluded if they could not be performed due to the participant's threshold.

220 Thirteen participants had thresholds too high to complete rest SRCs, (3 control, 2 recovered,  
221 and 8 symptomatic). Test stimuli could not be evoked in one additional recovered participant.

222 Two control, 1 recovered, and 2 symptomatic participants had thresholds too high to perform  
223 ICF and SICI.

224

### 225 ***Table 1: Pre-injury clinical and demographic details***

226

### 227 ***Table 2: Injury characteristics and symptom scores in children with mTBI***

228

### 229 ***Table 3: Tolerability of TMS with subjective sensations***

230

### 231 ***Table 4: Single pulse TMS paradigm data***

232

233

234 The results of the single pulse paradigms are shown in Table 4, demonstrating that motor

235 thresholds were similar between groups. Groups show no group X stimulation intensity

236 interaction in rest ( $F(4.52, 167.14)=1.09$ ,  $p=0.368$ ) or active SRCs ( $F(4.48, 183.84)=1.36$ ,

237 p=0.244)), see Figure 2. Similarly, during active SRC, there was no group X stimulation  
238 interaction for cSP ( $F(4.53, 179.03)=0.58, p=0.702$ ). cSP was dependent on the strength of the  
239 stimulation ( $F(2.27, 179.03)=419.58, p<0.01$ , see Figure 3) but did not differ between groups  
240 with increasing stimulus intensity ( $F(2, 79)=0.28, p=0.753$ ). With the more commonly used  
241 practice or using  $1000\mu\text{V}$  RMT, there also were no group differences ( $F(2, 73)=0.55, p=0.582$ ).  
242 iSP was also similar between groups ( $F(2,70)=0.12, p=0.890$ ) (Figure 4).

**Figure 2: Rest and active stimulus response curves (SRCs) are shown for healthy controls, symptomatic and recovered groups.**

(A) Line graph shows line graphs of resting stimulus response curve (SRC) amplitude for healthy controls, recovered, and symptomatic. (B) shows line graphs of the active SRC response amplitudes for the healthy controls, recovered, and symptomatic groups.

243  
244

**Figure 3: Cortical silent period paradigms.**

(A) Boxplot of the cortical silent period (cSP) duration in milliseconds showed no differences between healthy controls and mTBI groups. (B) Line graph shows the mean and standard deviation of the  $\log_{10}$  cSP with increased stimulation intensity during active stimulus response curve trials for healthy controls, recovered, and symptomatic groups with increasing stimulation intensity (no group X stimulus intensity interaction with healthy controls,  $F(4.53, 179.03)=0.58, p=0.702$ ).

Boxplots show the group median as a black horizontal line inside the box. The top edge of the box is the third quartile, and the bottom of the box is the first quartile, with the group mean in the middle of the box. The box's whiskers denote the ends of the inner fence, or normal range of data. To calculate the inner fence, 1.5 times the interquartile range is subtracted or added to the first or third quartile, respectively. Outliers are shown as points.

245

**Figure 4: Ipsilateral silent period**

Boxplot of the ipsilateral silent period (iSP) were similar between healthy controls, recovered, and symptomatic groups,  $F(2,70)=0.12, p=0.890$ .

246

247 ICF ( $F(2, 56)=1.81, p=0.174$ ) was similar between groups (Figure 5 and Table 5). SICI (Figure 6)

248 was similar across groups ( $F(2, 56)=1.04, p=0.359$ ). LICl differed between groups, see Figure 6

249 ( $F(2, 59)=3.83, p=0.027$ ). Post-hoc analysis using Tukey's correction revealed that the

250 symptomatic group demonstrated less  $\log_{10}$  LICl effect compared to controls ( $p=0.027$ ). Reverse

251 transformed LICI means for control, recovered and symptomatic were 0.31 (SD: 0.38), 0.44 (SD:  
252 0.74), and 0.58 (SD:0.60), respectively.

253

254 **Table 5: Paired pulse paradigms**

255

**Figure 5: Intracortical facilitation**

Intracortical facilitation (ICF) ratio of conditioned stimulus amplitude over the test stimulus amplitude, separated by 10ms. Values above 1 (black line) are considered facilitation, while below 1 indicate inhibition. There were no group differences ( $F(2, 56)=1.81, p=0.174$ ).

256

**Figure 6: Inhibitory paired pulse paradigms**

(A) Short interval intracortical inhibition (SICI): the ratio of conditioned stimulus to test stimulus at 2ms inter-stimulus intervals. Values above 1 (black line) are considered facilitation, while below 1 indicate inhibition. There are no differences between groups ( $F(2, 56)=1.04, p=0.359$ ). (B)  $\log_{10}$  long interval intracortical inhibition (LICI): the  $\log_{10}$  of the ratio of conditioned stimulus to test stimulus alone when inter-stimulus interval is set to 100ms. Values above 0 are considered facilitation, while below 0 indicate inhibition. There was a difference between groups in omnibus ANOVA tests ( $F(2, 59)=3.83, p=0.027$ ), which post-hoc analyses revealed to be between healthy controls and PCS participants ( $p=0.004$ )

258

257

259 *The influence of covariates*

260 The correlation coefficient matrix is shown in Table 6. The presence of mTBI, ADHD, and LICI

261 were included in a regression model to predict the PCSI score. The model was significant,

262 predicting 26.2% (adjusted) of the variance ( $F(3, 59)=8.34, p< 0.001$ ). The variables that

263 significantly contributed to the model were ADHD (Beta=0.354,  $p=0.002$ ), and mTBI

264 (Beta=0.292,  $p=0.012$ ). LICI was not predictive of symptoms (Beta=0.194,  $p=0.094$ ). Factors

265 influencing LICI were further explored in a regression model including TBI, gender, number of

266 previous mTBIs, PCSI score, and the interaction effect between gender and PCSI score. The

267 overall model was significant ( $F(5, 61)=3.269, p<0.012$ ) and explained 16% of the variance.

268 When controlling for the significant interaction between gender and PCSI score (Beta = -.874, p  
269 = 0.041), LICl was predicted by gender (Beta 0.339, p=0.016) and PCSI score (Beta 1.071,  
270 p=0.012).

271 ***Table 6: Correlation matrix***

272

273 **Discussion**

274 This is the first study to investigate cortical excitation-inhibition balance using TMS in children  
275 with different recovery patterns after an mTBI. We are also the first to demonstrate that TMS is  
276 well tolerated by children after an mTBI, and that any adverse events reported were mild to  
277 moderate and were not different between groups. This is similar to children with ADHD who  
278 also tolerate TMS (42), and who share a similar predisposition to injury as children with  
279 mTBI(45).

280

281 In our study, the motor thresholds and SRCs were similar between groups, which is consistent  
282 with the previous literature(27,46,47). We also evaluated different measures of synaptic  
283 excitability, using silent periods and the MEPS of paired-pulse paradigms. ICF, a measure of net  
284 facilitation mediated via NMDA glutamate (excitatory) receptors, was similar between groups.  
285 SICI is a measure of net inhibition: the short-lasting inhibitory component of SICI is mediated by  
286 GABA<sub>A</sub> receptor activity. Contrary to our hypothesis of mTBI-induced increased local cortical  
287 inhibition underlying PCS, cSP durations (a GABA<sub>B</sub> receptor-mediated inhibition dependent  
288 effect) and SICI did not differ between control and mTBI groups regardless of recovery status.  
289 However LICl, which reflects long-lasting inhibition(23,24,48), was decreased in the

290 symptomatic mTBI group when compared to healthy controls, suggesting a decrease in GABA<sub>b</sub>  
291 receptor-mediated cortical inhibition.

292

293 Our findings of normal cSP duration and decreased LICl following mTBI is in contrast to results  
294 from the majority of adult studies, which have reported increased inhibition (cSP) after  
295 mTBI(25–27), although two small adult studies have also reported a normal cSP (16,46). It is  
296 unlikely that our observations are due to differences in TMS protocols as we used previously  
297 described standard practices and methods(49). And, the cSP durations in our control group  
298 were similar to reference data for children(29) and were correlated with other measures of  
299 cortical excitability (e.g., LICl and ICF).

300

301 Several factors can affect cortical excitability after TBI including age, time since the injury,  
302 severity of injury, ADHD, use of medications, and repeated mTBI(23,26,28,29,50–52). A  
303 comparison between Miller et al.'s study and ours allows us to consider the effect of age and  
304 population on cortical excitability after mTBI(25). Miller et al. found a prolonged cSP that was  
305 evident 72 hours after the mTBI that persisted at 2 months(25), whereas we found no  
306 difference in the cSP duration. Our cohort was very similar to Miller et al.'s cohort, including  
307 similar methods of eliciting cSP and a common analysis time point of 1 month post-injury(25).  
308 Other than age (mean 14.1 vs. 20.8 years, respectively) and population (paediatric emergency  
309 department patients vs. adult concussion clinic, respectively), the cohorts were similar in sex  
310 (53% vs. 47%) and mechanism of injury (sport-related mTBI: 73% (11 of 15) participants in  
311 Miller's study compared to 60% in our study). Age and sex are significant predictors of

312 symptom persistence after a concussion, controlling for these factors between groups allowed  
313 us to examine the effect of mTBI and recovery on cortical excitability(53–56). Notably, cSP  
314 duration was not correlated with age within our cohort perhaps because the age range (8 to 18  
315 years) was not large enough to detect this. So, although children do have greater variability in  
316 their cSP durations (29) which could have decreased the power of our study (29,51), it is likely  
317 that age is a significant contributor to the differences in cSP duration observed between the  
318 two studies.

319  
320 Chistayakov et al. found that injury severity may influence cortical excitability(17). They report a  
321 cohort of adult participants who were admitted to hospital with mTBI. Similar to our study,  
322 participants with “minor head injury” (GCS 15, n=10) did not show an increase in cSP duration  
323 at two weeks post-injury whereas those participants with “mild head injury” (GCS 13-14, n=22)  
324 and moderate head injury (GCS 9-12, n=6) did show increased cSP durations(17). Although this  
325 suggests that increases in cSP may be more likely in more severe injuries, it is also possible that  
326 this effect could be explained by the high proportion of diphenylhydantoin anticonvulsant  
327 medication use in the mild and moderate TBI groups (19 of 22 cases)(17,57). A strength of our  
328 study was that we excluded any children treated with psychoactive or anticonvulsant  
329 medications.

