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# Four-Dimensional Computed Tomography to Determine Normal Syndesmotic Motion and to Compare Motion after Rigid and Flexible Fixation of Syndesmotic Injuries

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## UNIVERSITY OF CALGARY

Four-Dimensional Computed Tomography to Determine Normal Syndesmotic Motion and to Compare

Motion after Rigid and Flexible Fixation of Syndesmotic Injuries

by

Murray Thomas Wong

## A THESIS

## SUBMITTED TO THE FACULTY OF GRADUATE STUDIES

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## Abstract

Syndesmotic injuries occur in up to one-quarter of all ankle fractures. Despite mitigating efforts, malreduction of the syndesmosis is common after both rigid and flexible fixation methods, causing inferior patient function. Conventional assessments of syndesmotic reduction do not account for normal syndesmotic motion with ankle range-of-motion (ROM). The aims of this thesis were to use four-dimensional computed tomography (4DCT) to determine normal syndesmotic motion and to investigate the impact of rigid and flexible fixation on postoperative syndesmotic kinematics.

Fifty-eight uninjured ankles were imaged to quantify normal syndesmotic kinematics. Thirteen patients after rigid or flexible fixation underwent bilateral ankle 4DCT to evaluate postoperative syndesmotic kinematics. Measures of syndesmotic width including anterior, middle, and posterior syndesmosis distances as well as tibiofibular clear space and tibiofibular overlap were automatically extracted from 4DCT data. Sagittal translation and fibular rotation were also recorded. Linear mixed effects models were used to determine the position of the syndesmosis at neutral dorsiflexion as well as syndesmotic motion, defined as the change in syndesmotic measurements with ankle ROM.

In uninjured ankles, various measures of syndesmotic width decreased by 0.7-1.1 mm as ankles moved from dorsiflexion to plantarflexion (p < 0.001). The fibula externally rotated by 1.2° with plantarflexion (p < 0.001). There was no significant motion in the sagittal plane (p = 0.43). Rigid fixation increased syndesmotic width compared to uninjured ankles when measured by middle syndesmotic distance and tibiofibular clear space only (p = 0.039 and 0.032 respectively). Rigid fixation demonstrated reduced motion compared to uninjured ankles in middle and posterior syndesmotic distance, tibiofibular clear space, and tibiofibular overlap (p < 0.01). There were no differences in syndesmotic position or motion between flexible fixation and uninjured ankles.

Ankle plantarflexion leads to decreased syndesmotic width and fibular external rotation in uninjured ankles, indicating ankle position must be accounted for when performing syndesmotic imaging and fixation. Flexible fixation better restores syndesmotic position and motion compared to rigid fixation. These findings may be used to decrease the rate of syndesmotic malreduction and, consequently, improve post-surgical outcomes.

## Preface

Chapters 1, 2, 4, 6, and 7 of this thesis are original and unpublished work by the author, M. Wong. Chapter 3 of this thesis has been submitted for publication with the co-authors C. Wiens, S. Manske, and P. Schneider. M. Wong was responsible for performing the review and writing the manuscript. The coauthors reviewed the manuscript and provided revisions. Chapter 5 of this thesis has been prepared for submission for publication with the co-authors C. Wiens, J. LaMothe, W.B. Edwards, and P. Schneider. The study was designed by M. Wong and P Schneider with input from C. Wiens and W.B. Edwards. M. Wong performed the study, analysed and interpreted the data, and prepared the manuscript. C. Wiens, J. LaMothe, W.B. Edwards, and P. Schneider reviewed the manuscript and provided revisions. The experiments reported in chapters 5 and 6 were covered by Ethics Certificate numbers REB14-1142 for the study "Static versus Dynamic Fixation in Syndesmotic injuries – Tightrope", effective April 16, 2015, and REB18-2146 for the study "Dynamic CT Syndesmosis", effective August 27, 2019, issued by the Conjoint Health Research Ethics Board at the University of Calgary.

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My family deserves a tremendous thank you for their unwavering support and belief in me. Finally, thank you to Karrie for everything, whether it was feedback and advice, encouragement, or planning an adventure together. I couldn't have done it without you.

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## List of Abbreviations

- 3D: three-dimensional
- 4D: four-dimensional
- 4DCT: four-dimensional computed tomography
- AITFL: anterior inferior tibiofibular ligament
- AOFAS: American Orthopaedic Foot and Ankle Hindfoot Score
- AP: anteroposterior
- ASD: anterior syndesmosis distance
- CT: computed tomography
- FAAM: foot and ankle ability measure
- HU: Hounsfield units
- IML: intermalleolar ligament
- IOL: interosseous ligament
- MCS: medial clear space
- MRI: magnetic resonance imaging
- MSD: middle syndesmosis distance
- MDCT: multi-row detector computed tomography
- MSK: musculoskeletal
- OM: Olerud and Molander Score

OTA: Orthopaedic Trauma Association

PER: pronation-external rotation

PITFL: posterior inferior tibiofibular ligament

PSD: posterior syndesmosis distance

RMSE: root mean square error

ROM: range-of-motion

SER: supination-external rotation

SFMA: Short Form Musculoskeletal Function Assessment

STL: stereolithography

TTO: tibial tubercle osteotomy

TTTG: tibial tubercle trochlear groove distance

TFCS: tibiofibular clear space

TFO: tibiofibular overlap

TTFL: transverse tibiofibular ligament

VAS: visual analog pain scale

Introduction

## 1 1. Introduction

## 2 1.1. Background

3 The syndesmosis is a group of ligaments that stabilizes the distal tibiofibular joint while allowing small but 4 significant motion. Injuries to the syndesmosis are common, occurring in up to 18% of all ankle sprains<sup>1,2</sup> and up to one-quarter of all ankle fractures.<sup>3,4</sup> When injured, malreduction of the syndesmosis after 5 6 surgical or nonsurgical treatment has been found to be the most important factor contributing to inferior outcomes including pain, instability, stiffness, and ankle arthritis.<sup>5–7</sup> These can have a debilitating impact 7 on quality of life, leading to reduced function, time away from work, and need for future surgery.<sup>8</sup> 8 However, syndesmotic reduction is both challenging to achieve and measure. Malreduction is common,<sup>9–</sup> 9 10 <sup>12</sup> with reported malreduction rates as high as 52% post-operatively.<sup>9</sup> Rigid screw fixation of syndesmotic 11 injuries can lead to a higher risk of symptomatic malreduction compared to flexible fixation with heavy suture and an endobutton spanning the distal tibiofibular joint.<sup>13–15</sup> Syndesmotic kinematics are altered 12 after either method, though flexible fixation is more physiologic.<sup>16</sup> 13

14

The syndesmotic complex is a dynamic structure, therefore conventional computed tomography (CT) does not provide a complete picture of changes in syndesmotic position, giving potentially inaccurate results. This may also explain the high rates of malreduction reported if motion is not accounted for during surgery or with imaging assessment of reduction. Four-dimensional CT (4DCT) is an emerging technology which can be used to image joints in real-time, as they move through a range-of-motion (ROM).<sup>17</sup>

Introduction

### 21 1.2. Study Rationale and Contributions

22 Despite injuries to the syndesmosis being a common orthopaedic problem, significant variability in 23 treatment remains. A poor understanding of normal syndesmotic motion and the resultant impact of rigid 24 and flexible fixation on post-injury kinematics contributes to this variability. Given the importance of 25 accurate syndesmotic reduction, we proposed a novel application of 4DCT to determine the relative 26 position of the distal tibiofibular joint throughout full ankle ROM, rather than the single, non-standardized 27 position, as is evaluated by conventional CT. This study is the first to define normal syndesmotic motion 28 in vivo throughout a full ankle ROM and compare syndesmotic motion and reduction quality following 29 rigid and flexible fixation throughout ankle ROM. Knowledge gained from this study may be used to 30 optimize reduction methods, improve patient outcomes, and guide the further development of 4DCT 31 techniques.

32

#### 1.3. Research Aims and Hypotheses

By shifting the paradigm of syndesmotic reduction from a single, constant measurement to a dynamic variable which changes with ankle motion, the aim of this study is to reduce functional impairment after syndesmotic injury. This will be achieved by first understanding normal syndesmotic motion, followed by quantifying the impact of fixation methods on post-injury motion.

38

#### 39 1.3.1. Specific Objectives

- 40 1) To quantify normal syndesmotic kinematics through ankle ROM.
- 41 2) To quantify side-to-side variability in syndesmotic kinematics in healthy participants.

42	3)	To compare syndesmotic kinematics following rigid and flexible syndesmotic fixation to normal,
43		uninjured motion.

44

45 1.3.2. Hypotheses

46 1) The relative position of the distal tibia and fibula will change significantly throughout ankle range
47 of motion in uninjured ankles.

48 2) There will be minimal side-to-side variability within participants with bilateral uninjured ankles.

49 3) Flexible fixation for the treatment of syndesmotic injury will more accurately reproduce normal,

uninjured motion, compared to rigid fixation.

50

51

#### 52 **1.4. Organization**

53 In this thesis, Chapter 2 will review the existing literature around syndesmotic injuries. Different treatment 54 options will be discussed, along with the functional consequences of malreduction, and strategies to 55 improve reduction. Chapter 3 discusses 4DCT imaging developments and its utility in evaluating musculoskeletal (MSK) pathology. Chapter 4 describes our methodology for 4DCT image acquisition and 56 57 processing. This includes development of a novel computer program to automatically register bone 58 positions between 4DCT timepoints. Chapter 5 is a study of normal syndesmotic kinematics, as measured 59 in healthy volunteers using 4DCT. This addresses Objectives 1 and 2. Chapter 6 analyses a pilot cohort of 13 patients one year after treatment of syndesmotic injury with rigid or flexible fixation. With this pilot 60 61 study, we investigate Objective 3 and lay the groundwork for a larger prospective study to further address the objective. Chapter 7 is a general discussion of the findings related to this thesis. 62

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review of the current status and applications. *J Med Imaging Radiat Oncol* 2015;59:545–554.

- 106 2.1. Normal Anatomy
- 107 2.1.1. Ligamentous Anatomy

The syndesmosis is a complex of ligaments which stabilize the distal tibiofibular joint. These ligaments resist rotation and translation of the fibula relative to the tibia, facilitate fibular load transfer, and help maintain congruity of the ankle joint.<sup>1–5</sup> The syndesmosis is comprised of the anterior inferior tibiofibular ligament (AITFL), posterior inferior tibiofibular ligament (PITFL), transverse tibiofibular ligament (TTFL), also referred to as the inferior transverse ligament, and the interosseous ligament (IOL) which is a distal continuation of the interosseus membrane (Figure 2.1).



Figure 2.1: Ligamentous anatomy of the distal tibiofibular joint and ankle. Reprinted from Netter's Concise
 Orthopaedic Anatomy (p. 349), by J. C. Thompson, 2016, Philadelphia, Elsevier. Copyright 2016 by Saunders,
 Elsevier.<sup>6</sup>

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The AITFL is a trapezoidal ligament which extends from the anterior tibial (Tillaux-Chaput) tubercle to the anterior (Wagstaffe) tubercle on the fibula.<sup>2,7</sup> Three fascicles run from a broad tibial origin to converge on the fibula, oriented 35° inferiorly and 25° posteriorly.<sup>2,7,8</sup> The most distal fascicle of the AITFL, Bassett's ligament, runs intraarticularly through the anterolateral tibiotalar joint and abuts the lateral talus during dorsiflexion.<sup>7,9</sup> The AITFL length is 9 mm superiorly and 20 mm distally with a total intrasubstance width of all three fascicles of 17mm.<sup>2,8</sup> The thickness varies from 2-4 mm.<sup>8,10</sup> The AITFL provides the primary restraint to external rotation of the fibula.<sup>11</sup>

127

128 The PITFL originates on the posterior malleolus (Volkmann tubercle) of the tibia and inserts on the posteromedial aspect of the lateral malleolus behind the articular facet.<sup>2,7</sup> The PITFL also has multiple 129 130 fascicles making up both a deep and superficial component, but these are more variable and less distinct 131 than the AITFL.<sup>2,9</sup> Similar to the AITFL, the PITFL has a trapezoidal shape with a broader tibial origin which narrows slightly at the fibular insertion.<sup>7,12</sup> The course of the PITFL is mostly in the coronal plane, travelling 132 20° inferiorly and only 5° anteriorly.<sup>2</sup> The inferior margin abuts the trochlea of the talus and the superior 133 margin is in close proximity to the IOL, making distinction difficult.<sup>2,12</sup> Superiorly, the PITFL is 13 mm in 134 length compared to 24 mm distally. The width is 18 mm and the thickness is 6 mm. The PITFL primarily 135 resists internal and external rotation and lateral translation of the distal fibula.<sup>8,11,13–15</sup> 136

The TTFL is a fibrocartilaginous structure which lies distal to the PITFL and travels from the tibial plafond 138 dorsally to the lateral malleolar fossa.<sup>2,7,16</sup> It is still debated whether this ligament should be considered a 139 separate entity rather than a component of the PITFL or thickening of the synovial capsule.<sup>2,7,8,13,15</sup> Those 140 who view it as a continuation of the PITFL argue that it is oriented parallel to the remainder of PITFL fibres 141 142 and performs the same function.<sup>8,9</sup> Others have demonstrated that the PITFL and TTFL are distinct 143 structures via dissection, arthroscopy, or magnetic resonance arthrography, that the TTFL has a more horizontal orientation, and that the two ligaments are separated by fibro-fatty connective tissue.<sup>2,7,17,18</sup> 144 The TTFL varies in shape and size.<sup>13,19</sup> In most cases, it originates on the posteroinferior corner of the 145 posterior tibial tubercle, but may reach the medial malleolar fossa in some cadaveric specimens.<sup>2,7</sup> It 146 inserts on the fibula just inferior to the PITFL at the proximal fibular malleolar fossa.<sup>7</sup> Length of the TTFL 147 is 36 mm on average with a width of 4 mm and 2 mm thickness.<sup>2</sup> The TTFL appears to perform a meniscal 148 or labrum-like role, increasing joint contact area between the distal tibia and talus.<sup>2,7,20</sup> 149

150

151 The interosseous membrane gradually transitions distally to the thicker IOL which spans approximately 3-4 cm, ending 1 cm above the ankle joint.<sup>2,7</sup> The tibial origin begins on the fibular ridge proximally and 152 follows the anterior edge of the incisura distally.<sup>2,8</sup> The insertion is on the medial aspect of the distal 153 fibula.<sup>2,8</sup> Fibres are oriented in the lateral, distal, and anterior direction.<sup>2</sup> In an unstressed configuration, 154 these fibres have redundancy and are folded within the interosseous space.<sup>2,7,21</sup> The IOL length ranges 155 from 6-10 mm with a width of 2-4 mm and a thickness of 2-5 mm.<sup>2,21</sup> The IOL contributes to ankle stability 156 157 by allowing slight diastasis of the tibia and fibula during ankle motion or loading, neutralizing forces and preventing fibular bowing during foot impact, and has also been found to transmit axial loads from the 158 tibia to the fibula to varying extents throughout the gait cycle.<sup>2,7,13,22</sup> 159

161 Though not considered part of the syndesmotic complex and variably present, the intermalleolar ligament (IML) also contributes to ankle stability.<sup>23</sup> The IML has been identified in 50-80% of uninjured ankles based 162 on magnetic resonance imaging (MRI), varying from a thin fibrous band to a thicker, cord-like 163 structure.<sup>12,23</sup> Situated between the TTFL and PITFL, the IML runs parallel to the TTFL and likely serves a 164 similar purpose.<sup>7,12,23</sup> In addition to these ligaments, the deltoid ligament has also been shown to restrict 165 fibular external rotation and lateral translation by constraining talar motion.<sup>13,14,24</sup>. Situated on the medial 166 side of the ankle, the superficial deltoid attaches to the tibial anterior colliculus with fibres to the 167 168 navicular, calcaneus, and talus, while the deep deltoid inserts on the posterior colliculus and intercollicular groove and attaches to the talus.<sup>25</sup> 169

170

#### 171 2.1.2. Bony Anatomy

Bony congruency of the distal tibiofibular joint also contributes to stability of the syndesmosis. On the tibial side, the syndesmosis begins superiorly where the crista interossei tibiae, or lateral ridge, bifurcates into anterior and posterior ridges at 6-8 cm above the plafond.<sup>7</sup> These ridges become the anterior and posterior tubercles that enclose the syndesmosis.<sup>7</sup> The area between these tubercles, the incisura, is generally concave to accommodate the distal fibula (Figure 2.2).<sup>16,26,27</sup> Mean incisural width is 22 mm, but the morphology varies greatly between cadaveric specimens, and therefore the depth ranges from 0-7.5 mm.<sup>16,26,28,29</sup> While 60-75% of incisurae are concave, others are classified as flat or irregular.<sup>26,27,30</sup>



Figure 2.2: Lateral view of the tibial incisura, enclosed by the anterior and posterior tubercles, which improves bony
 congruity between the distal tibia and fibula. Fibula removed in (A) and present in (B).

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184 Cross-sectional osseous anatomy of the fibula also shows considerable variability at the level of the 185 syndesmosis.<sup>27</sup> Four borders are described, the anterior, medial, posterior, and lateral borders, but are 186 inconsistent in size and prominence.<sup>7,19,31</sup> In general, the fibula has an oval cross-section to match the 187 incisura, with a more prominent anterior tubercle than posteriorly and a best defined lateral border.<sup>2,7,10</sup>

There is a small area of articulation between the distal tibia and fibula that is covered in cartilage and spans 2-5 mm from the plafond, which is present in upwards of 88% of specimens.<sup>2,7–9</sup> Above this, the syndesmotic recess is a synovial-lined plica which extends 4-25 mm into the syndesmosis from the tibiotalar joint, ending just distal to the IOL.<sup>7–9,19</sup>

193

## 194 2.2. Normal Motion

195 Cadaveric and *in vivo* imaging studies have quantified syndesmotic motion at the distal tibiofibular joint 196 both throughout ankle ROM and in response to stress. Physiologic motion serves to provide ankle stability, 197 while allowing for increased motion of the irregularly shaped talus which is broader anteriorly.<sup>8,32–34</sup> This 198 adaptation maintains joint congruity and consequently lowers joint contact stresses.<sup>33,35–41</sup> In addition, 199 fibular motion can serve to adjust the load transmitted from the tibia to the fibula during loading and gait 200 by 6-30%.<sup>4,42–45</sup>

201

During ROM, as the ankle moves from dorsiflexion to plantarflexion, there is up to 1.3 mm of anterior fibular translation, 3.0 mm of medial translation, and 3.7° of internal rotation relative to the tibia.<sup>16,33,46,47</sup> Many studies have shown up to 5° of fibula internal rotation although motion in the opposite direction has been demonstrated as well.<sup>33,46,48–50</sup> Reporting of translation and rotation in other planes is inconsistent or insignificant.<sup>33,46</sup>

207

Weightbearing may also induce changes in syndesmotic position. Under a 600 N axial load, Hu et al.<sup>48</sup> showed significant changes in cadaveric fibular position compared to the unloaded state, with 0.8 mm of lateral translation, 0.5 mm of posterior translation, and 1.2° of external rotation. However, other studies have failed to find significant changes between loaded and unloaded conditions in both *in vitro* and *in vivo* experiments.<sup>11,51</sup>

There is a corresponding change in syndesmotic position that occurs with varying foot position, given the function of the syndesmosis in preventing excessive external rotation of the distal fibula. Under external rotation stresses due to foot position, the fibula translates up to 2.8 mm posteriorly, 1.5 mm laterally, and externally rotates up to 3.9°.<sup>11,32,51,52</sup> Similarly, during the gait cycle, incorporating ankle ROM and loading, the syndesmosis exhibits 2.6 mm of translation in the medial-lateral direction, 3.8 mm in the anteriorposterior directions,<sup>53</sup> Internal-external rotation of 6.0°,<sup>53,54</sup> and the distal fibula translates up to 2.4 mm distally.<sup>16,50</sup>

221

## 222 2.3. Incidence of Injury to the Syndesmosis

Syndesmotic injuries have become a more common orthopaedic diagnosis due to both increased incidence and improved recognition. Injuries to the syndesmosis can be isolated ligamentous injuries but are more commonly associated with ankle fractures. Incidence is increasing due to both growing participation in sports and the increasing incidence of elderly ankle fractures.<sup>55–58</sup> Ankle sprains occur between 215 and 696 times per 100,000 person-years in the general population, with half of these being associated with sporting injuries.<sup>59</sup> Purely ligamentous syndesmotic injuries are commonly referred to as high ankle sprains and occur in 1-18% of all ankle sprains.<sup>13,55,60–62</sup>

230

Eighty percent of diagnosed syndesmotic injuries have an associated ankle or proximal fibula (Maisonneuve) fracture.<sup>13</sup> Ankle fractures make up 10% of all fractures, with an estimated frequency of 187 fractures per 100,000 person-years.<sup>63,64</sup> Approximately 20-25% of these fractures have a recognized injury to the syndesmosis.<sup>20,24,58,65,66</sup> The diagnosis of syndesmotic instability is dramatically higher when arthroscopy is used routinely during fracture fixation.<sup>67</sup> Based on fracture pattern, 17-45% of Lauge-Hansen supination-external rotation (SER) IV fractures and 57-95% of pronation-external rotation (PER)

- have syndesmotic injuries (Table 2.1).<sup>65,68–72</sup> Under the AO Foundation/Orthopaedic Trauma Association
- 238 (OTA)/Danis-Weber classification, upwards of 18% of unstable Weber B fractures and 72-90% of Weber C
- have syndesmotic injuries.<sup>65,73–75</sup>
- 240 Table 2.1: Lauge-Hansen and AO/OTA/Danis-Weber classification systems for describing ankle fractures.

