## UNIVERSITY OF CALGARY

Arabic/English Bilingual Proficiency in Language Minority Students
by

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#### Abstract

This thesis presents a case study of three individuals who were born in Lebanon and immigrated to Canada at a young age. To varying degrees, all have experienced difficulty in the Canadian school system. The study seeks to answer the question of whether these difficulties were related to a language deficit, and if so, was it a deficit in language knowledge, or language skills?" Test results indicate that in both Lebanese Arabic and English, all subjects' grammatical competence compares well with native speakers in phonology, syntax and morphology. Deficits were noted in vocabulary.

This research supports two claims: - Children can achieve conversational fluency in a second language within two years, but require five to eight years to achieve proficiency with the academic uses of language, and - School success in a second language is related both to LI literacy levels and age of arrival in the L2 school environment.


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1918-1981

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## CHAPTER 1

## INTRODUCTION

## 1. Background

In many places throughout the world, children are part of ethnic minorities whose language is different from the dominant or standard language of the society in which they live. A number of studies have found that these language minority children are less likely to succeed in school than are majority, monolingual children. Baker (1996) cites the England Department of Education and Science (1985), Figueroa (1984) and Tomlinson (1986) as examples of research into differences between ethnic groups. Watt and Roessingh (1994) cite Grey (1991), Minicucci (1992), Sinclair (1992), and Spener (1988) as examples of studies which indicate that, compared with majority children, minority students suffer from frustration and marginalization in school. This level of frustration results in dropout rates for language minority students that are significantly higher than the dropout rates for majority students. The Canadian Education Statistics Council (1996:40) reports that "persons whose mother tongue is other than English or French (including Amerindian languages) show lower levels of educational attainment overall than those whose mother tongue is one of the two official languages. In $1981,54.6 \%$ of them had completed fewer than nine years of schooling. While this figure fell to $43.2 \%$ in 1991, it is still higher than the percentage for those whose mother tongue is English or French. This scenario holds true for all provinces except those in the Atlantic region,
where the low level of educational attainment is even more pronounced." Alberta statistics confirm the disparity between the two groups. In 1992, the Alberta dropout rate for English as a Second Language students ${ }^{1}$ was over 61\%, approximately double the overall provincial high school dropout rate of 34\% (Alberta Education (1992a)). Watt and Roessingh (1994) tracked 232 ESL students in an Alberta high school from 1988 to 1993, and found a dropout rate of $74 \%$ for this group.

Why do so many of these students fail in school? Researchers have suggested a number of contributing factors. Some of these are language and cognitive deficits due to lack of first language (LI) development, insufficient LI literacy skills, insufficient support in school to allow students time to "catch up" academically, cultural differences that place the language minority student at a disadvantage, lack of support for literacy activities in the home, and sociopolitical factors related to the adjustment of minority groups to majority culture. A language minority student's opportunity for academic success is no doubt influenced by a number of factors. This case study has been undertaken to investigate, with a few individuals, the linguistic factors that may be relevant to their school experiences.

## 2. Terminology

In the research literature on bilingualism and bilingual education, a number of terms are used to discuss the nature of language and how we use it. These include such

[^0]terms as language ability, academic language ability, language knowledge, language achievement, language competence, language performance, language proficiency, language skills, and literacy skills. Different authors and researchers sometimes adopt their own specific meanings and distinctions, and there is no standardized use of these terms (Baker, (1996)). In the interest of clarity, I offer the following definitions of the terms that will be used in this thesis:

- English as a Second Language (ESL) Students: Roessingh (1996) defines ESL students as those who are still in the process of actively learning English. Generally, they are recent arrivals to their new country. For the purposes of this study, ESL students are those who are enrolled in ESL classes for some portion of their school program.
- ESL Programs: It is important to distinguish ESL programs from MinorityLanguage Preservation programs. ESL programs are designed to help non-native speakers learn English.
- Minority Language Preservation programs are designed to help second language learners to maintain their LI and acquire their L 2 .
- LI: The first, or native, language of a speaker.
- L2: The second or additional language of a speaker, especially in discussion of language teaching, where L2 is the 'target language' or language to be learned
- Language Minority Students (LMS): Following Cummins (1981), LMSs are those students whose first language or home language is different from the language of the
wider community and its schoois. The term Language Minority Student(s) may include ESL students.
- Grammatical competence: a speaker-hearer's knowledge of his language, as represented by a generative grammar, or set of rules which indicate precisely what can be and cannot be a sentence in a language. (Chomsky (1988)). The ability of a speaker of a language to produce and understand an unlimited number of utterances, including many that are novel and unfamiliar. Grammatical competence includes a speaker's knowledge of phonology, morphology, syntax and vocabulary. In this thesis, the terms grammatical competence and linguistic competence are used synonymously.
- Language performance: Language in use. The outward evidence of grammatical competence; grammatical competence may be presumed by observing general language comprehension and production. Systematic errors - those consistently produced by the learner - reflect the nature of the speaker's grammar. Unsystematic errors are attributable to performance factors (Archibald and Libben (1995)).
- Communicative competence: A term originally coined by Hymes (1971) to provide a broader notion of proficiency than grammatical competence. It refers to a speaker's knowledge of the total set of rules and conventions governing the skilled used of language in a society. These include such things as knowing when and how it is appropriate to open a conversation, what topics are appropriate to particular speech events, and which forms of address to use. This research is placed within the framework of Bachman's (1990) ModeI of Communicative Competence. For

Bachman, one of the components of communicative competence is language competence.

- Language competence: the knowledge and abilities that underlie language proficiency. Within Bachman's model, language competence encompasses both Organizational Competence and Pragmatic Competence. Organizational competence is concerned with knowiedge and ability related to the structural aspects of language: the well-formedness of structures at and below the sentence level (grammatical competence), and the knowledge of how to join utterances together to form a text (textual competence). Texts can be either spoken or written; therefore, textual competence may or may not involve literacy skills.
- Language ability: Baker (1996:6) identifies four basic language abilities: the oracy abilities of listening and speaking, and the literacy abilities of reading and writing. Each language ability can be more or less developed, and the range of sub-skills that can be measured is large and debated. In this thesis, the term language proficiency may be used synonymously with language ability.
- Bilingual: having ability in two languages. Because the abilities may be at different levels of development, it is impossible to provide a definition that encompasses the many forms that bilingualism takes. They include balanced bilingualism (having equal proficiency in both languages), and subordinate bilingualism (having unequal proficiency).
- Balanced bilingual: In the sense used by many researchers in bilingualism (Baker (1996:8)), balanced bilingualism refers to the possession of 'reasonable' or
'good' ability in both languages. A child who can understand and operate in classroom activity in either language would be an example of a balanced bilingual. This is the sense in which the term balanced bilingual is used in this thesis.
- Fluency of speech: Fluent speech is produced automatically and without hesitation.


## 3. Language Minority Students in Calgary

Recent research by Roessingh (1996) with ESL students in high school focused on the inclusive classroom, where ESL students were together with native English speaking students. In the course of this research, she identified a group of language minority students who were having difficulty in school. Their first language (Li) was Lebanese Arabic, but they were different from the later-arriving Lebanese ESL students in a number of respects. Roessingh describes these students as follows:
"These students come from a second language background, but are currently not receiving any ESL support. Some of these students are Canadian born and never were eligible for ESL support; others are Canadian born - then left - and have returned to find themselves behind at school; others are students who have been in Calgary beyond the three-year funding limit and are fully integrated now with no further ESL support. They are often perceived to be slower learners or developmentally delayed, although teaching staff, administrators and guidance counsellors are at a loss to make sense of the students' apparent cognitive deficits. "They sound so good", or "he's been here so long his academic troubles can't be because of language", are the oft-repeated phrases used to describe these students. A number of these students had had differentiated programs of various kinds throughout elementary and junior high school: often, resource room help or, in one
case, placement in a class for the educable mentally handicapped. The key to their educational success (and English language development) may depend most critically on their first language abilities. Among this group, there is a great range in this regard." (1996:39)

Table 1.1 summarizes the differences between the two groups with respect to both oral and written abilities in both LI and L2:

TABLE 1.1
Comparison of Early-Arriving and Later-Arriving Students

| Canadian-born or early arrivals | Later arrivals (ESL) |
| :--- | :--- |
| Lebanese spoken at home | Lebanese spoken at home |
| Do not read/write Arabic | Academically proficient in Arabic |
| Spoken LI informally assessed as <br> undeveloped | Proficient at spoken L1 |
| Fluent spoken L2 <br> Not receiving ESL support | Range of abilities in spoken L2 <br> Receiving ESL support |
| "Fossilized" L2 reading skills: <br> Grade equivalent (GE) 5.5 <br> No change in five months | Made gains in L2 reading: <br> Improved one Grade equivalent in <br> five months |

(Source: Roessingh (1996))
The Canadian-born group do not read or write Arabic; most of the later-arriving students do. The spoken Lebanese of the Canadian-born students was informally assessed by the later arrivals as "undeveloped". The Canadian-born students speak fluent English (L2); the ESL students' spoken English covered a range of abilities. The most striking difference between the two groups is seen in the scores on English reading tests: both before and after the 5-month period of research, the average score for the Canadian-born
group was a grade equivalent of 5.5. Their reading scores did not improve. In contrast, the ESL students made an average gain of one grade equivalent in English reading over the five-month research period. Some of them surpassed the Canadian-born students in that short time.

It would seem that this group of language minority students could be called linguistically disadvantaged in some way. Their Ll was informally assessed as "missing something", and their L2 reading skills were tested at well below grade level. Both reading scores and school performance indicated that they did not possess sufficient English language ability to succeed at school tasks that require the academic use of language. At one time, such speakers might have been termed semilingual, a term originally coined by Nils Hansegård in 1968 (see Skutnabb-Kangas (1981)), to describe the "less than complete linguistic skills" of Finnish-Swedish bilingual Finns in the Tornea Valley, Sweden. The term semilingual has since been applied to linguistic minorities by a number of writers. Skutnabb-Kangas (198I) cites Paulston (1974), Hymes (1974), Loman (1974), Pinomaa (1974), Heyman (1973), Toukomaa (1972), Cummins (1980) and others who have used the term to describe a phenomenon of individuals having imperfect command of a linguistic system, or partial command of two languages. Little attempt has been made to properly define the term, except by Hansegård. He defined a semilingual as a speaker who exhibits the following profile in both of their languages: "displays a small vocabulary and incorrect grammar, consciously thinks about language production, is stilted and uncreative with each language, and finds it difficult to think and express emotions in either language." (Summarized by Baker (1996:9)). A semilingual is
seen as someone with quantitative and qualitative deficiencies in both their languages when compared with monolingual speakers of those languages.

Although there are language abilities on which people differ, there is a lack of sound objective evidence of the occurrence of semilingualism, and serious doubts about the value of the term. Based on Hansegärd's definition, it would be inaccurate and inappropriate to label Roessingh's students as semilingual. By the evaluation of school staff, all of them speak fluent and appropriate English. However, their reading scores and lack of school success indicate a problem. I have undertaken this study of a few individuals in order to determine whether or not the problem is a linguistic one.

## 4. The research question

This thesis presents a case study of three individuals, one of whom is one of the early-arriving students in Roessingh's study. To varying degrees, all have experienced difficulty in the Canadian school system. Furthermore, the spoken Lebanese of one of these speakers was informally assessed by other Lebanese speakers as "undeveloped", giving rise to the question of whether she possesses linguistic competence in her first language. The specific questions I sought to answer in this research are the following: "Were their difficulties in school related to a language deficit in either their LI or L2 or both, and if so, is it a deficit in language knowledge, or in language skills? What is the locus of the deficit? Is it in the representation of their grammars, and if so, in what areas syntax, morphology, phonology or vocabulary?" Or does it lie not in their representational structure, but in another component of a broader model of
communicative competence? The answers to these questions may contribute to the diagnosis of the school problems of language minority students. More specifically, it may help to identify one or more of the sources of frustration that lead to the high dropout rates for these students.

### 4.1 The context: Bachman's Model of Communicative Competence.

The research is placed within the context of Bachman's Model of Communicative Competence, illustrated in Figure 1.1. Bachman's model provides a metaphor that helps us to visualize the various components of linguistic competence.

FIGURE 1.1

## Bachman's Model of Communicative Competence (Archibald \& Libben 95)



Bachman divides communicative competence into three components:

- Strategic Competence, the communication strategies and learning strategies that language learners employ to deal with gaps in their language knowledge and to increase their competence.
- Psychophysiological Mechanisms, the auditory, neurological and articulatory abilities required to use language.
- Language Competence, which includes organizational competence and pragmatic competence:

Pragmatic Competence relates to the factors that make an utterance acceptable in some situations and not in others. Illocutionary competence relates to the ability to interpret the intended meaning of an utterance in context. Sociolinguistic competence relates to dialects, register, and cultural references.

Organizational Competence is concerned with knowledge and ability related to the structural aspects of language: grammatical and textual competence. Grammatical competence refers to the well-formedness of structures at and below the sentence level, and includes four competencies: the knowledge of vocabulary, morphology, syntax, and phonology/graphology. According to Bachman (1990:87), these competencies "govern the choice of words to express specific significations, their forms, their arrangement in utterances to express propositions, and their physical realizations, either as sounds or as written symbols" ${ }^{\mathbf{2}}$. Textual competence relates to

[^1]the construction of units larger than the sentence. It includes the knowledge of how to join utterances together to form a text, either spoken or written, structured according to rules of cohesion and rhetorical organization.

Bachman's (1990) discussion of his model does not contain an extensive treatment of vocabulary as a component of grammatical competence. It is unclear whether vocabulary competence consists of a certain vocabulary size, or whether it is more related to the appropriate choice and use of the words a speaker does possess. I will assume that both appropriate use of words and the size of the lexicon are components of lexical or vocabulary competence. Vocabulary differs from the other components of grammatical competence in that it does not fossilize in the way that syntax, morphology and phonology have been observed to do. The lexicon may expand over the course of a speaker's lifetime.

Regarding the role of literacy in communicative competence, I am assuming in this thesis that the literacy abilities of reading and writing are not essential or basic components of communicative competence. Referring to Bachman's Model, it is evident that in all components, the ability to read and write provides a means of expression of communicative competence. However, it is also evident that individuals in oral cultures, who speak one of the many languages that do not have a written form, may also fully express the components of communicative competence. One obvious difference between being a speaker of a language and being able to read and write a language is the degree of success attained by the learners of these tasks. With the exception of those with specific disabilities, all people naturally acquire the ability to understand and speak a language. In
contrast, not everyone becomes literate, nor do people usually learn to read and write naturally, without instruction. Therefore, literacy abilities are more accurately defined as language skills, not as core language competencies. They are abilities that access knowledge, but are not knowledge or competence themselves.

Literacy is more than just a cognitive activity, but also has a social and political dimension. In modern literate societies, those who do not acquire proficiency with the academic uses of language suffer social, economic and political consequences that result from an inability to fully participate in the life of the community. While not wishing to underestimate the importance of literacy, I found it necessary to restrict the scope of my research. The school performance and personal testimonies of my subjects are clear indications of problems in the areas of reading and writing English. The question I wished to answer is whether or not those reading and writing difficulties could be linked to deficits in their underlying grammars, either of English or Lebanese. I have therefore chosen to focus on the grammatical competence of my subjects, as demonstrated in their spoken languages, in the areas of syntax, morphology, phonology and vocabulary.

## 5. The speakers: an introduction

The three subjects for this research are the children of parents who immigrated to Canada from Lebanon. All were born in Lebanon and received some early schooling there, but none reads or writes Arabic, having left their home country before acquiring literacy skills. Based on numerous conversations, I would assess their spoken English as fluent. Although all will testify to having had some difficulties in school, their level of
education in English covers a broad range: one has not completed high school, one is a high school graduate (nonacademic stream), and the third has an undergraduate degree in Economics.

Speaker 1, Muna ${ }^{3}$, is the student from Roessingh's study. She attended her first year of school in Lebanon, but that year of schooling was interrupted by the civil war. She left Lebanon in 1987 and began school in Canada at 8 years oid; she was 20 years old at the time of testing. She speaks Lebanese at home and English with friends and at work.

She was not read to much by her parents; she does remember some storybooks from her preschool years, but most storytelling in her family was oral. Her father is a poet and musician, who tells stories in that medium. She is comfortable speaking both Lebanese and English, but she considers English her dominant language; by her own estimate, she uses English 65\% of the time.

She describes her linguistic experience as "being caught between two languages". With respect to reading and writing, her experience has been that whenever she has made progress in one language, she has lost ground in the other. She received ESL support in her first three years in elementary school, and she was motivated and enthusiastic about learning English in her early school years. Her account of using English for school purposes is that it was easier in elementary school than in the upper grades.

When she was 13 , she and her sisters went to Lebanon for 15 months to be with her father, and there she attended school with Arabic-speaking children. The instruction

[^2]she received in English was at a very basic level, for beginning students of English, and was "too easy" for her. The subjects taught in Arabic were "very hard", because although she understood the spoken Arabic, she was not able to read or write. When she returned to Canada she was put in Grade 8, a class placement based on her age, not her proficiency. Regarding her Junior High School years (Grades 7-9), she recalls being aware of her need for additional help with English reading and writing, but not knowing what was available, or how to access that help.

Muna's high school years were challenging for her, academically and personally. Her Grade 11 year was interrupted for five months, when she returned to Lebanon to visit her father who was ill. She attributes her difficulty in school to the two extended visits to Lebanon during her middle and upper school years, and to personal factors such as lack of motivation for school tasks and the stress related to her father's illness. At the time of her interview, she did not mention her English reading and writing skills as a factor. In order to complete high school, she would require another two years of study. She is currently successfully employed as a telephone salesperson, but wishes to find employment that would provide more potential for growth and advancement.

Speaker 2, Samit, left Lebanon in 1976 at the age of 10, spent a year in France before coming to Canada, and at the time of testing, had been here for 22 years. His early schooling in Lebanon was in Arabic and French and he was fluent in French as a child. He does not remember his parents reading stories to him as a preschooler. He is

[^3]able to decode Arabic letters, but has never read Arabic text with ease. When he first began school in Canada, he attended a special school for ESL students for approximately six months before moving into regular classes.