330  
331 Other studies that have found prolonged cSP focussed primarily on sports-related concussions,  
332 but do not define the severity of injury(16,25,27). However, those studies show a strong effect  
333 of repeated concussions and sub-concussive events (events that resemble the mechanics of a

334 concussive event but do not result in symptoms) on cortical excitability. Tremblay et al.(26) and  
335 De Beaumont et al.(27) found increased cSP in adult Canadian athletes with multiple sport-  
336 related concussions examined more than 9 months post-injury. In our study, although the  
337 number of previous mTBIs was correlated with LICl on univariate analysis, it was not an  
338 independent predictor of LICl when TBI, PCSI score, and gender were taken into account.  
339 Increases in cortical inhibition in athletes with multiple concussive and sub-concussive events  
340 may take years to develop and reach detectable levels. Therefore, the effect of multiple  
341 concussions on cortical excitability in children over time is worthy of future study.

342

343 In contrast to our cSP results, there was evidence of decreased inhibition i.e. reduced LICl  
344 responses in children who remained symptomatic at one-month post injury, compared to  
345 healthy controls. LICl was modified by sex (more pronounced inhibition in females than males)  
346 and the severity of PCS symptoms. Although cSP and LICl are both considered to reflect GABA<sub>B</sub>  
347 receptor-mediated inhibition, LICl is thought to measure activity in different aspects of the  
348 inhibitory interneuronal circuit than cSP(58). Previous reports of LICl alterations after TBI are  
349 varied, reporting a range of LICl responses between increased(26–28) and normal(16), to  
350 decreased(59). For example, while Powers et al.(46) did not find differences between mTBI at  
351 one month post-injury and control subjects, most of the other TMS studies were performed at  
352 time points quite remote from the injury and in the setting of multiple mTBIs making it difficult  
353 to directly compare with our data. Therefore, although it is possible that inhibitory cortical  
354 interneuronal circuits may be preferentially affected in pediatric mTBI, our finding of decreased  
355 LICl and its relationship to PCS symptoms needs to be replicated in future studies.

356

357 We are the first to study iSP in mTBI. iSP is thought to be a measure of inhibition of the  
358 contralateral motor cortex via excitatory transcallosal pathways and is often prolonged in  
359 severe TBI(60). These transcallosal tracts are of particular interest as they are susceptible to  
360 injury in TBI(61,62) and we have previously demonstrated altered interhemispheric connectivity  
361 in persistent post-concussion syndrome following mTBI in children(63). The normal values of  
362 iSP after mTBI in our study suggests either no dysfunction in the transcallosal tracts or a  
363 compensated contralateral response. Future studies investigating iSP in the presence and  
364 absence of transcallosal injury could provide some insight about compensatory intracortical  
365 mechanisms following TBI.

366

367 The ICF paradigm is thought to reflect glutamatergic NMDA-mediated activity(23,64,65), which  
368 animal models have found to be dysregulated within hours of the injury, recovering by 24  
369 hours(12). In our study we found no differences in ICF between groups, which is in keeping with  
370 other studies of mild, moderate, and multiple TBIs(66,67). These studies were performed  
371 longer after the injury than in our study, which may indicate that the normalization of NMDA  
372 receptor-mediated facilitation that is believed to underlie ICF(65) occurs by one month after  
373 injury in children.

374

375 Our study has several limitations. Firstly, only post-injury measures of cortical excitability were  
376 obtained. It is possible that cortical excitability may be different pre-injury in children at risk of  
377 mTBI, especially in females with higher pre-injury PCSI scores. Secondly, our study may be

378 underpowered to detect group differences given the increased variability of TMS parameters in  
379 children and given the smaller number of participants with LICl measurements. Thirdly, TMS is  
380 an indirect measure of cortical physiology. TMS paradigms were applied to a focal region of the  
381 cortex, which is used as a generalisation of the whole cortex. It is possible that cortical  
382 excitability varies in different regions of the brain especially after injury and that such  
383 generalization is incorrect. The sensitivity of TMS in mTBI could potentially be increased by  
384 correlating cortical excitability with the presence or absence of microstructural injury. We  
385 did not exclude children with a history of attentional problems in order to increase the  
386 generalizability of our results to the group of children who sustain mTBI. However, this could  
387 also have increased the variability of our cortical excitability observations. Lastly, although our  
388 TMS biomarkers are likely to reflect alterations in cortical neurophysiology at the cellular level, it should  
389 be noted that the preclinical and neuropharmacological studies suggesting these associations are not  
390 well established in the developing brain.

391

392 In summary, children are likely to differ from adults in their cortical excitation-inhibition  
393 balance following mTBI. Most TMS parameters of cortical excitation and inhibition are normal  
394 by one month post-mTBI. Long-lasting intracortical inhibition, however, is decreased in  
395 children who remain symptomatic which suggests a potential vulnerability of select inhibitory  
396 interneurons. Further research using sensitive TMS paradigms is required to validate these  
397 findings, and examine how cortical excitability changes over time and its relationship with  
398 cognitive and behavioural function.

399

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662

1 Cortical excitability after pediatric mild traumatic brain injury

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9 **Introduction:** Mild traumatic brain injury (mTBI) outcomes are variable, and 10-15% may suffer  
10 from prolonged symptoms beyond 3 months that impair the child's return to normal activities.  
11 Neurophysiological mechanisms of mTBI are incompletely understood, particularly in children,  
12 but alterations in cortical excitability have been proposed to underlie post-concussion  
13 syndrome. Improved understanding is required to advance interventions and improve  
14 outcomes.

15 **Objective/Hypothesis:** To determine if cortical excitability is altered in children with mTBI, and  
16 its association with clinical symptoms.

17 **Methods:** This was a cross-sectional controlled cohort study. School-aged children (8-18 years)  
18 with mTBI were compared to healthy controls. Cortical excitability was measured using multiple  
19 TMS paradigms in children with (symptomatic) and without (recovered) persistent symptoms  
20 one-month post-injury. Primary outcome was the cortical silent period (cSP), a potential  
21 neurophysiological biomarker of GABAergic inhibition. Secondary outcomes included additional  
22 TMS neurophysiology, safety and tolerability. Associations between neurophysiology  
23 parameters and clinical symptoms were evaluated.

24 **Results:** Fifty-three children with mTBI (55% male; mean age 14.1 SD: 2.4 years; 35  
25 symptomatic and 27 asymptomatic participants) and 28 controls (46% male; mean age 14.3 SD:  
26 3.1 years) were enrolled. cSP duration was similar between groups ( $F(2, 73)=0.55, p=0.582$ ).  
27  $\text{Log}_{10}$  long interval intracortical inhibition (LICI) was reduced in symptomatic participants  
28 compared to healthy controls ( $F(2, 59)=3.83, p=0.027$ ). Procedures were well tolerated with no  
29 serious adverse events.

30 **Conclusions:** TMS measures of cortical excitability are altered at one month in children with  
31 mTBI. Long interval cortical inhibition is decreased in children who remain symptomatic at one  
32 month post-injury.

33

34 **Keywords:** Transcranial magnetic stimulus, mild traumatic brain injury, pediatrics, cortical silent  
35 period, long interval intracortical inhibition

36

## 37 Introduction

38 Mild traumatic brain injury (mTBI) is a significant public health concern as it is both common,  
39 occurring in 350-799 per 100,000 per year[1–4], and 11-31% of children mTBIs have symptoms  
40 which last longer than 1 month: defined as post-concussion syndrome (PCS)[5,6]. PCS is a  
41 constellation of physical, emotional, and cognitive symptoms following mTBI[7] that  
42 significantly impacts the quality of life of the child and family[8]. The mechanisms underlying  
43 the pathophysiology of PCS are poorly understood[9–11], which significantly impedes the  
44 development of better diagnostic tools and treatments.

45

46 Traumatic brain injury results in dysregulated neurotransmitter release, altered receptor  
47 expression, and injury to interneurons and microcircuits, potentially leading to disruption in the  
48 functional balance between cortical excitation and inhibition. This is supported by both murine  
49 models of TBI[12,13], and adult human research[14–17]. Initially, TBI results in an uncontrolled  
50 glutamate release and a disruption of ionic balance across neuronal membranes, the extent of  
51 which is dependent on the severity of the injury[18,19]. Subsequent alterations in receptor  
52 expression occur, such as early changes in n-methyl-d-aspartate (NMDA) receptor subunit  
53 composition [20] and later shifts in  $\gamma$ -aminobutyric acid (GABA) subtype receptor subunits  
54 ratios[21,22].

55

56 Cortical excitation and inhibition can be interrogated *in vivo* in humans using transcranial  
57 magnetic stimulation (TMS)[23,24]. Using TMS methodologies, cortical inhibition has been  
58 found to be increased both acutely[25] and chronically in adult athletes recovering from mTBI

59 (e.g., increased cortical silent period (cSP)[26,27] and long interval intracortical inhibition  
60 (LICI)[27,28]). Whether such alterations in cortical inhibition occur in children, who have  
61 shorter cSP[29], different physiological responses to injury, and different recovery  
62 profiles[30,31], is unknown. Nor is it known how these physiological changes relate to clinical  
63 symptoms.

64

65 We explored cortical excitability following mTBI in children and its relationship with clinical  
66 symptoms to better understand mechanisms of symptom persistence and the variability in  
67 subject recovery. Specifically, we asked whether children with early versus late recovery  
68 differed in their neurophysiological parameters of cortical excitation and inhibition when  
69 compared to healthy controls of similar age and sex.

70

## 71 **Methods**

72 This prospective controlled cohort study was performed as part of PLAY GAME, a randomized  
73 controlled trial of melatonin for the treatment of PCS following childhood mTBI[32]

74 (<https://clinicaltrials.gov/ct2/show/NCT01874847>). This study was approved by the University

75 of Calgary Conjoint Health Research Ethics Board (REB13-0372).

76

### 77 Participants:

78 Children and adolescents (ages 8 to 18 years) presenting to the Alberta Children's Hospital with  
79 an mTBI were eligible. Mild TBI was defined as an impact to the head or body with a Glasgow  
80 Coma Score of 13-15 resulting in at least one of the following: an observed loss of

81 consciousness less than 30 minutes, or at least one acute symptom suggesting neurological  
82 dysfunction attributable to the injury (e.g., headache, confusion, vomiting, amnesia, balance  
83 problems)[8,33]. Concussion was considered part of the mTBI spectrum[34]. Exclusion criteria  
84 were: suspected child abuse; alcohol or drug use at the time of injury; inability to complete  
85 questionnaires; significant past medical or psychiatric history requiring medication;  
86 contraindications to TMS[35]; previous mTBI within 3 months or failure to recover from a  
87 previous mTBI; and/or use of neuroactive drugs. Untreated Attention Deficit Disorders (ADHD)  
88 or mild learning disorders were not excluded. Typically developing children (ages 8 to 18 years)  
89 were eligible if they satisfied exclusion criteria and had no history of TBI (healthy controls).