Classification Scheme	Subtype	Findings
Lauge-Hansen <sup>72</sup>	Supination-Adduction	1. Transverse low fibular fracture or lateral
		ligament injury
		2. Vertical medial malleolus fracture
		1. AITFL sprain
		2. Oblique trans-syndesmotic fibula fracture
	Supination-External	3. PITFL rupture or coronal posterior
	Rotation	malleolus fracture
		4. Transverse medial malleolus fracture or
		deltoid ligament injury
	Pronation-Abduction	1. Transverse medial malleolus fracture or
		deltoid ligament injury
		2. AITFL sprain
		3. Transverse comminuted supra-
		syndesmotic fibular fracture
	Pronation-External Rotation	1. Transverse medial malleolus fracture or
		deltoid ligament injury
		2. AITFL rupture
		3. Oblique supra-syndesmotic fibula fracture
		4. PITFL rupture or coronal posterior
		malleolus fracture
AO /OTA/Danis- Weber <sup>75</sup>	Α	Infra-syndesmotic fibula fracture
	В	Trans-syndesmotic fibula fracture
	с	Supra-syndesmotic fibula fracture

241

## 242 2.4. Mechanism of Injury

The majority of syndesmotic injuries occur from low-energy falls, followed by sport-related injuries.<sup>76</sup> 243 244 Most isolated ligamentous injuries occur during sports participation<sup>55,77</sup> Injury to the syndesmosis most often occurs from an external rotation moment to the ankle with a pronated foot, or a Lauge-Hansen PER 245 pattern.<sup>13</sup> Injuries can also be associated with external rotation when the foot is supinated (Lauge-Hansen 246 SER), with forceful eversion of the talus (Lauge-Hansen pronation-abduction), or hyper-247 dorsiflexion.<sup>13,16,62,68,72,78</sup> In external rotation, the AITFL is generally the first syndesmotic ligament to tear, 248 followed by the IOL and PITFL sequentially.<sup>7,72</sup> Bony avulsions occur with 50% of syndesmotic injuries and 249 250 the PITFL is more likely to cause a posterior malleolus fracture, rather than an intra-substance ligament rupture, due to its strength.<sup>7,79</sup> Deltoid ligament injuries are also commonly associated with syndesmotic 251 injuries, which leads to further instability.60,80-82 252

253

Multiple classification systems exist to categorize syndesmotic injuries and inform treatment. These are similar in nature and most commonly applied to isolated syndesmotic injuries.<sup>82</sup> Gerber et al.<sup>61</sup> developed the West Point Ankle Grading System in which grade 1 injuries are isolated AITFL sprains or tears, without instability or radiographic diastasis. Grade 2 injuries involve injury to the AITFL and partial tear of the IOL, leading to mild instability, while grade 3 injuries require complete disruption of all ligaments and frank instability and/or diastasis.<sup>61</sup>

### 261 **2.5.** Instability

262 Injury to the syndesmosis can lead to significant instability and altered biomechanics of the tibio-fibular 263 and ankle joints, compared to normal motion. Some studies suggest AITFL injuries may require a second syndesmotic ligament injury or deltoid ligament injury before significant alterations to syndesmotic 264 265 biomechanics are detected,<sup>11,83,84</sup> whereas others demonstrate instability after isolated AITFL injury.<sup>14,85–</sup> <sup>87</sup> Based on cadaveric work by Ogilvie-Harris et al.,<sup>15</sup> the AITLF, PITFL, TTFL, and IOL contribute 35%, 33%, 266 9%, and 22% of resistance to syndesmotic diastasis, respectively. During cadaveric ankle ROM, sectioning 267 268 of all syndesmotic ligaments leads to a 207% increase in sagittal translation and 252% increase in posterior translation.<sup>33,49,78</sup> Axial rotation increases by 316%.<sup>33,49</sup> 269

270

Under external rotation stress, a complete syndesmotic injury leads to lateral translation of 2.0 mm, increasing 1.2 mm from the intact condition, and posterior translation is 7.7 mm, an increase of 5.1 mm in cadaveric studies.<sup>11,87,88</sup> Under the same conditions there are 6.5° of external rotation, with a mean increase of 3.7° compared to the intact state.<sup>11,14,49,85,87</sup> When sectioning the distal interosseous membrane in addition to the syndesmosis, diastasis increases an additional 7.4 mm and rotation increases 10.2°.<sup>86</sup> Sectioning of the deltoid ligament further decreases stability.<sup>83,87</sup>

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Disruption of the syndesmosis leads to instability in multiple planes.<sup>89</sup> An 80 N laterally directed force
 serves to increase diastasis by up to 5 mm, while the same magnitude directed posteriorly induces 10 mm
 of posterior translation.<sup>90,91</sup>

281
## 282 2.6. Clinical Presentation

Diagnosis of syndesmotic injuries can be challenging, especially in subtle injuries or those without bony involvement. The accepted gold standard for diagnosis of syndesmotic injuries is direct visualization of the syndesmosis with open dissection or ankle arthroscopy, but diagnosis is most commonly made based on clinical and imaging findings.<sup>13,16,60,81</sup>

287

288 **2.6.1**. History

Patients should be questioned about classic mechanisms including external rotation, eversion, or forceful dorsiflexion.<sup>13</sup> Athletic syndesmotic injuries commonly occur in football, soccer, hockey, skiing and basketball and are often related to contact with another player.<sup>56,77</sup> In cases of milder injury, patients present with lateral ankle pain, swelling, a sensation of instability, or difficulty walking on uneven surfaces or pushing off.<sup>13,92</sup>

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## 295 2.6.2. Physical Exam

296 Numerous clinical exam maneuvers exist to detect syndesmotic injury, however diagnostic accuracy of physical exam alone is poor. Twenty percent of syndesmotic injuries can go undetected.<sup>93</sup> Basic 297 298 provocative maneuvers for syndesmotic injuries includes palpation over the syndesmotic ligaments,<sup>43</sup> passive external rotation,<sup>94</sup> or dorsiflexion<sup>95,96</sup> of the foot. A squeeze test where the fibula and tibia are 299 300 compressed proximal to the syndesmosis may elicit pain in the syndesmotic region.<sup>62,84</sup> Similar tests 301 include lunging or squatting, with and without external compression at the syndesmosis by the examiner, or with bandaging, to determine if symptoms abate with compression.<sup>16,97</sup> A heel thump test involves a 302 forceful impact to a dorsiflexed foot and is considered positive if it causes pain.<sup>13</sup> The crossed-leg test 303 where the subject crosses the affected leg over the contralateral knee and compression is caused by 304

gravity.<sup>98</sup> Inability to perform a single leg hop on the affected side is also predictive of a syndesmotic 305 306 injury.<sup>97</sup> When compared to gold-standard arthroscopy (Table 2.2) or MRI (Table 2.4) sensitivity and specificity are poor.<sup>84,93,97,99</sup> Chronic injuries are even more difficult to detect with physical exam and only 307 15% of patients had a positive external rotation test and 10% had a positive squeeze test.<sup>100</sup> Instability is 308 309 gauged with either the rarely-performed clinical Cotton test<sup>93</sup>, where the examiner applies a lateral 310 distracting force to the foot and gauges lateral translation of the talus and fibula from the tibia, or the fibular translation test<sup>94</sup>, where anterior-posterior force is applied to the fibula to assess relative motion. 311 Beumer et al.<sup>80</sup> performed a biomechanical study that found instability testing to be insensitive unless 312 313 both a complete injury of the syndesmosis and anterior deltoid ligament injury were present, and that 314 degree of instability did not predict the injury severity. Clinically, sensitivity ranges from 0.29-0.64 with specificity of 0.43-0.71.<sup>93</sup> On assessment of gait, patients may ambulate with a decreased stride length 315 and limited dorsiflexion.<sup>43</sup> Given the poor performance of physical exam, imaging is recommended when 316 317 syndesmotic injuries are suspected.

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319

Table 2.2: Clinical test sensitivity and specificity compared to arthroscopy.

Test	Sensitivity	Specificity
External rotation <sup>93</sup>	0.50	0.00
Dorsiflexion <sup>93</sup>	0.50	0.57
Squeeze test <sup>93</sup>	0.26-0.57	0.14-0.88

320

Test	Sensitivity	Specificity
Direct palpation <sup>97</sup>	0.92	0.29
External rotation <sup>84</sup>	0.20	0.85
External rotation and dorsiflexion <sup>97</sup>	0.71	0.63
Squeeze test <sup>84,97</sup>	0.26-0.30	0.88-0.94
Lunge compression test <sup>97</sup>	0.69	0.41
Inability to hop <sup>97</sup>	0.89	0.29

Table 2.3: Clinical test sensitivity and specificity compared to MRI.

323

# 324 **2.7. Imaging**

## 325 2.7.1. Standard Radiography

326 To detect syndesmotic injuries, an ankle x-ray series should include an anteroposterior (AP), lateral, and mortise view which is taken in 15-20° of internal rotation.<sup>16,82</sup> However, numerous studies have shown 327 that standard x-rays lack the detail required to detect syndesmotic injury with adequate 328 sensitivity.<sup>19,69,81,86,101–107</sup> Anatomic variability, overlap of reference points, and changes with ankle rotation 329 limit the utility of reference measurements to diagnose injury.<sup>19,102,106,108</sup> In addition, the level of a fibular 330 fracture, when present, or increased medial clear space (MCS) between the talus and medial malleolus, 331 do not reliably predict the extent of syndesmotic injury as previously believed. 69,74,104 Measured 1 cm 332 333 proximal to the plafond, tibiofibular clear space (TFCS) is the horizontal distance between the medial border of the incisura and the medial border of the fibula. At the same level, tibiofibular overlap (TFO) is 334 the most lateral point of the tibial tubercles to the medial border of the fibula.<sup>101</sup> Increase in the TFCS 335 greater than 6 mm on AP or mortise view, decrease in TFO less than 6 mm on the AP view or 1-2 mm on 336 the mortise view, or tibiofibular overlap less than 42% of the fibular width are generally accepted markers 337 of syndesmotic injuries (Figure 2.3).<sup>92,101</sup> Bony avulsions from the anterior and posterior tibia are also 338

highly suggestive of injury.<sup>79</sup> Of these accepted measurements, TFCS on the AP view is recommended as 339 it is the least affected by rotation of the foot, which can lead to false positives and negatives with other 340 measurements.<sup>104,106,109,110</sup> Ankle plantarflexion has also been shown to affect these measurements.<sup>111</sup> 341 Despite high specificities reaching 1.00 in some studies, sensitivity is reportedly 0.36-0.58 compared to 342 MRI and arthroscopy.<sup>60,81,112,113</sup> When Nielsen et al.<sup>104</sup> compared x-ray measures with MRI diagnosis of 343 syndesmotic injury, using more liberal injury thresholds, they found poor correlation as well. Specificities 344 ranged from 0.00 to 0.65 and sensitivities from 0.22 to 1.00 for the various measurements.<sup>104</sup> Given the 345 low utility of applying reference values in most cases, authors have advocated using bilateral ankle 346 imaging.<sup>102,114–116</sup> Minimal side-to-side variability is present and asymmetry can be predictive of 347 injury.<sup>102,114</sup> With low sensitivity overall, standard x-rays cannot be relied on to diagnose syndesmotic 348 349 injury.



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Figure 2.3: X-ray of a Weber C ankle fracture with increased medial clear space (A) from deltoid ligament avulsion and disruption of the syndesmosis, leading to increased tibiofibular clear space (B) and loss of tibiofibular overlap (C).

355

### 356 2.7.2. Stress Imaging

357 Stress view x-rays are used to improve the detection of latent syndesmotic instability, where a stress 358 applied to incompetent or torn syndesmotic ligaments induces radiographic changes including increase in 359 the TFCS, TFO, or MCS. Change in these measurements of 2 mm or greater is generally considered 360 positive.<sup>3</sup> Stress can be applied in the form of weightbearing, an external rotation stress, or by gravity, 361 where the patient is positioned laterally with the affected side down, such that the weight of the foot 362 creates an external rotation stress.<sup>60,70,86,117</sup> In a nonoperative setting, a single leg weightbearing series is

most accurate, but is rarely tolerated.<sup>13,118</sup> No superior method between double leg weightbearing, 363 external rotation, or gravity stress views have been found.<sup>117,119,120</sup> Intraoperative diagnosis of 364 365 syndesmotic instability can be made with external rotation stress views or a hook test, also referred to as 366 the Cotton test, which uses a surgical instrument to distract the fibula from the tibia to detect diastasis under fluroscopy.<sup>70,90,121,122</sup> Traditional stress views are not always reliable either, especially in chronic 367 injury.<sup>51,60,90,122,123</sup> LaMothe et al.<sup>90</sup> compared complete syndesmotic and deltoid injuries to intact cadavers 368 and found that a 2 mm increase in TFCS under lateral stress had a sensitivity of 0.63 and specificity of 1.00 369 370 compared to external rotation stress sensitivity of 0.23 and specificity of 1.00. Increases in medial clear space have limited specificity due to possible deltoid ligament injuries causing false positives.<sup>122,123</sup> To 371 address these limitations, many authors have explored lateral imaging to assess instability. Given that 372 instability is greater in the AP direction, lateral imaging shows improved sensitivity to detect even partial 373 injuries with a posteriorly directed stress.<sup>86,90,124</sup> 374

375

# 376 2.7.3. Computed Tomography (CT)

377 CT can more accurately detect syndesmotic injury compared to x-ray due to lack of osseous overlap and 378 3D reconstruction of the distal tibiofibular joint.<sup>31,103,125</sup> CT can detect diastasis of 1 mm compared to the 379 3 mm required before diastasis may be apparent on x-ray.<sup>19</sup> CT also allows for assessment of fibular 380 rotation within the incisura, which is difficult, if not impossible, using x-ray.<sup>110,126,127</sup> Conventional CT is a 381 static, unloaded, and unstressed modality and thus is more suited to assessing fibular position and 382 syndesmotic malreduction than instability.<sup>121</sup> When conventional CT is used to diagnose syndesmotic 383 injury, malreduction must be present consistently and not just under stressed conditions.

385 Numerous measurements are available based on absolute values or relative change compared to the contralateral ankle.<sup>27,28,31,126,128–133</sup> As with plain x-rays, absolute reference values have low yield due to 386 anatomic variability as well as fibular motion.<sup>10,28,33,53,103,134</sup> Intra-subject anatomy has demonstrated 387 consistency and therefore performing CT imaging of bilateral ankles to assess for side-to-side variation is 388 recommended.<sup>27,28,126,130,132,135,136</sup> However, the impact of ankle position on side-to-side symmetry has not 389 390 been investigated. Commonly used measurements such as the direct distances between the tibia and fibula at the anterior and posterior aspects of the syndesmosis,<sup>27,130</sup> fibular rotation,<sup>130</sup> and sagittal 391 translation of the fibula demonstrate reliability.<sup>130</sup> Additionally, parameters analogous to x-ray 392 measurements, such as TFCS and TFO can be measured,<sup>126,135</sup> as can more complex measurements from 393 3D datasets including syndesmotic area or volume.<sup>10,129</sup> 394

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Weightbearing CT can increase the detection of syndesmotic injuries by stressing the syndesmotic ligaments during imaging.<sup>137</sup> Additional external rotation stress is also possible.<sup>32</sup> Using weightbearing CT, side-to-side differences in syndesmotic area can accurately predict syndesmotic instability.<sup>138</sup> However, this modality is not always practical or available for routine use.

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### 401 2.7.4. Magnetic Resonance Imaging (MRI)

402 MRI allows for direct assessment of ligament integrity and is especially accurate in diagnosing injury to 403 the AITFL and PITFL.<sup>139</sup> Though, it is sometimes unclear if ligamentous injury found is significant enough 404 to cause instability.<sup>60</sup> MRI also has the ability to detect secondary signs of injury such as edema, bony 405 bruising, and osteochondral lesions.<sup>82,140</sup> Standard 3.0 T protocols with oblique planes have been 406 developed to assess the syndesmotic ligaments in continuity and reduce false positive exams.<sup>141–143</sup> MRI 407 studies show a sensitivity of 0.90 or greater and a specificity of 0.84 or greater to detect injury compared

to arthroscopy.<sup>81,100,113,142,143</sup> MRI has excellent diagnostic accuracy, however it is generally performed
 unilaterally, and it's use is also limited by availability, high cost, and the inability to perform dynamic or
 stress examinations.<sup>24,60</sup>

411

### 412 2.7.5. Ultrasound

413 Given the limitations of other modalities, ultrasound is being used to evaluate patients with suspected syndesmotic injuries more frequently.<sup>82</sup> Ultrasound has the advantage of being inexpensive, more readily 414 415 available, without ionizing radiation, and has the capability to perform stress examinations.<sup>144,145</sup> In 416 dynamic ultrasound, the examiner is able to directly visualize the AITFL as well as visualize the interval between the anterior tibial tubercle and anterior border of the fibula.<sup>144</sup> In unstable cases, this interval 417 will increase with external rotation of the foot.<sup>144</sup> Due to variability in diagnostic criteria, cohorts 418 419 examined, and differing reference standards, there is a wide variety in published accuracies. Sensitivity ranges from 0.66-1.00 and specificity of 0.33-1.00.145-148 In the largest study, Van Niekerk et al.148 420 421 examined 114 patients with acute ankle injuries requiring surgery and lateral ankle pain. Dynamic ultrasound had a sensitivity of 0.86 and a specificity of 0.97 compared to arthroscopic findings.<sup>148</sup> 422

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Bilateral examination is more accurate as well. In a small series, Mei-Dan et al.<sup>147</sup> analyzed their receiver operator characteristic to achieve 1.00 sensitivity and specificity when comparing bilateral ankles under external rotation stress, with 0.89 sensitivity and specificity when examining only the affected ankle. A cadaveric study by Fisher et al.<sup>149</sup> demonstrated a difference in motion between the intact state and isolated complete AITFL rupture, but not between intact and a 75% AITFL tear. Given that the clinical importance of isolated AITFL injuries remains unclear,<sup>11,83,84</sup> appropriate thresholds must be developed to help interpret the results of dynamic ultrasound.