He lives alone and, by his own estimate, uses English about 80\% of the time. He successfully studied Engineering for two years before completing his Bachelor of Arts in Economics, and now works as an investment advisor. The subject describes himself as "good at business math", but says that using written English is difficult for him. When he does presentations that involve written English, he requires help.

The following dialogue illustrates how Sami would describe his experience of living in two cultures:
$L$ : So now, do you feel more at home in English or in Arabic?
$S$ : English. I mean, I'm 33, I've been here 22 years, so obviously I'm a lot more comfortable with the English language than I am the Arabic language, that's for sure. I'm more comfortable with Arabic culture, but more comfortable with English speech.
$L$ : You feel like you live in two worlds?
$S$ : I feel like I think one thing and I live something else....like, my mentality is one way, and you wouldn't think that for somebody who's been here that long. My mentality is one way, but life here is a completely different way than my mentality. And I guess they say that you never forget who you are, you never forget where you're from."

Speaker 3, Nabif, left Lebanon in 1992 at the age of 11. When tested, he had been in Canada for six and one half years. He received some schooling in Arabic and English (as a second language) in Lebanon, but is not able to read or write Arabic now.

He has been back to visit Lebanon four times, one of these visits lasting five months. He uses Lebanese at home with his family and feels equally at home speaking English and Lebanese. He estimates that he speaks English 70\% of the time, and Lebanese 30\%. He does not recall his parents reading stories to him as a preschooler, but was "told" stories in Lebanese. Learning to speak English was "easy", but reading and writing he "still can't do very well". He remembers his ESL instruction at school lasting "about four months" and consisting of conversational English instruction. He did not receive extra help with reading and writing until his last year of high school, when he approached a resource teacher for assistance. With her recommendation, he received government financial assistance to attend a private institute for help with reading and writing in English. He believes he made progress there, but funding terminated after two months, about halfway through his program, and he withdrew.

He is a high school graduate (nonacademic diploma), and recognizes that his reading difficulties present an obstacle to his further educational progress. He plans to attend a technical school and eventually, to study engineering at university.

## 6. Outline of the thesis

In this chapter I have defined the research question and the framework within which it is placed. In Chapter 2, I will discuss issues in bilingual education, particularly

[^4]with respect to language minority students. In Chapter 3, I will give an overview of the Arabic language and dialects, with comments on how the linguistic situation in the Arab world affects some issues in education there. In Chapter 4, I will discuss the research design and test results. Included in this chapter is a discussion of the development of a grammaticality judgment task to test competence in Lebanese Arabic. The test results for vocabulary, morphology/syntax, and phonology in English and Lebanese Arabic will be presented for the three subjects. In Chapter 5, I will present my conclusions based on these test results and suggest further research questions that arise from this study.

## CHAPTER 2

## ISSUES IN BILINGUAL EDUCATION

It is not clear what effect the specific linguistic and sociocultural background of language minority children has on school success or failure in comparison with monolingual, majority children. Neither is it clear why some language minority groups are more successful in school than others, nor why some language minority students finish high school and go on to successful higher education, while others from the same language background, and sometimes from the same families, drop out before completing high school.

Researchers have examined the disparity of school performance between groups from two broad perspectives. One approach focuses on factors that are internal to the language minority student such as cognitive development, $\mathrm{Ll} / \mathrm{L} 2$ proficiency (including oral and academic language development), and more specifically, the relationship between L1 and L2 literacy development. Another examines external factors such as socioeconomic status, culture, politics, and educational policies regarding bilingual education. The two approaches are briefly summarized in the following sections.

## 1. School performance of language minorities: internal factors

### 1.1 Bilingualism and cognitive development

Does being bilingual impair one's cognitive development and lead to failure in school? During the $19^{\text {th }}$ century and the first half of the $20^{\text {th }}$ century, the dominant belief among academics was that bilingualism had a detrimental effect on thinking. Up until the 1960s, typical research confirmed this viewpoint by looking at the differences between groups of bilinguals and monolinguals in terms of their performance on intelligence tests, particularly verbal IQ. Baker (1996) cites Saer (1923), Saer, Smith and Hughes (1924), and Darcy (1953) as examples. Baker notes that the early research was characterized by a number of methodological deficiencies such as testing in English only, use of simple averages rather than more complex statistical analyses, and failure to control for such factors as socioeconomic status, gender, age, and type of school attended. Later research that addressed these methodological deficiencies provided evidence that balanced bilingualism may lead to cognitive advantages over monolingualism, such as higher IQ scores (Peal \& Lambert (1962)). Subsequent research has confirmed these findings, indicating that balanced bilinguals perform better on tests of verbal fluency and flexibility, both of which are measures of creative thinking (Cummins $(1975,1977)$ ). The research of Ben-Zeev (1977) indicates that bilinguals are more flexible and analytical in language skills, due to increased metalinguistic awareness. For a review, see Baker (1996).

### 1.2 The relationship between LI and L 2 development

### 1.2.1 The Dual Thresholds Theory

Several studies have suggested that the further a child moves towards balanced bilingualism, the greater the likelihood of cognitive advantages. Baker (1996) cites Cummins \& Mulcahy (1978), Duncan \& de Avila (1979), and Clarkson (1992). The Thresholds Theory (Toukomaa \& Skutnabb-Kangas (1977) and Cummins (1976)) postulates two thresholds of academic language proficiency. Below the first threshold, children have low levels of proficiency (compared with their age group) in both languages, with likely negative cognitive effects. These children are those most likely to be termed "semilingual". Above the first threshold, children have age-appropriate proficiency in one but not both languages; there are unlikely to be positive or negative cognitive consequences. Above the second threshold, children have age-appropriate proficiency in both languages and there are cognitive advantages. Support for the Thresholds Theory comes from studies by Dawe (1983) and Bialystok (1988).

### 1.2.2 The Developmental Interdependence Hypothesis/CUP Model

Following on the Dual Thresholds Theory, Cummins (1978), cited in Baker (1996), outlined the Developmental Interdependence Hypothesis, suggesting that a child's second language competence is partly dependent on the level of competence, or academic language proficiency, already achieved in the first language. Cummins represents this developmental interdependence formally as follows:
"To the extent that instruction in Lx is effective in promoting proficiency in Lx, transfer of this proficiency to Ly will occur provided there is adequate exposure to Ly (either in school or environment) and adequate motivation to learn Ly." (Cummins (1984:143)).

In Cummins' view, there is an underlying cognitive/academic proficiency which is common across languages, and which makes possible the transfer of literacy-related skills from one language to another. This interdependence or common underlying proficiency implies that experience with either language can promote development of the academic language proficiency underlying both languages, given adequate motivation and exposure to both. Examples of the skills involved are subject matter knowledge, reading strategies, and writing composition skills.

The beneficial effect of L1 instruction on L2 development, and support for the Interdependence Hypothesis, is demonstrated in the following studies in the U.S.
(Archibald \& Libben, (1995), Cummins and Swain (1986)):

- The Rock Point Navajo Study

In 1971, A bilingual education program was started on the Rock Point Navajo
Reserve in the United States. Prior to the inception of this program, children on the reserve, even though they received intensive instruction in English, were two years behind the U.S. norms for reading by the end of Grade 6. The bilingual program used Navajo as the major medium of instruction from kindergarten through Grade 2, and for between 25 and 50 percent of instruction after that. English was gradually introduced. The students who went through the bilingual program were assessed
again in Grade 6, and were performing slightly above the U.S. norms in English reading.

- San Diego Spanish-English Immersion Project

This demonstration project in San Diego city schools involved approximately 60\% Spanish L1 and 40\% English Ll students. From pre-school to grade 3, instruction was predominantly in Spanish, with 20 minutes per day of English instruction in preschool, 30 minutes in kindergarten and grade 1, and 60 minutes in grades 2 and 3. From grade 4 through 6, instruction was half in Spanish and half in English. Although students lagged somewhat behind grade norms in both Spanish and English reading skills until near the end of elementary school, by grade 6 they were performing above grade norms in both languages and in mathematics achievement.

In summary, though the results of individual studies must be treated with caution, the research on bilingual programs shows that language minority children's LI can be promoted at no cost to their acquisition of proficiency in L2. To my knowledge, there are no studies that have found bilingual education to be detrimental to students' progress in either their LI or L2.

### 1.3 The BICS/CALP distinction

Skutnabb-Kangas \& Toukomaa (1976) first drew a distinction between "surface fluency" in a language, and the more evolved language skills that are needed to benefit from the education process. They noted that Finnish immigrant children who were born in Sweden or immigrated at a preschool age were able to converse comfortably in

Swedish in everyday situations despite literacy skills that were well below ageappropriate levels in both of their languages. Cummins (1979, 1980b)[LBif formalized this distinction between surface fluency and what he calls "conceptual-linguistic knowledge" in terms of Basic Interpersonal Communicative Skills (BICS), and Cognitive Academic Language Proficiency (CALP). He defined BICS as "the manifestation of language proficiency in everyday communicative contexts", and CALP as "the manipulation of language in decontextualized academic situations" (Cummins (1984:137)). The BICS/CALP distinction conceptualized language proficiency along two continua, as illustrated in Figure 2.1:

FIGURE 2.1
THE BICS/CALP DISTINCTION
(Cummins (1984))


The horizontal axis represents a continuum relating to the range of contextual support available for expressing or receiving meaning. In context-embedded communication, paralinguistic and situational cues support verbal language. Examples of these cues are actions with eyes and hands and instant feedback. In general, context-embedded communication is more typical of the everyday conversational world outside the classroom. Toward the context-reduced end of the continuum, language is not embedded in a meaningful context. Therefore, cues to meaning are primarily linguistic. Language used in many classroom activities, where higher order thinking skills such as analysis, synthesis, and evaluation are required, is representative of communication at the contextreduced end of the continuum.

The vertical axis represents the level of cognitive demand required in communication. Cognitively undemanding communication occurs where a person has the mastery of language skills sufficient for easy communication. An example would be having a conversation in the street or a shop, where processing of information is relatively straightforward. Cognitively demanding communication may occur in a classroom where much information must be quickly processed.

Cummins does not propose that BICS and CALP are completely separate: all children acquire their conceptual foundation (knowledge of the world) through conversations in the home, and cognitive skills are involved in most forms of social interaction. But he argues that BICS and CALP are conceptually distinct insofar as they follow different developmental patterns. An example of this difference is the fact that for both native speakers and second language learners, phonological skills and basic fluency
(BICS) reach a plateau in the first 6 years or so; subsequent development is very much reduced in comparison to previous development. This is not the case for literacy and vocabulary development (CALP), which continue to develop, both for monolingual and multilingual speakers, throughout our schooling and our lifetimes (Cummins (1999:2)).

In Cummins' view, immigrant children may acquire BICS within two years, but it can take between 5 and 10 years to "catch up" in CALP (Cummins and Swain (1986:184)). This view is supported by a study of 1210 immigrant students through the Toronto Board of Education. These students achieved spoken English fluency within two years, but required five to seven years to achieve grade level norms in academic language proficiency as measured on Verbal IQ scores and reading tests.

Collier's (1987) study also lends support to Cummins theory. Her study analyzed the length of time required for 1548 ESL students to become proficient in English for academic purposes. Variables included were age on arrival, English proficiency, literacy and mathematics skills in Ll , and number of years of schooling in English. The Science Research Associates tests were used to assess reading, language arts, mathematics, science and social studies. Her results indicated that students who were 8-1 I years old on arrival required two to five years to reach national norms on all subject areas tested. Students who arrived at 5-7 years old were projected to require at least three to eight years to reach grade level. Students who arrived at age 12-15 were the lowest achievers, and were projected to need at least six to eight years to catch up to grade level in all subject areas. Collier (1987:617) projects that at least 4-8 years may be required for all
ages of ESL students to reach grade-level norms, as measured on standardized tests, in all subject areas.

The only known variable that separated the 5-7 year old arrivals from the older arriving students was their level of schooling in Ll . Their relatively poor performance compared to the 8-1 1 year old arrivals implies that younger students might acquire English for academic purposes more rapidly if they were provided with a minimum of two years continued schooling in their LI. The poor performance of the $12-15$ year old arrivals may be attributed to a loss of one to two years of cognitive and academic development in all subject areas while they are mastering English. Collier (1987:635) suggests that these later-arriving students are most in need of content-area classes in Ll in order to stay at grade level.

Collier's research findings support those of the Rockpoint Navajo and San Diego studies, mentioned in Section 1.2.2. The 5-7 year old students, who had not had time to develop literacy skills in their Ll , were expected to require a longer time to achieve L2 grade level norms than the 8-II year old students, who had established a foundation of L1 literacy skills before beginning L2 instruction.

Cummins clarifies his view of the BICS/CALP distinction in the following way: "....The distinction was not proposed as an overall theory of language, but as a very specific conceptual distinction which had important implications for policy and practice. ...What are the policy implications for instruction of the fact that immigrant students usually require at least five years to catch up to grade norms in L2 CALP?...The distinction and related research does suggest that if English language learning students
are transitioned into a "mainstream" class in which the teacher knows very little about how to promote academic skills in a second language, then they are unlikely to receive the instructional support they need to catch up academically. The distinction also suggests some clear reasons related to inappropriate assessment why bilingual students are seriously over-represented in classes for the learning disabled or mildly handicapped and under-represented in classes for gifted and talented students." (Cummins (1999:2-3)).

The issue of assessment will be addressed further in Section 2, External Factors.

## 2. School performance of language minorities: External factors <br> 2.1 Sociocultural approaches

The language minority students who are most likely to experience frustration in school are those of lower socioeconomic class (Edelsky et al (1983)). Authors within the sociocultural framework argue that the reasons for poor school performance of low SES language minority children are less related to language deficits than to cultural differences between the language minorities and the middle class majority children. Edelsky et al suggest that middle and lower class children are at a disadvantage in the school system in a number of ways: having less exposure to literacy activities in the home environment, having different norms for interaction with teachers, and not having the same degree of "test-wiseness" (ability and desire to do well in testing situations) as middle class majority language children. Given these differences, they question the validity of using standardized tests to assess language minority students.

Although Cummins supports the use of standardized tests as a means of assessing language proficiency, he argues that there is little educational merit in trying to assess, by
means of IQ tests, the intelligence of minority students. Any IQ test standardized on a "representative" sample will necessarily assess only those skills and knowledge that are regarded as intelligent within the dominant group and will exclude any culturally-specific ways in which minority children have learned to be intelligent (Cummins (1984:77). He cites the Kamehameha Early Education Program (KEEP) as an example of how measures of students' academic performance can be improved with the introduction of culturally sensitive instruction. One example of such cultural sensivity was a change in classroom management that allowed the children to work cooperatively and to learn by observing the activities of older children, behaviours that were typical of the children's home environment. This change resulted in an improvement in verbal $1 Q$ scores from "subnormal" to "normal" range.

### 2.2 Sociopolitical approaches

Skutnabb-Kangas (1981) and Ogbu (1995) are two authors who represent a political perspective on the issue of the school failure of language minorities. In Skutnabb-Kangas' view, the phenomenon of semilingualism cannot be "explained away" as a product of middle class bias on the part of researchers. It is not a "theory using white middle class norms in an ethnocentric fashion to force those norms on bilingual immigrant working-class children, and then label them as inferior, deficient or at least different." (Skutnabb-Kangas (1981:249)). Neither, in her view, can semilingualism be regarded as a deficiency inherent in the individual; it should be treated as one result of the powerless social and linguistic circumstances in which he/she has lived. In other words,
the linguistic deficiencies described as semilingualism are real, but these linguistic inequalities are a result of political inequalities. "As soon as the minority itself gets control over the way the school system is organized, semilingualism can be eradicated." (Skutnabb-Kangas (1981:250)). This perspective asks us to look at the social and political conditions that produce semilingualism. If this is not done, one of the consequences is that the blame is laid on the individuals who suffer the consequences of the shortcomings of a school system and a society that perpetuate a system of inequality (Skutnabb-Kangas (1981:249)).

Ogbu (1995) notes that some minority groups encounter cultural and language problems in school, but cultural differences do not affect the education of all minorities in the same way. Some language minority students, e.g. the Cantonese-speaking Chinese students in Oakland, California, and the Punjabi Indians in Valleyside, California, are able to overcome cultural and language barriers, adjust well in school, and succeed academically. Others, such as Hispanic/Mexican Americans, and Native Americans, do not. He draws a distinction between voluntary minorities, and involuntary minorities. Voluntary minorities are groups that have chosen to move to a new country because they believe that this move will lead to greater economic, political, or social well being. Their positive expectations influence their perceptions of the public schools, and their children do not usually experience persistent problems in academic achievement. Examples in California are Chinese and Punjabi immigrants. Involuntary minorities are part of their new society because of slavery, conquest, or colonization. These are the minorities that have the most difficulties with school adjustment and achievement. He includes African

Americans, Mexican Americans and Native Americans as U.S. examples of involuntary minorities. Ogbu argues that school success or failure among minorities can be explained by examining the various groups' adaptation to majority culture.

Ogbu draws a broad distinction between voluntary minorities who come to a new country with positive expectations, and those minorities that become part of another culture through force. His analysis does not account for the cultural adaptation of refugees or people such as Lebanese Canadians, who leave their home countries voluntarily to escape war or political upheaval; therefore, I will not pursue his analysis here.