90

91 Children with mTBI were identified from a tertiary care pediatric Emergency Department  
92 (n=761) and eligible children with mTBI were contacted by telephone at 4 weeks post-injury  
93 (n=294). The recruitment process is shown in Figure 1. Parental consent and participant assent  
94 were obtained. The Post-Concussion Symptom Inventory (PCSI) was used to document  
95 symptoms. Participants who had clinically recovered were selected to be similar in age and sex  
96 to the symptomatic group. Controls were recruited from friends or siblings of the mTBI  
97 participants. Outcome was assessed at 4-6 weeks post-injury before enrolment into the  
98 treatment trial.

99

#### 100 **Figure 1: Participant recruitment flow**

101 A flow chart of the recruitment of participants through each step in screening and final samples.

102 Analysed participants are those whose thresholds permitted at least one TMS paradigm to be performed

103 Clinical Outcome measures

104 Post-concussion symptom inventory: This age-appropriate, standardized questionnaire provides  
105 ratings for 26 symptoms (Guttman scale: 0 to 6) and an overall rating of post-concussive  
106 symptoms[5,36]. It has 4 factor derived-domains: somatic, cognitive, affective, and sleep.  
107 Participants were asked to retrospectively report pre-injury symptoms at enrolment (baseline),  
108 and were considered *symptomatic* if they had an increase of two in two or more symptoms  
109 compared to baseline and a score greater than 0 to “Have you felt different from before your  
110 injury?” (score: 0 to 4) [5,32], or *recovered* if there was no increase in symptoms and a score of  
111 0 to the “feeling different” question.

112

113 CNS Vital Signs: This is a computerized neuropsychological test battery with adequate test-  
114 retest reliability[37] and is a validated measure of cognitive skills in children with TBI[38]. The  
115 neurocognition index (NCI) is a summary score of the 5 domain scores: composite memory,  
116 psychomotor speed, reaction time, complex attention, and cognitive flexibility. All domain  
117 scores are normalized (mean: 100, SD: 15). The NCI was used to provide an overall estimate of  
118 cognitive function. As children may have an abnormal response to injury or illness, effort during  
119 cognitive testing was assessed using the test of memory malingering (TOMM)[39]. Children  
120 were excluded from regression analyses if they scored less than 45 on the test and re-test  
121 TOMM.

122

123 Transcranial magnetic stimulation protocol Participants and parents were first informed about  
124 TMS. Once comfortably seated, participants watched a movie of their choice during the TMS

125 session. Ag/AgCl EMG electrodes (Kendall; Chicopee, MA, USA, 1.5cm radius) were used to  
126 record surface EMG from first dorsal interosseous (FDI) muscles of both hands with a wrist  
127 ground band. EMG signals were amplified by 1000 and band-pass filtered from 20 to 2000 Hz  
128 and then digitized at a rate of 5000 Hz using CED 1401 hardware and Signal 6.0 software  
129 (Cambridge Electronic Design, Cambridge, UK). Using a Magstim BiStim 200 Transcranial  
130 Magnetic Stimulator (Magstim Company Limited, Carmarthenshire), stimuli were applied using  
131 an Alpha Branding Iron Range (70mm internal diameter) under image-guided neuronavigation  
132 (Brainsight2, Rogue Research Inc., Montreal) to define the FDI hotspot in the dominant motor  
133 cortex. The hotspot is the point where stimulation over the primary motor cortex produced the  
134 largest contralateral motor evoked potentials (MEPs). MEPs were recorded in Signal 4.0.6  
135 (Cambridge Electronic Design Limited, Cambridge, England). Voluntary contraction was  
136 measured using an EMG oscilloscope (GwinSTEK GDS-1022, 25MHz, 250M Sa/s, Good Will  
137 Instrument Co, New Taipei City, Taiwan).

138

### 139 *Single pulse paradigms*

140 Rest motor threshold (RMT) was defined as the lowest stimulus intensity eliciting an MEP  
141 response of 50 $\mu$ V (the 50 $\mu$ V RMT) in 5 out of 10 consecutive trials. Suprathreshold test stimuli  
142 (TS) were defined by the 1000 $\mu$ V (1mV) motor threshold. Active motor threshold (AMT) was  
143 the lowest stimulus intensity eliciting 200 $\mu$ V during isometric FDI contraction at 20% maximum  
144 voluntary effort. Stimulus response curves (SRC) were generated using pseudorandomized  
145 stimulus intensities of 10% intervals between 100-150% of the 50 $\mu$ V RMT (rest) and AMT  
146 (active).

147 Cortical silent period (cSP) was the primary outcome based on previous adult mTBI studies[40].  
148 Fifteen suprathreshold stimuli were applied (separated by 3s) to the dominant FDI hotspot  
149 during contralateral hand contraction at 20% of maximal effort[41]. The silent period was  
150 defined as the onset of disrupted EMG waveform after the MEP to the point where EMG  
151 activity exceeds 25% of the rectified pre-stimulus EMG. Ipsilateral silent period (iSP) was  
152 measured in the dominant FDI during 50% maximal contraction in the hand ipsilateral to  
153 stimulation (non-dominant hand).

154

#### 155 *Paired-pulse paradigms*

156 Paired pulse TMS was used to evaluate cortical excitatory and inhibitory cortical circuitry. Short  
157 interval intracortical inhibition (SICI) and intracortical facilitation (ICF) stimulations were  
158 randomized. Here, a conditioning stimulus set to 90% of the 50 $\mu$ V RMT preceded a  
159 suprathreshold conditioning test stimulus of 120% of the 50 $\mu$ V RMT. The inter-stimulus interval  
160 was 2ms for SICI and 10ms for ICF. Ten conditioning-test stimuli pairs were applied for SICI and  
161 ICF and pseudorandomized with 10 unconditioned test stimuli. Long interval intracortical  
162 inhibition (LICI) was investigated with both the conditioning and test stimuli set to the 1000 $\mu$ V  
163 RMT, separated by 100ms. Ten conditioning-test stimuli pairs and 10 test stimuli alone were  
164 applied in pseudorandom order.

165

#### 166 *TMS Analysis*

167 Data were processed using Matlab (MATLAB and Statistics Toolbox Release 2014b, The  
168 MathWorks, Inc., Natick, Massachusetts, United States) by an assessor blinded to group. The  
169 duration of cSP was defined as the period between the onset of the disrupted waveform after

170 the MEP and the point where the EMG activity returned to 25% of rectified background activity.  
171 iSP durations were defined as the onset of EMG disruption after the stimulation to point where  
172 the EMG activity returned to 25% of rectified background activity.

173  
174 In the paired-pulse paradigms, peak-to-peak MEP amplitudes were calculated for each stimulus,  
175 then sorted into conditioned or unconditioned. The means of each state were calculated  
176 (unconditioned test stimulus amplitudes below 100 $\mu$ V and their corresponding conditioned  
177 states were removed, as they likely reflect issues with the neuronavigation goggles shifting).  
178 Paired pulse paradigms for each participant are expressed as a ratio of the mean conditioned  
179 response amplitude divided by their mean unconditioned response amplitude.

180  
181 *Safety and tolerability*

182 At the end of each session, participants completed the pediatric TMS tolerability questionnaire,  
183 documenting and quantifying all potential adverse events (headache, nausea, dizziness, and  
184 neck pain) and ranking their TMS experience against 7 other common childhood  
185 experiences[42].

186  
187 *Statistical analyses*

188 Analyses were performed using SPSS (IBM Corp. Released 2013. IBM SPSS Statistics for  
189 Windows, Version 22.0. Armonk, NY: IBM Corp.). Graphs were created in Sigmaplot 13.0 (Systat  
190 Software, Inc., San Jose California USA, [www.sigmaplot.com](http://www.sigmaplot.com)). The sample size was estimated as  
191 24 per group using the cSP data from Miller et al.[43]. Normality was tested using Shapiro-Wilks  
192 analyses. RMT at 50 $\mu$ V, AMT, rest SRC area under the curve, rest ICF ratio, LICl ratio were

193 transformed to a normal distribution using a  $\log_{10}$  transformation. Group differences (CSP, iSP,  
194 SICI, ICF, and LICI) were assessed using analysis of variance (controls, recovered and  
195 symptomatic). Mixed models ANOVAs were used to compare between group differences for  
196 repeated measures paradigms and a Greenhouse-Geisser correction was applied where  
197 sphericity could not be assumed following Mauchly's test (MT, SRC, cSP). Tukey's post-hoc tests  
198 were used to correct for multiple comparisons between groups. Differences in group  
199 proportions were compared using chi squared tests. Exploratory analyses of the potential  
200 influence of gender, age, previous concussion, ADHD, PCSI score, and NCI on the outcome  
201 measures (cSP, SICI, LICI, iSP and ICF) were performed. Significant correlating factors ( $p < 0.1$ ) on  
202 univariate analysis were included in exploratory regression models to analyze the relationship  
203 between clinical symptoms (post-injury PCSI score, NCI), cSP and LICI, and mTBI whilst  
204 controlling for the potential effects of age, sex, ADHD[44] (including inattentive subtypes) and  
205 previous mTBI.

206

## 207 **Results**

208 Thirty-five symptomatic, 27 recovered, and 28 healthy control participants were enrolled.  
209 Groups were similar in age (overall mean age 14.16, SD 2.69 years), sex (42 males), handedness  
210 (77 right-handed), ADHD (n=3), and learning support requirements (n=5), see Table 1. A similar  
211 proportion of symptomatic and recovered participants had previous concussions, 22%  
212 ( $\chi^2(4)=2.01, p=0.366$ ). Pre-injury PCS symptoms did not differ between groups ( $H(2)=0.19, p =$   
213  $0.909$ ). Injury characteristics are shown in Table 2 and were similar between groups. As

214 expected, the median post-injury PCSI score was higher in the symptomatic group: 35 (range: 6-  
215 122), compared to the recovered group: 3 (range: 0-26),  $H(2)=4.81$ ,  $p<0.001$ .

216

### 217 *Neurophysiology*

218 TMS was well-tolerated with minimal adverse effects reported (see Table 3). Individual TMS  
219 paradigms were excluded if they could not be performed due to the participant's threshold.

220 Thirteen participants had thresholds too high to complete rest SRCs, (3 control, 2 recovered,  
221 and 8 symptomatic). Test stimuli could not be evoked in one additional recovered participant.