431

# 432 **2.8.** Arthroscopy

Arthroscopy is the established reference standard for diagnosis of injury to the syndesmotic ligaments.<sup>13,16,60,81</sup> Ligaments can be directly visualized for discontinuity or irregularity, along with assessment of instability using arthroscopic probes.<sup>81,94,121</sup> Other benefits include the ability to assess for loose bodies or osteochondral defects, and there is the option to perform treatment at the same time as the diagnostic assessment.<sup>115,150</sup> Obvious drawbacks are the high cost, availability, subspecialized training required, and potential for surgical complications.<sup>115,133</sup> In addition, the IOL is poorly visualized arthroscopically.<sup>121</sup>

440

# 441 **2.9. Treatment**

When injured, treatment of syndesmotic injuries should be aimed at anatomic reduction and restoring
stability to the distal tibiofibular joint.<sup>58,151,152</sup>

444

### 445 **2.9.1**. Stable Injuries

In patients with a congruent ankle joint and without diastasis of the syndesmosis under stress, the injury to the syndesmosis may be incomplete and conservative management is recommended.<sup>7,16,152</sup> These patients have grade 1 sprains and should be immobilized and prevented from weightbearing for two to six weeks, followed by functional rehabilitation.<sup>16,43,152</sup> In ankle fractures without pre- or intra-operative evidence of syndesmotic instability, the fracture should be managed with stable fixation, but without syndesmotic-specific fixation.<sup>117,152</sup>

### 453 2.9.2. Rigid Screw Fixation

When syndesmotic injury with frank or latent diastasis is present, stabilization of the syndesmosis is required to restore a congruent, stable ankle and minimize functional consequences.<sup>103,153–155</sup> Multiple techniques including ligament repair or reconstruction, augmentation with synthetic materials, bolts, staples, and hooks have been investigated.<sup>88,151,156–167</sup> The historic gold standard has been rigid fixation with one or more syndesmotic screws, which fix the fibula directly to the tibia.<sup>58,168</sup> Extensive study into the technical details of screw fixation has been performed, though the majority of these studies are biomechanical investigations or underpowered clinical studies.

461

One versus two syndesmotic screws have been compared. Two screws provide a biomechanically stronger 462 construct with higher yield strength.<sup>86</sup> Clinically this has not translated into any difference in functional 463 outcomes.<sup>169–172</sup> Conflicting conclusions have come from biomechanical studies investigating the optimal 464 465 position for syndesmotic screws. One study found less syndesmotic diastasis in screws placed 2 cm above the plafond compared to 3.5 cm above,<sup>173</sup> while another determined that screws at 5 cm had less 466 syndesmotic diastasis and greater strength compared to screws placed at 2 cm.<sup>174</sup> A third study performed 467 finite element analysis and reported that fixation at 3-4 cm above the plafond is optimal to minimize 468 displacement and implant failure.<sup>175</sup> Prior recommendations suggested screws below 2 cm risked direct 469 470 injury to remaining intact syndesmotic ligaments or placement into the intraarticular syndesmotic recess.<sup>176,177</sup> In a clinical studies however, no differences between trans-syndesmotic and supra-471 syndesmotic fixation were found.<sup>178,179</sup> Screw diameter has also shown not to impact outcomes after 472 syndesmotic fixation. Some biomechanical studies have found a significant increase in fixation strength 473 using 4.5 mm diameter screws compared to 3.5 mm,<sup>86</sup> while others have not.<sup>180–182</sup> Screws larger than 4.5 474 mm carry a higher risk of iatrogenic fibular fracture.<sup>183</sup> Clinically, Stuart and Panchbhavi<sup>179</sup> found that while 475

476 3.5 mm screws were more likely to break, this had no effect on radiographic reduction or functional 477 outcomes. When determining whether to engage three or four cortices (lateral fibula, medial fibula, lateral tibia, and medial tibia), no biomechanical difference has been detected.<sup>182,184</sup> Again, no difference 478 in clinical outcomes has been reported either.<sup>171,172,185,186</sup> Some authors are of the opinion that three 479 480 cortex screws are more likely to loosen while four cortex screws are more prone to break, however this assertion is not supported by the literature.<sup>155,187–190</sup> Proponents of four cortex fixation argue that implant 481 removal is easier in the case of implant failure.<sup>174,187</sup> Most syndesmotic screws are stainless steel but 482 483 titanium, as well as bioabsorbable screws, have been investigated. No biomechanical differences have been found between stainless steel and titanium screws.<sup>191</sup> Bioabsorbable screws were introduced to 484 485 avoid secondary surgery for screw removal and allow for gradual loading of the syndesmosis during resorption.<sup>192</sup> Early screws made of polyglycolide acid hydrolyzed approximately four weeks post-486 487 operatively, while newer screws made of polylactide acid and polylevolactic acid degrade over 3-12 488 months.<sup>193,194</sup> Metallic and bioabsorbable screws are biomechanically similar.<sup>195</sup> Randomized trials 489 comparing screw materials are limited in that metallic screws were removed routinely, however clinical results are not significantly different.<sup>187,196–198</sup> In addition to higher implant cost, bioabsorbable screw 490 complication rates are high, predominantly related for foreign body reaction and wound 491 complications.<sup>196,199,200</sup> In the largest clinical study, Sun et al.<sup>196</sup> found that 24/86 patients with 492 493 bioabsorbable fixation suffered a post-operative complication compared to 4/82 patients with metallic 494 fixation. Given the high complication rate and lack of clinical benefit with bioabsorbable fixation, stainless steel remains the gold standard material for rigid syndesmotic fixation. 495

496

# 497 2.9.3. Flexible Fixation

More recently, flexible devices have been developed which are made up of a heavy, nonabsorbable suture
secured in a tract through the fibula and tibia with a suture button construct (Figure 2.4). These are often
referred to as their brand names such as the Tightrope<sup>®</sup> (Arthex, Naples, USA), ZipTight<sup>™</sup> (Zimmer Biomet,
Warsaw, USA), or INVISIKNOT<sup>™</sup> (Smith+Nephew, Watford, UK). Suture button devices attempt to improve
functional outcomes and syndesmotic reduction by biomechanically mimicking the intact syndesmosis
and allowing subtle motion at the distal tibiofibular joint.<sup>47,201</sup>

504



505

506 Figure 2.4: Treatment of a syndesmotic injury with traditional rigid fixation with screws (top) compared to flexible

fixation with a suture button (bottom).

508

Cadaveric and modelling studies have shown that motion after flexible fixation is more physiologic than rigid fixation. Results vary, but the overall trend is that rigid fixation results in reduced motion, whereas motion after flexible fixation is supraphysiologic, especially in sagittal translation, but still more accurately recreates normal motion compared to rigid fixation.<sup>33,51,52,83,88,161,201–204</sup> More physiologic motion appears to have a positive impact on ligament healing, creating more organized structure with improved strength.<sup>205–207</sup> Despite this, some authors have speculated that flexible fixation does not adequately constrain fibular motion, which could lead to chronic instability and functional impairment.<sup>88,208,209</sup>

516

517 Clinical outcomes for flexible fixation are equivalent or improved compared to rigid fixation. Multiple 518 randomized controlled trials have been performed addressing reduction, functional outcomes, and 519 complications rates.<sup>29,210–215</sup> Individually, only some studies showed improvement in functional 520 outcomes,<sup>210,213</sup> ROM,<sup>214,216</sup> reduction,<sup>212</sup> or complication rates.<sup>215</sup> When these randomized control trials 521 are pooled, significant improvements in functional outcomes, ROM, reduction, complication rates, and 522 unplanned reoperation rates are all found for dynamic fixation.<sup>187,217–219</sup> Though statistically significant, 523 the clinical importance of these functional outcome improvements is unclear.<sup>187,213</sup>

524

Another benefit of flexible fixation is apparent compensation for malreduction, as the suture button allows the fibula to self-centre within the incisura to a degree.<sup>29,160,220</sup> Flexible fixation can also allow for earlier recovery through permissible early weightbearing.<sup>215,221,222</sup>

528

## 529 2.9.4. Posterior Malleolus Fixation

530 While previously reserved for restoring articular congruity with large fracture fragments, fixation of a 531 smaller posterior malleolar fracture, when present, is an increasingly popular technique to restore syndesmotic stability.<sup>223,224</sup> In external rotation type injuries, a posterior malleolus fracture may be 532 produced as an avulsion fragment of the PITFL and TTFL.<sup>225</sup> In these instances, the PITFL and TTFL are 533 intact and reduction of the bony fragment can restore syndesmotic stability by re-tensioning these 534 ligaments (Figure 2.5).<sup>225</sup> As the PITFL and TTFL combined contribute most to syndesmotic stability, this 535 536 method has been shown to provide greater stiffness than rigid screw fixation in response to external 537 rotation stress.<sup>225</sup> Posterior malleolus fixation leads to equivalent functional outcomes to conventional screw fixation and arguably avoids the challenges of malreduction since an anatomic bony reduction can 538 be perfomed.<sup>161,223,226</sup> 539



Figure 2.5: Lateral (A) and AP (B), and axial CT (C) images of a high fibular fracture and a posterior malleolus
fracture (arrow). Though there is a probable syndesmotic injury with this fracture pattern, syndesmotic stability has
been restored with fixation of the posterior malleolus fracture (D, E).

545

# 546 2.10. Complications

### 547 2.10.1. Implant Failure and Removal

Due to normal, physiologic motion at the distal tibiofibular joint, rigid screws are prone to breakage, 548 loosening, or planned removal which necessitates secondary surgery.<sup>160,179</sup> Routine removal was 549 previously recommended 6-12 weeks post-operatively due to alterations in normal syndesmotic motion 550 and contact area with rigid fixation.<sup>35,37,227</sup> However, studies have challenged this practice by showing that 551 screw removal may not significantly affect outcomes.<sup>71,73,155</sup> When left intact, screw breakage occurs in 7-552 553 29% of patients and screw loosening with radiographic osteolysis around the implant occurs 68-91% of the time.<sup>16,73,155,172,189,228–230</sup> Risk of breakage is up to 12 times higher in obese patients.<sup>231</sup> Studies in favour 554 of screw removal cite spontaneous reduction of malreduced tibiofibular joints<sup>232</sup> and improved ROM<sup>154</sup>. 555 556 In contrast, other authors have found loss of reduction following routine removal and no change in clinical parameters compared to screws left in situ.<sup>155,185,189,233,234</sup> In studies comparing between removed screws, 557 intact screws, and broken or loosened screws, the cases with radiographic implant failure fared better 558 clinically, whereas those with intact screws had the worst outcomes.<sup>155,189,230</sup> Presumably, rigid fixation 559 560 leads to inferior patient-reported outcomes, which improve with restoration of motion following implant failure or removal.<sup>155</sup> Screw removal is not a benign procedure and infection-related complications range 561 from 5-9%.<sup>234,235</sup> Estimated cost of implant removal ranges from \$1700-3600 USD.<sup>236,237</sup> Understandably, 562 563 implant removal rates are decreasing and newer recommendations advocate selective removal only in symptomatic patients with intact hardware.<sup>188,230,236</sup> In series where selective implant removal was 564 performed, unplanned removal rates ranged from 13-22%.<sup>212,217,238,239</sup> 565

567 Suture button devices are less prone to require implant removal, as they do not limit normal motion<sup>212,215,217,221</sup> Early studies reported removal rates as high as 10-25%, primarily attributed to irritation 568 and wound breakdown over the suture knot.<sup>16,160,221,234</sup> However, surgical techniques to reduce knot 569 prominence and newer generation knotless suture buttons have reduced the need for 570 reoperation.<sup>215,240,241</sup> Current estimates of suture button revision rates are 4-6%.<sup>217,238,239,242</sup> Lower 571 reoperation rates reduce complications including loss of reduction, but also limit costs.<sup>29,210,238,239</sup> Despite 572 higher initial implant costs, flexible fixation is cost effective, except when the implant removal rate for 573 rigid fixation is less than 10-13.7%. 238, 239 574

575

576 2.10.2. Infection

577 Superficial and deep infection have been reported in both rigid and flexible fixation.<sup>243–247</sup> Meta-analysis 578 shows no difference in infection rates, though this also incorporates older generation suture buttons with 579 increased rates of wound complications, including infection.<sup>217–219</sup> Conversely, some studies have shown 580 insignificant increases in infection in flexible fixation, hypothesizing that braided suture can act as a nidus 581 for infection.<sup>243</sup>

582

# 583 2.10.3. Synostosis

584 Synostosis is an abnormal bony connection between two bones. Synostosis between the distal tibia and 585 fibula can occur after syndesmotic injury with or without associated fractures.<sup>62,176,248</sup> In ankle fractures, 586 published rates based on radiographic assessment are 2% in Weber B fractures and 12% in Weber C 587 fractures.<sup>176</sup> In these fractures, rigid fixation of a syndesmotic injury has a 2.46 odds ratio for developing 588 synostosis.<sup>249</sup> However, when comparing radiographs to CT, Wikerøy et al.<sup>171</sup> found that radiographs 589 overestimated true bony bridging on CT by 200%. This may explain why studies based on plain x-rays

590 found that synostosis did not impair function, while when using CT to judge synostosis, patients with

591 synostosis had worse ROM and clinical outcomes.<sup>171,176</sup> A single case of synostosis was found after flexible

592 fixation, but overall rates are much lower than in rigid fixation.<sup>160</sup>

593

### 594 2.10.4. Rare Complications

Rare cases of fracture around suture-button tracts and following screw removal have been reported.<sup>250–</sup>
 <sup>252</sup> Both rigid and flexible fixation place peroneal and tibial tendons at risk without careful drilling and
 implant placement.<sup>253,254</sup>

598

## 599 **2.11.** Outcomes

#### 600 2.11.1. Stable Injuries

601 Multiple studies describe successful results treating low grade syndesmotic sprains nonoperatively.<sup>20,43,248,255</sup> Good to excellent results are reported in 86-100% of cases.<sup>16</sup> Management is 602 variable in terms of immobilization and protected weightbearing.<sup>13,92,181,256</sup> Despite good eventual 603 604 outcomes, recovery and time off sport are substantially higher than other lateral ankle ligamentous injuries.<sup>62,113</sup> In cases of syndesmotic injury, recovery was six times longer than lateral ankle sprains, on 605 average, and twice as long compared to the most severe ankle spains.<sup>55,62</sup> Gerber et al.<sup>61</sup> described 606 607 persistent symptoms at six months following syndesmotic injury, though other studies show that permanent dysfunction is rare and that return to sport is nearly universal.<sup>16,62</sup> Injuries are reported to 608 recur in 6% of patients.<sup>248,255</sup> 609

610

### 611 2.11.2. Unstable Injuries

Left untreated, unstable syndesmotic injuries fare poorly. Prior to intervention for chronic syndesmotic injury, mean patient American Orthopaedic Foot and Ankle Hindfoot Scores (AOFAS) were 48 and 56 in two separate studies.<sup>257,258</sup> While not part of the original description, scores less than 60 can be considered poor results, 60-79 fair, 80-89 good, and 90-100 excellent.<sup>259,260</sup> Patients scored 6.1 out of 10 on a visual analog pain scale, where higher scores indicate more severe pain.<sup>257</sup>

617

When considering all operative ankle fractures, with and without syndesmotic injury, results vary. Some studies describe good outcomes at 1 year with 90% of patients describing no limitations or only with recreational activities, and 88% of patients reporting no or mild ankle discomfort.<sup>261</sup> At longer term followup, outcomes suffer. Only 52-79% of patients report good or excellent function at 5-14 years.<sup>262–264</sup> Twenty-four percent of patients have poor outcomes.<sup>264</sup> In these fractures, syndesmotic injuries, even when treated, are poor prognostic factors for pain and functional impairment in the early and late postoperative period.<sup>71,73,265</sup> Maximal recovery is thought to occur by one year.<sup>261</sup>

625

626 Reasons for worse outcomes include arthritis, instability, pain, and impingement. High rates of secondary 627 arthritis are reported. Syndesmotic injury leads to reduction in joint contact area and increased contact 628 stresses, predisposing patients to secondary arthritis.<sup>87,153,266-268</sup> Even after rigid or flexible fixation, contact stresses do not normalize, though flexible fixation better approximates physiologic stresses.<sup>36,37,269</sup> 629 Ray et al.<sup>270</sup> found 11% of syndesmotic injuries treated with rigid fixation had radiographic and clinical 630 ankle arthritis at 7 years, and Lambers et al.<sup>169</sup> found radiographic evidence of arthritis in 49% of patients 631 at 18 years. Of these patients, 5% required eventual arthrodesis for their symptoms.<sup>169,270</sup> Patients may 632 also complain of ongoing multidirectional instability.<sup>89</sup> This instability accelerates degenerative change 633

- 634 through joint incongruity as well as motion itself.<sup>271,272</sup> Lastly, impingement may be another cause of pain
- after injury and arthroscopic studies after injury have found impacted hypertrophic remnants of the AITFL
- 636 and scar tissue in the anterior incisura to be pain generators and causes of impingement.<sup>94,100</sup>

637

Overall, poor outcomes after syndesmotic injury despite treatment can be related to malreduction and
 inadequate restoration of normal biomechanics. Anatomic reduction has been shown to limit
 degenerative changes and instability of the syndesmosis.<sup>71,105,107,211,273,274</sup>

641

- 642 2.12. Malreduction
- 643 **2.12.1**. Diagnosis

Syndesmotic malreduction is a common problem in both rigid and flexible fixation, reported in up to 52% 644 of cases.<sup>16,103,275,276</sup> Due to the low sensitivity of x-rays in detecting subtle translational and rotational 645 abnormalities, this modality underestimates the incidence of malreduction.<sup>19,106,110</sup> X-ray malreduction 646 rates are approximately 16%71,210,215,277,278 In comparison, malreduction is found on CT 20-52% of the 647 time.<sup>103,105,128,171,211,275,279</sup> One fundamental issue is how to best define reduction. Highest estimates of 648 malreduction come from a landmark study by Gardner et al.,<sup>103</sup> where a 2 mm difference between the 649 anterior and posterior syndesmosis widths was considered a malreduction. This criterion has been heavily 650 cited and used,<sup>135,280</sup> but subsequently has been shown to be a common normal finding.<sup>28</sup> When 651 652 performing CT in uninjured ankles, normal differences between the anterior and posterior syndesmotic widths are between 2-4 mm.<sup>27,126,130,279,281,282</sup> In one series, twelve of nineteen uninjured ankles would 653 have been considered malreduced according to Gardner's criteria.<sup>28</sup> These normative studies have shown 654 substantial anatomic variability between subjects,<sup>28,102,126,131,133,135</sup> but minimal side-to-side variation 655 within subjects.<sup>27,28,126,130,135,136,283</sup> Thus, more recent consensus is to perform side-to-side assessment with 656

bilateral ankle CT to assess reduction based on the contralateral ankle.<sup>27–29,105,126,130,131,138,282,284</sup> Still, ankle
position during CT assessment is not standardized, potentially affecting measures of reduction. Numerous
different measurements have been proposed, most often assessing the syndesmotic width, sagittal
translation, and fibular rotation.<sup>126,130,135</sup> Based on bilateral ankle post-operative CT scans, post-operative
malreduction rates continue to be as high 44%.<sup>105,171,211,281</sup>

662

Secondary malreduction, or loss of reduction, can occur over time in previously reduced ankles. Authors
 have demonstrated syndesmotic diastasis after screw removal or even with radiographically intact rigid
 fixation.<sup>29,210,213,215,219,234</sup>

666

## 667 2.12.2. Functional Impairment

668 Syndesmotic malreduction is the primary predictor of poor outcomes after fixation. Malreduction is the 669 most important factor in predicting poor function, more so than age, ankle dislocation, open injuries, or other associated fractures.<sup>71,105,211,281</sup> A difference in syndesmotic width of just 1.5 mm compared to the 670 671 contralateral, uninjured ankle is associated with clinically important and statistically significant reductions 672 in patient-reported outcome measures such as the validated Olerud and Molander Score (OM), AOFAS, and Short Form Musculoskeletal Function Assessment (SFMA).<sup>71,73,105,126,171,189,211</sup> These patients are at risk 673 of developing chronic pain, stiffness, instability, or post-traumatic arthritis.<sup>87,107,153,221,270,273,285</sup> This can be 674 675 a severely debilitating problem, with reduced quality of life from physical and mental disability comparable to end-stage hip arthritis.<sup>286</sup> Consequently, patients have reduced function and activity levels, 676 677 take time away from work and sports, and often require future surgical intervention. The clear functional 678 implications of syndesmotic reduction, coupled with the high incidence of malreduction, can explain why poorer outcomes are seen in patients with syndesmotic injuries overall compared to regular ankle sprainor fracture cohorts.

681

682 2.12.3. Impact of Fixation Method on Reduction

When introduced, one of the proposed benefits of flexible fixation was the improved ability to self-centre within the incisura and combat malreduction.<sup>220</sup> Rates of malreduction in flexible fixation are lower than with rigid fixation, but the problem has not been eliminated. In trials that directly compare the two, malreduction after flexible fixation can occur from 0-20% of the time, versus 16-39% for rigid fixation.<sup>29,211–</sup> 213,283 A systematic review of syndesmotic treatments found a 0.34 relative risk reduction for malreduction with flexible fixation compared to rigid.<sup>187</sup>

689

Flexible fixation is also less prone to secondary malreduction.<sup>29,52,210,211,221</sup> Even in cases where suturebuttons have been removed, it appears that reduction is maintained.<sup>221</sup> Kortekangas et al.<sup>29</sup> demonstrated a three-fold increase in malreduction in rigid fixation comparing two year post-operative imaging to immediate post-operative imaging. Conversely, there was no change in flexible fixation malreduction.<sup>29</sup> Despite significant improvements, flexible fixation on its own does not eliminate malreduction and the associated functional impairment.