### 2.3 Literacy in the home

Another external factor related to school performance is children's exposure to literacy during preschool years. Wells' (1986) conducted a longitudinal study of 32 children of various socioeconomic classes, from ages one through their elementary school years. Data was collected on the children's language development throughout their preschool years, family practices regarding childrearing, and progress in school. His results indicated that the children of lower socioeconomic classes were significantly less successful in school than the more privileged children. Analysis of the results led to the conclusion that school success was directly related to the value placed on literacy by parents, as evidenced by the presence of books in the home, reading to their children, and thereby imparting to the children an understanding of the purposes of literacy and how to set about obtaining meaning from print. The children who were not exposed to literacy
activities in their homes "experienced considerably more difficulty in learning to read and write, and rarely achieved a level of independence by the age of 10 sufficient to make reading and writing enjoyable and rewarding. As a result, they tended to be less successful in other areas of the curriculum as well." (Wells (1986:145)

### 2.4 Education policies

### 2.4.1 Assessment and placement

Cummins' BICS/CALP distinction provides a useful framework for understanding the high dropout rate for ESL students from mainstream academic programs. In his view, the failure to take account of the differences in acquisition of conversational and academic uses of language has led to inappropriate psychological testing of bilingual students and premature exit from bilingual or ESL support programs. The students' conversational fluency in L2, achieved within two years of arrival, can lead teachers and other professionals to assume a level of linguistic proficiency that students do not possess with respect to academic, context-reduced language use. Upon exit from the ESL support program, students are placed into mainstream classes where they receive minimal support for continued academic language development in L2.

Cummins argues that the identification of surface structure control with 'English proficiency' leads teachers and psychologists to eliminate 'lack of English proficiency' as an explanatory variable, and low academic performance or test scores are attributed to deficiencies in the student or his/her background. Conversely, teachers and psychologists may attribute a language minority child's difficulty in school to his or her bilingualism.

An analysis of the psychological assessments of 428 ESL students in a Western Canadian city indicated that "even when a child's ESL background is taken into account, there is a tendency to assume that the IQ test score is a valid indicator of academic ability or competence (as opposed to performance) and to attribute deficiencies in this competence to the child's bilingual background."(Cummins and Swain (1986:191)).

The assumption that IQ tests are valid indicators of minority students' academic abilities leads to the conclusion that their school failure is an inevitable consequence of mental inferiority due to one or more of the following factors: genetic inferiority, bilingualism, linguistic deficiency, or cultural deprivation. Standardized achievement and IQ tests 'locate' the cause of minority students' educational difficulties within the students themselves. (Samuda et al (1989)). This has led to the massive overrepresentation of minority children in special education classes. Ortiz and Yates (1983) report a 300\% overrepresentation of Hispanic students in classes for the learning disabled in Texas. In Canada, ethnocultural community groups have been expressing concerns about discriminatory assessment and streaming of minority students into vocational programs since the early 1970s. For a review, see Cummins in Samuda et al (1989:102).

### 2.4.2 Funding

There is considerable research that strongly suggests that it requires an average of six years for an immigrant student to reach grade-level norms in L2 academic language proficiency (Collier (1987), Ramirez et al (1991), Cummins and Swain (1986)). If
educational funding for L2 assistance is provided for less time than that, students will be "mainstreamed" into monolingual classes, with no extra L2 support, before they are ready for the language demands of the classroom. For younger students, this may set them up for failure, as they gradually fall behind their monolingual peers (Ramirez et al 1991).

According to Watt and Roessingh (1994:291), some ESL students drop out of high school due to the removal of support services. Premature transition to mainstream classes, a fixed length of educational support regardless of individual need, and a high school attendance age cap that has been established according to the educational norms of English speaking students are examples of policies that can "push" students out of school prematurely.

## 3. Varieties of bilingual education

The term bilingual education is an imprecise umbrella term that applies both to classrooms where formal instruction aims to foster bilingualism and biliteracy, and to classrooms where bilingual children are present, but bilingualism is not fostered in the curriculum. One approach to categorizing types of bilingual education is to examine the aims of the various types. They may be divided into two general categories, transitional and maintenance bilingual education (Baker (1996)). Transitional bilingual education aims to shift the child from the language of home to the dominant majority language. Maintenance bilingual education aims to foster the minority language in the child. Developmental maintenance seeks to develop a student's LI to full proficiency and full Literacy. Developmental maintenance is sometimes referred to as Enrichment Bilingual

Education, a term that is also used for programs for language majority children who are adding a second language at school.

The various types of bilingual education may be conceptualized with the following model:

FIGURE 2.2

## BILINGUAL EDUCATION MODELS

Adapted from Verhoeven (1994)


Model A, the transitional approach, starts with instruction in the minority language and introduces L 2 instruction after a short period. At a later point, LI is interrupted. The goal of the transitional approach is to reach an optimum language proficiency level in L 2 .

Models B, C, and D aim at functional bilingualism and biliteracy: Model B has
simultaneous $\mathrm{L} 1 / \mathrm{L} 2$ instruction. Model C begins with LI , and later includes L 2 ; Model D, the immersion approach, has the reverse order. Model E, the submersion approach, uses the L2, the target language, as the only language of instruction.

Verhoeven (1994) surveys the research on bilingual education within the various models. With respect to L2 submersion and immersion programs (Models D and E), research in different settings yields different results. In bilingual immersion programs in Canada, majority English-speaking children reached a high level of L2 French literacy skills without their Ll skills lagging behind. He cites Lambert and Tucker (1972) and Genesee (1984) as examples. However, in studies where the minority LI has a low level of prestige, and the learning of L2 (L2 submersion) reflects the loss of LI, the opposite effects are noted, i.e. there are "poor results in both languages" (Verhoeven (1994:205)). He does not cite sources for the research on submersion, nor does he define the criteria those studies used to determine that the results are poor in both languages.

Depressed literacy skills in L1, poor reading and writing skills in L2, and high dropout rates are all indications of problems for language minority students in submersion schooling. However, if we are to effectively address the problems of language minority students in the schools, it is necessary to clearly define the nature of the problems, whether linguistic, social, or political. The intent of this study is to discover what, if any, are the specific linguistic problems of a few individuals, and to define those problems as precisely as possible.

The effectiveness of transitional bilingual education in producing L2 academic language proficiency has been examined in a number of surveys. As mentioned in

Section 1.2.2, there are no studies that have found transitional bilingual education to be detrimental to students' progress in either their Ll or L 2 . However, the degree of benefit to the language minority student in terms of enhancing school success is not yet established. Chu-Chang (1980), Zappert and Cruz (1977) and Troike (1978) concluded that the effects of transitional bilingual education starting from Ll were mainly positive. Baker and Dekanter (1983) concluded on the basis of 28 studies that the effectiveness of transitional education is weak. Willig (1985) came to the opposite conclusion that transitional bilingual education led to a positive effect for reading skills in both Ll and L2, and that bilingual education programs tend to produce higher performance in tests of achievement throughout the curriculum.

Recent U.S. research by Ramirez, Yuen and Ramey (1991) compared English submersion, Early Exit and Late Exit transitional bilingual education programs, all of which have the acquisition of English language skills as their goal. Over an eight-year period, more than 2300 Spanish-speaking students in these three types of bilingual education were compared on measures of mathematics, language and English reading skills. The study found that minority language students could be provided with substantial amounts of Ll instruction without delaying their acquisition of English language and reading skills. In contrast, the students who received instruction exclusively in English (L2) may fall behind their English-speaking peers by grade 6. This is further evidence to support the use of the native language as the language of instruction.

Willig's analysis also showed evidence that the acceptance by the school system of the native language and culture of bilingual children, reflected in the availability of LI
instruction, was a positive influence on students' self-concept. It is not unreasonable to assume that motivation to learn in L2 will increase as the school pays more attention to the language and cultural background of the learner.

This positive effect is demonstrated in a five-month pilot project conducted by Boyer Short and Sutherland (1989) in a Calgary school. The study compared two groups of 12 non-English speaking five-year-old children. Their first languages were Spanish, Polish and Vietnamese. One group attended an English-only kindergarten class in the mornings and an ESL program four afternoons per week. The control group attended only the kindergarten class. The groups had similar English language skill levels. The ESL program was supportive of the students' L1s: support staff who spoke the children's native languages were available, and parents were encouraged to help out, having been assured that their first language was welcome in the classroom. Staff members used their first languages freely, and were able to explain concepts and information that were not understood; the children could respond and express themselves fluently in their first language. "The children were given the message that their language is very important and should not be disregarded while learning English." (Boyer Short \& Sutherland, (1989:14)). The children's language skills in Ll and L 2 were assessed with a test derived by the researchers from speech and language norms for kindergarten children. Based on the pre-and post test results in language scores, communication and confidence, reading readiness, and math skills, the difference in English language acquisition between the experimental and control groups was striking. While both groups improved, the experimental group's progress was significantly greater. This group advanced from a
mean total language score of 48 out of a possible score of 106 , to a post-program score of 72/106. The control group advanced from a score of 53 to a score of 57 in the same time. Boyer Short and Sutherland (1989:30) concluded that "if ESL preschool children are free to use their native language and are provided with a non-threatening classroom, one in which parents and staff work together, the children will have a greater opportunity to reach their maximum potential. Because the language, culture, interests and learning styles of the children in the experimental group were respected and encouraged, their English language level improved considerably as compared with the English language levels of the children in the control group."

## 4. The linguistic perspective

The research on bilingualism and the education of language minority children receives input from a number of perspectives. Educators, sociologists and political anthropologists all contribute to the debate. What is it that the linguist can bring to the discussion? Does the field of linguistics offer insights into the nature of language that are unique, that would clarify an aspect of the debate that has not to this point been considered in depth?

Those in the field of second language acquisition and bilingual education are typically interested in the development of language skills. These skills are observed through the acts of speaking, listening, reading, and writing. These are all aspects of language performance, or what people do with language. Of greater interest to the linguist is language competence, or the state of the speaker's internalized grammar.

Chomsky $(1986,1988)$ proposes that to be a speaker of a language entails having a grammar of that language, an unconscious rule system that characterizes the speaker's language knowledge. It is this unconscious rule system that allows a speaker of a language to understand and generate original sentences, and to judge whether particular strings of words are grammatical or ungrammatical. These judgments are based on the speaker's internal structural representation of the language.

If a language minority student is struggling in school, and this struggle is attributed to a "language deficit" in either or both languages, what exactly does the term "language deficit" mean? Does it mean that the student has an impoverished internal grammar of one or both languages, or does it mean that the student has not mastered ageappropriate literacy skills? In order to locate the source of difficulty, a precise definition of terms is necessary and appropriate. In the view of Chomskyan linguistic theory, having an impoverished grammar would be a problem related to linguistic competence. The ability to read does also involve linguistic competence, but much more as well: recognition of letters, memory processes, and the extraction of meaning from a text, to name a few. These other factors are not in the domain of linguistics.

The specific contribution that the linguist can make is to focus on linguistic competence. Grammaticality judgment tasks, along with other evaluations, can give us a "window" into the state of a speaker's internal grammatical structure. This study asks the question "Is there a deficit in the internal representations of the grammars of these speakers?" If so, we have made a specific discovery. If not, the source of school
adjustment problems is not located in linguistic factors that are related to the knowledge of the speakers; we must look for solutions elsewhere.

## CHAPTER 3

## THE ARABIC LANGUAGE AND DIALECTS

## 1. Varieties of Arabic

The Arabic language is spoken throughout an area that lies partly in Asia and partly in Africa. This region is bounded on the east by the Zagros Mountains, dividing Iraq and Iran, and on the west by the Atlantic Ocean off the coast of Morocco. The northern boundary is the Taurus range, dividing Turkey from Syria and Iraq; the southern boundary is the Indian Ocean, the eastern and central regions of Africa, and the Sahara Desert.

FIGURE 3.1
THE MIDDLE EAST


Source: Middle East Today, 1997.
Arabic-speaking countries are non-shaded

The country of Lebanon, with a population of $3,900,000$, occupies a 4,015 square mile area bordered on the west by the Mediterranean Sea, and by neighboring countries Syria and Jordan on the east and south respectively. The Population Reference Bureau (Middle East Today (1997)) estimates the total population of the Middle East at $250,100,000$. The Lebanese population comprises $1.6 \%$ of that total. Asher (1994:191) states that Arabic is the sole or joint official language of some 21 independent Middle Eastern and African states, and is the native language of approximately 183 million people. As the language in which the holy book of Islam, the Qur'an, was revealed, Arabic is the liturgical language of Muslims worldwide: 20 percent of the world's population, living in more than 60 countries.

Arabic communities are diglossic, using at least two distinct forms of the same language. One, Modern Standard Arabic, is acquired through education and is appropriate to one range of contexts; the other, the Neo-Arabic vernacular, is acquired before formal education and is appropriate to other contexts. Ferguson (1959:336) defines diglossia in this way: "a relatively stable language situation in which, in addition to the primary dialects of the language ....there is a very divergent, highly codified (often grammatically more complex) superposed variety, the vehicle of a large and respected body of written literature. $\qquad$ which is learned largely by formal education and is used for most written and formal spoken purposes but is not used by any sector of the community for ordinary conversation." In describing the Arabic-speaking community, the term diglossia is rather simplified, since it does not account for the different levels that exist between the formal and colloquial varieties of the language. Most researchers agree that there are at least
three coexisting varieties of Arabic, each having a specialized function. These are Classical Arabic/Modern Standard Arabic (MSA), the Neo-Arabic dialects, and Educated Spoken Arabic (ESA). ESA lies between MSA and the dialects, in the sense that it is a mixture of written and vernacular styies. Therefore it would be more accurate to describe the situation in Arabic as triglossic or multiglossic, with more than two varieties and a continuum along which native speakers shift according to a number of different variables.

### 1.1 Classical/Modern Standard Arabic

Classical Arabic (CA), the language of poetry, literature, and the Qur'an, was described and standardized by Arab grammarians during the $8^{\text {th }}$ and $9^{\text {th }}$ centuries, and has survived to the present. In the $19^{\text {th }}$ and $20^{\text {th }}$ centuries it went through a process of revival and developed into Modern Standard Arabic (MSA), the official language of all Arab countries. MSA differs from CA only in vocabulary and stylistic features; the morphology and the basic syntactic norms have remained unchanged. MSA is the language of Islamic worship, contemporary literature, journalism, television and scientific writing. It is learned through formal education and is not acquired as a native language by any Arabs. Its use is reserved for formal occasions calling for 'spoken prose'. As the language of the Qur'an, Classical Arabic is widely believed by Muslims to constitute the actual words of God and even to be outside the limits of space and time, i.e. to have existed before time began with the creation of the world (Ferguson (1959:330)). Hence, even though no segment of the community regularly uses MSA as a medium of
ordinary conversation, many Arabs hold the view that Arabic is 'really' Classical Arabic or Modern Standard Arabic, the language which is prestigious and sacred.

### 1.2 Neo-Arabic Dialects

Neo-Arabic, or the vernacular, splits into numerous colloquial dialects. Arabs will first acquire a Neo-Arabic dialect, then learn MSA as a second language, according to the Ievel of their education. All speakers, whatever their level of education, use vernacular dialects for all speech purposes apart from religious ritual and other forms of formulaic public speaking. However, the dialects are disdained by many Arabs and are popularly regarded as mere 'corruptions' of Standard Arabic, incapable of expressing abstract and complex concepts, and associated with ignorance and illiteracy (Ayari (1996:244)). None of the regional dialects can be effectively written down.

The main groupings of the Arabic colloquial dialects are Iraqi, Arabian, SyroPalestinian (also called Eastern or Syrian), Egyptian-Sudanese, North African, and NorthWest African. They have co-existed with the formal language for at least 1400 years, borrowing from it and influencing it in return, at least locally. Though related to each other, they are not mutually comprehensible with any ease, especially where they are widely separated geographically, e.g. North-West African and Iraqi (Wickens (1980); Bright (1992)). Within different geographical areas, there are also dialect differences that correspond to the degree of urbanization. The patterns of migration and settlement, and the maintenance of separate sets of social and speech networks in the cities on one hand and the rural areas on the other, have led to a situation in which the dialects of the
countryside differ quite remarkably from those of the cities. In fact, the dialects of the descendants of nomads in Jordan, Syria, Iraq and Saudi Arabia have more in common with each other than they have with the dialects of the established cities such as Damascus, Jerusalem and Amman. Similarly, the dialects of these cities have much in common. However, as the leveling influence of MSA becomes stronger, through the influence of the media in remote areas and the increase in public education since the [960s, the rural-urban differences are beginning to break down (Asher (1994)). All languages make some distinction between written, formal expression and verbal, informal utterance. However, the difference between MSA and the colloquial dialects is so great as to question the basic unity of the language concerned. Wickens (1980:9) offers two examples that illustrate this difference:

- An Arabic newspaper, book or play written in Syria (in MSA) is understood by all educated Arabs throughout the Arab world, but if the same newspaper or book were read aloud in MSA, it would not be properly intelligible to poorly educated Arabs, in Syria or elsewhere. If the Syrian author used the Arabic alphabet to represent his own colloquial dialect, his book or play would be intelligible to his fellow Syrians only if they knew enough MSA to read the letters. Non-Syrian Arabs would suffer varying degrees of incomprehension.
- An Arab political leader, making an important speech, has often to choose whether he wishes to be wholly intelligible to all educated Arabs everywhere, in which case he will speak MSA, or to all of his own countrymen, in which case he will speak his
colloquial dialect. If he wishes to be understood in varying degrees by both groups, he will use an amalgam of the two.


### 1.3 Educated Spoken Arabic

Between MSA and the regional dialects is a continuum of spoken and written Arabic, along which speakers shift according to their communicative needs. The number of levels between MSA and the colloquial dialects, and the distinctive features of each, is a subject of controversy. However, researchers agree that there is at least one level between MSA and the dialects, which some call Educated Spoken Arabic (ESA). ESA is the medium of communication of educated Arabs, and is a mixture of written and vernacular styles. Both MSA and ESA are in use across national boundaries, with speakers modifying their speech in the interests of mutual intelligibility.

Mitchell (1986) describes ESA as the "unstigmatized" language occupying the middle ground between the "high-flown" prose of MSA and the "stigmatized" vernacular dialects. He further divides ESA into formal and informal styles. The use of ESA serves to identify the speaker as an educated person who wishes to converse on topics beyond the scope of a regional vernacular, and to communicate with other Arabs of similar background, of their own or other nationalities.

In contrast to MSA, ESA is characterized by the lack of morphological case, lack of mood endings and indefinite markers, lack of the internal passive, use of vernacular negative markers, and the lack of dual number marking on verbs and adjectives.