222 Two control, 1 recovered, and 2 symptomatic participants had thresholds too high to perform  
223 ICF and SICI.

224

### 225 ***Table 1: Pre-injury clinical and demographic details***

226

### 227 ***Table 2: Injury characteristics and symptom scores in children with mTBI***

228

### 229 ***Table 3: Tolerability of TMS with subjective sensations***

230

### 231 ***Table 4: Single pulse TMS paradigm data***

232

233

234 The results of the single pulse paradigms are shown in Table 4, demonstrating that motor

235 thresholds were similar between groups. Groups show no group X stimulation intensity

236 interaction in rest ( $F(4.52, 167.14)=1.09$ ,  $p=0.368$ ) or active SRCs ( $F(4.48, 183.84)=1.36$ ,

237  $p=0.244$ ), see Figure 2. Similarly, during active SRC, there was no group X stimulation  
238 interaction for cSP ( $F(4.53, 179.03)=0.58, p=0.702$ ). cSP was dependent on the strength of the  
239 stimulation ( $F(2.27, 179.03)=419.58, p<0.01$ , see Figure 3) but did not differ between groups  
240 with increasing stimulus intensity ( $F(2, 79)=0.28, p=0.753$ ). With the more commonly used  
241 practice or using  $1000\mu\text{V}$  RMT, there also were no group differences ( $F(2, 73)=0.55, p=0.582$ ).  
242 iSP was also similar between groups ( $F(2,70)=0.12, p=0.890$ ) (Figure 4).

**Figure 2: Rest and active stimulus response curves (SRCs) are shown for healthy controls, symptomatic and recovered groups.**

(A) Line graph shows line graphs of resting stimulus response curve (SRC) amplitude for healthy controls, recovered, and symptomatic. (B) shows line graphs of the active SRC response amplitudes for the healthy controls, recovered, and symptomatic groups.

243  
244

**Figure 3: Cortical silent period paradigms.**

(A) Boxplot of the cortical silent period (cSP) duration in milliseconds showed no differences between healthy controls and mTBI groups. (B) Line graph shows the mean and standard deviation of the  $\log_{10}$  cSP with increased stimulation intensity during active stimulus response curve trials for healthy controls, recovered, and symptomatic groups with increasing stimulation intensity (no group X stimulus intensity interaction with healthy controls,  $F(4.53, 179.03)=0.58, p=0.702$ ).

Boxplots show the group median as a black horizontal line inside the box. The top edge of the box is the third quartile, and the bottom of the box is the first quartile, with the group mean in the middle of the box. The box's whiskers denote the ends of the inner fence, or normal range of data. To calculate the inner fence, 1.5 times the interquartile range is subtracted or added to the first or third quartile, respectively. Outliers are shown as points.

245

**Figure 4: Ipsilateral silent period**

Boxplot of the ipsilateral silent period (iSP) were similar between healthy controls, recovered, and symptomatic groups,  $F(2,70)=0.12, p=0.890$ .

246

247 ICF ( $F(2, 56)=1.81, p=0.174$ ) was similar between groups (Figure 5 and Table 5). SICI (Figure 6)

248 was similar across groups ( $F(2, 56)=1.04, p=0.359$ ). LICl differed between groups, see Figure 6

249 ( $F(2, 59)=3.83, p=0.027$ ). Post-hoc analysis using Tukey's correction revealed that the

250 symptomatic group demonstrated less  $\log_{10}$  LICl effect compared to controls ( $p=0.027$ ). Reverse

251 transformed LICI means for control, recovered and symptomatic were 0.31 (SD: 0.38), 0.44 (SD:  
252 0.74), and 0.58 (SD:0.60), respectively.

253

254 **Table 5: Paired pulse paradigms**

255

**Figure 5: Intracortical facilitation**

Intracortical facilitation (ICF) ratio of conditioned stimulus amplitude over the test stimulus amplitude, separated by 10ms. Values above 1 (black line) are considered facilitation, while below 1 indicate inhibition. There were no group differences ( $F(2, 56)=1.81, p=0.174$ ).

256

**Figure 6: Inhibitory paired pulse paradigms**

(A) Short interval intracortical inhibition (SICI): the ratio of conditioned stimulus to test stimulus at 2ms inter-stimulus intervals. Values above 1 (black line) are considered facilitation, while below 1 indicate inhibition. There are no differences between groups ( $F(2, 56)=1.04, p=0.359$ ). (B)  $\log_{10}$  long interval intracortical inhibition (LICI): the  $\log_{10}$  of the ratio of conditioned stimulus to test stimulus alone when inter-stimulus interval is set to 100ms. Values above 0 are considered facilitation, while below 0 indicate inhibition. There was a difference between groups in omnibus ANOVA tests ( $F(2, 59)=3.83, p=0.027$ ), which post-hoc analyses revealed to be between healthy controls and PCS participants ( $p=0.004$ )

258  
257

259 *The influence of covariates*

260 The correlation coefficient matrix is shown in Table 6. The presence of mTBI, ADHD, and LICI

261 were included in a regression model to predict the PCSI score. The model was significant,

262 predicting 26.2% (adjusted) of the variance ( $F(3, 59)=8.34, p< 0.001$ ). The variables that

263 significantly contributed to the model were ADHD (Beta=0.354,  $p=0.002$ ), and mTBI

264 (Beta=0.292,  $p=0.012$ ). LICI was not predictive of symptoms (Beta=0.194,  $p=0.094$ ). Factors

265 influencing LICI were further explored in a regression model including TBI, gender, number of

266 previous mTBIs, PCSI score, and the interaction effect between gender and PCSI score. The

267 overall model was significant ( $F(5, 61)=3.269, p<0.012$ ) and explained 16% of the variance.

268 When controlling for the significant interaction between gender and PCSI score (Beta = -.874, p  
269 = 0.041), LICl was predicted by gender (Beta 0.339, p=0.016) and PCSI score (Beta 1.071,  
270 p=0.012).

271 ***Table 6: Correlation matrix***

272

273 **Discussion**

274 This is the first study to investigate cortical excitation-inhibition balance using TMS in children  
275 with different recovery patterns after an mTBI. We are also the first to demonstrate that TMS is  
276 well tolerated by children after an mTBI, and that any adverse events reported were mild to  
277 moderate and were not different between groups. This is similar to children with ADHD who  
278 also tolerate TMS [42], and who share a similar predisposition to injury as children with  
279 mTBI[45].

280

281 In our study, the motor thresholds and SRCs were similar between groups, which is consistent  
282 with the previous literature[27,46,47]. We also evaluated different measures of synaptic  
283 excitability, using silent periods and the MEPS of paired-pulse paradigms. ICF, a measure of net  
284 facilitation mediated via NMDA glutamate (excitatory) receptors, was similar between groups.  
285 SICI is a measure of net inhibition: the short-lasting inhibitory component of SICI is mediated by  
286 GABA<sub>A</sub> receptor activity. Contrary to our hypothesis of mTBI-induced increased local cortical  
287 inhibition underlying PCS, cSP durations (a GABA<sub>B</sub> receptor-mediated inhibition dependent  
288 effect) and SICI did not differ between control and mTBI groups regardless of recovery status.  
289 However LICl, which reflects long-lasting inhibition[23,24,48], was decreased in the

290 symptomatic mTBI group when compared to healthy controls, suggesting a decrease in GABA<sub>b</sub>  
291 receptor-mediated cortical inhibition.

292

293 Our findings of normal cSP duration and decreased LICl following mTBI is in contrast to results  
294 from the majority of adult studies, which have reported increased inhibition (cSP) after  
295 mTBI[25–27], although two small adult studies have also reported a normal cSP [16,46]. It is  
296 unlikely that our observations are due to differences in TMS protocols as we used previously  
297 described standard practices and methods[49]. And, the cSP durations in our control group  
298 were similar to reference data for children[29] and were correlated with other measures of  
299 cortical excitability (e.g., LICl and ICF).

300

301 Several factors can affect cortical excitability after TBI including age, time since the injury,  
302 severity of injury, ADHD, use of medications, and repeated mTBI[23,26,28,29,50–52]. A  
303 comparison between Miller et al.'s study and ours allows us to consider the effect of age and  
304 population on cortical excitability after mTBI[25]. Miller et al. found a prolonged cSP that was  
305 evident 72 hours after the mTBI that persisted at 2 months[25], whereas we found no  
306 difference in the cSP duration. Our cohort was very similar to Miller et al.'s cohort, including  
307 similar methods of eliciting cSP and a common analysis time point of 1 month post-injury[25].  
308 Other than age (mean 14.1 vs. 20.8 years, respectively) and population (paediatric emergency  
309 department patients vs. adult concussion clinic, respectively), the cohorts were similar in sex  
310 (53% vs. 47%) and mechanism of injury (sport-related mTBI: 73% (11 of 15) participants in  
311 Miller's study compared to 60% in our study). Age and sex are significant predictors of

312 symptom persistence after a concussion, controlling for these factors between groups allowed  
313 us to examine the effect of mTBI and recovery on cortical excitability[53–56]. Notably, cSP  
314 duration was not correlated with age within our cohort perhaps because the age range (8 to 18  
315 years) was not large enough to detect this. So, although children do have greater variability in  
316 their cSP durations [29] which could have decreased the power of our study [29,51], it is likely  
317 that age is a significant contributor to the differences in cSP duration observed between the  
318 two studies.

319

320 Chistayakov et al. found that injury severity may influence cortical excitability[17]. They report a  
321 cohort of adult participants who were admitted to hospital with mTBI. Similar to our study,  
322 participants with “minor head injury” (GCS 15, n=10) did not show an increase in cSP duration  
323 at two weeks post-injury whereas those participants with “mild head injury” (GCS 13-14, n=22)  
324 and moderate head injury (GCS 9-12, n=6) did show increased cSP durations[17]. Although this  
325 suggests that increases in cSP may be more likely in more severe injuries, it is also possible that  
326 this effect could be explained by the high proportion of diphenylhydantoin anticonvulsant  
327 medication use in the mild and moderate TBI groups (19 of 22 cases)[17,57]. A strength of our  
328 study was that we excluded any children treated with psychoactive or anticonvulsant  
329 medications.