696

# 697 2.12.4. Mitigation Efforts

Obtaining an anatomic syndesmotic reduction is challenging intraoperatively. Many authors have attempted to address these concerns with novel measurement techniques intraoperatively or implementing intraoperative CT scans. Given the poor sensitivity of standard fluoroscopy measurements

701 to detect malreduction, multiple measurement techniques have been developed. These include close 702 evaluation of specific relationships on mortise views, novel parameters measured on the lateral x-ray, and the use of contralateral templating.<sup>110,114,116,275,287,288</sup> Yet, even with these methods, our ability to detect 703 malreduction intraoperatively is poor.<sup>275,289</sup> Perhaps surprisingly, intraoperative CT has failed to improve 704 705 reduction as well. When measured with post-operative CT, syndesmotic malreduction rates remain elevated between 5-38% after intraoperative CT has been implemented.<sup>288–295</sup> Therefore, intraoperative 706 CT requires specialized equipment not available at many institutions and increases surgical time without 707 708 a clear reduction benefit.

709

710 Reduction methods have also been investigated in an attempt to improve reduction. Clamp and implant 711 (screw or suture button) placement is generally recommended parallel to the plafond at 30° off the 712 coronal plane, from posterolateral to anteromedial.<sup>24,298</sup> However, the trans-syndesmotic axis is variable between individuals and off axis clamping and fixation can increase malreduction rates.<sup>276,299–302</sup> When 713 applying a reduction clamp to the syndesmosis, overcompression is common.<sup>276,300,303,304</sup> Both reducing 714 715 the force applied via reduction clamp or performing manual reduction using the surgeon's thumb to centre the fibula in the incisura are described to avoid this problem, with limited success.<sup>300,305–307</sup> 716 Regardless, both clamp and thumb reductions fail to restore normal ankle joint contact area and stress.<sup>36</sup> 717 718 When flexible fixation is used, lower tensions are associated with higher instability, while higher tensions also overcompress the syndesmosis.<sup>308</sup> 719

720

Authors have explored the effect of direct visualization of the anterior incisura and AITFL compared to percutaneous syndesmotic reduction and found improved quality of reduction with open reduction.<sup>105,163,279,309</sup> Even with open reduction, malreduction rates of 16% persisted.<sup>105,279</sup> Further efforts

to minimize malreduction are ongoing including arthroscopic visualization of the syndesmosis or computer-assisted navigation.<sup>100,118,310</sup> These methods are not available routinely, require surgical expertise, and increase time and cost of the procedure.

727

## 728 2.12.5. Limitations of Conventional Imaging

729 Unfortunately, malreduction remains an unresolved issue in the treatment of syndesmotic injuries despite 730 our improved understanding of its implications and the substantial efforts to improve reduction. 731 Syndesmotic malreduction is still the most important factor that leads to residual disability after ankle 732 injury. Moreover, the syndesmosis is a dynamic structure, and therefore conventional CT does not provide 733 a complete picture of syndesmotic position. Conventional imaging of the ankle in a single, non-734 standardized, patient-selected ankle position may give an incomplete picture of syndesmotic kinematics 735 and misrepresent reduction. A seemingly reduced syndesmosis in one ankle position can actually be malreduced in another position and vice versa.<sup>29,311</sup> Emphasis on restoring normal syndesmotic motion 736 737 and maintaining reduction throughout ankle ROM can reduce impairment after injury. Dynamic imaging 738 is required to better appreciate syndesmotic kinematics in uninjured and post-fixation settings.

739

740 2.13. 4DCT

### 741 2.13.1. 4DCT Development

4DCT, also known as dynamic CT or kinematic CT, is an emerging technology that can capture image volumes in real time, as a joint is moved through ROM.<sup>312,313</sup> 4DCT was introduced in 1999 with multi-row detector scanners which can capture multiple image slices at once.<sup>314</sup> By arranging detectors in a row along the gantry axis, these machines create multiple images from a single x-ray source.<sup>314</sup> Initial scanners

could complete a full gantry rotation in 0.8 seconds to capture a 20 millimeter field along the gantry axis.<sup>314</sup>
Over time, gantry speeds have increased and the number of detector rows have grown from four to 320.<sup>315</sup>
At present, modern scanners have the ability to image a 160mm field of view along the axis of rotation in
under 0.3 seconds with future improvements anticipated.<sup>316</sup> This ultra-fast imaging may be repeated
continuously or at multiple timepoints to create a kinematic volume depicting the subject's position with
time (Figure 2.6). Such improvements have led to increasing use of 4DCT for clinical and research
applications over the past decade.

753





755

Figure 2.6: 4DCT imaging of the ankle joint through plantarflexion and dorsiflexion.

# 757 2.13.2. Syndesmotic 4DCT Imaging

758 In the musculoskeletal field, 4DCT has investigated shoulder, elbow, wrist, hip, knee, and ankle motion, 759 providing new insight into dynamic phenomena such as instability, impingement, and joint kinematics.<sup>317–</sup> 760 <sup>323</sup> Because of the subtle but important motion of the distal tibiofibular joint, it is plausible that variation in ankle position when imaging the syndesmosis statically can lead to inaccurate or misleading results. 761 762 This may contribute to the relative lack of success in eliminating malreduction or explain poor outcomes seen in some patients with seemingly reduced ankles on conventional imaging.<sup>53,282,324</sup> 4DCT evaluation of 763 764 the syndesmosis may provide a new understanding of both normal motion as well as quantification of the 765 consequences of malreduction throughout range of motion, which may inform strategies to improve 766 consistent syndesmotic reduction.

767

768 2.14. References

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# 1478 3. Four-Dimensional Computed Tomography: Musculoskeletal

- 1479 Applications
- 1480 **3.1. Introduction**
- 1481 3.1.1. CT Technology

1482 CT has advanced substantially since its introduction in the 1970's. The first CT scanners had limited 1483 resolution (matrix size 80x80), higher radiation (17mSv for a 6 slice CT head), and time consuming scanning 1484 and processing times (35 minutes).<sup>1</sup> However, improvements in hardware and imaging processing 1485 techniques have allowed CT to become ubiquitous in the medical field and the area of MSK health. 1486 Reduced ionizing radiation dose, rapid image acquisition speeds, and vastly improved image resolution 1487 has made CT the gold standard for diagnosis and treatment planning in many MSK conditions.<sup>2</sup> Multi-row 1488 detector CT (MDCT) scanners were introduced in 1999, which allowed the capture of multiple image slices 1489 at once by using adjacent detector rows along the gantry axis to detect a single x-ray source.<sup>3</sup> The gantry 1490 could complete a full rotation in 0.8 seconds and the field-of-view was 20mm along the axis of rotation. 1491 Gradual improvements in the technology reduced the time required for gantry rotation and increased the 1492 number of detector rows from four to a 320 row detector scanner which is currently available.<sup>4</sup> These 1493 modern scanners have the ability to image a 160mm field of view along the axis of rotation in under 0.3 seconds and further improvements are ongoing.<sup>5</sup> 1494

1495

1496 3.1.2. 4DCT Development

1497 With the introduction of wide field of view detector arrays and ultra-fast gantry rotation 4DCT, also known 1498 as dynamic CT or kinematic CT, has flourished over the last decade. By repeating the acquisition of the 1499 same volume with a single gantry rotation at multiple timepoints, a kinematic volume is created of the

subject's position at each time. Though technically possible since the advent of MDCT, small fields-of-view made the dynamic technique impractical. Further, slower gantry rotations made the images subject to motion artifact, and higher radiation doses made the practice unsafe for human participants. In contrast, recent techniques allow clinicians and researchers to capture large volumes in real time, with radiation exposure below that of a routine chest x-ray, depending on the anatomic region and acquisition protocol.<sup>6,7</sup>

1506

1507 **3.1.3.** Non-musculoskeletal Applications

1508 Some of the first applications of 4DCT were in radiation oncology, especially for thoracic and abdominal 1509 tumors where lesions are shown to move with respiration. Quantifying this motion with 4DCT can allow for precise delivery of therapeutic radiation to the lesion.<sup>8</sup> 4DCT can also evaluate dynamic structures such 1510 1511 as the heart by imaging the entire heart through the cardiac cycle to investigate flow and valvular 1512 pathology, and may be of utility in cases where echocardiography is technically challenging or for patients with MRI contraindications.<sup>9</sup> Vascular disease has been investigated with 4DCT, providing more 1513 1514 information than conventional CT angiography, including the response of aneurysms to the cardiac cycle, 1515 giving new insight into disease progression risk.<sup>10</sup> With an increasing body of evidence for the safety and 1516 utility of 4DCT in these fields, more widespread adoption of the technology is occurring. Clinicians and 1517 researchers are realizing that 4DCT provides insight into dynamic phenomena not previously possible to 1518 image.

1519

# 1520 **3.2. Musculoskeletal Applications**

1521 In the MSK field, questions regarding joint kinematics, instability, and impingement have all been 1522 addressed using 4DCT.

1523

#### 1524 **3.2.1**. Shoulder Girdle

1525 4DCT imaging has been used to image the sternoclavicular and acromioclavicular joints, as well as 1526 scapulothoracic motion in order to investigate shoulder instability and impingement. In the 1527 sternoclavicular joint, a case report describes visualization of the medial clavicle translating posteriorly with arm range of motion to abut the trachea in a patient who complained of an intermittent choking 1528 1529 sensation.<sup>11</sup> 4DCT revealed this compression, which was not evident on conventional CT or MRI, and led to the decision to perform surgical stabilization with a good outcome.<sup>11</sup> 4DCT has also been used as a 1530 1531 diagnostic tool to measure the degree of sternoclavicular joint instability based on translation during arm range of motion.<sup>12</sup> This information was used to recommend surgical versus conservative treatment with 1532 successful outcomes.<sup>12</sup> The acromioclavicular joint has also been imaged dynamically and revealed that 1533 1534 cases of persistent pain with seemingly low grade injuries could be attributed to unexpectedly large 1535 translations with glenohumeral joint range of motion, giving a more accurate prognosis for functional impairment with and without reconstructive surgery of the joint.<sup>13</sup> In the acromioclavicular joint, 4DCT 1536 1537 has provided normative data on uninjured joint motion and has also been able to detect pathologic 1538 motion in patients with uncertain diagnosis such as instability versus arthrosis via conventional examinations.<sup>13,14</sup> Clinicians have also used 4DCT to investigate snapping scapula syndrome. In the case of 1539 1540 impingement of the scapula on the posterior ribs, preoperative 4DCT has allowed clinicians to determine 1541 the precise point of impingement to minimize unnecessary bone resection and to ensure the site of pathology is addressed.<sup>15</sup> These clinical applications of 4DCT improved diagnosis, prognostication, and 1542 1543 informed surgical planning throughout the shoulder girdle.

1545 **3.2.2.** Elbow

To our knowledge, only one study has investigated the elbow using 4DCT. Work by Goh et al.<sup>16</sup> demonstrated a use for 4DCT imaging of the ulnohumeral joint in which 4DCT demonstrated impingement of osteophytes on the coronoid process and olecranon, preventing both terminal flexion and extension. These findings highlight the advantage 4DCT has over conventional CT to confirm restrictions in motion due to impingement, rather than capsular fibrosis or adhesions, as is common after elbow injuries. As the technology matures, further 4DCT investigations are warranted in the elbow.

1552

1553 **3.2.3**. Wrist

1554 Within the wrist, numerous studies have made use of 4DCT to investigate carpal motion. Given that subtle 1555 changes can be responsible for functional limitations and severe symptoms, standard imaging methods including MRI often lack the required sensitivity for diagnosis.<sup>17</sup> Normal kinematics for the proximal carpus 1556 have been described as well as post surgical changes.<sup>17–19</sup> Mechanical symptoms, including the catching 1557 1558 or clunking seen in trigger lunate syndrome, or instability, such as in scapholunate instability, have also been identified with 4DCT.<sup>20–23</sup> In these conditions, the mechanical cause of symptoms was only detected 1559 1560 on dynamic imaging, without which appropriate treatment is challenging. The complexity of the carpal 1561 joints, coupled with the small field-of-view required and minimal radiosensitivity, make wrist pathology 1562 an ideal application for 4DCT and clinical indications for 4DCT continue to expand and evolve.

1563

1564 3.2.4. Hip

1565 At present, there has been limited 4DCT examination of the hip joint, in part due to the high effective 1566 radiation dose from proximity to radiosensitive tissues and higher energy required for x-ray exposure.<sup>2,24</sup> 1567 However, one study has investigated femoroacetabular impingement and found that 4DCT more

accurately predicted the location of cam and pincer type impingement on the femur and acetabulum versus traditional radiographs or MRI, when compared to gold-standard surgical hip dislocation.<sup>24</sup> These findings demonstrate the benefits of 4DCT to allow surgeons to accurately plan minimally invasive interventions to remove the sites of impingement via hip arthroscopy, thus minimizing arthroscopy times and morbidity while improving the localization of required resection. It is expected that further use of 4DCT for understanding hip pathology will follow as newer image reconstruction methods and hardware are developed to reduce radiation exposure to the patient.

1575

1576 **3.2.5.** Knee

With respect to the knee, several studies have developed 4DCT acquisition protocols in order to analyse 1577 1578 patellar tracking through knee range of motion to investigate patellofemoral pain syndrome and the nebulous etiology that is commonly related to patellar mal-tracking and subluxation.<sup>25–27</sup> 4DCT is well 1579 1580 suited to investigate patellar tracking though knee range of motion in this patient population. When 1581 determining whether to perform a tibial tubercle osteotomy (TTO) versus medial patellofemoral ligament reconstruction, one group has demonstrated that tibial tubercle-trochlear groove distance (TTTG) varies 1582 1583 significantly with knee flexion angle which is non-uniform on review of conventional imaging.<sup>28</sup> Their 1584 results show that 70% of symptomatic patients would qualify for TTO with the knee flexed to 30 degrees based on accepted TTTG thresholds versus only 24% of patients at 0 degrees.<sup>28</sup> Using dynamic imaging, 1585 1586 quantitative and repeatable measures of instability and mal-tracking are possible which help to identify the etiology of patellofemoral pain, stratify patients, and select surgical candidates.<sup>27–30</sup> 1587

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# 1589 3.2.6. Foot and Ankle

1590 Multiple 4DCT studies have quantified subtalar joint motion and recent work has expanded into the distal 1591 tibiofibular joint. In the subtalar joint, 4DCT has demonstrated motion changes between healthy ankles 1592 and those with chronic instability symptoms or stiffness.<sup>31</sup> Dynamic imaging of the subtalar joint with the 1593 application of an external stress throughout a range of motion gives an objective measure of the etiology 1594 of instability. 4DCT can also measure patient response to therapy and motion patterns can be linked to specific injury patterns for precise treatment.<sup>32</sup> Motion at the distal tibiofibular joint, or syndesmosis, has 1595 also been reliably quantified with 4DCT.<sup>33</sup> Figure 3.1 demonstrates physiologic changes in syndesmotic 1596 1597 distances with ankle range of motion which are important to appreciate when assessing reduction. 1598 Understanding physiologic motion at this joint is crucial for developing surgical repair techniques after 1599 ligamentous injury. Imaging throughout an active ROM can also compare fixation methods in order to 1600 further understand causes of residual functional impairment.



Figure 3.1: Syndesmotic reduction in plantarflexion (left) and dorsiflexion (right) as measured by the anterior (AN),
 middle (MN), and posterior (PN) syndesmotic distances.

1605

### 1606 3.3. Challenges and Future Directions

4DCT is a relatively new modality and continuous advancements are being made to this technology. It is anticipated that increasing availability and understanding of 4DCT will lead to an expansive scope for research and application to clinical practice.<sup>34</sup> Advances in field-of-view can be achieved through increasing the number of detector rows or increasing detector size.<sup>4</sup> However, the latter method would decrease axial resolution. Optimal acquisition protocols need to be determined to minimize dose and motion artifact without sacrificing resolution and the ability to track motions as desired.

1613

1614 Motion artifact is another challenge which ongoing work is dedicated to resolving. Recommendations 1615 have been made to design protocols to limit artifact by orienting the plane of motion optimally and 1616 promoting smooth patient motion through training, external cues, or custom devices to constrain range of motion or even simulate weightbearing conditions.<sup>35–37</sup> These recommendations regarding positioning 1617 1618 and speed are not always feasible however as this motion must be achievable within the confined CT 1619 gantry and some phenomena are only observed under particular conditions. Half reconstruction methods 1620 use only one half of a gantry rotation to create an image, compared to a full rotation of projections. In dynamic applications this serves to improve motion artifact as well as reduce radiation exposure.<sup>35</sup> Other 1621 1622 advancements such as implementation of scanners with dual x-ray source technology and increased gantry rotation speeds can also serve to reduce motion artifact.<sup>35</sup> 1623

1624

1625 When investigating post-surgical motion, metal artifact from implants also poses significant challenges for 1626 image interpretation and processing. To date, no studies have incorporated metal suppression via dual-

1627	energy CT into dynamic protocols, likely because dual-energy CT would decrease the temporal resolution
1628	and increase radiation dose. As a result, metal artifact reduction is currently limited to post-processing
1629	algorithms and manual correction, which requires increased user time and may reduce the accuracy of
1630	results.

1631

1632 Concerns of radiation exposure continue to limit the adoption of 4DCT exposure as well. In general, the 1633 more proximal the area to be imaged, the higher effective radiation dose.<sup>2</sup> Improvements continue to be 1634 made in x-ray source hardware and iterative reconstruction techniques to lower the dose produced by 1635 the source, while still maintaining image quality.<sup>38</sup>

1636

1637 Finally, 4DCT produces large data sets which result in substantial image processing time and effort, 1638 especially in the case of routine clinical adoption. Qualitative analysis of motion is possible and multiple 1639 manufacturers and software packages provide the ability to visualize three-dimensional reconstructions 1640 at each timepoint. However, quantitative analysis requires further post-processing with segmentation of 1641 individual bones and registration of these bones across timepoints. Currently, there are limited 1642 commercially available software packages which can semi-automate measurement and analysis 1643 protocols, but more work is required to make versatile tools available for ease of use and clinical 1644 implementation.

1645

1646 **3.4.** Conclusion

1647 4DCT technology remains in its early phases but has promising clinical and research applicability in 1648 numerous areas, including diagnostic, prognostic, and surgical outcome assessment for many MSK

1649 pathologies. As the technology matures and gains further adoption it can be applied to joint kinematics

1650 and the quantification of instability, mal-tracking, and impingement. Future work should focus on

1651 improving image quality and patient safety to enable wider adoption of the technology. Consequently, we

1652 can expect novel uses of 4DCT to create improvements in the diagnosis and treatment of a wide variety

1653 of MSK conditions.

1654

1655 **3.5. References** 

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# 1757 4. Imaging Protocol

In order to measure syndesmotic position and motion accurately and efficiently, custom image acquisition
and analysis protocols were developed as part of this thesis work. Participants underwent standardized
4DCT scans of bilateral ankles followed by manual 3D bony model creation. Subsequently, we utilized an
automated process to register these models to each timepoint to calculate various clinical measurements
without requiring further user input. Linear mixed effects models were used to determine syndesmotic
position and motion throughout full ankle ROM.

1764

# 1765 4.1. Image Acquisition

### 1766 4.1.1. Patient Positioning

1767 Participants were positioned supine on the CT scanner platform. Their lower legs were supported on 2 1768 pillows to allow their ankles to hang freely and induce knee flexion. A foam sponge was placed between 1769 their legs and lower legs were secured to the platform using straps. Securing their lower legs restricted 1770 tibial motion, in order to reduce motion artifact and allow for image capture within a restricted field of 1771 view (Figure 4.1). We used a standardized instruction form to direct participants to actively move their 1772 ankles between full dorsiflexion and plantarflexion with two seconds between maximal positions. 1773 Participants were asked to avoid eversion and inversion as well as internal and external rotation. We also 1774 asked that they concentrated on slow, smooth motion without changing speed throughout the arc of 1775 motion. Participants practiced the motion and were coached as necessary prior to image acquisition. A 1776 flashing light mounted on the CT scanner housing was used to assist patients with timing.