## 2. Arab education - the challenge of diglossia

Among Arabs aged 15 years and over, the literacy rate in Arabic-speaking countries is approximately $67 \%$, ranging from a low of $38.0 \%$ in Yemen to a high of 92.4\% in Lebanon. (The Middle East Today (1997)). Even among Arabs who manage to read and write in literary Arabic well enough to satisfy academic requirements, there is a lack of confidence, skill, and interest in these activities (Ayari (1996:245)). Evidence of Arab students' poor writing skills is seen in the pervasiveness of the oral mode of discourse in their academic writings. Ayari and Elaine (1993) claim that the failure of many Arab students to comply with English rhetorical conventions in their writings correlates with their failure to exhibit writing skills in Arabic. Students who exhibit good writing skills in Arabic also tend to do so in English.

Some Arab intellectuals and educators have recommended the use of local dialects as the medium of instruction, at least in early school years, in order to overcome the mismatch between the spoken and written languages. They argue that the challenge posed by the learning of MSA, in fact a second language for the Arab child, is a heavy burden that delays the learning of academic skills until the language of literacy (MSA) is mastered. Support for this view comes from a 1968 UNESCO report, which advocates the use of the mother tongue in the initial stages of education, and studies conducted in the United States on the relationship between language of instruction and the academic achievement of students (Ayari (1996:246)). These studies attribute massive failure in the teaching of language-based skills to minority students to the difference between Standard English and the vernaculars of these students (Ogbu (1983)). This has led to the
implementation of programs in which minority children are taught in their native vernaculars such as Spanish or African-American English in order to facilitate the acquisition of literacy skills. For examples, see Baker (1996:215), Archibald and Libben (1995:435), Ramirez, Yuen and Ramey (1991).

It is not likely that the local dialects will replace MSA as the language of school instruction. Opponents of the vernacular argue that replacing literary Arabic with the vernacular would cut off future generations from the vast body of Arabic literature and undermine efforts to strengthen Arab unity. MSA continues to be the only variety of Arabic used in academic settings, and is regarded by Arabs as the language of education that will be most likely to secure better jobs or improve social status. In some countries, such as Morocco, Tunisia, and Algeria, the mismatch between the local vernacular and MSA is compounded by bilingualism. Because of the colonial legacy, French and/or English are used as languages of instruction for non-literary subjects. Some Arab educators argue that the use of French as a medium of instruction is responsible for the poor academic achievement of the school population (Ayari (1996:247). In all three of the ex-French colonies, steps have been undertaken to replace French with MSA, at least in the elementary grades (Fitouri (1989)).

Before their school years, most Arab children are not exposed to reading material. Ayari (1996) quotes a study that estimates the number of Arab families who buy books and read from them to their children at $1.8 \%$. This low figure is due to factors such as parents' inability to master Standard Arabic, lack of awareness of the role of reading and modelling in their children's acquisition of literacy, and a prevailing view that literary

Arabic is too difficult for children to be exposed to at a young age. Parents who do read to their children will often translate the standard form used in books to the colloquial form, assuming that the former is too complex. The view that MSA is too difficult for children is common also among teachers (Iraqi (1990)).

Some researchers speculate that the acquisition of reading and writing skills is impeded by the complexity of the Arabic writing system, which is characterized by the absence of short vowels and the plurality of letter shapes to represent the graphemes of the Arabic alphabet. Driessen (1992:30) states that the mastery of written Arabic requires several years of instruction, and that it is possible that progress in learning this language takes place at a much slower rate than in some other languages. In Morocco it takes pupils, on average, three school years to learn to decode these symbols and the diacritics used to represent short vowels; only then can they start to develop reading comprehension skills. Moroccan children need four to five years of full-time education before they are able to write a simple text in Arabic. Wickens (1980:12) mentions a number of challenges to the student of Arabic who wishes to master the script: "...it is a cursive script, i.e. there is no special print or inscription form in which the individual letters stand detached; ...most of the letters necessarily undergo various distortions of shape according to their position in a word and the shape of the letters to which they are connected; ...several of the letters, representing quite different sounds, are identical in shape, being distinguished only by one, two or three dots placed above or below them; and....there are in use several different styles of script: while they vary only in minor
details, they often appear disconcertingly different to the learner when he first meets them."

TABLE 3.1

The Arabic Script


Arabic has 28 consonantal phonemes. They are represented by 28 graphemes, some of which are identical in shape, and differentiated only by diacritical dots above or below the letters. Each of the three vowels in Standard Arabic occurs in a long and short form. Although the dialects retain the long vowels, they have lost many of the short-vowel contrasts. Three of the $\mathbf{2 8}$ graphemes, ?alif, wa:w, and ya: $?$ are ambiguous, indicating both their consonantal values $/ 3 /, / w /$ and $/ j /$, and the three long vowels of Arabic: /a:/, $u: /$ and /i:/, respectively (Bright (1992:93)). They are listed in Table 3:2.

TABLE 3.2
Arabic Symbols With Consonant/Vowel Meanings

| Name | Symbol | Phonemic Value | Vowel |
| :---: | :---: | :---: | :---: |
| ?alif | 1 | /3/ | /a:/ |
| wa:w | , | /w/ | /u:/ |
| ya:? | $\checkmark$ | [1] | 1: $/$ |

Although most children's beginning readers include the diacritics that represent short vowels, the Arabic writing system does not use these diacritics systematically at more advanced levels. The reader must scan the whole sentence, being alert to the thematic roles of words that have the same graphemic representation, in order to resolve the great number of alternative interpretations of words. For example, the word
$K-T-B-T$ can be read KaTaBTu "I wrote' or KaTaBTa 'you (m,s) wrote' or KaTaBTi 'you (f,s) wrote', or KaTaBaT'she wrote', or KuTiBaT'it was written'. The reader must determine from surrounding text what semantic interpretation to give to this word.

Researchers and educators have proposed a number of measures to address the problem of illiteracy in the Arab world. These include the promotion of story reading in literary Arabic in preschool education, simplification of the Arabic script, including the introduction of vowels into the writing system, and the use of Arabic for all school subjects, not just literary subjects (Ayari, (1996)). Doake (1989:9) suggests a broadly based campaign to inform the public about the inherent capability of children to learn to read and write and to demonstrate the role of home, school and community in facilitating this learning.

## 3. Structure of the Arabic Language

In this section I will describe the basics of the structure of Arabic, with particular attention to those morphological and syntactic structures that differ from English. These differences provide areas for testing possible L2 (English) interference in the speech of Lebanese Arabic/English bilingual speakers.

### 3.1 Arabic Morphology

Both CA/MSA and dialectical Arabic have stem morphemes that consist solely of consonants. Of these, over $90 \%$ have three consonants; the others have two, four or five.

Base morphemes, highly productive fixed consonant-vowel patterns or templates, combine with the stems to establish nominal and verbal patterns, which are often related to a certain semantic class. For example, the stem $9-1-m$ has the basic idea of 'cognition'.

From it, one can derive the following verb forms:

## TABLE 3.3

## Arabic Verbal Inflection

| Stem | 9-1-m | Related to cognition |
| :---: | :---: | :---: |
| Stem + CaCiC (past tense) | Salim | Verb root : 'know', (past) |
| Stem+ CaCCaC (causative) | Sallam | Verb root 'teach'(cause to know) |
| $\begin{aligned} & \text { Stem }+ \text { ta }+\mathrm{CaCCaC} \\ & \text { (reflexive) } \end{aligned}$ | taSallam | Verb root 'learn' (cause oneself to know) |
| $\begin{aligned} & \text { Stem + ista + CCaC } \\ & \text { (desiderative) } \end{aligned}$ | istaYlam | Verb root 'enquire' (to want or ask for knowledge) |

Source: Asher (1994:193)

To these verb forms are added inflections to indicate person, number, and gender: thus §alim-tu 'I knew', §alim-ta 'you(m,s) knew', £alim-tuma 'you(dual) knew', Salim-na 'they (fem,p) knew'.

Nouns are generated on similar principles: $\mathrm{Ca}: \mathrm{CiC}$ - is the 'agent noun' pattern applied to all verbs which have a CaCvC - past stem, so fa:lim-means 'one who knows' or 'scientist'; $m u C a C C i C$ is the agent noun for causative verbs of the CaCCaC - stem, so mu〔allim is 'teacher', and so on.

Nouns are morphologically marked for gender, number, case and definiteness:
TABLE 3.4

## Arabic Noun Paradigms

|  | Indefinite | Definite |
| :---: | :---: | :---: |
| Masculine |  |  |
| Singular |  |  |
| Nom | mufallimun 'a teacher' | Pal-musallimu 'the teacher' |
| Gen | musallimin | Pal-mufallimi |
| Acc | mu¢alliman | Pal-mufallima |
| Dual |  |  |
| Nom. | mu¢allima:ni | Pal-mu¢allilma:ni |
| Gen- | mu¢allimayni | Pal-muSallimayni |
| Acc |  |  |
| Plural |  |  |
| Nom. | mu¢allimu:na | Pal-mu¢allimu:na |
| Gen- | mu¢allimi:na | Pal-mufallimi:na |
| Acc |  |  |
| Feminine |  |  |
| Singular |  |  |
| Nom. | muSallimatun 'a female teacher' | Pal-mufallimatu 'the female teacher ${ }^{2}$ |
| Gen. | mufallimatin | Yal-mu¢allimati |
| Acc. | mu¢allimatan | Pal-mu¢alimata |
| Dual |  |  |
| Nom. | mu¢allimataini | Sal-mu¢allimata:ni |
| Gen- | musallimatayni | Pal-mu¢allimatayni |
| Acc. <br> Plural |  |  |
| Nom. | mu¢allimatun | Pal-mufallima:tu |
| Gen.- | mu¢allima:tin | Pal-mu¢allimati |

Source: Bright (1992)

Arabic has two basic tenses: past (perfect) and nonpast (imperfect). Each verb yields two inflectional bases: one for conjugation with suffixed person markers (the perfect), and one conjugated with prefixed person markers (the imperfect, subjunctive, and imperative). Most of the verbs can be classified into ten patterns or stems. Besides these stems, an internal passive is marked by the perfect morpheme CuCiC - and the imperfect morpheme $\mathrm{C}(\mathrm{a}) \mathrm{CaC}$, in combination with u as vowel of the person-markers:

- qatala 'he killed', qutila 'he was killed'
- yaqtulu 'he kills', yuqtalu 'he is killed'


### 3.1.1 MSA/Dialect Differences

Neo-Arabic dialects have developed new markers for the genitive relationship, e.g.
Lebanese Arabic be:t taba\&-i: 'house of mine', with tabas as an independent genitive morpheme. In MSA, this is expressed as be:t-i, literally, 'house-me'. In the verbal morphology, new markers of the indicative imperfect have developed, like Egyptian Arabic bi-yiktib 'he is writing' vs. yiktib (subjunctive) (Bright (1992)).

As a whole, the dialects are much simpler than MSA, with fewer categories of number and gender on the verb, a lack of case endings, and the lack of the markers of indicative and subjunctive. In many dialects, the system of personal pronouns lacks the contrast between masculine and feminine in the plural.

### 3.2 Arabic Syntax

The following sections will describe some of the basic facts of Arabic syntax, both (MSA) and Lebanese Arabic (LA), with emphasis on some parameters that differ from English. These are word order, agreement, and passivization.

### 3.2.1 Word Order

Most researchers, in agreement with the ancient Arab grammarians, assume that the word order of Classical Arabic is VSO. In MSA and the modern dialects, both VS and SV word orders occur. Most current work in Arabic is based on the assumption, following Zagona (1982), Koopman and Sportiche (1991), Kuroda (1988), Kitagawa (1986), and Speas (1986), that the thematic subject is generated VP-internally. (Aoun et al (1994)). Researchers have proposed at least two explanations of the derivation of VSO and SVO word orders. Mohammad (1989), Fassi Fehri (1989), and Koopman and Sportiche assume that:

- VSO order is derived by verb movement to I
- SVO order is further derived by the subject raising to Spec $\mathbb{P}$ These assumptions are illustrated in (1):
(1)


Aoun et al argue that the agreement facts of Lebanese, and other varieties of Arabic including MSA and Moroccan (MA), are best accounted for by assuming that V is in a projection higher than $I$, and $S$ is in Spec IP. This assumption adds an extra step by moving the verb farther to some higher head position (e.g. F) as in (2):
(2)


Aoun further argues that agreement in LA and MA is sanctioned by a spec-head relation. For the SV order, the subject in Spec IP agrees with I. For the VS order the verb raises farther up to a head position in which it retains the agreement information gathered in I. To account for the facts of MSA agreement, in which full agreement with Spec IP is not retained in VSO order (agreement obtains only in gender, not in number), he assumes that head raising does not always preserve agreement.

### 3.2.2 Agreement Facts

The basic working of agreement in Arabic provides a challenge to the researcher who wishes to provide a unified account for the various patterns. For MSA and LA they are as follows. As mentioned, in simple clauses in these languages, two word orders can be observed: VS and SV. In LA the verb agrees with the subject in number under both word orders. Example (3) illustrates this pattern in LA: ${ }^{1}$
(3) a. Ne:mo le-wla:d. slept (3pl) the-children
b. Le-wla:d ne:mo. the-children slept (3pl)
c. *Ne:m Ie-wla:d. slept (3s) the-children
d. *Lə-wla:d ne:m. the-children slept (3s)

In MSA, in VS order, agreement obtains only in gender. The equivalent of (3c) is grammatical, and the equivalent of (3a) is ungrammatical.
(4) a. Na:ma l-?awla:d-u. slept (3ms) the-children-NOM
b. $\quad \mathrm{Na}: \mathrm{mu}$ : l-马awla:d-u. slept ( $3 \mathrm{~m}, \mathrm{pl}$ ) the-children-NOM

The precise mechanism for the licensing of agreement in Arabic remains an issue for further investigation, and is beyond the scope of this thesis. However, the facts of

[^5]agreement in Lebanese Arabic do provide us with an opportunity to investigate the possible effects of $L_{2}$ (English) interference in the speech of Lebanese Canadians. Unlike English, there are some constructions in LA in which subject-verb agreement seems sensitive to the relative ordering of the subject and verb. These are the conjoined subject construction, the double subject construction, and the agreement pattern of the complementizer 3inn ('that'). These will be considered in Chapter 4: Research Design and Results.

### 3.2.3 Passivization

In CA and MSA the passive is marked internally, by the perfect morpheme $\mathrm{CuCiC}-(5 \mathrm{a})$ and the imperfect morpheme $C(a) \mathrm{CaC}$, (5b) or externally by the prefix $\sin (5 c):$
(5) a. qatala 'he killed', qutila 'he was killed'
b. yaqtulu 'he kills', yuqtalu 'he is killed'
c. fasala 'to act', Sinfacala, 'to be acted upon'

The colloquial dialects lack the internally marked passive. Hussein (1993) notes that LA expresses passive constructions in the following ways:

- Passive participle forms.

Like English, LA has passive participle forms that share some characteristics with adjectives. There are several patterns of passive participles in LA. Two examples are maCCuuC, usually derived from the triradical root verbs of the form CVCVC:
(6) manaf 'forbid' $>$ mammu:£ 'forbidden'
al-duxa:n mamnu: 9 ho:n def-smoke(NOM) forbidden here 'Smoking is forbidden here'
and maCCi:, derived from the incomplete verbal pattern of the form CaCa
(7) bana 'build, make' > mabni: 'built'
be:t-i mabni: min al-hajar house(poss) built of def-stone 'My house is built of stone'

The passive participle has three forms only: masculine singular, feminine singular, and plural.

- Verbal Passives: Prefixes Pin- and $t-$ The passive form of the triradical active transitive verbs such as katab, 'to write', fatah, 'to open', and the incomplete verbs such as bana, 'to build', is formed by adding the prefix $\operatorname{Pin}$ - The $9 i$ - is usually dropped. Triradical verbs starting with / $9 /$ take the prefix $t$ - instead of the expected Yin-. Examples of this type are ?axad and Rakal, 'to eat', whose passive forms are ttarad and tta:kal, respectively. The prefix $t$ - is usually added to transitive verbs whose middle radical is geminated and to verbs of the form Ca:CaC such as 'na:fas'. For example, the verbs ra?1a, sakkar, become tra?9a, tsakkar respectively.

Wightwick and Gaafar (1998) state that although we see the passive from time to time in Arabic, it is not used as much as it is in English. Wickens (1980:74) also makes the claim that the Arabic passive is of fairly rare occurrence, and that it is virtually never used where the agent's identity is stated. "In fact the Arabic name for it is 'al-majhul', "the not-known", in token of this fact". In contrast, Hussein (1993:75) in his treatment of Levantine Arabic (which includes Syrian, Paiestinian, Jordanian, and Lebanese) asserts that "passive forms are as common in this dialect of Arabic as they are in English or any other language for that matter". So while the form of expression of the passive voice has undergone a change from MSA to the dialects, it is possible that, at least in Lebanese, the frequency of the passive has increased in colloquial usage. With the shift from the internal passive to the use of prefixes, at least for verbal passives, the dialects have moved to a more analytic expression of the passive voice. However, there are two obvious differences from English: there is no "be" form, and the agent is not expressed.

### 3.2.4 Resumptive Pronouns

Resumptive pronouns in relative clauses are pronouns that occupy the position that a gap created by S-structure movement of a wh-pronoun would. In both Standard Arabic and Lebanese, the distribution of resumptive pronouns in relative clauses is as follows: they are prohibited in highest subject position (8a,b), obligatory in direct object position (9a,b), and obligatory as objects of prepositions ( $10 \mathrm{a}, \mathrm{b}$ ). The following examples are Lebanese Arabic (J. Awwad, personal communication):
(8a) hajda el-rI3e:I ? Illi abal qali this def-man that met $£ \mathrm{Ali}$ 'This is the man that met Ali.'
(8b) *hajda al-rI3e: $I_{j}$ ? Illi abal huwwe $\mathrm{j}_{\mathrm{j}}$ qali this def-man that met he sAli 'This is the man that he met Ali.'
(9a) Sofit el-ri3e: $l_{j}$ 2Illi abal- $\mathrm{o}_{\mathrm{j}}$ 乌ali saw (lsg) def-man that met him $£ A l i$ 'I saw the man that Ali met.'
(9b) *SefIt el-rize:l ? Illi §ali abal- $\varnothing$ saw (Isg) def-man that $£$ Ali met- $\varnothing$ 'I saw the man that Ali met $\qquad$ ,
(10a) hajda el-walad 3 Illi raisIt muna ma§-o this def-boy that danced( f ) Muna with-him 'This is the boy that Muna danced with.'
(10b) *hajda el-walad ? Illi muna ra?sIt mai- $\varnothing$
this def-boy that Muna danced( $f$ ) with- $\varnothing$ *This is the boy that Muna danced with.