330

331 Other studies that have found prolonged cSP focussed primarily on sports-related concussions,  
332 but do not define the severity of injury[16,25,27]. However, those studies show a strong effect  
333 of repeated concussions and sub-concussive events (events that resemble the mechanics of a

334 concussive event but do not result in symptoms) on cortical excitability. Tremblay et al.[26] and  
335 De Beaumont et al.[27] found increased cSP in adult Canadian athletes with multiple sport-  
336 related concussions examined more than 9 months post-injury. In our study, although the  
337 number of previous mTBIs was correlated with LICl on univariate analysis, it was not an  
338 independent predictor of LICl when TBI, PCSI score, and gender were taken into account.  
339 Increases in cortical inhibition in athletes with multiple concussive and sub-concussive events  
340 may take years to develop and reach detectable levels. Therefore, the effect of multiple  
341 concussions on cortical excitability in children over time is worthy of future study.

342

343 In contrast to our cSP results, there was evidence of decreased inhibition i.e. reduced LICl  
344 responses in children who remained symptomatic at one-month post injury, compared to  
345 healthy controls. LICl was modified by sex (more pronounced inhibition in females than males)  
346 and the severity of PCS symptoms. Although cSP and LICl are both considered to reflect GABA<sub>B</sub>  
347 receptor-mediated inhibition, LICl is thought to measure activity in different aspects of the  
348 inhibitory interneuronal circuit than cSP[58]. Previous reports of LICl alterations after TBI are  
349 varied, reporting a range of LICl responses between increased[26–28] and normal[16], to  
350 decreased[59]. For example, while Powers et al.[46] did not find differences between mTBI at  
351 one month post-injury and control subjects, most of the other TMS studies were performed at  
352 time points quite remote from the injury and in the setting of multiple mTBIs making it difficult  
353 to directly compare with our data. Therefore, although it is possible that inhibitory cortical  
354 interneuronal circuits may be preferentially affected in pediatric mTBI, our finding of decreased  
355 LICl and its relationship to PCS symptoms needs to be replicated in future studies.

356

357 We are the first to study iSP in mTBI. iSP is thought to be a measure of inhibition of the  
358 contralateral motor cortex via excitatory transcallosal pathways and is often prolonged in  
359 severe TBI[60]. These transcallosal tracts are of particular interest as they are susceptible to  
360 injury in TBI[61,62] and we have previously demonstrated altered interhemispheric connectivity  
361 in persistent post-concussion syndrome following mTBI in children[63]. The normal values of  
362 iSP after mTBI in our study suggests either no dysfunction in the transcallosal tracts or a  
363 compensated contralateral response. Future studies investigating iSP in the presence and  
364 absence of transcallosal injury could provide some insight about compensatory intracortical  
365 mechanisms following TBI.

366

367 The ICF paradigm is thought to reflect glutamatergic NMDA-mediated activity[23,64,65], which  
368 animal models have found to be dysregulated within hours of the injury, recovering by 24  
369 hours[12]. In our study we found no differences in ICF between groups, which is in keeping with  
370 other studies of mild, moderate, and multiple TBIs[66,67]. These studies were performed  
371 longer after the injury than in our study, which may indicate that the normalization of NMDA  
372 receptor-mediated facilitation that is believed to underlie ICF[65] occurs by one month after  
373 injury in children.

374

375 Our study has several limitations. Firstly, only post-injury measures of cortical excitability were  
376 obtained. It is possible that cortical excitability may be different pre-injury in children at risk of  
377 mTBI, especially in females with higher pre-injury PCSI scores. Secondly, our study may be

378 underpowered to detect group differences given the increased variability of TMS parameters in  
379 children and given the smaller number of participants with LICl measurements. Thirdly, TMS is  
380 an indirect measure of cortical physiology. TMS paradigms were applied to a focal region of the  
381 cortex, which is used as a generalisation of the whole cortex. It is possible that cortical  
382 excitability varies in different regions of the brain especially after injury and that such  
383 generalization is incorrect. The sensitivity of TMS in mTBI could potentially be increased by  
384 correlating cortical excitability with the presence or absence of microstructural injury. Lastly,  
385 we did not exclude children with a history of attentional problems in order to increase the  
386 generalizability of our results to the group of children who sustain mTBI. However, this could  
387 also have increased the variability of our cortical excitability observations.

388

389 In summary, children are likely to differ from adults in their cortical excitation-inhibition  
390 balance following mTBI. Most TMS parameters of cortical excitation and inhibition are normal  
391 by one month post-mTBI. Long-lasting intracortical inhibition, however, is decreased in  
392 children who remain symptomatic which suggests a potential vulnerability of select inhibitory  
393 interneurons. Further research using sensitive TMS paradigms is required to validate these  
394 findings, and examine how cortical excitability changes over time and its relationship with  
395 cognitive and behavioural function.

396

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- 588

**Figure1**

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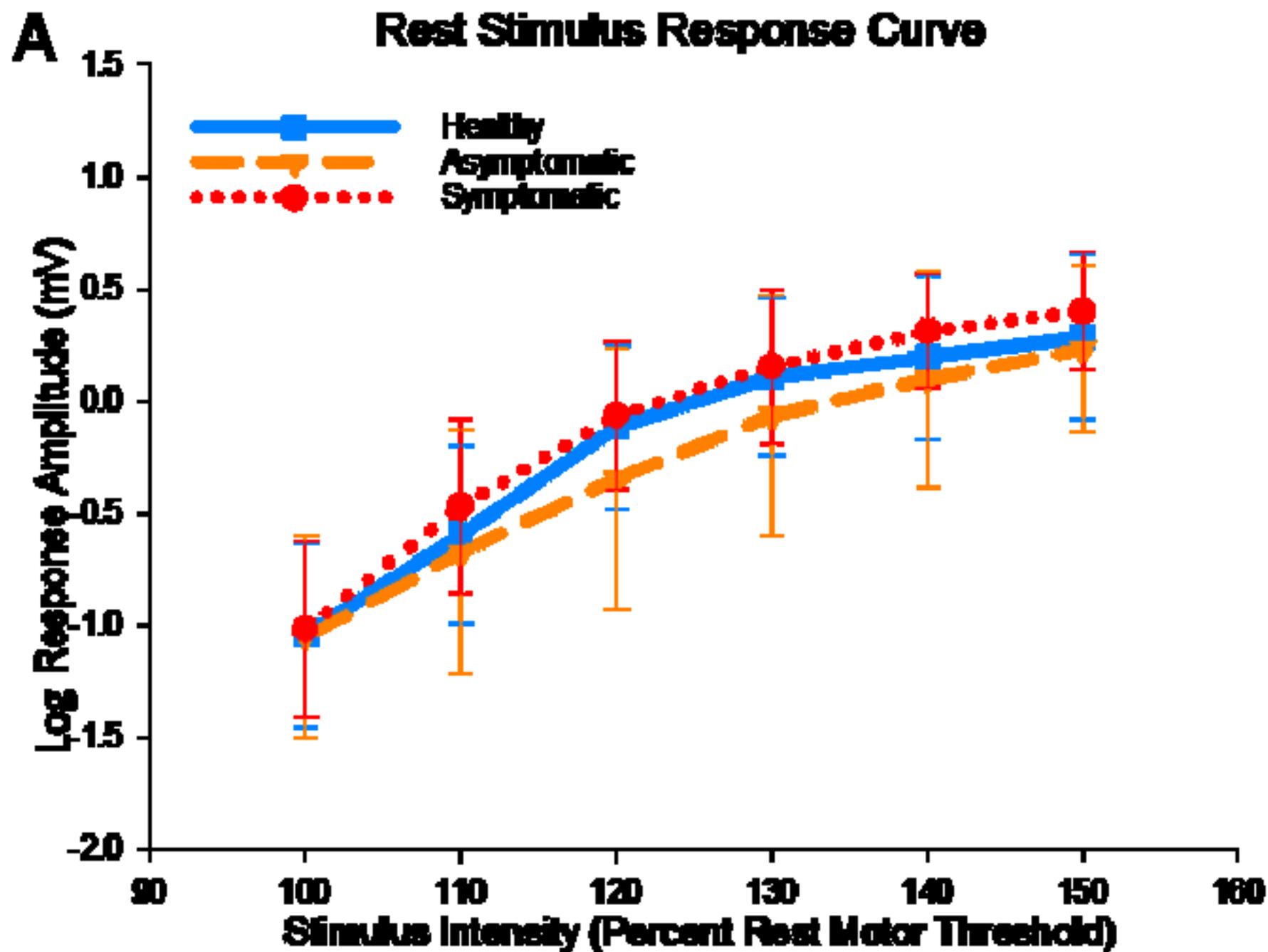


Figure2b  
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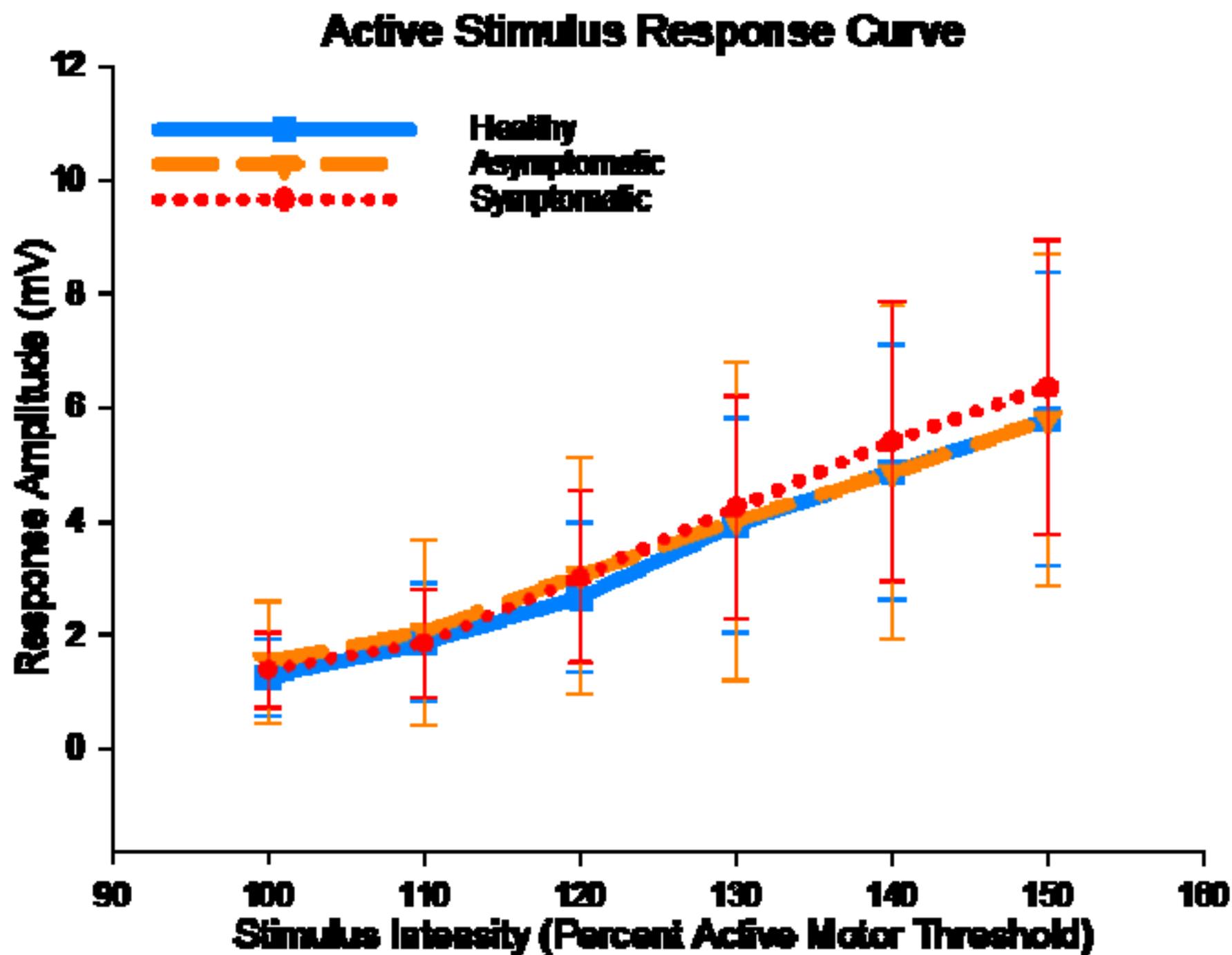