#### 1778

Figure 4.1: Participant positioning on scanner platform. Legs were supported on pillows to allow the ankles to move
 freely and a sponge was placed between the legs. Fabric straps (not pictured) secured the legs to minimize tibial
 motion.

1782

#### 1783 4.1.2. 4DCT Scan Parameters

1784 The same GE Medical Systems Revolution<sup>™</sup> CT scanner (General Electric, Boston, USA) was used for all studies. First a standard, static CT scan of bilateral ankles was completed, during which patients held their 1785 1786 ankles in neutral (0° of dorsiflexion), or as close as comfortably possible. The field of view was 300 mm by 1787 300 mm in the axial plane, centred between the middle of the left and middle of the right plafond, based 1788 on scout images. Default scan length along the axial plane was 160 mm, centred 10 mm above the 1789 plafonds. If participants had implants that extended proximally beyond the field of view, the scan length 1790 was increased to capture all implants. Imaging was performed at 120 kVp and 110 mA. Slices were taken 1791 0.3125 mm apart, with a 0.5859 mm by 0.5859 mm resolution in the axial plane.

1793 Following the static scan, patients were instructed to perform the previously taught motion continuously 1794 until completion of the 4DCT scan. A 4DCT volume was acquired with 10 imaging timepoints 0.9 seconds 1795 apart. Field of view was again 300 mm by 300 mm in the axial plane, however axial length was fixed at 1796 140 mm, centred 10 mm above the plafond, regardless of implants. Scout images taken in plantarflexion 1797 ensured the entire talus would be captured in the field of view. The first timepoint was initiated when 1798 ankles were at maximal plantarflexion. Imaging voltage was 120 kVp and current was 70 mA. Space 1799 between axial slices was 0.625 mm imaging resolution was 0.5859 mm by 0.5859 mm in the axial plane. 1800 Gantry rotation time was 280 ms and complete rotations were used for image reconstruction.<sup>1</sup> Total 1801 effective radiation dose between scout, static CT, and 4DCT imaging combined was 0.059 mSv (95% CI 1802 0.057-0.061 mSv).<sup>2-4</sup>

1803

# 1804 4.2. Model Creation

Using Mimics inPrint 3.0 (Materialize, Leuven, Belgium), we created 3D reference models of the tibia, fibula, and talus bilaterally. Axial images from the static CT volumes were imported and bone was isolated using a 226-2500 HU window to threshold the volume. These thresholds reduced soft tissues and metalwork. Manual correction was necessary, commonly to remove small connections between the talus and calcaneus and navicular, as well as remove persistent metal artifact. Holes in the individual bone models were filled using the built-in hole fill function, without affecting bony outlines and contours. These created models were exported in stereolithography (STL) format (Figure 4.2).



1813

# 1814

Figure 4.2: 3D reference models created.

1815

1816 To assist with model processing in later steps, basic measurements were taken on these reference models. 1817 The three-dimensional coordinate of the most medial point on the medial malleolus was measured, as 1818 was the anterior and posterior border of the incisura, 10 mm above the plafond. The plafond was localized 1819 using the most distal slice that captured the entire bony plafond without discontinuity (Figure 4.3). 1820 Borders of the incisura were the most prominent points of the anterior and posterior tibial tubercles. 1821 These coordinates were automatically refined in subsequent analysis steps. In the 4DCT volume, at the 1822 first timepoint, we measured the axial (Z) coordinate of the first and last slices which contained the fibula 1823 to calculate its approximate length.



1825

1826 Figure 4.3: Axial slice determining the level of the tibial plafond showing (A) discontinuity and (B) the complete

plafond.

1827

1828

# 1829 4.3. Automated Registration Process

- 1830 To improve efficiency, an automated program was developed in Matlab<sup>®</sup> (MathWorks, Natick, USA) so
- 1831 that models would not have to be manually created at each dynamic timepoint (Figure 4.4).



Figure 4.4: Flowchart of automated registration process steps.

# 1836 4.3.1. Array Alignment

1837	Both the static volume and 4DCT volumes were loaded into the program and DICOM files converted into
1838	3D and 4D arrays of Hounsfield units (HU), respectively. Next, the axial height of each reference fibula was
1839	calculated from the previous models. This was compared to the measured fibular length from the first
1840	4DCT model. If both reference fibulas were 5 mm longer or greater than the 4DCT fibulas, the reference
1841	array was truncated proximally so that the reference array captured only 5 mm more of the proximal legs
1842	compared to the 4DCT volume. This step served to improve future registration between arrays.

# 1844 4.3.2. Array Segmentation

A segmentation routine was then performed on both volumes. In order to remove connections between the tibia and fibula caused by syndesmotic screws, a blocking mask was applied to each array where any cluster of voxels greater than 2,222 HU, oriented in a linear horizontal direction, and a length greater than 30 mm was overwritten with a box of minimum intensity (Figure 4.5).

1849



1850

1851 Figure 4.5: Syndesmotic screws in (A) shown on axial and coronal CT slices in (B) and (C). Blocking mask applied on

the same slices in (D) and (E).

1853

Following this, a gaussian blurring filter of size 5 and standard deviation 1.0 was applied to help reduce
the impact of metallic ring artifact. A 500-2,222 HU window was used to threshold the volumes into binary

arrays. A more restrictive window was used than in the model creation process to automatically reduce the number of connections that had previously been manually removed. Voxels were divided into groups where each voxel within a group was connected to another voxel in the same group by at least one face. Any groups of fewer than 800 voxels were removed as they would not substantially impact the registration process. Each remaining voxel group was stored as a separate segment. This process led to some oversegmentation of individual bones, but was successful in greatly reducing the number of unwanted connections between bones (Figure 4.6).

1863



1864

1865

1866

Figure 4.6: Segmented bones from the custom program.

1867 **4.3.3.** Segment Assignment

1868 For the reference array, the manually created STL models were imported and converted to 3D arrays using 1869 the Möller-Trumbore algorithm.<sup>5</sup> These models were overlaid on the segmented reference array.

1870 Intersections between the segments and model for each bone were combined and chosen as the1871 reference segment for that bone.

1872

1873 Next, appropriate segments had to be selected from each 4DCT timepoint. At each timepoint and for each 1874 bone, all 4DCT segments were registered to the reference segment using an iterative closest point algorithm, calculating 3D transformations and root mean square errors (RMSE).<sup>6–8</sup> Error minimization 1875 1876 criteria were developed to determine the most likely 4DCT segment corresponding to the reference 1877 segment. Initial error minimization of forward RMSE (segment registered to reference) times backward 1878 RMSE (reference registered to segment), divided by the square root of the segment size in voxels 1879 produced the best accuracy. Since segmentation was imperfect, the process could potentially create 1880 segments which comprised only a portion of the reference bone, or segments which had parts of the 1881 reference bone and another bone combined. Smaller, partial segments would have low forward error but 1882 high backward error, while the larger combined segments would have high forward error but low 1883 backward error. Therefore, this initial error minimization criterion helped to ensure these imperfect 1884 segments were selected, giving preference to larger segments which would produce more accurate 1885 registration. Segments were ranked on the initial error minimization criterion. Any segments with initial 1886 criterion 10 times greater than the minimum criterion were excluded. If greater than six segments 1887 remained, only the lowest 6 segments were included for further evaluation.

1888

Of these candidate segments, the most likely segment was chosen from the lowest initial criteria and the lower of the forward or backward RMSE was saved for comparison. Next larger, possibly combined segments were trialed to determine if better registration could be achieved. Any segment that had either a convex hull volume of greater than 25% of the reference model or a maximum length of greater than

40% of the reference model were tested. If these segments had a lower backward error than the currentmost likely segment, they were reassigned as the new most likely segment and the process continued.

1895

1896 Next, possible partial segments were analyzed for inclusion in a composite segment. Any partial segment 1897 should have a low forward error, so all segments were sorted by forward error and a threshold of twice 1898 the second lowest forward error was set. The second lowest error was chosen since some bones had been 1899 segmented into multiple pieces and one piece with a small volume may have much lower error than the 1900 other pieces, despite the others being crucial for accurate registration. Any segments that were below 1901 this threshold and included in the candidate segments were assessed. These potential partial segments 1902 were amalgamated, and the backward registration of the amalgamation was calculated. Sequentially, 1903 each part of the amalgamation was removed, and the backward registration was recalculated. If the 1904 minimum RMSE found with a removed segment was less than or equal to the total amalgamation RMSE, 1905 the segment in question was removed. This method assumed that the iterative closest point algorithm 1906 accurately registered the reference segment to the correct partial segments and was not affected by 1907 incorrect segments since the reference model would not be registering to them in the backward direction. 1908 The amalgamation was recreated less the segment in question and the process of eliminating incorrect 1909 segments continued until the minimum RMSE was found or a single segment remained. If the remaining 1910 combined segments had a lower forward or backward RMSE of less than the current most likely segment's 1911 minimum RMSE, the combination of partial segments replaced the most likely segment. The segment 1912 selection process was performed for each bone at every timepoint.

1913

#### 1914 4.3.4. Iterative Closest Point Registration

Once the most likely 4DCT segment(s) and reference segments were selected, the relative transformation between segments was calculated. Again, using an iterative closest point algorithm, the forward and backward transformation between each bone at the 4DCT timepoint and the corresponding bone in reference coordinates was calculated and the transformation resulting in lower RMSE was saved.

1919

1920 4.3.5. Intensity-Based Registration

When registration failed by iterative closest point methods, intensity-based registration was performed between 4DCT and reference volumes. When RMSE for a specific bone and timepoint was greater than 0.4 mm, the program launched an intensity registration module to attempt to improve automated registration. The reference array of Hounsfield units was cropped to encompass only the reference bone based on STL model. To isolate only the reference bone, any voxels where the reference array and STL model did not overlap were removed from registration calculations, as were voxels below 226 HU or above 2222 HU.

1928

1929 The initial 4DCT array was based on the most likely 4DCT segment(s). The array was cropped to be centred 1930 on the chosen segment(s) with a length of 120% of the chosen segment(s) in each principal direction. Any 1931 voxels below 226 HU or above 2222 HU were removed from registration calculations. An intensity-based 1932 registration was then performed where the difference between voxel intensities between arrays was used 1933 to register the transformation between cropped reference and 4DCT arrays, minimizing mean squares.<sup>8</sup> 1934 An initial transformation from the iterative closest point registration was provided and used if it improved 1935 RMSE. The intensity registration was performed iteratively, where the reference model was transformed 1936 into 4DCT array coordinates according to the new intensity-based transform and the 4DCT array was re-

1937	cropped to fit the transformed model. Intensity-based transformation was repeated using the new 4DCT
1938	input array until less than a 1 mm difference between successive transformations was found. If the
1939	resulting RMSE from intensity-based registration was less than the iterative closest point RMSE, the
1940	intensity-based transformation was used instead.
1941	
1942	4.3.6. Manual Correction
1943	All registrations were confirmed visually by overlaying the transformed reference model outline onto the
1944	4DCT array. If any malalignment between the model and 4DCT cortical outline was observed, registration
1945	was considered to have failed. In case of program failure, 4DCT segments could be manually selected, or
1946	a model from the 4DCT array could be created as described in section 4.2 in order to calculate the
1947	transformation between the reference and 4DCT models (Figure 4.7).



#### 1949

1950 Figure 4.7: Failure of automatic registration for the fibula on coronal and axial CT slices in (A) and (B). Correction of
1951 registration via manual segment selection in (C) and (D).

1952

## 1953 4.3.7. Automated Registration Accuracy

Prior to processing, 2.8% of 4DCT timepoints were excluded due to excessive motion artifact that would have compromised results. Using our outlined image acquisition procedure, the automated process accurately registered bones between the reference model and 4DCT images 99% of the time for specimen without metal implants, and 96% of the time in patients with metal implants (98% overall). Manual segment selection was required in 1.5% of the time in specimen without metal implants and 3.3% of the time in patients with metal implants (2.1% overall). 4DCT model creation was not required in uninjured ankles and only 0.7% of the time in patients with metal implants (0.2% overall). We achieved sub-voxel
size accuracy. The mean RMSE achieved by the automated process was 0.33 mm.

1962

# 1963 4.4. Radiographic Measurements

At each 4DCT timepoint, the transformation from reference models to 4DCT space was used to determine
the relative positions of the tibia, fibula, and talus bilaterally. From there, various radiographic measures
were calculated to describe syndesmotic position and motion.

1967

### 1968 4.4.1. Model Orientation

1969 Reference models were transformed into their 4DCT timepoint positions then oriented in a consistent 1970 manner. Z axis was determined by calculating the long axis of the tibia. The centroids of the tibia at its 1971 most proximal aspect and at the level of the incisura, as measured on the reference model 10 mm above 1972 the plafond in section 4.2, determined this axis (Figure 4.8). The level of the incisura was used to avoid 1973 skewing of the axis by the medial malleolus distally. The Y axis was determined as the axis from medial 1974 malleolus to the midpoint between the anterior and posterior incisura, again as measured on the 1975 reference model. The cross product of the Y axis and Z axis determined the X axis, and the cross product 1976 of the Z axis and X axis then refined the Y axis. The models were oriented in this reference space and 1977 translated so that the origin was at the midpoint of the anterior and posterior incisura.

1978



1979

1980 Figure 4.8: Long axis of the tibia and talar axis. Plane slices through the incisura perpendicular to the tibial axis.
1981

# 1982 4.4.2. Tibiotalar Angle

Once oriented in the anatomic coordinate system, the talar axis was calculated as the first principal moment of inertia axis (Figure 4.8). Directed anteriorly, the angle between this axis and the XY plane was recorded as the tibiotalar angle. The axis pointing distally is positive for plantarflexion, and when angled proximal to the XY plane the value is negative for dorsiflexion.

1987 4.4.3. Syndesmotic Slicing

1988 The oriented tibia and fibular models were sliced in the XY plane through the origin to perform common 1989 syndesmotic measurements (Figure 4.8). A tangent line was fit to the lateral outline of the tibia in order 1990 to find the points of contact at the anterior and posterior edges of the incisura. The incisura axis was the vector from posterior to anterior. Then the slice was rotated so that the incisura axis was parallel to the X
axis (Figure 4.9). The middle incisura point was the midpoint between the anterior and posterior incisura
points, along the tibial perimeter.

1994



1995

1996

1997

Figure 4.9: Sliced model overlaid on transformed CT volume.

1998 4.4.4. Fibular Axis Definition

Due to variable cross section of the fibula at the level of the syndesmosis, a fibular cross section was taken 5 mm distal to the plafond to more reliably define the fibular axis.<sup>9,10</sup> A linear regression line was fit to the medial fibular articular border at that level, adjusting the section of fibula used by minimizing residuals. This linear regression slope was used at the level of the syndesmosis to define the fibular axis. The furthest apart points along the slope were used to define the anterior and posterior fibular points at 10 mm above the plafond.
Imaging Protocol

2005

#### 2006 4.4.5. Calculated Measurements

2007 Using the anterior, middle, and posterior incisura points, the anterior (ASD), middle (MSD), and posterior 2008 (PSD) syndesmotic distances were calculated (Figure 4.10). The distances from these points to the closest corresponding points on the fibula were used.<sup>11</sup> TFCS and TFO were also measured as the distance from 2009 2010 the most medial incisura to the most medial fibula and the most lateral incisura to the most medial incisura, respectively, perpendicular to the incisura axis (Figure 4.11).<sup>9,12</sup> Negative values for TFO indicate 2011 2012 no overlap between the incisura and fibula. Sagittal translation was the distance from the most anterior 2013 point of the incisura to the most anterior part of the fibula, parallel to the incisura axis where negative values mean the fibula is anterior to the incisura (Figure 4.12).<sup>11</sup> Fibular rotation was also measured, as 2014 2015 the angle between the fibular and incisura axes, where internal rotation of the fibula is positive (Figure 4.13).<sup>11,13–15</sup> The final measurement was syndesmotic area, which was the area bounded by tangent lines 2016 2017 between the tibia and fibula anteriorly and posteriorly, and the outline of the tibia and fibula medially and laterally (Figure 4.14).<sup>16</sup> 2018



Figure 4.10: Anterior, middle, and posterior syndesmotic distances.







Figure 4.11: Tibiofibular clear space and tibiofibular overlap.



Figure 4.12: Sagittal translation.







Figure 4.13: Fibular rotation between the incisura axis and fibula axis.



2033

#### Figure 4.14: Syndesmotic area.

2034

As transformations between reference models and 4DCT timepoints were available, the 3D, 6 degree of freedom transformations could be calculated from the relative transformations. Using the most dorsiflexed timepoint as the neutral position, the relative translation and rotations about the X, Y, and Z axes were found for each timepoint. A Z-Y-X Euler angle convention was used when decomposing the rotation matrix.

2040

#### 2041 4.5. Statistical Analysis

Linear mixed effects models were used to investigate the impact of ankle range of motion and various parameters on the above measurements. Data were nested by ankle then specimen to avoid pseudoreplication. The fixed effects portion of the model estimated the impact of patient demographics, tibiotalar angle, and treatment variables on the measurements calculated. The impact of demographic 2046 and treatment variables on motion was explored by examining their respective interactions with tibiotalar

2047 angle on the various measurements. Goodness of fit of the model was investigated using an adjusted R-

2048 squared value. Side to side variability of each measurement was found using linear regression modelling

2049 within each ankle across ankle position and comparing the intercepts and slopes generated from the

- 2050 regression models. Significance of alpha < 0.05 was used.
- 2051

# 2052 4.6. References

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# 2092 5. 4DCT Analysis of Normal Syndesmotic Motion

#### 2093 5.1. Introduction

2094 The tibiofibular syndesmosis plays an important role in ankle stability. The syndesmosis primarily resists fibular external rotation and lateral translation.<sup>1,2</sup> In addition, the syndesmosis increases joint contact area 2095 between the distal tibia and talus and transmits axial loads from the tibia to the fibula throughout the gait 2096 2097 cycle.<sup>3,4</sup> Small but significant amounts of motion are seen at the distal tibiofibular joint throughout ankle 2098 range of motion (ROM) as well as in response to loading. This motion can provide additional ankle stability when accommodating motion of the irregularly shaped talus.<sup>5</sup> In cadaveric and imaging studies, when the 2099 2100 ankle moves from dorsiflexion to plantarflexion, there is up to 1.3 mm of anterior fibular translation, 3.0 mm of medial translation, and 3.7° of internal rotation relative to the tibia.5-7 2101

2102

Injuries to the syndesmosis are common, occurring in up to 18% of all ankle sprains<sup>4,8</sup> and in up to one-2103 quarter of ankle fractures.<sup>9,10</sup> When injured, the historical gold standard for treatment has been rigid 2104 2105 screw fixation.<sup>10</sup> However, due to concerns regarding excessive rigidity and screw breakage or loosening, flexible suture-button devices, such as the Tightrope<sup>®</sup> (Arthrex, Naples, USA), have been introduced.<sup>11,12</sup> 2106 2107 These flexible devices reduce the rate of malreduction, but malreduction still occurs in up to 20% of flexible cases compared with up to 52% of rigid cases.<sup>13,14</sup> Malreduction is the most important predictor 2108 of inferior outcomes after injury, leading to chronic pain, stiffness, instability, or arthritis.<sup>15–18</sup> Efforts to 2109 2110 improve reduction such as direct visualization of the syndesmosis, avoidance of reduction clamps which may introduce over-compression, and intraoperative CT have limited success.<sup>19–23</sup> 2111

Malreduction is commonly judged based on side-to-side differences on bilateral ankle CT.<sup>24–26</sup> However, the syndesmosis is a dynamic structure and conventional CT does not provide a complete picture of syndesmotic position. A CT volume taken at a single non-standardized, patient-selected ankle position may give inaccurate and potentially misleading results. 4DCT, also known as dynamic CT, is an emerging technology which can image a joint in real-time as it moves through a range of motion.<sup>27</sup> Multi-detector arrays and fast gantry speeds allow the capture of an entire volume in under 0.3 seconds which can be repeated to create a moving image.<sup>28</sup>

2120

2121 Given the consequences of syndesmotic malreduction as well as demonstrated motion at the distal 2122 tibiofibular joint, it is important to account for this motion when treating syndesmotic injuries. Treating 2123 reduction as a static measurement rather than a parameter affected by ankle ROM may predispose 2124 patients to worse functional outcomes. Therefore, the purpose of this study was to use 4DCT to 2125 investigate the in vivo effect of ankle ROM on syndesmotic measurements in uninjured, asymptomatic 2126 participants. By understanding normal syndesmotic motion, we can develop reduction and fixation 2127 strategies to recreate physiologic motion and reduce impairment after syndesmotic injury. We 2128 hypothesized that syndesmotic measurements would change significantly throughout ankle ROM and that 2129 side-to-side differences would be minimal, as defined as below accepted thresholds of malreduction.