The differences in distribution of resumptive pronouns between Arabic and English are exploited in the tests of competence in Lebanese. These are described in more detail in Chapter 4: Research Design and Results.

## 4. Conclusion

In this chapter I have presented an overview of the basic structure of the Arabic language, the relationship between Modern Standard Arabic and the colloquial dialects,
and some of the educational issues with which Arab countries are faced. Some of the differences between Arabic and English were noted.

In Chapter 4: Research Design and Results, I will give a more detailed treatment of English/Lebanese Arabic differences and how these were utilized in the development of tests of linguistic competence.

## CHAPTER 4

## RESEARCH DESIGN AND RESULTS

## 1. The Tests

As mentioned in Chapter 1 , the focus of this investigation is the grammatical competence of the subjects. Within Bachman's model of communicative competence, grammatical competence refers to the well-formedness of structures at or below the sentence level, and includes vocabulary, syntax, phonology and morphology. All of these subcomponents of grammatical competence were probed to some degree in both the Lebanese Arabic (LA) and English of ail three subjects, in order to determine whether they exhibit linguistic deficits in either of their languages. For both languages the focus of investigation was the spoken language. This was necessary in LA, because it is not a written language. The decision to follow the same course, for the most part, in English was prompted by the subjects' relative comfort with oral testing as opposed to written tests.

Vocabulary: English vocabulary was tested with the PPVT-III vocabulary test. In LA, we relied on the subjects' self-assessments of their vocabulary size and the production data that was evaluated by other native speaker (NS) informants.

Morphology and syntax: The Michigan Test (Grammar) and English Grammaticality Judgment (GJ) test were utilized to evaluate the subjects' English syntax and morphology. The researcher informally evaluated the subjects' spoken English. The
morphology and syntax of LA were tested with a GJ test devised by the researcher. Native speaker (NS) informants evaluated the subjects' production data.

Phonology: For both English and LA, NSs of these languages informally assessed the subjects' phonology.

A summary of all tests follows:

## - Interviews

Subjects were interviewed on topics that included their age of arrival in Canada, level of schooling reached in Lebanon, exposure to reading in their homes during their preschool years, and their experience of schooling in Canada. (See Appendix 1, Interview.)

## - Lebanese Arabic

1. Informal taped conversation between subjects (production task)
2. Story-telling (production task)

The subjects were given a children's book which has pictures without text, the Mercer Mayer children's story, "A boy, a dog, and a frog", and asked to tell this story, first in English, then in Lebanese. The stories were taped.

Three NS informants evaluated the conversation and the stories for accent, syntax, morphology and vocabulary errors, and general impressions of the spoken language.
3. Lebanese GJ task (probing grammatical competence), an oral test.

## - English

I. English GJ task (probing grammatical competence)

A test consisting of 26 items that, when administered in written format, had received consistent judgments from English native speakers. Like the LA test, this test was administered in oral format, on audiotape. The audio test was piloted on English NS controls, with reasonably accurate results in both written and oral formats.
2. Peabody Picture Vocabulary Test III

A Lebanese-speaker informant was asked to evaluate the PPVT III for possible cultural bias in some items. He was satisfied that cultural differences would not affect performance on the test.
3. Michigan Test of English Language Proficiency, Part I, Written Grammar

### 1.1 Two-stage Testing

In order to determine whether the subjects' errors were at the level of competence or performance, a two-stage testing procedure was used. This approach was based on the work of Vago (1991) in his assessment of attrition in the first language of a bilingual Israeli speaker of Hebrew and Hungarian. This subject's use of Hungarian (Ll) was limited; her dominant language was Hebrew (L2). In order to ensure that her errors in Hungarian were not just at the level of performance, but were at the deeper level of competence, the Hungarian data were gathered and analyzed in two stages:

Data collection (paradigm elicitation): The subject was provided with individual nominal and verbal stems and was instructed to provide the various inflections that constitute paradigmatic sets in Hungarian.

Analysis: The information obtained from the subject was analyzed and compared with the standard dialect of Hungarian, the dialect spoken by the subject's parents.

In subsequent interviews, the subject was presented with her own data, as individual word tokens (not in the context of paradigms). Data included her forms that had been identified by NS informants as deviations from standard Hungarian and a control group of her forms that had been identified as correct in relation to standard Hungarian. Her own deviant forms that she judged to be acceptable were considered to be evidence of attrition, at the level of competence, in her morphophonemic system.

Similarly, in this study, research was conducted in two stages. The first stage included audiotaped casual conversation in Lebanese between two subjects, and a storytelling task in Lebanese and English, also recorded. The second stage involved testing with the one error that was observed in these spontaneous production tasks, along with others designed to test specific areas of $L_{1} / L_{2}$ differences.

## 2. Development of Grammaticality Judgment tests - English and Lebanese

White (1989) recommends a research design that does not rely solely on spontaneous production data, but instead targets the specific areas of grammar to be tested. The absence of violations in syntax in spontaneous production is not necessarily an indication of linguistic competence, because the subject might fail by chance or by
avoidance to produce structures that are ungrammatical, or the relevant structures might not arise in the normal course of conversation. It is necessary for the experimenter to be able to manipulate the sentence types to be investigated, rather than relying on their chance occurrence; this is done through GJ tasks. For this reason, we chose to utilize GJ tasks as measures of linguistic competence in both Lebanese and English. Because in conversation all three subjects exhibited native-like command of English, the decision was made to administer a simple GJ test in English as a comparison with English NS controls. A more extensive test was developed to probe competence in LA. To minimize the possibility of response bias (a tendency to accept all sentences), both grammatical and ungrammatical sentences were included in both the English and LA tests. Successful performance involves the rejection of the ungrammatical sentences, and is therefore unlikely to be due to a response bias.

### 2.1 English GJ Test

Before using an audiotaped GJ test in LA, it was important to establish the reliability of the audiotape format. To this end, a similar task in English was piloted with native English speakers. The test was based on the work of Susan Bennett and Lydia White (Project on Near Native Competence, May 25, 1991, McGill University.)

The test was conducted with 26 sentences that had yielded $100 \%$ consistency of grammaticality judgments in a written format. Four sentences that had received inconsistent judgments were also included, but not considered in the final tabulation. The test sentences, along with instructions, were audiotaped, and the test administered to seven native English speakers. Subjects were asked to indicate, by circling an ' $O K^{\prime}$, ' $X$ ',
or '?' on an answer sheet, whether the sentence was possible in English, impossible in English, or whether they were "not sure". Subjects were asked to focus their attention on the structure of the sentence, not on the vocabulary. All of the sentences are questions containing wh-extractions that test the following structures: the Subject Condition, adjunct isiands, the Complex Noun Phrase Constraint, relative clauses, long-distance extraction of objects, and ECP-subject extractions. The sentences follow: ${ }^{1}$ (For the complete transcript of the GJ test, including the instructions and answer sheet, see

Appendix 2, English Grammaticality Judgment Test.)

1. *What was a story about read by John?
2. Who did John expect a letter from?
3. Which building did you make a drawing of?
4. *Who did the news about surprise Ann?
5. Who did the letter from Jane please?
6. What did the examination of the data explain?
7. *What did John get excited because Ann bought?
8. *What did John never believe the story that Anne stole?
9. *What did John fall because he slipped on?
10. *What does John believe the claim that Ann stole?
11. ?What did John confirm that Ann had been awarded?
12. Which trial did you write a story about?
13. Who was John taking a picture of?
14. ?Who did you arrange that would hand out the tests?
15. *Who did Jane quit school because she hated?
16. *Who did a story by please the children?
17. What did you make a request about?
18. *What did John fall because he slipped on?
19. *Who did John love the woman who married?
20. *Who does Sam believe the claim that stole his car?
21. What did Jane say that Sam should build?
22. ?Who did the choice of leader annoy?
23. ?Who do you suppose that wants to marry John?
24. What does John believe that Jane bought for his birthday?
25. *What did John see the woman that wrote?

[^6]26. Who do you suppose that John wants to marry?
27. Who did you announce would be the new English teacher?
28. What does Ann want to do?
29. Who does Sam want to fire?
30. *What did Sam praise athletes who can throw?

As Table 4.1 shows, six of the seven speakers produced results that correlated highly with Bennett's findings. All six agreed with at least 24 of the 26 judgments. However, they didn't all agree with the same sentences. Of the 26 sentences that had shown no variation in Bennett's test, 19 received judgments from all seven informants that were identical to the judgments of her informants.

## TABLE 4.1

English Grammaticality Pilot Test Results

| Informant | Number <br> "correct" | \% Agreement | "Incorrect" <br> sentences(oral) | "Incorrect" <br> sentences (written) |
| :--- | :--- | :--- | :--- | :--- |
| I <br> male, 54 yrs | 24 | $92.3 \%$ | 10,26 | 6,21 |
| 2 <br> male, 22 yrs | 24 | $92.3 \%$ | 5,27 | 16 |
| 3 <br> male, 19 yrs | 24 | $92.3 \%$ | 5,24 | 5 |
| 4 <br> male, 24 yrs | 25 | $96.5 \%$ | 1 | none |
| 5 <br> female, 44 yrs | 21 | $80.8 \%$ | $1,5,21,24,27$ | $1,16,24$ |
| 6 <br> female, 26 yrs | 25 | $96.5 \%$ | 26 | none |
| 7 <br> female, 51 yrs | 25 | $96.5 \%$ | 26 | 5,17 |

Two months later, the informants were asked to do the same test in written format, and the results were slightly more accurate. One possible reason for this is that
when subjects are tested in an oral format, they have less time to edit their responses and make metalinguistic judgments. If so, the oral testing format may provide for a more accurate assessment of a subject's on-line processing than a written test in which subjects have more time to think about their judgments.

On the basis of the reliability of the oral test pilot results in English, I have concluded that the administration of an oral GJ task in Lebanese Arabic would produce similarly accurate results. This English test was also administered to the three subjects as one measure of their English competence.

### 2.2 Lebanese GJ Test

The following procedure was used to develop the Lebanese grammaticality judgment test:

- Elicitation of LA data in production tasks (story-telling and taped conversation)
- Evaluation of production data by Lebanese NS informants. A speech error in one subject's data was noted and later included in the GJ test.
- Formulation of test items to target English/LA differences and expected areas of attrition in LA. Aoun et al (1994) provided data from which to extrapolate English/LA differences, and Choueiri (personal communication) provided ideas for expected areas of attrition in the LA system of agreement. A bilingual NS informant translated the test instructions and test items into Lebanese, and the test items were (broadly) transcribed by the researcher into "written Lebanese", or Lebanese in phonetic script. For the test items, see Appendix 3, Lebanese Arabic Grammaticality

Judgment Test. A detailed description of the development of the test items will follow in Section 2.3 of this chapter.

- The NS informant read the LA test onto tape. The format, instructions and answer sheets were the same as those in the English pilot test. The sentences were presented in random order. Each sentence was repeated once, and there was a six-second pause between items. After the completion of the 96 items, subjects were presented with four of the sentences again, in two pairs. They were asked to indicate on the answer sheet the sentence in each pair that they preferred. These two pairs of sentences have direct object resumptive pronouns in relative clauses. All four are grammatical, but for each pair, NS informants preferred one sentence to the other. They would use the less-preferred construction more rarely, in order to give the object of the sentence a particular emphasis. This discrimination task was included to determine whether the subjects were aware of this subtle distinction.
- The test was piloted with six Lebanese NS informants who had been away from Lebanon for various lengths of time. Most commented that the instructions were easy to follow and the test was not difficult to do. More recent arrivals, who by their own estimates use their LI regularly and/or read and write Standard Arabic, achieved more accurate results; those who had been away from Lebanon longer and seldom use their LI had lower scores (See Table 4.2). Nine items that received inaccurate judgments from both of the informants who were the most recent arrivals were eliminated from the test, leaving 87 test sentences. With the two pairs of sentences in the discrimination task, the total possible score on the test was 89.

TABLE 4.2

## Lebanese Grammaticality Judgment Test:

Pilot Test Results

| Informant | Age | Age of <br> Arrival | Years in <br> Canada | Estimated <br> use of LI | Read/write <br> Arabic? | Score <br> /89 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $\mathbf{1}$ | 43 | 42 | 1 | $95 \%$ | Yes | 86 |
| $\mathbf{2}$ | 16 | 15 | I | $60 \%$ | Yes | 82 |
| $\mathbf{4}$ | 39 | 29 | 10 | $40 \%$ | Yes | 81 |
| 3 | 41 | 25 | 16 | $10 \%$ | Yes | 76 |
| 5 | 17 | 8 | 9 | $20 \%$ | No | 64 |
| 6 | 14 | 5 | 9 | $15 \%$ | No | 57 |

- Finally, the test was administered to the three subjects, and their results were compared with the NS controls. A discussion of these results follows later in this chapter, in Section 3: Test Results.


### 2.3 Formulation of LA Grammaticality Judgment Test Items

The test items targeted verbal and nominal agreement for definiteness, gender and number, plural formation, conjoined and double subject constructions, complementizer agreement, passives, resumptive pronoun distribution and one error in Sami's speech that was noted by the informants. Some of these items were chosen because of LI/L2 differences, others target expected areas of LI attrition in the LA system of inflectional morphology. A more detailed description follows.

### 2.3.1 English/LA Differences

## Agreement

Subject-verb agreement in LA and English differs in some ways that were exploited for the purposes of this study. The relevant constructions are the conjoined subject construction, the double subject construction, and the agreement facts surrounding the complementizer Pinn-, 'that'. A portion of the GJ task was formulated around those differences. This section contains a description of each of these constructions and their behaviour in LA as opposed to English agreement patterns.

## Conjoined subject construction

In LA, the pattern of verbal agreement involving conjoined subjects is as follows: In SVO order, the verb agrees in number with the plural subject, as it would with a single subject. When the subjects are postverbal there are two possible agreement patterns:

The verb may agree with the plural subject:
(1) Ra:ho Somarw Sali.
left ( pl$)^{2}$ Omar and Ali 'Omar and Ali left.'
or the verb may agree as if it were followed by only the first member of the conjunction:
(2) Ra:h Kari:m w Marwa:n left (3ms) Kari:m and Marwa:n 'Kari:m and Marwa:n left.'

[^7]The pattern in example (2) is not found in English.

Sentences of the type in (2) were included, reasoning that if such sentences are judged to be ungrammatical, this could be due to either attrition or $\mathrm{L}_{2}$ interference.

## Double subject construction

The double subject construction occurs in sentences involving the auxiliary ke:n, 'be'. It is best explained in comparison to the conjoined subject construction. When used with the auxiliary $k e: n$, as with other verbs, a conjoined subject (not double subject) may be entirely preverbal. In this case, both ke:n and the verb agree with it:
(3) Kari:m w Marwa:n ke:no £am yil@abo.

Kari:m and Marwa:n were ASP playing(pl)
When the conjoined phrase is entirely postverbal, ke:n has the option of agreeing with the whole phrase (4a) or with its first member (4b):
(4)(a) Ke:no Kari:m w Marwa:n £am yillabo. were Kari:m and Marwa:n ASP playing(pl) 'Kari:m and Marwa:n were playing.'
(b) Ke:n Kari:m w Marwa:n §am yil£abo. was Kari:m and Marwa:n ASP playing(pl) 'Kari:m and Marwa:n were playing.'

In the double subject construction, one NP precedes the auxiliary. Following the auxiliary is a conjoined NP, the first member of which is a pronoun obligatorily coreferential with the preverbal NP. The auxiliary must agree with the preceding NP (5a). It cannot agree with the following conjoined NP (5b).
(5)(a) Kari:m ke:n huwwe w Marwa:n £amyil§abo. Kari:m was he and Marwa:n ASP playing(pl) 'Kari:m and Marwa:n were playing.'
(b) *Kari:m ke:no huwwe w Marwa:n 乌am yil§abo. Kari:m were he and Marwa:n ASP playing (pl) 'Kari:m and Marwa:n were playing.'

Grammaticality judgment tasks were based on sentences such as (5a) and (5b); if (5a) is judged unacceptable, or (5b) judged acceptable, it would be reasonable to assume that English-like agreement patterns are being observed.

## Complementizer agreement:

A third difference between LA and English is the agreement pattern of the complementizer Pinn 'that'. Sinn must have an agreement morpheme attached to it. This morpheme may agree in number with the (null) pronominal subject (6a):

$$
\begin{array}{ll}
\text { (6)(a) Fakkar } \quad \text { Pinne } & \text { (?ana) ruht. } \\
\text { thought(3ms) that(Is), (I) left(Is) } \\
\text { 'He thought that I left.' }
\end{array}
$$

If it doesn't agree with the subject, it takes a default $3^{\text {rd }}$ person masculine singular value:
(6)(b) Fakkar Pinno (Pana) ruht.
thought ( 3 ms ) that ( 3 ms ) (I) left
'He thought that I left.'

Either pattern is acceptable, but an agreement morpheme is obligatorily present. The grammaticality judgment task included sentences without the agreement morpheme, for example:
(7) *Fakkar PinnØ (?ana) ruht.

Where such sentences are judged acceptable, this would indicate attrition and/or $L_{2}$ influence.

## Passives

As mentioned in Chapter 3, the differences between the LA and English passive constructions are the absence of the auxiliary 'be' in LA, and the absence of the agent. Grammaticality judgment items included LA sentences with 'be' forms inserted. Those judged grammatical may indicate $L_{2}$ influence as a factor.