Figure3a  
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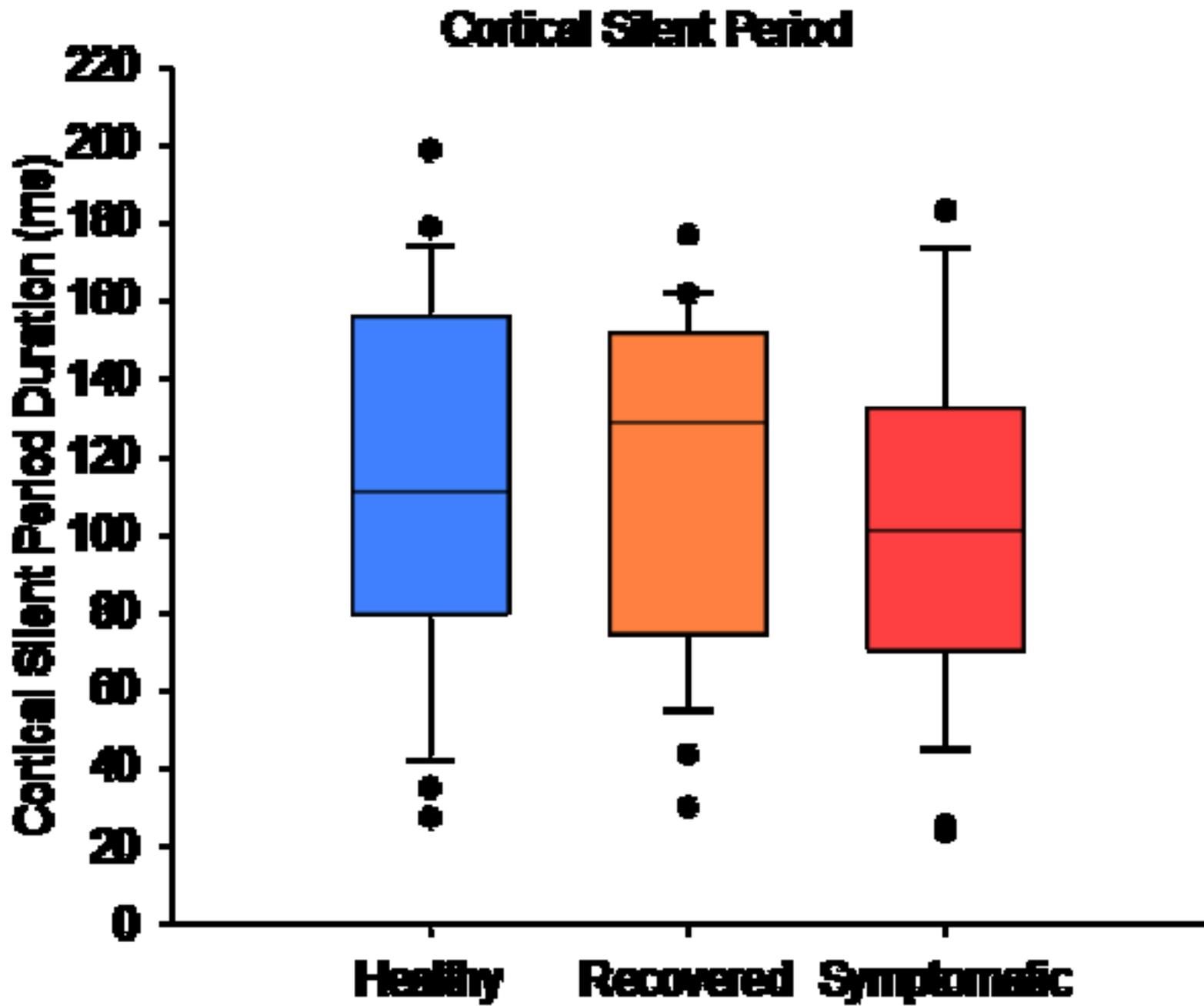


Figure3b  
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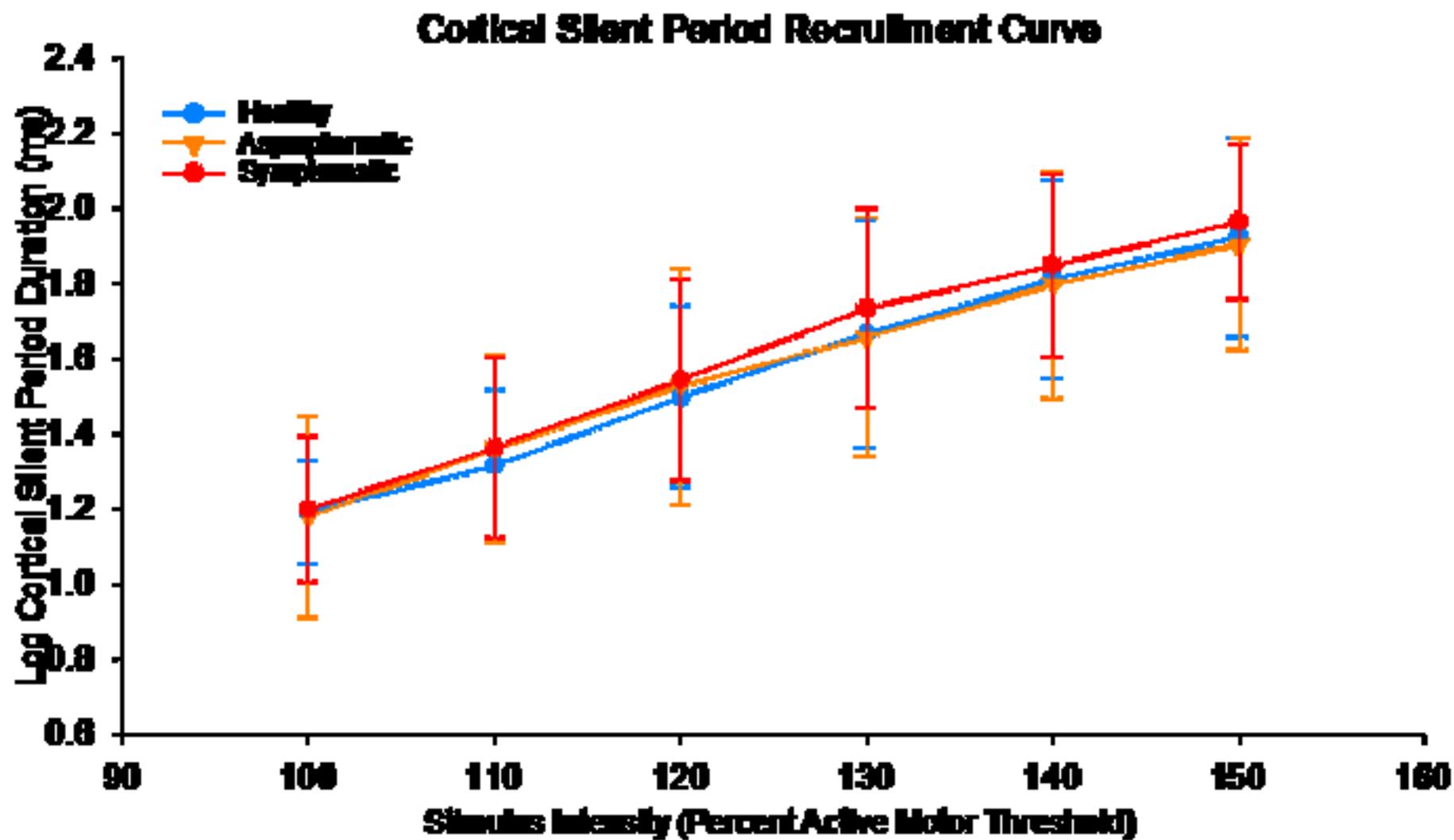