2130

#### 2131 **5.2. Methods**

2132 *5.2.1.* Inclusion Criteria

2133 Uninjured ankles were gathered from a combination of three studies. First, a subset of patients from a 2134 multicentre randomized controlled trial comparing rigid and flexible fixation after syndesmotic injury were 2135 recruited to undergo bilateral ankle 4DCT at 12 months after their index surgery were included

(ClinicalTrials.gov identifier NCT02199249).<sup>14</sup> In addition, a prospective cohort study comparing reduction 2136 after rigid and flexible fixation is underway in which all patients undergo a bilateral ankle 4DCT scan at 2137 2138 three months after their index surgery. Finally, a prospective cohort of healthy, adult volunteers was 2139 recruited from our Level 1 trauma centre and affiliated university to undergo bilateral ankle 4DCT. The 2140 contralateral uninjured ankle was analyzed in the two injury cohorts and both ankles were used from the 2141 control cohort. All participants were skeletally mature and 18 years old or over with a unilateral uninjured 2142 ankle (bilateral in the control cohort). Participants were excluded if they had prior lower extremity 2143 fractures or known syndesmotic injuries, were non-ambulatory or required gait aids, had congenital lower 2144 extremity deformities or neuromuscular disease, or were pregnant or attempting to become pregnant. 2145 The study was approved by our institutional research ethics review board (REB14-1142 and REB18-2146).

2146

#### 2147 5.2.2. Data Acquisition

2148 Each participant underwent a single 4DCT of their bilateral ankles using a GE Medical Systems Revolution™ 2149 CT scanner (General Electric, Boston, USA) at 120 kVp and 70 mA. A 140 mm axial scan length with a 300 2150 mm by 300 mm field of view in the axial plane was used. Axial slice resolution was 0.586 mm by 0.586 mm 2151 with 0.625 mm between axial slices. Participants were instructed to move their ankles freely between 2152 maximal comfortable dorsiflexion and plantarflexion continuously with two seconds between extremes. 2153 Ten imaging timepoints were captured each 0.9 seconds apart. The effective radiation dose for the entire scanning protocol was 0.06 mSv, which is well below the radiation exposure of a standard chest x-ray.<sup>29-</sup> 2154 2155 <sup>31</sup> To ensure the bilateral uninjured cohort represented an asymptomatic population, validated functional 2156 outcome measures were administered including the AOFAS, Foot and Ankle Ability Measure (FAAM), OM, 2157 and a visual analog pain scale (VAS).

#### 2159 5.2.3. Measurement Process

2160 From CT data, 3D models were created of the tibia, fibula, and talus bilaterally for each participant using 2161 Mimics software (Materialise, Leuven, Belgium). A custom Matlab® (MathWorks, Natick, USA) program 2162 was then used to automatically segment the CT volume and register the 3D model to each timepoint. A 2163 500-2,222 Hounsfield Unit intensity threshold was used to segment the imaging volume, followed by a 2164 combination of iterative closest point and intensity matching algorithms to register the 3D reference 2165 model to each 4DCT timepoint (Figure 5.1). The mean root-mean-square error in registration was 0.33 2166 mm. At each timepoint, the tibiotalar angle was calculated between the long axis of the tibia and the long 2167 axis of the talus. The long axis of the tibia was defined as the line between the centroid of the tibia taken 2168 at its most proximal aspect and at the level of the incisura, as measured on axial CT slices (Figure 5.2). The 2169 talar long axis was found by calculating the first principal moment of inertia axis. The fibular rotation axis 2170 was defined as the tangent line along the medial fibular border at 5 mm below the plafond, as the fibular axis can be defined more reliably at this level.<sup>32</sup> Syndesmotic measurements were taken 10 mm above the 2171 2172 plafond. These measurements included ASD, MSD, and PSD distances, which were measured from the 2173 most anterior and posterior points of the incisura, as well as the midpoint of the incisura, to the closest 2174 corresponding points on the fibula (Figure 5.3).<sup>25</sup> TFCS was the distance between the most medial fibula 2175 and most medial part of the incisura measured perpendicular to the incisura while the TFO was the distance between the most medial fibula and most lateral part of the incisura.<sup>32,33</sup> Sagittal translation was 2176 the distance from the most anterior incisura to the most anterior fibula parallel to the incisura, and fibular 2177 rotation was the angle between the fibular axis and the incisura tangent.<sup>25</sup> Syndesmotic area was 2178 2179 determined by fitting tangents between the tibia and fibula anteriorly and posteriorly then finding the 2180 area bounded by the tangents.<sup>34</sup> All measurements were automated calculated based off the registered 2181 3D models in Matlab®. After manual reference model creation, the registration, model orientation, and 2182 measurement process were fully automated. Therefore, no user input was required to generate

- 2183 syndesmotic measurements, giving complete measurement reproducibility, and eliminating error related
- 2184 to subjective landmark selection.



2186

2187 Figure 5.1: Automated measurement process. A: 3D reference models, B: Threshold based segmentation, C:

2188 reference models registered to 4DCT data, D: Automated syndesmosis measurements calculated based on

2189 registered models. An automated measurement process calculates multiple measurements at each 4DCT timepoint.



2192 Figure 5.2: Long axis of the tibia and talar axis. Plane slices through the incisura perpendicular to the tibial axis.



- 2195 Figure 5.3: Syndesmosis measurements generated from 3D models overlain on 4DCT data. (A) Anterior, middle, and
- 2196 posterior syndesmosis distances, (B) tibiofibular clear space and tibiofibular overlap, (C) sagittal translation, (D)
- 2197

fibular rotation, and (E) syndesmotic area.

#### 2199 5.2.4. Statistical Analysis

A linear mixed effects model was used to determine the position of the syndesmosis in the neutral (0° dorsiflexion) ankle position as well as the syndesmotic motion across ankle ROM. Adjusted r-squared values were calculated to determine the model fit and Shapiro-Wilk tests were used to assess normality. Data were nested within specimen to avoid pseudo-replication within the bilateral control cohort. Sideto-side variability of syndesmotic position and motion was evaluated by comparing the slope and intercept fit to each individual ankle with a linear regression model. P-values less than 0.05 were deemed significant.

2207

2208 5.3. Results

2209 5.3.1. Demographics

2210 Fifty-eight ankles in 39 different patients were included in the analysis. Thirteen patients came from the 2211 randomized control trial, seven from the prospective cohort of injury patients, and 19 from the bilateral 2212 control group. The bilateral control group was asymptomatic based on AOFAS, FAAM, OM, and VAS scores 2213 (Supplementary Table 5.3). There were 24 males and 15 females. The mean age was 35 years (range 18 2214 to 75 years). The mean maximal dorsiflexion was -2° (range -20° to 19°) and the mean maximal 2215 plantarflexion was 44° (range 27° to 61°). The adjusted marginal r-squared value of the linear mixed effects 2216 models ranged from 0.91 to 0.97 indicating good model fit for each measurement. No significant 2217 differences between the three patient groups were detected for any measurement (Supplementary Table 2218 5.4).

#### 2220 5.3.2. Normal Syndesmotic Measurements

The mean ASD in neutral position was 3.3 mm, which decreased by 0.7 mm from dorsiflexion to plantarflexion (p < 0.001). The MSD was 3.4 mm and decreased by 1.1 mm with plantarflexion (p < 0.001). The PSD was 6.1 mm and decreased by 0.8mm with plantarflexion (p < 0.001) (Table 5.1). Age did not have a significant impact on syndesmotic position or motion. Males demonstrated less change in PSD with ROM than females (0.6 mm versus 1.0 mm, p = 0.048), but no differences in neutral position in ASD, MSD, or PSD were detected, nor were there differences in ASD or MSD motion. Syndesmotic measurements as a function of tibiotalar angle for each individual ankle are shown in Supplementary Figures 5.6-5.13.

2228

2229 Table 5.1: Normal syndesmotic position in neutral dorsiflexion and motion from dorsiflexion to plantarflexion.

	Position		Motion	
	Mean (SD)	95% CI	Mean (SD)	95% CI
ASD (mm)	3.3 (0.9)	3.0 - 3.6	-0.7 (0.5)	-0.9 – -0.5
MSD (mm)	3.4 (0.9)	3.1 - 3.7	-1.1 (0.5)	-1.2 – -0.9
PSD (mm)	6.1 (1.2)	5.7 – 6.5	-0.8 (0.6)	-1.00.6
TFCS (mm)	3.9 (0.9)	3.6 - 4.2	-1.1 (0.5)	-1.3 – -0.9
TFO (mm)	-0.3 (1.3)	-0.7 - 0.1	1.1 (0.5)	0.9 – 1.3
Sagittal Translation (mm)	0.5 (1.2)	0.1-0.9	0.1 (0.6)	-0.1 - 0.3
Fibular Rotation (degrees)	18.3 (7.0)	16.1 – 20.6	-1.2 (1.6)	-1.7 – -0.7
Syndesmotic Area (mm <sup>2</sup> )	122 (23)	115 – 130	-26 (11)	-29 – -22

2230

2232	The mean TFCS was 3.9 mm, which decreased by 1.1 mm during plantarflexion (p < 0.001). TFO was -0.3
2233	mm indicating lack of overlap between the medial fibular border and the lateral border of the incisura.
2234	This overlap increased by 1.1 mm with plantarflexion (p < 0.001). No age- or sex-related differences were
2235	found for TFCS and TFO position or motion.

The fibula was situated 0.5 mm posterior to the anterior border of the incisura but did not translate significantly in the sagittal plane with ankle ROM (p = 0.43). In neutral position, the fibular axis was 18.3° internally rotated relative to the incisura axis and externally rotated 1.2° with plantarflexion (p < 0.001). Fibular internal rotation was found to increase significantly with age by 0.2° per year (p = 0.041). No other age- or sex-related changes were significant for sagittal translation or fibular rotation.

2242

The mean syndesmotic area in neutral position was 122 mm<sup>2</sup>. From dorsiflexion to plantarflexion, syndesmotic area decreased 26 mm<sup>2</sup> (p < 0.001). Though males had a greater syndesmotic area by 14 mm<sup>2</sup>, this failed to reach statistical significance (p = 0.069). There was no difference in change in area between sexes and no age-related differences for area or change in area.

2247

#### 2248 5.3.3. Side-to-Side Variability

The 19 uninjured participants were analyzed to determine side-to-side variability of the syndesmotic measurements (Table 5.2). Using ASD, MSD, PSD, TFCS, or TFO no participants had a side-to-side difference of 2 mm or greater (Figure 5.4). One participant was above the 2 mm threshold for sagittal translation, at 3.1 mm. If a lower threshold of a 1.5 mm difference is used, no participants would be considered abnormal by ASD, two by MSD, one by PSD, none by TFCS, one by TFO, and three by sagittal

translation. The greatest side-to-side difference in fibular rotation was 9°. The greatest difference in
syndesmotic area was 27 mm<sup>2</sup>. The side-to-side differences in syndesmotic motion with ankle ROM are
depicted in Figure 5.5.

2257

# 2258Table 5.2: Side-to-side differences in syndesmotic position in neutral dorsiflexion and motion from dorsiflexion to2259plantarflexion.

	Side-to-Side Posi	tion Difference	Side-to-Side Motion Differen	
	Mean (SD)	95% CI	Mean (SD)	95% CI
ASD (mm)	0.7 (0.4)	0.5 – 0.9	0.6 (0.5)	0.3 – 0.8
MSD (mm)	0.6 (0.6)	0.3 – 0.9	0.5 (0.5)	0.3 – 0.7
PSD (mm)	0.8 (0.4)	0.5 - 1.0	0.5 (0.5)	0.2 - 0.7
TFCS (mm)	0.5 (0.3)	0.4 - 0.7	0.5 (0.4)	0.3 – 0.7
TFO (mm)	0.7 (0.5)	0.5 – 0.9	0.4 (3)	0.2 - 0.6
Sagittal Translation (mm)	0.9 (0.8)	0.5 – 1.3	0.6 (0.5)	0.3 – 0.8
Fibular Rotation (degrees)	3.1 (2.4)	1.9 – 4.3	2.3 (1.8)	1.5 – 3.2
Syndesmotic Area (mm <sup>2</sup> )	11 (7)	8 – 15	10 (10)	5 – 15



2261

Figure 5.4: Distribution of side-to-side differences in syndesmotic position with the ankle in neutral. The y-axis
 indicates number of patients and the x-axis indicates the value for each syndesmotic measurement.



2265

Figure 5.5: Distribution of side-to-side differences in syndesmotic motion between dorsiflexion and plantarflexion.
 The y-axis indicates number of patients and the x-axis indicates the value for each syndesmotic measurement.

# 2269 5.4. Discussion

Achieving accurate reduction of the syndesmosis is challenging, especially given the wide variation in normal anatomy and numerous different measures of reduction. Current measures have not accounted for normal syndesmotic motion when judging reduction which puts patients at risk of inferior functionaloutcomes.

2274

2275 5.4.1. Normal Position and Motion

This study shows that commonly used measures of syndesmotic width and fibular rotation vary significantly with ankle ROM. These measurements were chosen due to their common use in clinical practice, demonstrated repeatability, and sensitivity in detecting injury.<sup>24,25,34–38</sup>

2279

The values determined for each syndesmotic measurement at neutral dorsiflexion are comparable to those previously reported.<sup>24,25,34</sup> We show slightly less variation in values than previously. This may be due to our calculation of these values from a mixed effects model incorporating 10 timepoints per ankle, or from the resulting standardized ankle position.

2284

2285 In various biomechanical studies, the fibula translates medially 0.8 - 3 mm, 0.9 - 1.3 mm anteriorly, and 2286 rotates  $0.5^{\circ} - 3.7^{\circ}$  internally with plantarflexion.<sup>5,6</sup> Mousavian et al.<sup>7</sup> have also investigated the change in 2287 syndesmotic measurements through ankle ROM using 4DCT. When investigating 10 uninjured, unilateral ankles, the only significant change was 0.7 mm of posterior translation with plantarflexion, contrary to 2288 the prior studies showing anterior translation.<sup>7</sup> The current study found a decrease in syndesmotic width 2289 2290 of up to 1.1 mm and area by 26 mm<sup>2</sup>, consistent with existing literature, which can be explained by the 2291 greater width of the talar dome in dorsiflexion compared to plantarflexion. No change in sagittal 2292 translation was detected in the present study, perhaps due to our imaging protocol which was unloaded 2293 and had subjects perform a comfortable range of motion. This protocol could potentially lead to 2294 submaximal motion and extremes of motion may not have been captured with the 0.9 second imaging 2295 intervals. Differences in methodology may explain discrepancies between our results and biomechanical 2296 motion studies. These studies were either cadaveric experiments where the soft tissues were denuded 2297 and the ankle was moved passively, or in vivo studies using radiostereometric analysis. In either case, 2298 these subjects were imaged in an upright position compared to our supine study. Prior work has also 2299 demonstrated that x-rays have poor accuracy for detecting positional changes at the distal tibiofibular joint.<sup>39,40</sup> Mousavian et al.'s<sup>7</sup> findings may also be different due to their method of measuring sagittal 2300 2301 translation, based off a tangent line drawn from the anterolateral fibula, which would also be impacted 2302 by fibular rotation. Our automated measurement program found 1.2° of fibular external rotation with 2303 ankle plantarflexion on average. Some amount of external rotation was seen in 70% of ankles (35 of 50). 2304 While previous studies have shown predominantly internal rotation, external rotation has been reported in some subjects.<sup>5</sup> Estimates of fibular rotation from x-ray are inaccurate,<sup>41,42</sup> so prior estimates of the 2305 2306 change in fibular rotation are based on cadaveric studies.<sup>5,43</sup> Again, it is possible that contributions from 2307 intact soft tissue attachments and active muscular contraction could explain why external rotation was 2308 found in vivo.

2309

2310 Normal syndesmotic motion has important implications on imaging and fixation of syndesmotic injuries. 2311 Previous authors demonstrated that rigid fixation of the syndesmosis need not be performed at a specific ankle position.<sup>44,45</sup> However, these conclusions were based off the restoration of ankle ROM, and not 2312 syndesmotic reduction. In addition to this study, Nault et al.<sup>46</sup> demonstrated increases in syndesmotic 2313 2314 width with ankle plantarflexion, as did Koretkangas et al.,<sup>47</sup> who performed intraoperative CT scans and 2315 detected seven malreductions after flexible fixation. In these cases, open exploration was performed 2316 intending to revise the reduction, but each ankle was found to be well reduced under direct inspection and on subsequent CT scans at 0° dorsiflexion.<sup>47</sup> Therefore, ankle position should be considered when 2317

performing fixation and imaging of these injuries if we wish to obtain an anatomic reduction. A syndesmosis rigidly fixed in dorsiflexion may become under compressed and internally rotated in plantarflexion while fixation in plantarflexion may produce over-compression and external rotation of the syndesmosis in dorsiflexion. If not accounted for, syndesmotic motion may explain why even when using intraoperative CT malreduction rates can remain as high as 38%.<sup>20,48</sup>

2323

Given the demonstrated motion at the syndesmosis, we should seek to restore both position and motion of the syndesmosis to optimize outcomes for patients after injury. Though seemingly small, this syndesmotic motion impacts ankle kinematics and joint contact mechanics. If motion is not restored, joint contact area is reduced, leading to earlier cartilage degradation, and impingement or instability are possible.<sup>49–51</sup>

2329

2330 5.4.2. Side-to-Side Variability

Imaging of bilateral ankles demonstrated mild side-to-side variability, as shown previously.<sup>24,36,52</sup> Only one 2331 subject out of 19 had a single side-to-side measurement difference of 2 mm, a common threshold for 2332 malreduction.<sup>51,53,54</sup> Three of 19 had asymmetry in rotational measurements greater than 5° indicating 2333 2334 that wider thresholds should be used when determining rotational malreduction based on the 2335 contralateral side. This supports work by Warner et al.<sup>55</sup> who found no functional difference in patients with a mean rotational asymmetry of 5.75° after syndesmotic injury, and Vasarhelyi et al.<sup>51</sup> who proposed 2336 2337 10° – 15° as a cutoff after which AOFAS scores worsened. Like position, side-to-side motion demonstrated 2338 only mild variability.

2339

2340 5.4.3. Age and Sex Related Changes

The only impact of age found was a small increase in fibular internal rotation with increasing age. It is possible that this finding represents type I error. If not, one potential explanation is that the distal fibular articular cartilage is in closer proximity anteriorly, and may thin with aging, leading to increased internal rotation. If degenerative changes do occur, they are not large enough to detect significant changes in syndesmotic widths. Most studies found no age related changes in syndesmotic measurements, though increased internal rotation has been reported.<sup>56</sup>

2347

2348 This study found no difference in position measurements between sexes, though there was a significant 2349 difference in PSD motion between males and females. Studies of normal position using various modalities 2350 have varying results, but in general show greater measurements of syndesmotic width or sagittal translation in males due to larger joint sizes overall.<sup>24,36,52</sup> Syndesmotic area is highly sensitive to changes 2351 2352 in syndesmotic width and was larger in males in the current study, but failed to reach statistical 2353 significance (p = 0.067), indicating the effect size was too small to detect in our sample. Currently, no separate malreduction cutoffs for males and females exist, though some advocate for this, based on 2354 2355 different average joint sizes.<sup>36</sup>

2356

#### 2357 **5.4.4**. Limitations

The study investigated the impact of ankle ROM on syndesmotic position in a supine position. Therefore, the presented data is in a non-weightbearing condition and it is known that gravity imparts a posterior force on the fibula. One study has shown a change in syndesmotic position with weightbearing,<sup>43</sup> though it was performed on denuded cadavers, while other studies have failed to find significant changes between loaded and unloaded conditions in both *in vitro* and *in vivo* experiments.<sup>1,57</sup> As the CT protocol

captured 4DCT timepoints at regular intervals, we cannot guarantee that extremes of motion or specific
positions were captured for direct comparison. This was overcome with the linear mixed effects model
which was able to model each syndesmotic measurement as a function of tibiotalar angle and interpolate
or extrapolate to a standard position as required, while still accounting for the variation within individual
ankle datasets.