## Resumptive pronouns

Resumptive pronouns in relative clauses are pronouns that occupy the position that a gap created by S-structure movement of a wh-pronoun would. In English, resumptive pronouns are marginally possible in relative clauses, as in the following example taken from Demirdache (91):
(8) ? I just saw a girlit [who ${ }_{i}$ [John's claim that she ${ }_{i}$ was a Venusian] made all the headlines].

In Arabic (both MSA and LA) the distribution of resumptive pronouns in relative clauses is as follows: they are prohibited in highest subject position (9a, 9b), obligatory in direct object position (10a, 10b), and obligatory as objects of prepositions (IIa, IIb):
(9a) hajda ol-rize:l ? Illi abal Yali this def-man that met $£ A 1 i$ 'This is the man that met Ali.'
(9b) *hajda ol-rI3e: $l_{j}$ ? Illiabal huwwe $\mathrm{j}_{\mathrm{j}}$ qali this def-man that met he $£ A l i$ 'This is the man that (he) met Ali.'
(IOa) Sefit ol-ri3e:lj ? Illi abal- $\mathrm{o}_{\mathrm{j}}$ qali saw (lsg) def-man that met him $£$ Ali 'I saw the man that Ali met (him).'
(10b) *Sefrt al-rıze:I ? Illi $\mathfrak{q}$ ali abal- $\varnothing$ saw (Isg) def-man that $£$ Ali met- $\varnothing$ 'I saw the man that Ali met.'
(lla) hajda el-walad ?Illi ra?sIt muna mą-o this def-boy that danced( $(\mathrm{f})$ Muna with-him 'This is the boy that Muna danced with (him).'
(11b) *hajda al-walad ?Illi muna ra?sIt mai- $\varnothing$ this def-boy that Muna danced( $f$ ) with- $\varnothing$ *'This is the boy that Muna danced with. $\qquad$

Sentences of the above type, both grammatical and ungrammatical, were included in the GJ test for two reasons. The object constriftions are different from English, and resumptive pronouns are a later-acquired structure. ${ }^{3}$

[^8]Inaccurate judgments on these items could demonstrate either L2 interference or in the case of individuals who make a switch to their L2 in childhood, a failure to acquire the relevant structure.

### 2.3.2 LA Inflectional Morphology

LA has an extensive system of nominal and verbal agreement. We targeted the following possible areas of attrition in LA inflectional morphology:

## Definiteness agreement on nouns and adjectives

(12) harab al-kalb le-zRiir
ran def-dog def-small
'The small dog ran away.'
*harab ol-kalb $\varnothing$-zRiir
ran def-dog $\varnothing$-small
'The small dog ran away.'
*harab $\varnothing$-kalb le-zRiir
ran $\varnothing$-dog def-small
'The small dog ran away.'

## Gender agreement on adjectives

(13) al-bInt 3Ifane
def-girl hungry(f)
'The girl is hungry.'
*əl-bInt 3Ifan
def-girl hungry(m)
'The girl is hungry.

## Gender agreement on verbs

(14) el-walad afad Sal-kersi def-boy sat(m) [on the]-chair 'The boy sat on the chair.'
*al-walad afdet §al-kərsi
def-boy sat(f) [on the]-chair
'The boy sat on the chair.'

Number agreement on verbs
(15) *Ne:m le-wle:d
slept (3s) the-children
'The children slept."
Ne:mo le-wle:d.
slept (3pl) the-children
'The children slept.'

## Formation of plurals and duals (nouns)

(16) *fi ?Itne:n kalb bel-be:t

There two $\operatorname{dog}(s)$ [in the]-house
'There are two dogs in the house.'
*fi tlat kalbe:n bel-be:t
There three dog(dual) [in the]-house
'There are three dogs in the house.'

Subject 2 (Sami)'s 'error': inaccurate plural form:
(17) *SAli §andu arbai axi

Ali has four brother (poss, s)
'Ali has four brothers.'

To minimize the possibility of false ungrammatical judgments, simple vocabulary was used. To ensure that the form we were targeting was the form being judged by the subject, we provided "minimal pairs" in each category. These items are minimal pairs in the sense that the only thing that differentiates between the grammatical and ungrammatical sentence is the particular form being tested. An example of a minimal pair is (13) above, repeated here as (18), which targets gender agreement on adjectives.
(18)(a) əl-bInt 3 Ifane
def-girl hungry(f)
'The girl is hungry.'
(b) *el-bInt 3Ifan
def-girl hungry(m)
'The girl is hungry.

The single difference between (18a) and (18b) is the presence or absence of the gender marker on the adjective 3 I $£ a n(e)$. If a subject judges (18a) to be acceptable, and (18b) to be unacceptable, we may assume that (s)he has knowledge of the rule regarding gender agreement on adjectives. If both are judged acceptabie, or the judgments are reversed, it is likely that $\mathrm{s}(\mathrm{he})$ doesn't.

## 3. Test Results

This section contains a discussion of all test results for the three subjects in both languages. Results are summarized in Table 4.3, and a discussion of each subject follows.

TABLE 4.3
Subjects' Test Results: Lebanese and English

|  | Muna | Sami | Nabil |
| :---: | :--- | :--- | :--- |
| L1 (Lebanese) <br> Phonology | Good | Good | Perfect |
| Vocabulary | Limited | Limited | Somewhat limited |
| Morphology/ <br> Syntax | Production: <br> no errors noted | Production: <br> one performance <br> error <br> GJ: 73/89 | Production: <br> no errors noted |
| L2 (English) <br> Phonology | Native-like | Near-native: <br> very slight accent | Near-native: <br> slight accent |
| Vocabulary | Extremely low: <br> Age equivalent 9;01 | Average: <br> Age equivalent 22+ | Low-average: <br> Age equivalent 14;01 |
| Morphology/ <br> Syntax | Michigan test: 32/40 <br> GJ: 23/26 <br> Spoken English <br> native-like | Michigan test: 38/40 <br> GJ: 23/26 <br> Spoken English <br> native-like | Michigan test: 15/40 <br> GJ: 22/26 <br> Spoken English <br> native-like |

## Subject \#1: Muna

LA Phonology: NS informants rated her accent as "fine". One informant noted a slight Canadian English accent; two did not. The informants correctly identified her accent as Beirut, or near Beirut, with some Palestinian influence.

LA Vocabulary: Muna has, by her own estimate, a somewhat limited vocabulary in LA, having "forgotten some words". However, two informants evaluated her story telling as typical of Lebanese culture, in this way: the story has "lots of words", and is "circular". One informant commented on the drama, tension and character development in the story.

This indicates that she possesses a high level of textual competence. Although this area of linguistic competence is not one that we were investigating, it is worth noting.

LA Morphology/Syntax: No specific production errors were noted. One informant commented that she was making some minor mistakes, but the informant couldn't identify them. Two informants noticed no errors. On the GJ test, she scored 73/89. Her errors were scattered over six different constructions but were concentrated in only one area: definiteness agreement on nouns and adjectives. There were nine items in this category, six of which were ungrammatical. Three were lacking a definite marker on a noun, three were missing the definite marker on the adjective. She accepted all three of the grammatical items in this category (definiteness markers on both nouns and adjectives). Of the six ungrammatical items, she accepted four as grammatical: all three of the missing noun markers, and one of the missing adjective markers. We may assume that she did not notice that the markers were missing. Following are three of the sentences that she incorrectly judged to be grammatical. The first two $(20,21)$ lack the definite marker on the noun, the third (22) lacks the definite marker on the adjective.
(20) *harab $\varnothing$-kalb le-zRiir ran $\varnothing$-dog def-small
'The small dog ran away.'
(21) *(Ø)bInt əl-helwe betheb Omar (Ø) girl def-pretty likes Omar 'The pretty girl likes Omar.'
(22) *Omar biheb al-bInt (Ø)-helwe

Omar loves def-girl (Ø)-pretty
'Omar loves the pretty girl.'

Her score was $100 \%$ on passive constructions, verbal agreement on plural subjects, complementizer agreement and resumptive pronouns in subject and direct object relative clauses. Table 4.4 shows a comparison of Muna's scores and the scores of the most accurate NS controls ${ }^{4}$. Where the results indicate a possible area of attrition, this has been marked with an asterisk.

TABLE 4.4

## Comparison of LA Test Results by Category <br> Muna and NS Controls

| Category | Muna | NS controls |
| :--- | :--- | :--- |
| Gender agreement: <br> adjectives <br> verbs | $6 / 8$ | $8 / 8,8 / 8$ |
| Verbal agreement: <br> conjoined subjects | $10 / 11$ | $10 / 11,11 / 11$ |
| Verbal agreement: <br> double subjects |  |  |
| Verbal agreement: | $3 / 5$ | $5 / 5,3 / 5$ |
| plural subjects | $4 / 4$ | $4 / 4,4 / 4$ |
| *Definiteness agreement: |  |  |
| nouns and adjectives | $5 / 9$ | $9 / 9,9 / 9$ |
| Complementizer agreement | $12 / 12$ | $11 / 12,12 / 12$ |
| Plurals | $11 / 13$ | $13 / 13,12 / 13$ |
| Passives | $3 / 3$ | $3 / 3,3 / 3$ |
| Resumptive pronouns: <br> Subjects | $4 / 4$ | $3 / 4,4 / 4$ |
| Direct objects | $4 / 4$ | $4 / 4,2 / 4$ |
| *Object of preposition | $2 / 4$ | $4 / 4,2 / 4$ |
| *Distinction between pairs | $0 / 2$ | $2 / 2,2 / 2$ |

[^9]Her overall performance is not indicative of an undeveloped grammar; however, there is evidence of attrition of one structure, noun-adjective definiteness agreement. Other possible areas of attrition are resumptive pronouns as objects of prepositions, and the subtle distinctions in the use of resumptive pronouns in direct object position. The limited number of test items in these latter categories do not allow us to make a definite claim that attrition has occurred.

English Phonology: Her accent is native-like.

English Vocabulary: Of all areas tested, English vocabulary is the area with the most obvious deficit. Her score on the PPVT III was in the "Extremely Low" category, with an age equivalent of $9 ; 01$ years. This correlates with the reading scores of grade equivalent 5.5 for the Language Minority Students in Roessingh's (1996) study. This would place her estimated vocabulary size at approximately $4,000-5,000$ words, compared to the average high school graduate's approximately 45,000 words (Pinker (1995:150)).

English Morphology/Syntax: Her spoken English is fluent, and her score on the English GJ task was comparable to the English NS controis at 23/26. Two of the three items that she judged incorrectly were items that, for whatever reason, NS controls also had judged incorrectly. Her score on the written Michigan Test (Grammar) was 80\%.

## Conclusion

Based on these test results, I have concluded that with the exception of her limited L2 vocabulary, all components of Muna's L2 grammatical competence are intact and native like. Vocabulary is the one component of grammatical competence which is amenable to improvement over the course of a person' lifetime. It does not fossilize the way other components of grammatical competence have been noted to do (Cummins (1999)). Muna is challenged in that she has a limited vocabulary in her dominant language, English, but it is not a handicap that is impossible to overcome. In terms of the internal representations of Muna's English and Lebanese syntax and morphology, there is ñ Öasis for considering either grammar to be impoverished.

## SUBJECT \# 2: Sami

LA Phonology: NS informants rated Sami's accent as "good", and correctly identified it as Beirut Lebanese, with some Palestinian influence.

LA Vocabulary: Sami's self-assessment of his Lebanese vocabulary is that it is limited. One informant commented that he "seemed to be reaching for the right words". Another informant noted that "He sounds as though he speaks the language regularly, though not as confidently as someone who arrived recently". This informant also noted that his speech was a mixture of MSA and colloquial Lebanese, and that his choice of vocabulary, with "more intellect in the description", indicates that he has achieved a higher level of education in Arabic than Muna. This is a correct assessment.

LA Morphology/Syntax: no errors were noted in word order or agreement in production tasks. One error was noted in morphology, on the formation of a plural noun. When presented with the identical item in the Lebanese GJ test, he judged it to be ungrammatical. This indicates that his original error was a performance error, not a competence error.

He scored 100 percent accuracy on gender agreement, verbal agreement on plural subjects, and passives. His judgments of the resumptive pronouns in subject position and direct object position, in particular the direct object constructions that are used to indicate particular emphasis on the object, indicate some attrition. Another area of possible attrition is in the formation of plural nouns. Further testing with a larger number of items would clarify whether these are in fact deficits. However, his overall performance is not indicative of an underdeveloped grammar. In the words of one native speaker informant, he could "go to Beirut and be okay."

His score on the GJ test was 73/89, and his results, compared with NS controls, are summarized by category in Table 4.5.

TABLE 4.5

## Comparison of LA Test Results by Category <br> Sami and NS Controls

| Category | Sami | NS Controls |
| :--- | :--- | :--- |
| Gender agreement: <br> adjectives <br> verbs | $8 / 8$ | $8 / 8,8 / 8$ |
| *Verbal agreement: <br> conjoined subjects | $9 / 10$ | $10 / 10,10 / 10$ |
| Verbal agreement: <br> double subjects | $8 / 11$ | $10 / 11,11 / 11$ |
| Verbal agreement: <br> plural subjects | $4 / 5$ | $5 / 5.3 / 5$ |
| Definiteness agreement: <br> nouns and adjectives | $8 / 4$ | $4 / 4,4 / 4$ |
| Complementizer agreement | $11 / 12$ | $9 / 9,9 / 9$ |
| ${ }^{\text {*Plurals }}$ | $9 / 13$ | $1 / 12,12 / 12,12 / 13$ |
| Passives | $3 / 3$ | $3 / 3,3 / 3$ |
| Resumptive pronouns: <br> *Subjects | $2 / 4$ | $3 / 4,4 / 4$ |
| *Direct objects | $2 / 4$ | $4 / 4,2 / 4$ |
| Object of preposition | $3 / 4$ | $4 / 4,2 / 4$ |
| Distinction between pairs | $2 / 2$ | $2 / 2,2 / 2$ |

* possible areas of attrition

English Phonology: Sami's English is native like, with only a slight accent.

English Vocabulary: His score on the PPVT III was 98, an average score for adult native speakers of English (age equivalent 22+).

English Morphology/Syntax: Sami's score on the English GJ task was 23/26, a high correlation with the English NS controls. The errors he made were on items that English NSs also had judged incorrectly. On the Michigan Test (Grammar), he scored 38/40.

## Conclusion

The locus of deficit in Sami's Lebanese Arabic is his vocabulary. Other areas of grammatical competence are adequate, with the possible exception of the use of direct object resumptive pronouns to indicate subtle differences in emphasis.

In English, Sami's dominant language, his speech is native-like in all components of grammatical competence. However, his vocabulary score is lower than expected, given his level of education.

## SUBJECT \#3: Nabil

LA. Phonology: Nabil was recruited for this research after the taped Lebanese conversation had been completed with Muna and Sami. He was therefore not included in that aspect of the study. He did participate in all other parts of the research. Comments on his spoken Lebanese are based on his storytelling task. Native speaker informants rated Nabil's accent as "perfect", sounding like "he just came from Lebanon", and correctly identified it as Beirut Lebanese.

LA Vocabulary: One informant noted a tendency to repeat phrases in the story-telling task, rather than using a variety of descriptive words, which may indicate a limited vocabulary. By his self-assessment, Nabil has lost some Lebanese vocabulary. However, it could also be indicative of other factors such as shyness or discomfort with the task, or his personal style of storytelling.

LA Morphology/Syntax: No errors were noted in word order or agreement in production tasks.

TABLE 4.6
Comparison of LA Test Results by Category
Nabil and NS Controls

| Category | Nabil | NS Controls |
| :--- | :--- | :--- |
| Gender agreement: <br> adjectives <br> verbs | $7 / 8$ |  |
| Verbal agreement: <br> conjoined subjects | $10 / 10$ | $10 / 8,8 / 8$ |
| Verbal agreement: | $11 / 11$ | $10 / 11,11 / 11$ |
| double subjects | $3 / 5$ | $5 / 5,3 / 5$ |
| Verbal agreement: | $4 / 4$ | $4 / 4,4 / 4$ |
| plural subjects |  |  |
| Definiteness agreement: |  |  |
| nouns and adjectives | $9 / 9$ | $9 / 9,9 / 9$ |
| Complementizer agreement | $12 / 12$ | $11 / 12,12 / 12$ |
| Plurals | $10 / 13$ | $13 / 13,12 / 13$ |
| Passives | $2 / 3$ | $3 / 3,3 / 3$ |
| *Resumptive pronouns: |  |  |
| Subjects | $2 / 4$ | $3 / 4,4 / 4$ |
| Direct objects | $1 / 4$ | $4 / 4,2 / 4$ |
| Object of preposition | $2 / 4$ | $4 / 4,2 / 4$ |
| Distinction between pairs | $0 / 2$ | $2 / 2,2 / 2$ |

*possible areas of attrition
His score on the GJ Test was 73/89, with $100 \%$ accuracy on definiteness agreement, verbal agreement for gender, plural subjects and conjoined subjects, and complementizer agreement. His accuracy was low on the resumptive pronoun structures, with scores of $2 / 4$ on subject clause constructions, $2 / 4$ on preposition constructions, and $1 / 4$ on direct object resumptive pronoun structures. He also had difficulty with the items that tested the
subtle distinctions in direct object resumptive pronoun use, preferring the second (less commonly used) item in both cases. The nine errors on resumptive pronouns accounted for more than half of the total number of errors on the GJ test.

English Phonology: His English speech is native-like, with only a slight accent.

English Vocabulary: Nabil's PPVT III score was in the low-average range for native speakers of English of his age, with an age equivalent of $14 ; 01$.

English Syntax/Morphology: Nabil's spoken English is native-like. No inappropriate word choices or errors were noted. His score on the oral GJ test was 22/26, comparable to the scores of the native speaker controls. However, his score on the written Michigan Test was $15 / 40$, a low score. Nabil felt that this result was due to his difficulties with reading English. He was not able to read the test questions.