Figure4  
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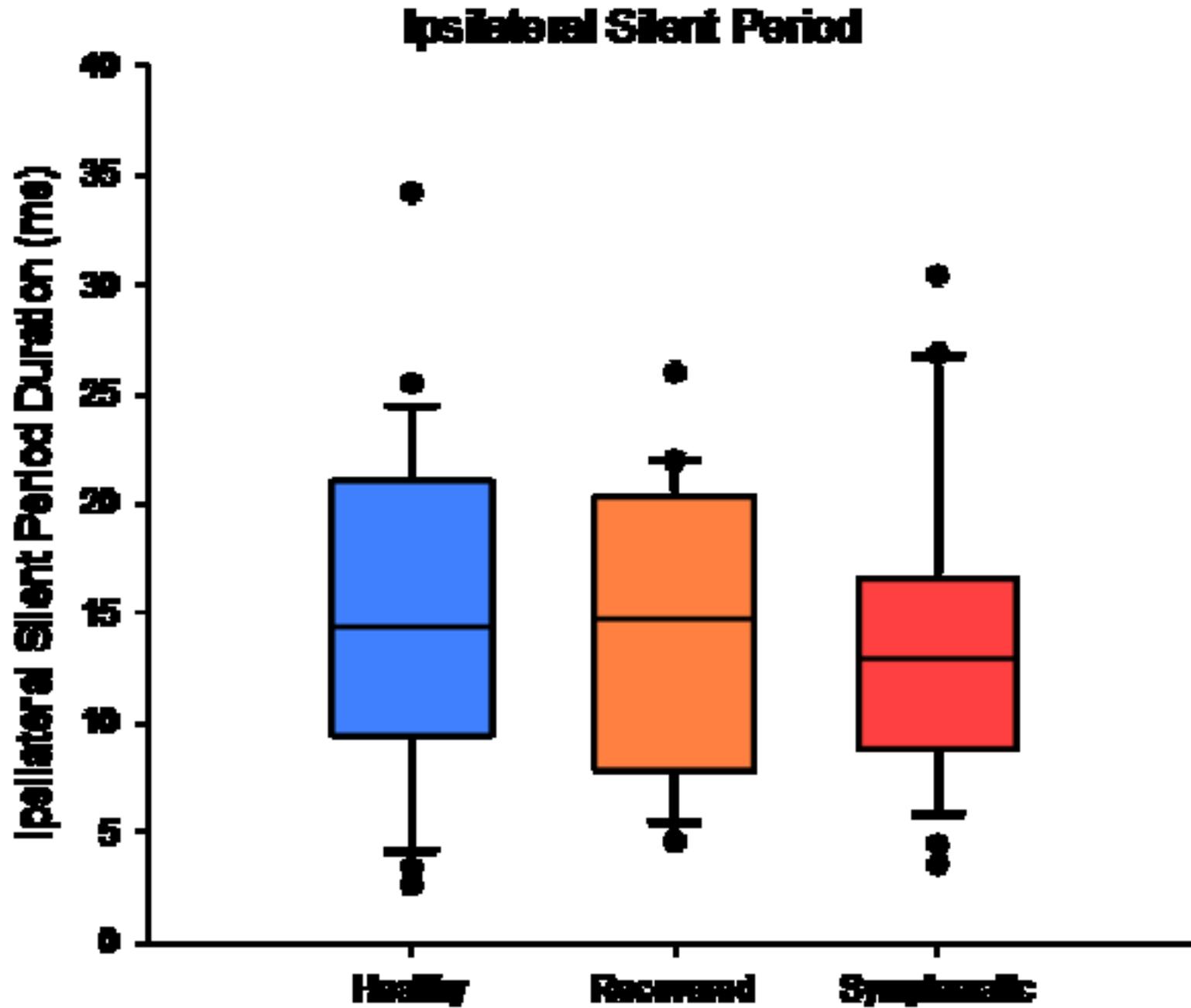


Figure5  
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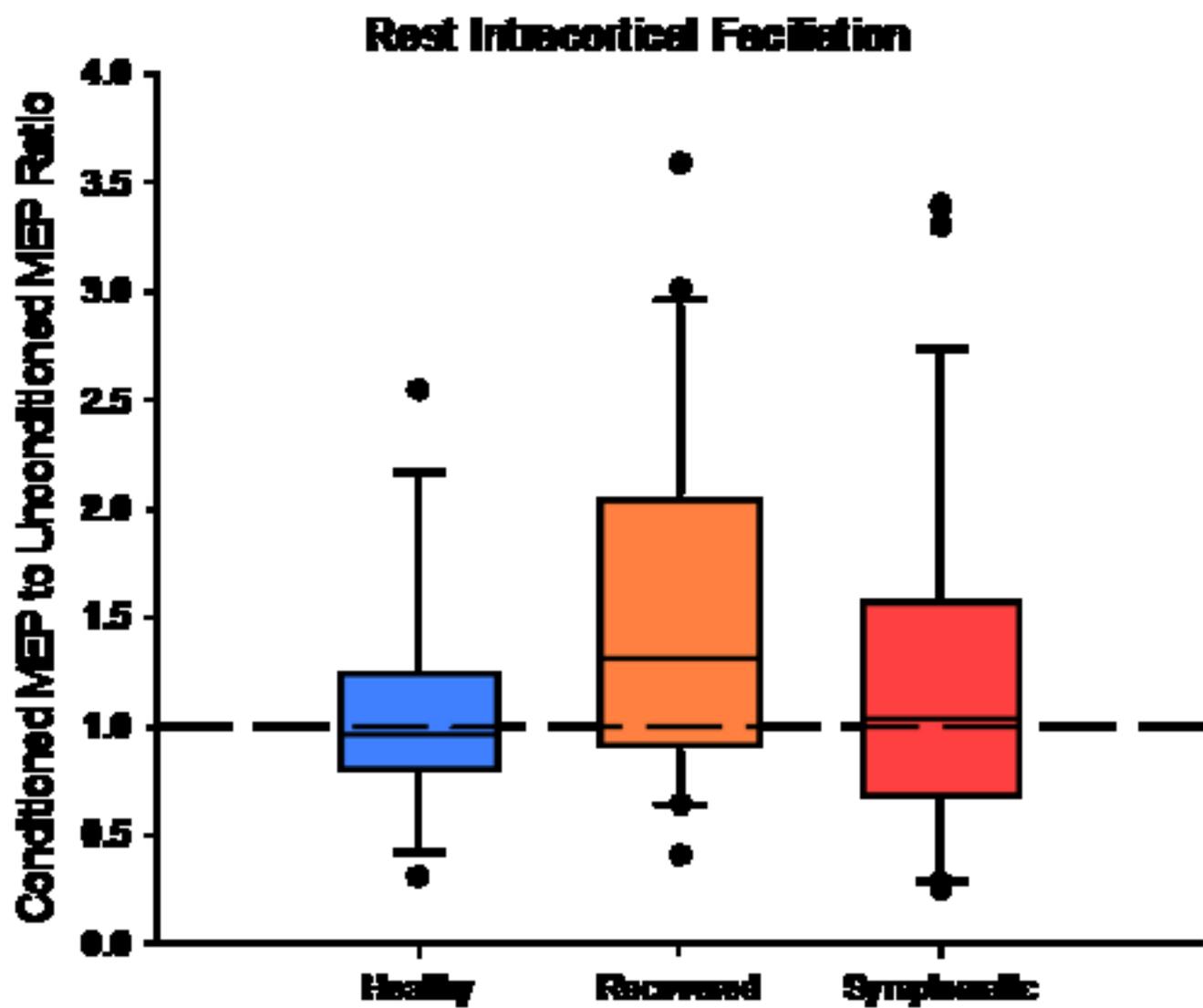


Figure6a  
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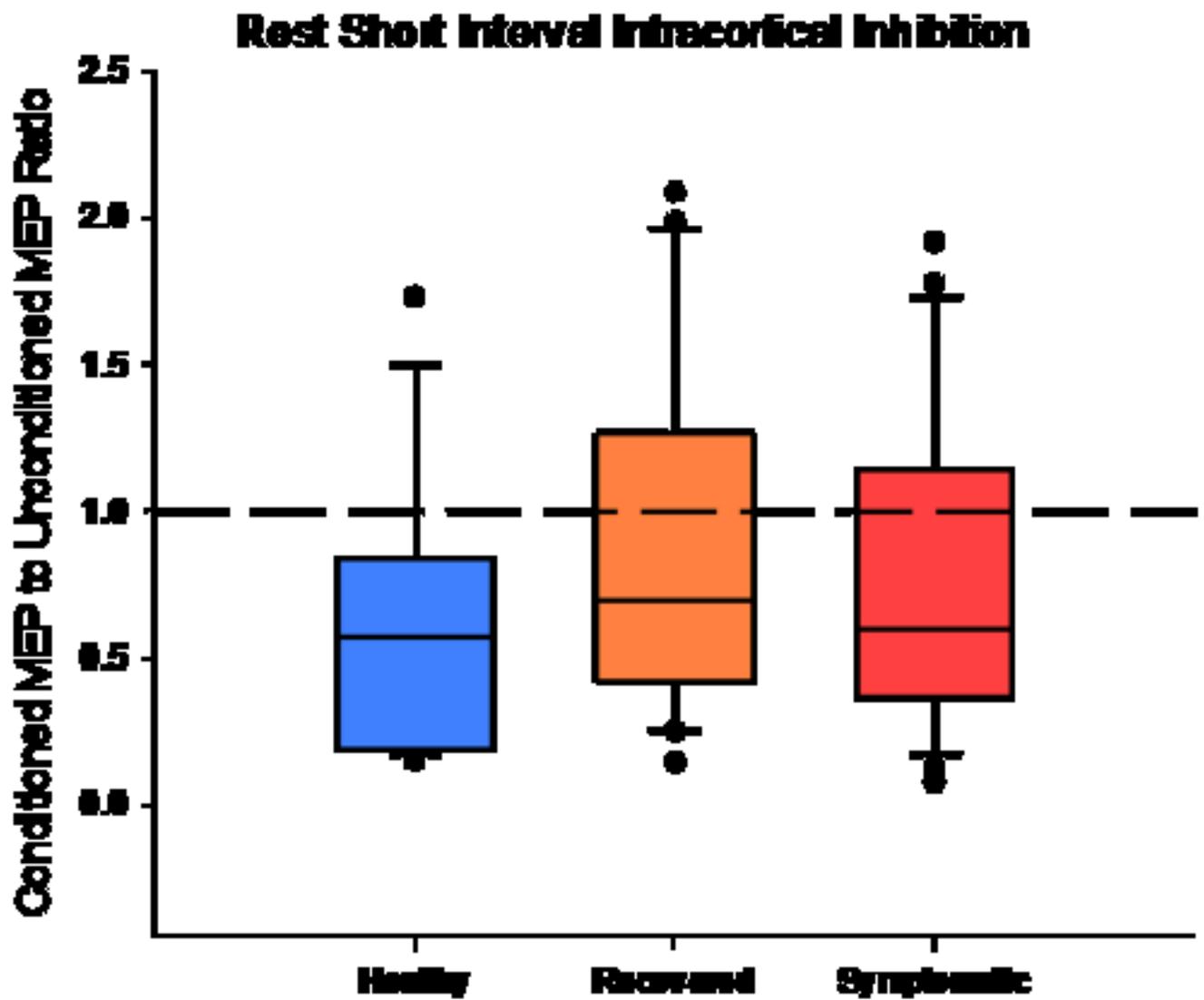
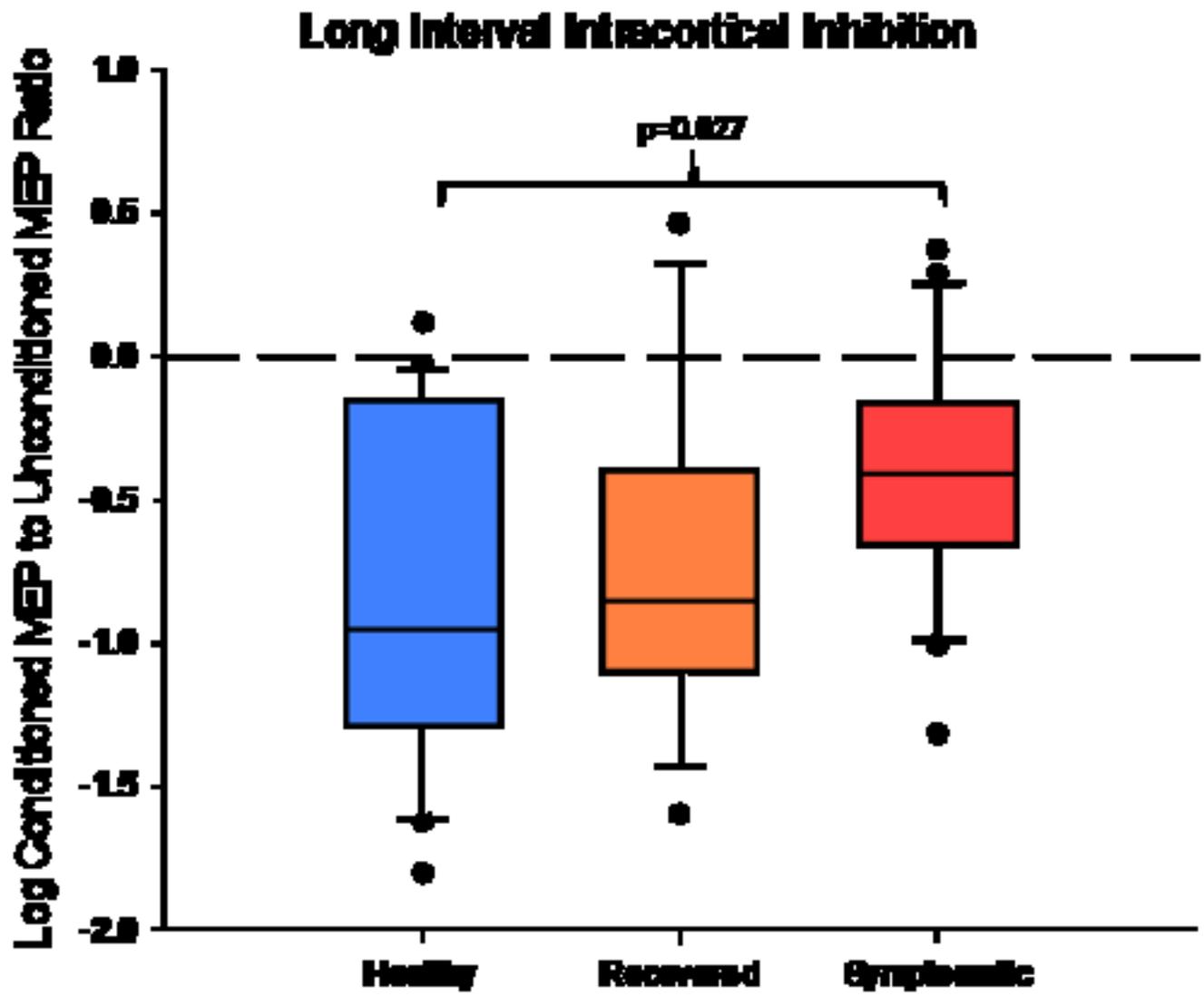