2368

#### 2369 5.4.5. Strengths

2370 Strengths of this study include use of an emerging technology, 4DCT, to accurately measure motion in 2371 vivo. 4DCT in peripheral extremities has a low radiation dose, less than a chest x-ray in our study, or 2372 approximately equivalent to 10 days of background atmospheric radiation. Syndesmotic measurements 2373 were calculated 10 times per specimen to model reduction throughout ROM. The automated 2374 measurement process achieved sub-voxel size registration accuracy and completed measurements 2375 automatically ensuring repeatable and accurate measurements. This process has allowed us to perform 2376 the largest study of motion to our knowledge and is the first to report on side-to-side motion variation in 2377 normal individuals. We also included a substantial cohort of healthy control participants to ensure truly 2378 asymptomatic, normal ankles.

2379

#### 2380 5.5. Conclusion

This study has demonstrated that there is significant syndesmotic motion during ankle ROM, thereby impacting common measures of reduction. It is important to appreciate and standardize foot position when using conventional imaging and performing reductions of the syndesmosis. Consideration should be given to restoring motion as well as position after syndesmotic injuries. Syndesmotic position and

- 2385 motion are consistent within subjects, therefore the contralateral ankle may be used to template for
- anatomic reduction, provided ankle position is standardized.

# 2388 5.6. Supplementary Tables and Figures

Table 5.3: Functional outcome measures for the uninjured control population.

PARTICIPANT	AOFAS	FAAM: ACTIVITIES OF	FAAM: SPORTS	OLERUD AND	VAS
		DAILY LIVING SUBSECTION	SUBSECTION	MOLANDER	
1	100	100	100	100	0
2	100	100	100	100	0
3	100	100	100	100	0
4	100	100	100	100	0
5	100	100	100	100	0
6	100	100	100	100	0
7	100	100	100	100	0
8	100	100	100	100	0
9	100	100	100	100	0
10	100	100	100	100	0
11	100	100	100	100	0
12	100	100	100	100	0
13	100	100	100	100	0

## Normal Syndesmotic Motion

14	100	100	100	100	0
15	100	100	100	100	0
16	100	100	100	100	0
17	100	100	100	95	0
18	100	100	100	100	0
19	100	100	100	100	0

	POSITION				MOTION			
	Uninjured	RCT	Prospective	P-value	Uninjured	RCT	Prospective	P-value
	Controls		Cohort	between groups	Controls		Cohort	between groups
ASD (MM)	3.26	3.41	3.31	0.91	-0.63	-0.81	-0.71	0.69
MSD (MM)	3.40	3.29	3.66	0.74	-1.14	-1.03	-1.06	0.87
PSD (MM)	6.08	5.95	6.66	0.56	-0.97	-0.48	-0.61	0.15
TFCS (MM)	3.83	3.74	4.14	0.72	-1.15	-1.05	-1.09	0.9
TFO (MM)	-0.32	-0.37	-0.29	0.99	1.15	1.05	1.09	0.9
SAGITTAL TRANSLATION (MM)	0.35	0.98	0.41	0.4	0.25	-0.23	0.01	0.15
FIBULAR ROTATION (DEGREES)	18.18	17.86	19.15	0.93	-1.42	-1.20	-0.09	0.32
SYNDESMOTIC AREA (MM <sup>2</sup> )	118.57	123.44	131.75	0.54	-26.13	-25.24	-25.72	0.98

Table 5.4: Syndesmotic position and motion stratified by study group.



*Figure 5.6: Individual ankle ASD versus tibiotalar angle plots with overall trendline.* 



*Figure 5.7: Individual ankle MSD versus tibiotalar angle plots with overall trendline.* 

Normal Syndesmotic Motion



*Figure 5.8: Individual ankle PSD versus tibiotalar angle plots with overall trendline.* 



*Figure 5.9: Individual ankle TFCS versus tibiotalar angle plots with overall trendline.* 

Normal Syndesmotic Motion



*Figure 5.10: Individual ankle TFO versus tibiotalar angle plots with overall trendline.* 



*Figure 5.11: Individual ankle sagittal translation versus tibiotalar angle plots with overall trendline.* 

Normal Syndesmotic Motion



*Figure 5.12: Individual ankle fibular rotation versus tibiotalar angle plots with overall trendline.* 

Normal Syndesmotic Motion





Figure 5.13: Individual ankle syndesmotic area versus tibiotalar angle plots with overall trendline.
# 2418 5.7. References

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# 2559 6. Syndesmotic Motion after Injury

#### 2560 6.1. Introduction

Syndesmotic injuries are present in up to 25% of ankle fractures.<sup>1,2</sup> The historic gold standard treatment of syndesmotic injuries is rigid screw fixation.<sup>2</sup> However, increasing evidence demonstrates improved reduction and functional outcomes with flexible fixation using suture button devices such as the Tightrope<sup>®</sup> (Arthrex, Naples, USA).<sup>3,4</sup> Despite advances in fixation methods, malreduction and impaired function remain common problems.<sup>3,5,6</sup>

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Biomechanical studies have revealed that ankle dorsiflexion and plantarflexion leads to important syndesmotic motion in the coronal and sagittal planes, as well as fibular rotation.<sup>7–10</sup> This motion provides ankle stability, accommodating the irregularly shaped talus, and minimizes joint contact stresses through a congruent ankle joint.<sup>8,11–15</sup> Rigid fixation decreases this motion,<sup>8,15–17</sup> while there is concern flexible fixation does not adequately constrain fibular motion.<sup>18–20</sup>

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Differences between the injured and uninjured ankle on CT are commonly used to assess syndesmotic reduction.<sup>21–23</sup> These measures of reduction change throughout ankle ROM.<sup>24,25</sup> Therefore, a syndesmosis that is reduced in dorsiflexion may appear malreduced in plantarflexion, and vice versa. 4DCT, also known as dynamic CT, is a capability of many modern CT scanners which captures multiple three-dimensional CT volumes of the same region in rapid succession to create a moving four-dimensional image volume.<sup>26</sup>

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2579 Understanding the impact of fixation methods on syndesmotic motion using modalities such as 4DCT is 2580 important to achieve accurate reduction throughout ankle ROM. Restoring normal motion, as well as position, after syndesmotic injuries may help improve functional outcomes as well. The purpose of this
study was to investigate the impact of fixation methods on *in vivo* syndesmotic kinematics through ankle
ROM. We hypothesized that flexible fixation of syndesmotic injuries would better recreate normal,
uninjured motion when compared to rigid fixation.

2585

2586 **6.2. Methods** 

2587 6.2.1. Inclusion Criteria

2588 A subset of patients from a multicentre randomized controlled trial were recruited for an *a priori* planned 2589 subgroup analysis (ClinicalTrials.gov identifier NCT02199249).<sup>3</sup> In this trial, patients with Weber C 2590 (AO/OTA 44C) fractures were recruited and randomized to rigid or flexible fixation. Inclusion criteria were 2591 a diagnosis of a closed Weber C fibula fracture, age greater than 18 years, and radiographic talar 2592 instability, as defined by medial clear space widening greater than 5 mm or talar shift greater than 1 mm 2593 on stress views. Exclusion criteria were patients with open or pathologic fractures, lack of instability on 2594 intraoperative imaging, concurrent injuries affecting rehabilitation, or history of severe ankle injury, 2595 ligamentous laxity, neuropathy, or osteoporosis. Patients were randomized using an online randomization 2596 tool prior to surgery. The study was approved by the institutional ethics review board (REB14-1142).

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2598 6.2.2. Surgical Technique

Surgery was performed within 14 days of injury. Standard AO Foundation technique was used to perform fibular, medial malleolar, and posterior malleolar fixation as required. Following fracture fixation, a fluoroscopic external rotation stress test assessed stability of the syndesmosis. Patients not meeting inclusion criteria for instability were withdrawn. Patients with instability had a direct, open reduction of

2603 the syndesmosis performed followed by provisional clamp or thumb stabilization. If randomized to rigid 2604 fixation, patients underwent fixation with at least two 3.5 mm cortical screws with tri- or guadra-cortical 2605 fixation as per the surgeon's preference. Screws were at least 15 mm above the tibial plafond and a 2606 minimum of 10 mm apart. In the flexible fixation group, a knotless Tightrope® (Arthex, Naples, USA) 2607 suture-button was inserted following the manufacturer's technique guide, at least 15 mm above the tibial 2608 plafond. An ACL graft tensioner was used to apply a uniform tension of 20 lb to the suture-button, based on the optimal tension found by Morellato et al.<sup>27</sup> Post-operative rehabilitation was standardized with a 2609 2610 plaster splint applied for the first two weeks, followed by a removable boot for four weeks, with ROM 2611 encouraged. Weightbearing began at six weeks post-operatively and the boot was discontinued by 12 2612 weeks.

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#### 2614 6.2.3. Data Acquisition

At 12 months post-operatively, a 4DCT of bilateral ankles was performed on the subgroup of patients from our centre who consented to 4DCT imaging. The same GE Medical Systems Revolution<sup>™</sup> CT scanner (General Electric, Boston, USA) was used for all participants. A static CT scan at 120 kVp and 110 mA was completed prior to the 4DCT portion at 120 kVp and 70 mA. Slice dimensions were 300 mm by 300 mm and the axial scan length was 140 mm. Patients moved their ankles continuously between dorsiflexion and plantarflexion to the comfortable limits of motion. Ten 4DCT timepoints were imaged over a 9-second span. Effective radiation dose for the entire static CT and 4DCT scanning process was 0.06 mSv.<sup>28</sup>

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#### 2623 6.2.4. Measurement Process

Using the initial static CT scan, 3D models of bilateral tibias, fibulas, and tali for each specimen were
 created with Mimics software (Materialise, Leuven, Belgium). At each 4DCT timepoint, a custom Matlab<sup>®</sup>

2626 (MathWorks, Natick, USA) routine automatically segmented the CT volume into individual bones and 2627 registered the 3D models to their respective bones (Figure 6.1). The relative positions of the 3D models 2628 at each timepoint were used to automatically calculate multiple syndesmotic measurements without 2629 requiring user input. The tibiotalar angle was measured between the long axis of the tibia and the long 2630 axis of the talus where 0° was the talar axis perpendicular to the tibial axis and values increased with 2631 plantarflexion. The fibular axis was measured along the medial fibular articular border 5 mm below the plafond, then translated to bisect the fibula at the level of the syndesmosis (Figure 6.2D).<sup>27</sup> The plane used 2632 2633 for syndesmotic measurements was perpendicular to the long axis of the tibia, 10 mm proximal to the 2634 plafond. The incisura axis was a tangent line fit to the lateral tibial border contacting the anterior and 2635 posterior edges of the incisura. ASD, MSD, and PSD were measured from the anterior- and posterior-most 2636 points of the incisura, and their midpoint along the incisura, to the closest corresponding edge of the fibula (Figure 6.2).<sup>22</sup> Analogous to plain x-ray measurements, TFCS was measured along a line 2637 2638 perpendicular to the incisura axis between the most medial point on the fibula and most medial part of 2639 the incisura.<sup>12,27</sup> TFO was the distance along the same line between the most medial point on the fibula and most lateral part of the incisura.<sup>12,27</sup> Sagittal translation was measured parallel to the incisura axis 2640 2641 from the anterior edge of the incisura to the most anterior point on the fibula, where positive values showed a fibula posterior to the anterior incisura edge.<sup>22</sup> The angle between the incisura axis and the 2642 2643 fibular axis was used to measure fibular rotation, where fibular internal rotation was defined as positive 2644 values.<sup>22</sup> Syndesmotic area was determined by fitting tangent lines between the tibia and fibula anteriorly 2645 and posteriorly then finding the area bounded by these tangents.<sup>29</sup>

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2648 Figure 6.1: Automated measurement process. A: 3D reference models, B: Threshold based segmentation, C:

2649 reference models registered to 4DCT data, D: Automated syndesmosis measurements calculated based on

2650 registered models. An automated measurement process calculates multiple measurements at each 4DCT timepoint.



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- 2652 Figure 6.2: Syndesmotic measurements generated from 3D models overlain on 4DCT data. (A) Anterior, middle, and
- 2653 posterior syndesmosis distances, (B) tibiofibular clear space and tibiofibular overlap, (C) sagittal translation, (D)

2654

fibular rotation, and (E) syndesmotic area.

#### 2656 6.2.5. Statistical Analysis

2657 Syndesmotic measurements were analyzed using linear mixed effects models, nested by patient and 2658 ankle, to investigate the impact of tibiotalar angle on each measurement. These models determined 2659 values of syndesmotic measurements in neutral (0° dorsiflexion) ankle position to ensure standardized 2660 syndesmotic position, as well as the change in these measurements across ankle ROM to evaluate 2661 syndesmotic motion. These results were stratified by fixation type (uninjured, rigid, and flexible). Adjusted 2662 marginal r-squared values were calculated to ensure the appropriateness of fitting a linear mixed effects 2663 model to the data. We performed Shapiro-Wilk tests to infer normally distributed data. P-values less than 2664 0.05 were deemed significant.

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2666 **6.3. Results** 

#### 2667 6.3.1. Demographics

Thirteen patients were included for analysis. Seven patients had rigid fixation and six had flexible fixation (Table 6.1). Of the patients with rigid fixation, none had intact syndesmotic fixation at the time of imaging. One patient had undergone syndesmotic screw removal for symptomatic stiffness, while the remainder of screws had either broken or loosened. ROM was not significantly different between the injured and contralateral, uninjured ankles (p = 0.20). Adjusted r-squared values for each model ranged from 0.93 to 0.98 demonstrating excellent model fit.

Parameter	Rigid Fixation (range)	Flexible Fixation (range)	P-value
Sex (M:F)	7:0	3:3	0.03
Age (years)	37 (18 – 56)	46 (31 – 70)	0.29
ROM (degrees)	44 (37 – 65)	38 (19 – 51)	0.37

Table 6.1: Baseline demographics by fixation type.

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#### 2677 6.3.2. Syndesmotic Position

In all measures of medial-lateral translation, rigid fixation led to a wider syndesmosis than uninjured ankles (Table 6.2,Figure 6.3). However, only differences in MSD (p = 0.039), TFCS (p = 0.032), and syndesmotic area (p < 0.001) were significant compared to their contralateral ankles. There were no significant differences between ankles after rigid fixation and uninjured ankles for sagittal translation or fibular rotation. No significant differences between flexible fixation and the contralateral ankle were found for any measurement. Only syndesmotic area was able to detect a significant difference between rigid and flexible fixation showing greater areas after rigid fixation (p = 0.011).

2685

2686Table 6.2: Syndesmotic position and change in syndesmotic position with ankle ROM (motion) stratified by fixation2687type.

		Position		Motion	
		Mean (SD)	95% CI	Mean (SD)	95% CI
ASD (mm)	Uninjured	3.4 (1.6)	2.7 – 4.1	-0.8 (0.8)	-1.2 – -0.4
	Rigid	4.2 (2.2)	3.3 – 5.0	-0.4 (1.1)	-0.9 - 0.1
	Flexible	4.5 (2.4)	3.5 – 5.4	-0.5 (1.4)	-1.0 - 0.1
MSD (mm)	Uninjured	3.3 (1.1)	2.8 – 3.8	-1.0 (0.6)	-1.3 – -0.7
	Rigid	4.1 (1.5)	3.4 – 4.7	-0.1 (0.8)	-0.4 - 0.3
	Flexible	4.0 (1.7)	3.3 – 4.7	-0.7 (0.9)	-1.10.3
PSD (mm)	Uninjured	5.9 (2.0)	5.0 – 6.9	-0.5 (0.6)	-0.7 – -0.2
	Rigid	6.9 (2.8)	5.8 - 8.1	0.1 (0.8)	-0.2 - 0.4
	Flexible	6.3 (3.0)	5.1 – 7.6	-0.3 (1.0)	-0.7 – 0.1
	Uninjured	3.7 (1.1)	3.2 – 4.3	-1.0 (0.6)	-1.30.7
TFCS (mm)	Rigid	4.6 (1.6)	3.9 – 5.2	-0.1 (0.9)	-0.4 - 0.3
	Flexible	4.2 (1.7)	3.5 – 4.9	-0.7 (1.1)	-1.2 – -0.3
	Uninjured	-0.4 (1.2)	-0.9 – 0.2	1.0 (0.6)	0.7 – 1.3
TFO (mm)	Rigid	-0.1 (1.7)	-0.8 – 0.6	0.1 (0.9)	-0.3 – 0.5
	Flexible	-0.6 (1.8)	-1.3 – 0.1	0.7 (1.1)	0.2 – 1.1
Sagittal	Uninjured	1.0 (1.9)	0.0 - 1.9	-0.2 (0.7)	-0.5 – 0.1
Sagittai	Rigid	1.0 (2.6)	-0.1 - 2.1	-0.4 (0.9)	-0.80.0
	Flexible	1.5 (2.8)	0.4 – 2.7	-0.5 (1.2)	-1.00.1
Fibular	Uninjured	17.8 (10.1)	13.6 – 22.1	-1.2 (2.2)	-2.4 – 0.0
Fibular Rotation (degrees)	Rigid	13.9 (13.9)	8.2 – 19.6	0.5 (2.9)	-1.2 – 2.1
	Flexible	11.8 (15.1)	5.6 - 18.0	-2.0 (4.9)	-4.1 - 0.1
Syndesmotic Area (mm²)	Uninjured	124 (25)	112 – 135	-25 (13)	-32 – -18
	Rigid	164 (36)	150 – 179	-3 (19)	-11 – 5
	Flexible	136 (39)	120 – 152	-14 (25)	-23 – -5



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*Figure 6.3: Syndesmotic positions by fixation type. 95% Confidence intervals depicted. \*p <0.05.* 

2691

#### 2692 6.3.3. Syndesmotic Motion

2693 When investigating syndesmotic motion with changing ankle position, uninjured ankles demonstrated significant decreases in ASD, MSD, PSD, TFCS, and syndesmotic area, as well as increased TFO as ankles 2694 2695 moved from dorsiflexion to plantarflexion (p < 0.001 for all measures) (Figure 6.4). There was no

significant sagittal translation or fibular rotation detected. When comparing motion after rigid fixation to the uninjured case, there was a significant decrease in motion for MSD (p < 0.001), PSD (p = 0.008), TFCS (p < 0.001), TFO (p < 0.001), and syndesmotic area (p < 0.001). While flexible fixation had reduced motion in the coronal plane and increased motion in sagittal translation and fibular rotation compared to uninjured ankles, none of these differences were statistically significant. There was significantly less motion in the rigid fixation group compared to motion in the flexible fixation group for MSD (p = 0.017), TFCS (p = 0.021), and TFO (p = 0.041).



2709 the demonstrated change in reduction parameters with ankle ROM, measuring the syndesmosis

throughout dorsiflexion and plantarflexion can generate a more accurate, complete assessment of
syndesmotic reduction. Recreating physiologic syndesmotic motion after injury can help maintain
reduction throughout ankle ROM and restore function to the distal tibiofibular joint.

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#### 2714 6.4.1. Syndesmotic Position

2715 The present study demonstrated greater MSD, TFCS, and syndesmotic area in rigid fixation compared to 2716 uninjured ankles when measured in dorsiflexion. These differences are further increased in plantarflexion. 2717 While prior studies have shown over-compression of the syndesmosis with either fixation method,<sup>32-34</sup> 2718 this study's protocol allowed for provisional syndesmotic reduction with either a clamp or direct manual 2719 pressure. Reduction method was not captured, but manual pressure or thumb reduction is favored by surgeons at our institution as it is less prone to over-compression than clamp reduction<sup>35</sup> and could explain 2720 2721 why we saw greater syndesmotic widths after injury. Alternately, the loss of fixation in all patients with 2722 initial rigid fixation may have caused late diastasis as secondary loss of reduction has been shown in patients after implant removal or failure.<sup>6,25,31,36</sup> Andersen et al.<sup>5</sup> evaluated patients after flexible or rigid 2723 fixation and found that in patients who were over-compressed on initial post-operative imaging, screw 2724 2725 removal at 10-12 weeks led to normalization of radiographic parameters by one year post-operatively.