## Conclusion

The results do not indicate deficits in Nabil's Lebanese Arabic phonology. He has lost some vocabulary. He notices this when he returns to Lebanon, and has to "work around $\mathrm{it}^{\prime \prime}$ when he can't remember a word. With respect to syntax, it is possible that he has either lost or failed to acquire the knowledge of the use of direct object resumptive pronouns in relative clauses. Further testing with more test items would clarify this.

Nabil's spoken English is native-like, with only a slight accent. His English vocabulary is within normal range for his age, even though at the time of testing he had
spoken English for only six years. His conversational English and the oral test of English syntax indicate near-native competence.

## 4. Statistical Analysis

As mentioned in Section 2.2 of this chapter, the Lebanese GJ test was piloted with six NS controls. Their results on the test, along with those of the three subjects, are found in Table 4.7. The scores are ranked from highest to lowest:

TABLE 4.7

## LA Test Scores Related to Age of Arrival, Education Levels, Language Use and Years in Canada

| S/I | Score <br> /89 | Age | AOA | Years in <br> Canada | L1 Ed <br> level <br> yrs | L2 Ed <br> level <br> yrs | \% <br> L1 | \% <br> L2 | R/W <br> L1? | R/W <br> L2? |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| I1 | 86 | 43 | 42 | 1 | 13 |  | 95 | 5 | Yes | Yes |
| I2 | 82 | 16 | 15 | 1 | 9 | 9 | 60 | 40 | Yes | Yes |
| I4 | 81 | 39 | 29 | 10 | 18 |  | 40 | 60 | Yes | Yes |
| I3 | 76 | 41 | 25 | 16 | 18 |  | 10 | 90 | Yes | Yes |
| S 1 | 73 | 20 | 8 | 13 | 1 | 12 | 35 | 65 | No | Yes |
| S 2 | 73 | 33 | 11 | 22 | 4 | 16 | 20 | 80 | No | Yes |
| S 3 | 73 | 17 | 11 | 6 | 4 | 12 | 30 | 70 | No | Yes |
| I5 | 64 | 17 | $71 / 2$ | 9 | 2 | 10 | 20 | 80 | No | Yes |
| I6 | 57 | 14 | 5 | 9 | 0 | 8 | 15 | 85 | No | Yes |

S: Subject, (Muna, Sami, Nabil). I: Informant, other native speakers of LA.
AOA: Age on arrival in Canada;
L1/L2 Ed level: Level of education in years;
\%LI/L2: The speaker's estimate of relative use of both languages;
R/W L1/L2: Read and write in respective Ls.

The Pearson Product Moment Test, a nonparametric statistical analysis of the results, shows the following correlations (significant at the .05 level), between the test scores and the following variables:

- age of arrival in Canada: correlation of .785
- . percentage of use of LI: correlation of .732
- years of education in Arabic: correlation of $\mathbf{7 2 0}$
- ability to read and write Arabic: correlation of .773

A correlation of greater than .66 is considered high. These results lend support to the claim that the Lebanese GJ test is a valid tool for assessment of grammatical competence in Lebanese speakers. (For the statistical analysis, see Appendix 4, Lebanese GJ Test Results, Nonparametric Statistical Analysis.)

## CHAPTER 5

## CONCLUSION

## 1. The Research Questions Revisited

As stated in Chapter One, my specific research questions were the following: "Were the subjects' difficuities in school related to a language deficit in either their Ll or L2 or both, and if so, is it a deficit in language knowledge, or in language skills? Is the locus of the deficit in the representation of their grammars, and if so, in what areas syntax, morphology, phonology, or vocabulary?"

With respect to the overall grammatical competence of the three subjects, the answer to the first question is that, based on this research, it is not possible to say that a lack of grammatical competence is the source of their school difficulties. They are competent speakers of both Lebanese Arabic and English. Their conversational fluency in both languages is adequate to meet their communicative needs, and they use both languages freely. All are able to discuss ideas, feelings, and hopes in English, to tell a story in Lebanese, and to return to Beirut, use their Lebanese dialect, and as one informant expressed, "be just fine". There has been some attrition in Lebanese for all of the subjects, especially in vocabulary, but this is not evidence of undeveloped language. Their scores on the Lebanese GJ task compared well with the scores obtained by highly educated speakers of the same dialect who have been away from Lebanon for the same length of time as the subjects.

With respect to English, conversational fluency and scores for all three subjects on the English GJ test indicate competence in English syntax that is native-like. For all three subjects, English phonology is native or near-native. On the Michigan written grammar test, two of the three subjects obtained scores over $80 \%$. The third could not read the questions well enough to answer them; his low score is therefore not indicative of an impoverished grammar, but of a reading problem. With respect to vocabulary, the English scores for two of the three are within normal range for native speakers of English.

Muna's vocabulary is the one area of linguistic deficit in English. Her reading level and PPVT III vocabulary score both place her at the academic level of a midelementary school student, indicating a relationship between her poor vocabulary and her low reading level. This does not establish a causal relationship between the two, only that there is a relationship. To attribute school failure to her vocabulary deficit without thorough evidence would be to fall into the same error that many teachers and school professionals have done with other language minority students. That error assumes a correlation between vocabulary scores and IQ, attributes school failure to the student's bilingualism/lack of intelligence/culture, and assumes the student is not capable of handling academic tasks. Since Muna's reading scores and vocabulary score correlate, her vocabulary score would seem to be a valid indicator of her school performance; it is not necessarily an indicator of her potential. The question could easily be posed in reverse: "Has her failure to learn to read above a Grade Four level contributed to her impoverished vocabulary?"

The good news for Muna is that vocabulary is one area of Grammatical Competence that can be improved over the course of a lifetime. Her low vocabulary is a quantitative deficit. In conversation, she chooses words accurately and appropriately to discuss her opinions and ideas. In that sense, she possesses the qualitative framework on which to build a more extensive lexicon.

The following diagrams are adaptations of Bachman's model which illustrate each of the subjects' grammatical competence.

## FIGURE 5.1



LI: Good phonology LI: Some attrition

L2: Native-like

L2: Very low Age equivalent 9 yrs.

L2: Spoken English native-like
GJ: native-like Michigan grammar: 80\%

Deficit: Muna has not acquired age-appropriate vocabulary in English.

## FIGURE 5.2



## FIGURE 5.3



Deficit: LI: Syntax - Resumptive pronouns

In summary, although it is not possible to say with certainty why these individuals had school difficulties, it is possible, for two of them, to eliminate lack of grammatical competence as a reason for those difficulties. For the third, an impoverished vocabulary may be a contributing factor; with respect to syntax, morphology and phonology, her grammatical competence is native-like.

Based on the results of this research, my conclusion is that the deficits all of these subjects exhibit are predominantly in their language skills, in the language abilities of reading and writing.

## 2. Relationship of Findings to Earlier Research

This is a case study of three individuals, and each of them is unique with respect to family background and values, personal attributes and prior school experiences. It is not possible, with this small study, to answer the broader question of why language minority students drop out of school at rates twice as high as majority students do. However, when each case is considered in light of Wells', Collier's, and Cummins' research, we see possible explanations for why these three individuals struggled in school. Conversely, the three cases lend support to those earlier research findings.

### 2.1 Preschool Literacy

Wells' research indicated that an important factor in children's school success is the appreciation of literacy in the home environment and an introduction to books in the preschool years. On the basis of his research, Wells observes, "What children like Rosie (the child with lowest score on all the tests) need, I am convinced, is a personal
introduction to literacy through stories. Listening to a story read to the whole class is no solution, for they have not yet learned to attend appropriately to written language under such impersonal conditions. For them, what is required is one-to-one interaction with an adult centered on a story. Such an experience provides not only an introduction to literacy but also an entry into a shared world that can be explored through the sort of collaborative talk that is the most effective way of facilitating children's learning and language development." (Wells (1986:159)).

Wells' research was conducted with monolingual children. Children learning to read in a second language face more complex challenges than monolingual children face; if preschool literacy activities are important for monolingual children's school success, exposure to literacy must be at least as important for second language learners.

Story telling in Arab culture is rich, and primarily oral. Many parents are not literate themselves, and among those who do read and write Arabic, there is some reluctance to read stories in Standard Arabic to their children, thinking that MSA will be too difficult for the child to understand. Only one of the three subjects in this case study remembers being read to as a child, and that experience was infrequent. This lack of exposure to books before attending school may have been a factor in their school experiences with reading in English.

### 2.2 Age of Arrival Related to Acquisition of CALP

Collier's (1987) research compared the school performance of language minority students in three different groups, based on age of arrival: 5-7 year olds, 8-I year olds,
and 12-15 year olds. She found that the students who arrived at 8-11 years old required Less time to achieve grade level norms than the students who arrived at 5-7 years old. This difference was attributed to the higher level of schooling in LI that the 8-11 year-old arrivals had achieved prior to beginning L2 schooling.

The three subjects in this case study confirm Collier's findings. All were between 8 and 11 when they left Lebanon. Muna was 8 years old, and her first year of schooling had been interrupted by the civil war. As far as her L1 literacy skills are concerned, she more closely fits the profile of the 5-7 year old arrivals in Collier's study, because when she left Lebanon she had no Arabic literacy skills other than the ability to write some Arabic letter forms. Of the three subjects, Muna is the one who has experienced the most difficulty in acquiring English reading and writing, and is the one who dropped out of high school in frustration.

Both Sami and Nabil were II years old when they began school in Canada, and both have been more successful in school than Muna. They each had three years of schooling in Arabic before leaving Lebanon, and even though neither can read or write Arabic now, it is likely that their early LI school experiences laid some kind of foundation for later academic success. Even though both struggle with English reading and writing, they are confident in their ability to achieve their academic and professional goals. In spite of their limitations, Sami is a successful financial analyst, and Nabil remains hopeful that he will be able to pursue further education in a technical field. As Nabil expressed it, "I know how to learn. I just need the tools."

### 2.3 The BICS/CALP Distinction and Assessment

As noted in Chapter 2, immigrant children may acquire fluency in conversational L2 (BICS) within two years, but require four to eight years to achieve grade level norms in academic language proficiency (CALP). When dealing with a child who is conversationally fluent, teachers and other professionals often overestimate the child's ability to cope with language in the academic setting. Improper assessment then can lead to a child's premature exit from ESL support programs into mainstream classes where the support for continued L2 development is minimal.

Muna, Sami, and Nabil all recall having learned conversational English within a few months of their arrival in Canada. All three provide examples of how a facility with spoken language can mask a difficulty with, or failure to acquire, the use of written language. Sami and Nabil report being in ESL programs for only a few months after starting school. In Nabil's case, perhaps with some extra ESL support or a longer time in school, he could have achieved mastery of the academic uses of English. As it is, he is now seeking that help outside of the school system.

In Muna's case, her school progress was interrupted by two extended visits to Lebanon. The first visit occurred after she had attended school for four years in Canada, the first three years with ESL support. While in Lebanon, she spoke Lebanese and received most school instruction in MSA. Upon her return to Canada 15 months later, she was placed in a regular Grade 8 classroom with no ESL support. This combination of family circumstances, inappropriate assessment and placement, and lack of ESL support made it impossible for her to catch up academically. By the time she left school to return
to Lebanon the second time, she was in her Grade 11 year and failing. She did not attend school in Lebanon during this second visit, and dropped out of high school within a few months of her arrival back in Canada.

## 3. Unanswered Questions

In Chapter I, the issue of Muna's proficiency in Lebanese Arabic was raised. Her peers at school had informally assessed her Arabic as undeveloped. We are left now with the discrepancy between that assessment and her test results in Lebanese. If Muna has grammatical competence in the syntax, morphology and phonology of her LI, why did Muna's peers evaluate her L1 as "baby Arabic"? The NS informants who listened to her speech on tape did not share this evaluation. I offer the following as possible explanations for the difference:

- Competence errors vs. performance errors: A speaker will likely use more careful speech in a test situation than in casual speech with friends and family. Muna probably used the "best" Lebanese that she was capable of using when she was aware that her speech was being recorded. This Lebanese would be a more accurate reflection of her grammatical competence than the casual speech situations at school, where performance errors and sloppiness are not a concern. An example of the difference between competence and performance is the following:

One of the comments at school about Muna's Arabic was related to gender:
"Everybody knows when you talk about boys you have to say he - not she."
(Roessingh (1996:266)), indicating that Muna was omitting the feminine marker, the
suffix or infix [-Vt] on verbs, [-e] on adjectives. Yet in the GJ test, she correctly identified all sentences with gender errors as ungrammatical, and obtained an overall score of 15 out of 18 on gender items, indicating competence in this area of her LI morphology.

- Dialect differences: Muna's later-arriving peers were literate in Standard Arabic, and would have a more extensive LI academic vocabulary. They likely were accustomed to hearing the language of school and were able to function in Educated Spoken Arabic, a mixture of colloquial Lebanese and MSA. In contrast to this more "academic" language, colloquial Lebanese would sound "simple".
- Sociolinguistic competence: It is possible that due to socioeconomic class differences Muna was using a more casual speech register than her Lebanese peers. Another possibility is that she was using a style of speech that is appropriate in English casual conversation, but does not transfer appropriately to Arabic.

Another question that this study has raised is the question of Nabil's representation of resumptive pronoun structures in LA. The GJ test results indicate that, unlike Muna and Sami, he either has failed to acquire resumptive pronouns or has lost them almost completely through attrition. Of all three subjects, he is the least likely not to have this grammatical structure. He was the oldest when he left Lebanon (11 years old), so he would be most likely to have acquired the structure, and he has been in Canada the shortest time (six years), so would be least likely to have lost resumptive pronouns
through attrition. With the data available, it is not possible to say what the reason might be for his test results.

The question of whether the subjects' LA test resuits show evidence of attrition or of failure to completely acquire some grammatical structures is not addressed by this case study. This is a question that further research could help to clarify. A longitudinal study with younger immigrant children that tracks their LI language progress or attrition over a period of years would give a more complete basis for drawing conclusions.

### 3.1 Suggested Improvements to the LA Test

Though efforts were made to ensure the accuracy of the Lebanese GJ test, it is not possible to know with absolute certainty that the targeted items were the items actually tested in all cases. One example is the following pair of items, a singular/plural contrast of the noun kalb, 'dog':
(1) Ie-kle:b hebel def-dogs(p) stupid(pl)
'Dogs are stupid.'
(2) *el-kalb hebel
def-dog (s) stupid(pl)
'Dogs are stupid.'

All of the subjects made the "wrong" judgment on one or both of these items, judging (I) to be unacceptable, and/or (2) to be acceptable. It is not possible to know where the problem is, the plural kle:b, the singular kalb, or the adjective hebel.

Greater accuracy would be assured if the subjects were asked, preferably by a native speaker of Lebanese, to provide the corrections for the items that they found unacceptable.

## 4. The Larger Question

Canada is committed to multiculturalism. We accept approximately 230,000 immigrants each year, more than $85 \%$ of whom have a first language other than English or French. It is likely that current immigration trends will continue, affecting the demographics of those who live, work and attend school here. The increase in the language minority school population is evident in our major urban centres:

- From 1987-1996, the city of Richmond, B.C. recorded an increase of $2,581 \%$ in its ESL population.
- ESL students make up $48 \%$ of the school enrolment of the city of Vancouver; Metro Toronto schools report a similar proportion of students whose LI is neither English nor French.
- The Calgary Board of Education has an enrollment of approximately 100,000 students. The number of language minority students who enter this school system is estimated at approximately 1,000 per year, including Canadian-born and foreign-born. A conservative estimate of the number of students who may be language minority and who may benefit from English language support is 10,000-15,000 students (Roessingh (1996:7). In 1998, the number of students
in this jurisdiction who received the ESL funding grant was approximately 3,000.

A conservative estimate of the high school dropout rate for ESL students in Alberta is double that of the general population. It is not overstating the case to say that for every language minority student who fails to succeed at school for reasons of misassessment, lack of funding for ESL support, or insufficient time to complete school due to a high school age cap, there is a larger failure. These ESL dropout stories are stories of frustrated ambitions, wasted talent, and a personal and societal cost that could be avoided.

Canada's changing demographics demand that we address the language learning requirements of a multicultural, multilingual student population. The larger question is whether or not we are willing to do so. If we do not meet the legitimate educational needs of our language minority students, we will continue to create a marginalized population of underachievers who will never know their academic potential, and will be ill equipped to contribute to society. If we choose to provide fair and equitable educational opportunities for all students, majority and minority alike, we stand to benefit from our multicultural diversity.

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## APPENDIX I

## INTERVIEW

1. How old are you?
2. Where were you born?
3. (For those not born in Canada) How old were you when you came to Canada?
4. What is your first language, the language that your parents spoke at home when you were young? Are there other languages spoken in your home besides your first language?
5. Do you know how to read in your first language? At what age did you learn?
6. Did your parents or other relatives read stories to you when you were a preschooler? In what language?
7. What language(s) have you been taught in at school? If you attended school in another language besides English, how old were you?
8. Do you feel more "at home" in English or in Arabic?
9. Would you tell me about your experience of attending school in Canada? Was it difficult for you to switch to a new language (English)? How did you handle that? Did you feel that it was easy or hard to catch up with the English-speaking students? Did you eventually feel comfortable in English at school, and how long did it take to reach that point?
10. How far did you go in school?
11. What are you doing now that you have finished school?
12. What are your goals for the future?
13. When you speak Lebanese, are you aware of making errors in the language?

## APPENDLX 2

## ENGLISH GRAMMATICALITY JUDGMENTS TRANSCRIPT OF AUDIOTAPE TEST INSTRUCTIONS

Speakers of a language seem to develop a 'feel' for what is a possible sentence, even when they have never been taught any particular rules. For example, in English, you might feel that the sentence 'Mary is likely to win the race' is a possible sentence, whereas the sentence 'Mary is probable to win the race' is not a possible sentence.

You will hear 30 sentences on this tape. We want you to concentrate on how you feel about these sentences. Native speakers of English often have different intuitions about such sentences, and there are no right or wrong answers. We want you to tell us for each one whether you think it sounds possible or impossible in English. Concentrate on the structure of the sentence, not on the vocabulary. If you think that a sentence sounds possible, circle 'OK' on your answer sheet. If you think it sounds impossible, circle ' X '. If you are not sure, circle the question mark.