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**Table 1: Pre-injury clinical and demographic details**

	Healthy (n=28)	Recovered (n=27)	Symptomatic (n=35)	$\chi^2$	<i>p</i>
Mean age (SD)	14.31 (3.14)	14.13 (2.35)	14.06 (2.55)	-	-
Female	15	14	19	-	-
Left handed	3	3	7	1.43	0.489
ADHD	0	1	2	1.6	0.451
Learning Support	1	1	3	0.99	0.609
Previous Concussion					
0	28	22	24	2.01	0.366
1	-	2	7		
$\geq 2$	-	3	4		
Median pre-injury PCSI (Range)	2 (0-29)	0 (0-9)	3 (0-46)	KW = 0.19	0.909

mTBI: mild traumatic brain injury; ADHD: Attention deficit disorder; PCSI: Post-concussion symptom inventory \*Pre-injury PCSI scores were compared using Kruskal-Wallis test.

**Table 2: Injury characteristics and symptom scores in children with mTBI**

	Recovered (n=27)	Symptomatic (n=35)	$\chi^2$	<i>p</i>
Cause of Injury, n (%)				
•Sport-related	20 (74.0)	17 (45.9)		
•Fall	2 (7.4)	1 (2.9)		
•MVA	0 (0)	2 (5.8)		
•Other	1 (3.7)	2 (5.7)		
•Unknown	4 (14.8)	12 (34.3)		
Loss of consciousness, n (%)	3 (11.1)	3 (8.6)	1.91	0.385
Anterograde Amnesia, n (%)	6 (22.2)	4 (11.4)	3.54	0.171
Retrograde amnesia, n (%)	6 (22.2)	1 (2.9)	7.3	0.026
Time since injury, days (mean, SD)	39.89 (10.53)	39.56 (5.13)	0.16	0.873
Median post-injury PCSI (range)	3 (0-26)	35 (6-122)	U=4.81	<0.001*

PCSI: Post-concussion symptom inventory; MVC: Motor vehicle collision;

mTBI: mild traumatic brain injury. Post-injury PCSI were compared using Mann-Whitney U

**Table 3: Tolerability of TMS with subjective sensations**

		Healthy	Recovered	Symptomatic	$\chi^2$	p
Headache	Mild	1	1	0	1.2	0.548
Neck Pain	Mild	0	2	3	4.31	0.365
	Moderate	0	0	1		
Tingling	Mild	1	1	6	5.6	0.061
Lightheaded/ Faint	Mild	0	0	1	1.74	0.42
Nausea	Mild	1	0	2	1.79	0.408
Median TMS Rating (range) (1 to 8)		5 (2-7)	4 (1-7)	4 (2-8)	16.05	0.311

Subjective symptom ratings and TMS ratings are compared using chi-squared tests

**Table 4: Single pulse TMS paradigm data**

	Controls		Recovered		Symptomatic			
	n	Mean (SD)	n	Mean (SD)	n	Mean (SD)		
Log <sub>10</sub> RMT50uV	27	1.67 (0.11)	25	1.65 (0.10)	33	1.67 (0.12)	F(2, 82)=0.16	0.851
RMT1mV	25	59.64 (18.09)	23	58.35 (16.55)	27	52.78 (11.1)	F(2, 72)=1.46	0.239
Log <sub>10</sub> AMT200uV	27	1.53 (0.11)	26	1.53 (0.12)	34	1.56 (0.13)	F(2, 84)=0.53	0.589
RSRC Curve	24	-	25	-	28	-	F(2, 74)=1.99	0.144
ASRC Curve		-	25	-	33	-	F(2, 82)=0.36	0.698
cSP	25	114.58 (46.15)	24	116.62 (41.12)	27	104.92 (41.94)	F(2,73)=0.55	0.582
Log cSP curve	27	-	25	-	33	-	F(2, 79)=0.28	0.753
iSP	24	15.03 (7.63)	24	14.69 (6.38)	25	14.07 (7.10)	F(2,70)=0.12	0.89

RMT: Rest motor threshold, AMT: Active motor threshold, RSRC: Rest stimulus response curve, ASRC: Active stimulus response curve, cSP: Cortical silent period, iSP: Ipsilateral silent period  
 Statistics shown are between groups analyses of variance.

**Table 5: Paired pulse paradigms**

	Healthy		Recovered		Symptomatic		Statistic	p
	n	Mean (SD)	n	Mean (SD)	n	Mean (SD)		
Log <sub>10</sub> ICF	15	-0.03 (0.22)	20	0.12 (0.24)	24	-0.01 (0.30)	F(2, 56)=1.81	0.174
SICI	15	0.64 (0.47)	20	0.90 (0.60)	24	0.77 (0.54)	F(2, 56)=1.04	0.359
Log <sub>10</sub> LICI	21	-0.83 (0.58)	20	-0.72 (0.54)	21	-0.40 (0.42)	F(2, 59)=3.83	*0.027

ICF: Intracortical inhibition, SICI: short interval intracortical inhibition, LICI: long interval intracortical inhibition

\*Post-hoc analyses show symptomatic participants were significantly less inhibited than controls (p=0.027)

**Table 6: Correlation matrix**

		Group	Age	Gender	Number of previous mTBI	Attention Problems	Post PCSI	NCI	cSP	SICI	LICI	iSP1mV
Age	r	0.116	1									
	N	90	90									
Gender	r	-0.006	-0.058	1								
	N	91	90	91								
Number of previous mTBI	r	**0.235	0.026	-0.008	1							
	N	91	90	91	91							
Attention Problems	r	0.164	-0.015	-0.158	-0.059	1						
	N	91	90	91	91	91						
Post PCSI	r	**0.583	0.053	0.092	0.033	**0.311	1					
	N	91	90	91	91	91	91					
NCI	r	0.006	0.092	0.115	-0.039	**0.212	-0.109	1				
	N	89	88	89	89	89	89	89				
cSP	r	-0.086	-0.048	**0.324	-0.168	-0.178	-0.081	0.045	1			
	N	77	76	77	77	77	77	75	77			
SICI ratio	r	0.046	-0.153	0.105	0.03	-0.13	0.04	0.159	-0.114	1		
	N	60	59	60	60	60	60	58	59	60		
LICI ratio	r	0.3	0.118	*0.22	*0.212	0.116	**0.282	0.123	**0.55	**0.382	1	
	N	63	62	63	63	63	63	61	63	52	63	
iSP1mV	r	-0.058	0.039	**0.349	0.025	-0.083	-0.097	-0.043	**0.306	-0.07	-0.15	1
	N	74	73	74	74	74	74	72	74	57	61	74
RICF ratio	r	-0.023	0.041	-0.085	0.034	**0.266	-0.144	0.083	*0.23	**0.359	0.032	-0.159
	N	60	59	60	60	60	60	58	59	60	52	57

\* p < 0.1, \*\* p < 0.05

Abbreviations: r = Pearson's r; n = sample size; PCSI: Post concussion symptom inventory; NCI: Neurocognitive index; cSP: cortical silent period; SICI: Short interval intracortical inhibition; LICI: Long interval intracortical inhibition; iSP: ipsilateral silent period