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There were no significant differences in syndesmotic position between flexible fixation and uninjured ankles. This finding supports previous work showing that over-compression can be minimized with appropriate suture button tensioning<sup>27</sup> and that flexible fixation provides better maintenance of reduction in the medium and long term.<sup>17,25,31,36,37</sup>

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#### 2732 6.4.2. Syndesmotic Motion

2733 Rigid fixation led to significantly sub-physiologic motion in MSD, PSD, TFCS, TFO, and syndesmotic area 2734 despite screw breakage or removal in all patients. Cadaveric studies have demonstrated reduced motion 2735 with rigid fixation compared to the uninjured case,<sup>8,16,17</sup> but this is the first study to demonstrate the effect 2736 in vivo. Interestingly, motion was still reduced with no remaining intact implants. Therefore, we 2737 hypothesize that initial rigid fixation can lead to healing of ligaments in a contracted state as well as 2738 increase the risk of heterotopic ossification or synostosis which would limit mobility of the syndesmosis, 2739 even after implant removal or failure. The duration of intact rigid fixation required to reduce motion is 2740 still unknown. When comparing motion after flexible fixation to uninjured ankles, we saw reduced motion 2741 in the coronal plane and increased sagittal translation and fibular rotation. However, none of these 2742 differences were statistically significant.

2743

2744 Flexible fixation resulted in significantly more motion compared to rigid fixation in MSD, TFCS, and TFO 2745 and was also more similar to physiologic syndesmotic motion. Cadaveric studies show increased motion 2746 after flexible fixation compared to physiologic motion, but this motion is in response to an applied external 2747 rotation stress rather than ankle ROM.<sup>16,18,38</sup> Reasons for an insignificant difference between motion after 2748 flexible fixation and physiologic motion could be due to the in vivo stability provided by intact soft-tissues 2749 and active muscular contraction, as well as the opportunity for ligamentous healing. In addition, the consistent 20 lb tension provided by the ACL graft tensioner may contribute to stability.<sup>27</sup> Allowing motion 2750 2751 at the distal tibiofibular joint can also have a positive impact on ligamentous healing.<sup>39</sup> Despite concerns 2752 that flexible fixation does not adequately stabilize the syndesmosis,<sup>18-20</sup> this has not been shown in the 2753 current study. By restoring syndesmotic motion, ankle joint contact area is maintained and instability, cartilage degeneration, and impingement may be avoided.<sup>15,16,40</sup> 2754

2755

# 2756 6.4.3. Limitations

2757 We are aware of some limitations of the current study. In our rigid fixation cohort, no patients had intact 2758 syndesmotic screws at the time of imaging. Therefore, we are unable to determine the impact of intact 2759 rigid fixation on syndesmotic position and motion. We do feel that these results accurately capture 2760 medium to long term outcomes of rigid fixation, as up to 90% of rigid fixation fails due to loosening or breakage.<sup>7,41</sup> In addition, our study evaluated the impact of ankle ROM on syndesmotic measurements 2761 2762 and not weightbearing or external rotation. Weightbearing may impart an additional stress on the 2763 syndesmosis leading to increased differences after fixation, though prior studies on the impact of weightbearing on syndesmotic measurements have conflicting results.<sup>42–44</sup> External rotation stress may 2764 2765 also impact our findings. We chose to investigate changes with ankle ROM rather than external rotation 2766 as we believe that changes with ROM have more functional relevance to daily activities and gait than 2767 external rotation stress.

2768

#### 2769 6.4.4. Strengths

To our knowledge, this study is the first to evaluate *in vivo* motion after treatment of syndesmotic injuries, thus capturing the impact of intact soft tissue, active motion, and biologic healing. Imaging patients one year after injury and surgery allowed us to capture motion and position once maximal recovery had been reached.<sup>45</sup> Additionally, a standardized image acquisition protocol, the use of 4DCT technology, and the automated measurement process allowed for accurate and repeatable measurement of bony relationships.

# 2777 6.5. Conclusion

- 2778 Restoring syndesmotic motion after injury can maintain syndesmotic reduction throughout ankle ROM
- 2779 and improve functional outcomes. Even with the loss of intact rigid fixation, initial rigid fixation leads to
- 2780 significantly less syndesmotic motion compared to the intact state. Flexible fixation adequately stabilized
- the distal tibiofibular joint during ankle ROM and provides more physiologic motion. This motion may
- 2782 contribute to the superior reduction and function reported with flexible fixation. This 4DCT application
- 2783 and analysis process can provide novel information regarding joint kinematics in both physiologic and
- 2784 pathologic cases.
- 2785

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# 2896 **7. General Discussion**

### 2897 7.1. Study Summary

2898 The recognition and diagnosis of syndesmotic injuries is increasing, as is our understanding of the 2899 importance of an intact, anatomically reduced syndesmosis on ankle function. Over the past two decades, 2900 increasing attention has been paid to the syndesmosis complex and achieving a reduction following injury 2901 in orthopaedic literature. However, despite improved understanding of the importance of syndesmotic 2902 motion and its impact on joint congruity and ankle ROM, reduction is still viewed as a static parameter 2903 and in vivo motion is still poorly understood. This disconnect may contribute to the wide variability in 2904 treatment strategies, persistently high rates of post-operative malreduction, and resulting functional 2905 impairment. To address this knowledge gap, this thesis work aimed to achieve the following specific 2906 objectives.

1) To quantify normal syndesmotic kinematics through ankle ROM.

2908 2) To quantify side-to-side variability in syndesmotic kinematics in healthy participants.

3) To compare syndesmotic kinematics following rigid and flexible syndesmotic fixation to normal,
 uninjured motion.

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The studies presented in this thesis can be used to guide imaging protocols, surgical technique, and implant selection. When investigating normal syndesmotic motion, we demonstrated significant syndesmotic motion during ankle ROM, satisfying Objective 1. As such, we recommend standardizing ankle position during imaging and when performing fixation for syndesmotic injuries. Completing Objective 2 showed mild side-to-side variability in syndesmotic position and motion, confirming that imaging of the contralateral, uninjured ankle is an accurate template with which to measure reduction of the injured ankle. Our pilot study of post-fixation motion was performed to address Objective 3. In ankles

2919 that have undergone rigid and flexible fixation, we showed that rigid fixation led to syndesmotic diastasis 2920 by some measures compared to normal position. Conversely, there were no differences between flexible 2921 fixation and normal position. When comparing motion, rigid fixation led to less than physiologic motion 2922 in the coronal plane, while there were no differences detected between motion after flexible fixation and 2923 physiologic motion. We therefore recommend that flexible fixation be performed over rigid fixation due 2924 to improvements in reduction and restoration of physiologic motion.

2925

2926 7.2. Data Acquisition and Processing

2927 The developed imaging protocol uses 4DCT to accurately and non-invasively measure syndesmotic 2928 position and motion. The orthopaedic applications of 4DCT are expanding for both clinical practice and 2929 research. Such advances are due to improvements in image acquisition technology, reduction in ionizing 2930 radiation dose, and more widespread availability of 4DCT-capable scanners. Benefits of this technology 2931 are the ability to provide insight into dynamic phenomena such as joint instability, impingement, and 2932 kinematics with high accuracy and precision when compared to other dynamic measurement methods, 2933 such as radiostereometric analysis, surface marker optical tracking, or accelerometer analysis.<sup>1,2</sup> In 2934 addition, 4DCT does not require a dedicated scanner and can be accomplished using any multi-detector 2935 CT scanner with a large enough detector array. Low radiation doses have been accomplished through 2936 image reconstruction using partial gantry rotations, iterative reconstruction techniques, and through the peripheral nature of most musculoskeletal applications.<sup>1,3</sup> Consequently, our imaging protocol yielded an 2937 2938 effective radiation dose of 0.06 mSv for static CT and 4DCT imaging combined, which is less than a routine chest x-ray or roughly equivalent to 10 days of background atmospheric radiation.<sup>4,5</sup> 2939

2941 After manual reference model creation once per specimen, the remainder of analysis was automated. The 2942 automated model registration process was highly successful, achieving a 99.8% success rate and a mean 2943 root mean square error of 0.3 mm. Automated registration success rates and error were improved in 2944 ankles without metal implants. Ankles with rigid syndesmosis screw fixation required more manual input 2945 when creating reference models to separate the tibia and fibula but the impact of rigid fixation was 2946 mitigated in the automated process through thresholding and custom screw blocking filters. The root 2947 mean square error accounts for voxel size, where our largest voxel dimension was 0.625 mm, as well as 2948 smoothing and rounding errors in the reference models, differences in CT data between reference and 2949 4DCT datasets related to imaging parameters, metal and motion artifact, as well as imperfect segment 2950 selection. Therefore, the true error due to registration is likely substantially less than 0.3 mm. Ochia et al.<sup>2</sup> 2951 performed a phantom study using similar 4DCT analysis of motion and determined registration error was 2952 less than 0.1 mm and less than 0.2°.

2953

2954 When performing our measurements of syndesmotic position, parameters were selected for clinical 2955 relevance and prevalence of reporting, reproducibility, and sensitivity to detect malreduction. Numerous 2956 measurements have been described, contributing to varying rates of malreduction. Gardner's definition 2957 of malreduction has been most cited, as a 2 mm difference between the anterior and posterior 2958 syndesmotic distances.<sup>6,7</sup> However, there is no anatomic basis for this cutoff and subsequent authors have shown it to be a common finding in uninjured ankles.<sup>8,9</sup> We used the measurements described by Nault 2959 2960 et al.<sup>10</sup> for ASD, MSD, PSD, and sagittal translation due to their demonstrated reproducibility as well as 2961 prevalence in syndesmotic literature to allow direct comparison of our results with those previously reported.<sup>6</sup> TFCS and TFO were selected as they are analogous to x-ray measurements used 2962 2963 intraoperatively. These two measurements were made perpendicular to the incisura similar to Lepojärvi et al.<sup>11</sup> to minimize error related to tibial rotation. Because these measurements were exactly 2964

2965 perpendicular to the incisura, this may explain why we found less TFO than radiographic studies since any 2966 rotation of the x-ray beam away from the incisura axis would serve to increase this measurement. Our protocol for fibular rotation was modified from Nault et al.<sup>10</sup> as well. Their fibular axis was poorly defined 2967 2968 and multiple authors have demonstrated difficulty reliably determining the fibular axis and measuring fibular rotation.<sup>11–17</sup> We therefore measured the fibular axis 5 mm distal to the plafond along the medial 2969 2970 fibular articular border, where it is more reproducible, and translated this axis to the level of the 2971 syndesmosis.<sup>13,18</sup> While the goal of this adjustment was to more reliably define the fibular axis, anatomic 2972 variability may explain why we failed to find significant differences in rotational position and motion for 2973 some groups. Syndesmotic area is a two-dimensional measurement which has previously been shown to 2974 be the most repeatable measure of syndesmotic reduction and is highly sensitive to small changes in reduction.<sup>17,19–22</sup> Our studies confirmed that syndesmotic area was a sensitive measure of reduction. 2975

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Further complex measurements are possible such as 3D assessments of syndesmotic volume which is also highly sensitive, though often impractical to perform.<sup>19</sup> We elected not to perform 3D measurements of the syndesmosis due to the error introduced by metal artifact proximally. Each measurement was calculated on an axial plane 10 mm above the plafond, consistent with other authors, as these measurements can be correlated with x-ray findings and the anterior and posterior tubercles are most prominent at this level.<sup>23</sup>

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### 2984 7.3. Syndesmotic Position and Motion

The position of the uninjured syndesmosis in dorsiflexion was comparable to prior studies.<sup>10,15,20</sup> As the ankle moved from dorsiflexion to plantarflexion, measures of syndesmotic width decreased between 0.7-1.1 mm and the fibula externally rotated 1.2° on average within the incisura. No measure of syndesmotic

2988 width demonstrated greater than 2 mm side-to-side variability, and the greatest fibular rotational 2989 difference was 9°.

2990

2991 Comparing post-fixation position to uninjured ankles, rigid fixation led to a wider syndesmosis on some 2992 measures, but not all. No differences were found between flexible fixation and the uninjured state. Rigid 2993 fixation led to less motion in all measures of syndesmotic width except ASD, whereas there was no 2994 difference between flexible motion and uninjured motion.

2995

2996 By demonstrating changes in radiographic syndesmotic parameters with ankle ROM, we confirm the findings of Nault et al.<sup>24</sup> and Kortekangas et al.<sup>25</sup> Therefore, we believe that ankle position should be 2997 2998 considered when imaging ankles for diagnosis of syndesmotic injury and assessment of syndesmotic 2999 reduction. Mild variability in side-to-side measurements show that the contralateral ankle may be used 3000 to template reduction of the injured ankle, but assessment of bilateral ankles should be performed with 3001 both ankles in the same position. Failure to account for ankle position has led to some syndesmoses 3002 appearing malreduced initially but direct visualization and repeat imaging in dorsiflexion showed anatomic reduction.<sup>25</sup> This may also explain persistent malreduction when using intraoperative CT if ankle 3003 3004 position is nonstandardized.<sup>26</sup>

3005

When performing fixation of syndesmotic injuries, multiple influential studies have concluded that ankle position does not impact outcomes.<sup>27–29</sup> However, these were cadaveric studies and considered the impact of ankle position on post-operative ankle ROM, but they did not account for syndesmotic reduction.<sup>27–29</sup> Given the change in syndesmotic position with ankle ROM, reduction of the syndesmosis

3010 with rigid fixation is a function of ankle position at the time of fixation. Despite the relatively small changes 3011 in syndesmotic position between dorsiflexion and plantarflexion, only small differences in syndesmotic width between 1.5 and 2.0 mm can lead to functional impairment.<sup>30–32</sup> Therefore the margin of error for 3012 3013 reduction with rigid fixation is low. Surgeons should be cognisant that a syndesmosis rigidly fixed in 3014 dorsiflexion can become under-compressed and internally rotated in plantarflexion while fixation in 3015 plantarflexion can produce over-compression and external rotation of the syndesmosis in dorsiflexion. 3016 Possible in vivo consequences also depend on ankle position, such as instability in plantarflexion, but 3017 impingement or stiffness in dorsiflexion.

3018

3019 There were no patients in the rigid fixation group with intact syndesmotic screws at one year after surgery. 3020 Implant failure or removal may cause either loss of reduction or correction of malreduction, perhaps 3021 related to the time since fixation.<sup>32–34</sup> By removing implants or allowing them to fail, the common belief was that functional outcomes would improve through the restoration of normal motion.<sup>35–37</sup> This study 3022 3023 demonstrates that even after loss of fixation, initial rigid fixation leads to reduced motion at the 3024 tibiofibular joint. Further investigation is required to determine the duration of rigid fixation required to 3025 cause abnormal motion. Even if implants are removed early in attempts to restore motion, removal is associated with high complication rates, risks loss of reduction if performed before three months, and has 3026 not demonstrated a functional benefit.<sup>38,39</sup> 3027

3028

3029 Schon et al.<sup>40</sup> reported that reduction after flexible fixation is not impacted by ankle position at the time 3030 of fixation. With the anatomic reduction and physiologic motion demonstrated after flexible fixation, we 3031 conclude that flexible fixation can achieve self-centering within the syndesmosis as previously 3032 hypothesized, but also allows motion towards the physiologic position to maintain reduction throughout

3033 ROM. Our results also show that appropriately tensioned flexible fixation adequately constrains the 3034 syndesmosis, allaying earlier concerns of excessive flexibility.<sup>41–43</sup>

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In our limited sample, we found differences in syndesmotic position and motion between rigid fixation and uninjured ankles for measures of syndesmotic width only. Perhaps greater intra- and inter-subject variability may explain why we were unable to detect changes in the sagittal plane or in fibular rotation. While small alterations in syndesmotic width have significant functional consequences,<sup>30–32</sup> fibular rotation requires asymmetry of greater than 10-15° prior to impairment.<sup>13,14</sup> The functional impact of sagittal malreduction or appropriate thresholds are not well established.

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#### 3043 **7.4.** Limitations

3044 Both studies were performed in the supine position. We therefore only captured the impact of ankle ROM 3045 on syndesmotic kinematics and not the impact of weightbearing or external rotation stress. Studies produce conflicting results on whether weightbearing impacts syndesmotic position.<sup>44–46</sup> The impact of 3046 3047 active ankle ROM was studied instead of external rotation stress due to the increased relevance of ankle 3048 ROM to gait and other common activities. We assumed linear change in syndesmotic measurements with 3049 ankle ROM, though this assumption was validated with adjusted r-squared values showing good model 3050 fit. In addition, the measurements investigated are surrogate measures for true position since a single 3051 measurement can be affected by translation or rotation in multiple planes. The benefits of these 3052 measurements over true translation or rotation about anatomic axes is their widespread clinical use and ease of measurement, as well as established relationships with functional outcomes.<sup>13,30–32</sup> Multiple 3053 3054 measurements were investigated for completeness, though it does predispose our results to a type I error. 3055 In the pilot injury study, we are unable to determine the impact of intact rigid fixation on syndesmotic

kinematics, as all screws had failed or been removed at the time of image acquisition. We also risk type II
error due to the small sample sizes in each treatment arm.

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#### 3059 **7.5. Strengths**

The strengths of our studies include the use of 4DCT analysis which allows for highly accurate, noninvasive capture of *in vivo* motion. We present the largest study of uninjured syndesmotic kinematics and the first analysis of kinematics after syndesmotic fixation to our knowledge. Employing a generalized linear model to multiple datapoints per ankle per measurement provides accurate estimate of syndesmotic position and motion. As a result, we can confidently report the impact of ankle position and fixation methods on syndesmotic kinematics and make recommendations on a previously poorly understood, yet common injury pattern.

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#### 3068 7.6. Future Directions

3069 A larger prospective cohort study, informed by the pilot study on post-fixation motion, is ongoing. Forty 3070 total patients will be recruited with 20 patients after rigid fixation and 20 after flexible fixation. Functional 3071 outcomes will be collected at two weeks, six weeks, three months, six months, and 12 months post-3072 operatively. Patients will undergo bilateral ankle 4DCT scans at three months and six months. An increased 3073 sample size will allow greater power in detecting differences between post-injury and uninjured position 3074 and motion. Additionally, by imaging patients at three months and six months we will be able to capture 3075 ankles with intact rigid fixation and determine longitudinal changes to the syndesmosis to assess healing 3076 and implant failure. Relating syndesmotic position and motion to functional outcomes will allow us to 3077 determine the functional impact of multiple measures of malreduction and abnormal motion. A larger

3078	cohort will also permit subgroup analyses of syndesmotic morphology, relating bony morphology of the
3079	incisura to normal and post-operative syndesmotic motion and position, as well as functional outcomes.
3080	
3081	Furthermore, future work will study patients who have undergone posterior malleolus fixation as a
3082	method of syndesmotic stabilization. Posterior malleolus fixation provides equivalent repair strength to

3084 motion is achieved. Traumatic attenuation of the PITFL or lack of intact anterior ligaments may predispose 3085 these ankles to altered syndesmotic kinematics.

rigid fixation due to an intact PITFL,<sup>47,48</sup> but our study will determine whether anatomic reduction and

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Finally, the developed image acquisition and analysis protocol has been highly successful and can be applied to additional orthopaedic applications. The protocol lends itself well to larger joints with thicker cartilage separation due to the ease in automated segmentation. Applying advances in imaging technologies and processing with half gantry rotation and iterative image reconstruction can ensure that 4DCT remains safe with minimal radiation in more proximal joints.

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3093 **7.7. Conclusion** 

The studies presented in this thesis determined syndesmotic position and motion in uninjured ankles, as well as in participants with syndesmotic injuries who underwent surgical fixation. We demonstrated that plantarflexion leads to decreased syndesmotic width and subtle fibular external rotation, supporting that ankle position should be considered when imaging the syndesmosis, when performing fixation, and when comparing images with the contralateral ankle. Side-to-side variability within patients is low enough that a contralateral, uninjured ankle may be used to assess syndesmotic reduction, provided that the same

- 3100 ankle position is used. Flexible fixation better restores normal syndesmotic position and motion compared
- 3101 with rigid fixation. By applying these findings to clinical practice, we aim to decrease the rate of
- 3102 syndesmotic malreduction and improve patient outcomes after injury.
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# 3104 **7.8. References**

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