Now we are ready to start. Remember, if you think the sentence sounds possible, circle ' $O K$ '. If you think the sentence sounds impossible, circle ' X '. If you are not sure, circle the question mark. Now we will begin.
(Introduce sentences in this manner: "Sentence number 1 ", "Sentence number 2", etc.)

1. *What was a story about read by John?
2. Who did John expect a letter from?
3. Which building did you make a drawing of?
4. *Who did the news about surprise Ann?
5. Who did the letter from Jane please?
6. What did the examination of the data explain?
7. *What did John get excited because Ann bought?
8. *What did John never believe the story that Anne stole?
9. *What did John fall because he slipped on?
10. *What does John believe the claim that Ann stole?
11. ?What did John confirm that Ann had been awarded?
12. Which trial did you write a story about?
13. Who was John taking a picture of?
14. ?Who did you arrange that would hand out the tests?
15. *Who did Jane quit school because she hated?
16. *Who did a story by please the children?
17. What did you make a request about?
18. *What did John fall because he slipped on?
19. *Who did John love the woman who married?
20. *Who does Sam believe the claim that stole his car?
21. What did Jane say that Sam should build?
22. ?Who did the choice of leader annoy?
23. ?Who do you suppose that wants to marry John?
24. What does John believe that Jane bought for his birthday?
25. *What did John see the woman that wrote?
26. Who do you suppose that John wants to marry?
27. Who did you announce would be the new English teacher?
28. What does Ann want to do?
29. Who does Sam want to fire?
30. *What did Sam praise athletes who can throw?

This is the end of the list. Thank you for your help.

ANSWER SHEET FORMAT

| 1 | OK | $X$ | $?$ |
| :---: | :---: | :---: | :---: |
| 2 | OK | $X$ | $?$ |
| 3 | OK | $X$ | $?$ |
| 4 | OK | $X$ | $?$ |
| 5 | OK | $X$ | $?$ |

## APPENDLX 3

## LEBANESE GRAMMATICALITY JUDGMENTS

## DEFINITENESS AGREEMENT

I. harab el-kalb le-zRiir
ran def-dog def-small
'The small dog ran away.'
2. *harab el-kalb $\varnothing$-zRiir
ran def-dog $\varnothing$-small
'The small dog ran away.'
3. *harab $\varnothing$-kalb le-zRiir
ran $\varnothing$-dog def-small
'The small dog ran away.'
4. el-bint el-helwe betheb Omar.
def-girl def-pretty likes Omar
'The pretty girl likes Omar.'
5. *(Ø)bInt el-helwe betheb Omar (Ø)girl def-pretty likes Omar 'The pretty girl likes Omar.'
6. *al-bInt $\varnothing$-helwe betheb Omar def-girl ( $\varnothing$ )-pretty likes Omar 'The pretty girl likes Omar.'
7. Omarbaddu jitjawwaz el-bInt el-helwe

Omar want (3s) marry (inf) def-girl def-pretty
'Omar wants to marry the pretty girl.'
8. *Omar biheb al-bInt ( $($ ) -helwe

Omar loves def-girl (Ø)-pretty
'Omar loves the pretty girl.'
9. *Omar biheb (Ø)bInt əl-helwe Omar loves ( $\varnothing$ )girl def-pretty 'Omar loves the pretty girl.'

## GENDER AGREEMENT (ADJECTIVES)

10. al-bInt 3 Ifane def-girl hungry(f) 'The girl is hungry.'
11. *al-bInt 3Ifan def-girl hungry(m) 'The girl is hungry.'
12. al-kalb $3 I$ §an def-dog(m) hungry(m) 'The dog is hungry.'
13. *al-kalb 3Ifane def-dog(m) hungry(f) 'The dog is hungry.'
14. *el-walad 3 Ifane def-boy hungry(f) 'The boy is hungry.'
15. al-walad 3Ifan def-boy hungry(m) 'The boy is hungry.'
16. *al-walad zalle:ne
def-boy sad(f)
'The boy is sad.'
17. al-walad zalle:n
def-boy sad(m)
'The boy is sad.'

## VERBAL AGREEMENT(GENDER)

18. al-walad afad fal-kersi
def-boy sat(m) [on the]-chair 'The boy sat on the chair.'
19. *el-walad afdet fal-kersi
def-boy sat(f) [on the]-chair
'The boy sat on the chair.'
20. *el-bint afad 乌al-kersi
def-girl sat(m) [on the]-chair
'The girl sat on the chair.'
21. al-brnt afadet fal-kersi
def-girl sat(f) [on the]-chair
'The girl sat on the chair.'
22. əl-bInt sobhet bel-mai
def-girl swam(f) [in the]-water
'The girl swam in the water.'
23. *ol-bint sebeh bel-mai
def-girl swam(m) [in the]-water
'The girl swam in the water.'
24. al-walad £amzisbah bel-mai
def-boy is swimming( m ) [in the]-water
'The boy is swimming in the water.'
25. *el-walad sebhet bel-mai
def-boy swims(f) [in the]-water
'The boy swims in the water.'
26. el-walad byofd 〔al-kersi
def-boy sits(m) [on the]-chair
'The boy sits on the chair.'

## 27. *ol-walad btə§od fal-kersi <br> def-boy sits( $f$ ) [on the]-chair <br> 'The boy sits on the chair.'

VERBAL AGREEMENT: PLURAL SUBJECTS
28. *Ne:m le-wle:d
slept (3s) the-children
'The children slept."
29. Ne:mo le-wle:d.
slept (3pl) the-children
'The children slept.'
30. *Le-wle:d ne:m.
the-children slept (3s)
'The children slept.'
31. Lo-wle:d ne:mo.
the-children slept. (3pl)
'The children slept.'

## VERBAL AGREEMENT: CONJOINED SUBJECT

32. Ra:ho Omar w Ali.
left (3pl) Omar and Ali.
'Omar and Ali left.'
33. Ra:h Kari:m w Marwa:n
left (3ms) Kari:m and Marwa:n
'Kare:m and Marwa:n left.'
34. Ne:mo Omarw Ali slept (3pl) Omar and Ali. 'Omar and Ali slept.'
35. Ne:m Omarw Ali
slept (3s) Omar and Ali 'Omar and Ali slept.'
36. Ke:no Kari:m w Marwa:n fam jiifabo. were Kari:m and Marwa:n ASP playing (pl) 'Kari:m and Marwa:n were playing.'
37. Ke:n Kari:m w Marwa:n Yam jillabo. was (3ms) Kari:m and Marwa:n ASP playing 'Kari:m and Marwa:n were playing.'
38. *Kari:m w Marwa:n ke:n 乌am jilfabo. Kari:m and Marwa:n were ASP playing(pl) 'Kari:m and Marwa:n were playing.'
39. *Kari:m w Marwa:n ke:no fam jiliab Kari:m and Marwa:n were ASP playing (s).
'Kari:m and Marwa:n were playing.'
40. Omar w Ali ke:no ne:mi:n Omar and Ali were sleeping (pl) 'Omar and Ali were sleeping.'
41. Ke:no Omar w Ali ne:mi:n. Were Omar and Ali sleeping (pl) 'Omar and Ali were sleeping.'
42. Ke:n Omar w Ali ne:mi:n was Omar and Ali sleeping (pl) 'Omar and Ali were sleeping.'
43. Kari:m w Marwa:n ke:no me:fi:n. Kari:m and Marwa:n were walking(pl)
'Kari:m and Marwa:n were walking.'

## VERBAL AGREEMENT: DOUBLE SUBJECTS

44. Kari:m, ke:n huwwe w Marwa:n §am jilfabo.

Kari:m was he and Marwa:n ASP playing. 'Kare:m and Marwa:n were playing.'
45. *Kari:m, ke:no huwwe w Marwa:n §am jilfabo.

Kari:m were he and Marwa:n ASP playing.
'Kari:m and Marwa:n were playing.'
46. Omar, ke:n huwwe w Ali ne:mi:n.

Omar was he and Ali sleeping(pl)
'Omar and Ali were sleeping.'
47. *Omar, ke:no huwwo w Ali ne:mi:n.

Omar were he and Ali sleeping(pl)
'Omar and Ali were sleeping.'
48. Kari:m, ke:n huwwe w Marwa:n me:fi:n.

Kari:m was he and Marwa:n walking(pl)
'Kari:m and Marwa:n were walking.
49. *Kari:m, ke:no huwwe w Marwa:n me:fi:n.

Kari:m were he and Marwa:n walking (pl)
'Kari:m and Marwa:n were walking.'

PASSIVE SENTENCES WITH "BE" FORM
50. *ke:n ? Inkatab le-kte:b mIn zame:n
was (passive)write the-book "on time"
'The book was written a long time ago.'
51. PInkatab le-kte:b mIn zame:n
(pass)write the-book "on time"
'The book was written a long time ago.'
52. *ke:n PInfata Omar tlat hade:ja
was (pass)give Omar three gifts
'Omar was given three gifts.'
53. ?Infata Omartlat hade:ja
(pass)give Omar three gifts
'Omar was given three gits.'
54. *ke:n Tintalab mInSAli PInno jruh
was (pass)ask from Ali that he leave
'Ali was asked to go.'
55. PIntalab minfAli ?Inno jruh
(pass)ask from Ali that he leave
'Ali was asked to go.'

COMPLEMENTIZER AGREEMENT
(Personal pronouns in brackets were not included)
56. Fakkar linne (?ana) rehet.
thought (3ms) that (ls) (I) left (ls)
'He thought that I left.'
57. Fakkar Pinno (lana) rehet.
thought (3ms) that (3ms) (I) left
'He thought that I left.'
58. *Fakkar Pinn (lana) rehet.
thought (3ms) that (I) left (1s)
'He thought that I left.'
59. FakkarIt Pinno (huwwe) rah yizi
thought (3fs) that (3ms) (he) will come.
'She thought that he would come.'
60. *FakkarIt TinnØ (huwwo) rah yizi
thought (3fs) that (he) will come (3s)
'She thought that he would come.'
61. *Fakkart ?innØ (?inta) rehet
thought(1s) that (you)(2s) left(2s).
'I thought that you left.'
62. Fakkart Pinnak (linta) rehet. thought (1s) that (2s) (you )(2ms) left (2ms) 'I thought that you left.'
63. *Fakkart linne linta rehet. thought (Is) that (Is) you (2ms) left (2ms)
"I thought that you left."
64. Fakkart Tinnkun (Tintu) rehtu.
thought (is) that (2pl) (you) (2pl) left (2pl)
'I thought that you left.'
65. Fakkar Pinno Pintu rehtu.
thought(3s) that (3s) you(2pl) left (2pl)
'He thought that you left.'
66. *Fakkar linn $\varnothing$ (hinne) ra:ho qal-be:t
thought (3ms) that (they) went (3pl) home.
'He thought that they went home.
67. Fakkar Tinnun (hinne) ra:ho
thought ( 3 ms ) that ( 3 pl ) (they) left ( 3 pl )
'He thought that they left.'

## FORMATION OF PLURALS

68. YAli §andu arba§ ?IXwen

Ali has four brother(pl)
'Ali has four brothers.'
69. *SAli 乌andu arbai axi

Ali has four brother (poss)
'Ali has four brothers.'
70. *Rana Tanda arbą Tixweni

Rana has four brothers (poss)
'Rana has four brothers.'
71. SAli 乌andu tlat ?IXWen

Ali has three brother(pl)
'Ali has three brothers.'
72. Kari:m Yandu PIXte:n

Kari:m has sisters (dual)
'Kari:m has two sisters.'
73. *SAli fandu tlat ?IXət

Ali has three sister(s)
'Ali has three sisters.'
74. *Kari:m fandu tlat $2 \pm \chi$ ti

Kari:m has three sister (poss)
'Kari:m has three sisters.'
75. *Kari:m fandu tlat ?IXwe:ti

Kari:m has three sisters (poss)
'Kari:m has three sisters.'
76. *fi 2Itne:n kalb bel-be:t

There two $\quad \operatorname{dog}$ ( $s$ ) [in the]-house
'There are two dogs in the house.'
77. *fi tiat kalbe:n bel-be:t

There three dog(dual) [in the]-house
'There are three dogs in the house.'
78. fi tlat kle:b barra

There three dogs(pl) outside
'Three dogs are outside.'
79. le-kle:b hebel
def-dogs(pl) stupid(pl)
'Dogs are stupid.'
80. *al-kalb hebel
def-dog (s) stupid(pl)
'Dogs are stupid.'

RESUMPTIVE PRONOUNS - DISTRIBUTION
Subjects: (not allowed)
81. hajda el-rIze:I ?Illi abal 乌ali
this def-man that met £Ali
'This is the man that met Ali. ${ }^{\text {. }}$
82. *hajda al-rI3e:ly Prlli abal huwwe $\mathrm{j}_{\mathrm{j}}$ Yali
this def-man that met he £Ali
'This is the manthat (he) met Ali.'
83. hajda al-walad 9Illi biheb al-kaleb
this def-boy that loves def-dog 'This is the boy that loves the dog.'
84. *hajda өl-walad ${ }_{j}$ ? Illi biheb huwwe $\mathrm{e}_{\mathrm{j}}$ ol-kaleb this def-boy that loves he def-dog 'This is the boy that (he) loves the dog.'

Direct Objects (obligatory)
85. Sefit el-rize:lj ? Illiliabal- $\mathrm{o}_{\mathrm{j}}$ Sali saw (ls) def-man that met him $£$ Ali 'I saw the man that Ali met.'
 saw (Is) def-man that $£$ Ali met-him 'I saw the man that Ali met.'
87. *fafit el-rıze:l filli 乌ali abal- $\varnothing$
saw (ls) def-man that \&Ali met- $\varnothing$
'I saw the man that Ali met'.
88. *le-wle:d Se:fu el-kaleb Pilli əl-walad biheb- $\varnothing$ def-children see def-dog that def-boy loves $\varnothing$ 'The children see the dog that the boy loves'.
89. Ie-wle:d Se:fu al-kaI $\varepsilon b_{j}$ ? Illi biheb-oj ol-walad def-children see def-dog that loves-him def-boy 'The children see the dog that the boy loves.'
90. Ie-wle:d Sefu al-kaleb ${ }_{j}$ ?Illi al-walad bilicb-o $\mathrm{o}_{\mathrm{j}}$ def-children see def-dog that def-boy loves-him 'The children see the dog that the boy loves.'

Object of Preposition (obligatory)
91. *hajda ol-walad ?Illi muna ra?sIt ma£- $\varnothing$ this def-boy that Muna danced(f) with- $\varnothing$
*This is the boy that Muna danced with.
92. hajda ol-waladj ?Illi ra?sIt muna mai-o $\mathrm{j}_{\mathrm{j}}$ this def-boy that danced Muna with-him 'This is the boy that Muna danced with.'
93. hajda el-walad ?Illi maf-o ra?sIt muna this def-boy that with-him danced Muna 'This is the boy that Muna danced with'.
 met (ls) def-boy that $£ A l i$ went [to the]-house with-him 'I met the boy that Ali went home with.'
 met ( 1 s) def-boy that with-him sAli went [to the]-house 'I met the boy that Ali went home with.'
96. *abalit e-sabe ? Illi qali rah salbe:t mas- $\varnothing$
met (ls) def-boy that $£$ Ali went [to the]-house with- $\varnothing$ *I met the boy that Ali went home with.'

You have heard all of the sentences. Now, you will hear 4 of the sentences again, in 2 sets of pairs.
For each pair, you will have 4 choices:
The first sentence is better.
The second sentence is better.
Both sentences are equally good
Both sentences are equally bad.
If the first sentence is better, circle 1. If the second sentence is better, circle 2.
If both sentences are equally good (or possible), circle the OKs. If both sentences are equally bad (or impossible), circle the Xs.

Here is the first pair:

1. sentence 85
2. sentence 86
again,
3. sentence 85
4. sentence 86

Here is the second pair:

1. sentence 89
2. sentence 90
again,
3. sentence 89
4. sentence 90

This is the end of the test. Thank you (in nice Lebanese)

## APPENDIX 4

LEBANESE GJ TEST RESULTS NONPARAMETRIC STATISTICAL ANALYSIS

** Correlation is significant at the 0.01 level ( 2 -tailed).

- Correlation is significant at the 0.05 level ( 2 -tailed).


[^0]:    ${ }^{1}$ Alberta statistics include as ESL students only those students who received ESL support and whose schools received the provincial ESL grant. Canadian-born students whose first language is other than English do not qualify for this gramt, because they are not recent antivals to Canada. (Alberta Education (1998)).

[^1]:    ${ }^{2}$ Since, for Bachman, these physical realizations may be either spoken or written, I have chosen to focus on the phonology of my subjects' languages. All three subjects do know how to form Arabic script.

[^2]:    ${ }^{3}$ The three subjects have been given Arabic pseudonyms that reflect their character. Muna means "desire" or "wish". Muna clearly desires to achieve more, academically and professionally, than she has at present.

[^3]:    "Arabic pseudonym meaning "lofty".

[^4]:    ${ }^{5}$ Arabic pseudonym meaning "noble".

[^5]:    ${ }^{1}$ IPA notation has been used for all Lebanese Arabic data.

[^6]:    ${ }^{\text {I }}$ Sentences $11,14,22$, and 23 received inconsistent responses in Bemett's study and in this study, with both English NSs and Lebanese subjects. They were eliminated in the final tabulations, leaving 26 items.

[^7]:    ${ }^{2}$ Notations are as follows: (pl): plural; ( s ): singular, ( m ): masculine; ( f ): feminine; (poss): possessive

[^8]:    ${ }^{3}$ Precise information on the age at which Arabic-speaking children acquire relative clauses and resumptive pronouns is not available.

[^9]:    ${ }^{4}$ Even for highly competent native speakers, a less than perfect performance on a GJ test is not unustral. These two NSs are those with the highest scores, and there is some expected variation in their results.

