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# Channel Characteristics and Receiver Performance Analysis of Mud Pulse Telemetry System

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#### UNIVERSITY OF CALGARY

Channel Characteristics and Receiver Performance Analysis of Mud Pulse Telemetry System

by

Santosh Nath

#### A THESIS

### SUBMITTED TO THE FACULTY OF GRADUATE STUDIES IN PARTIAL FULFILMENT OF THE REQUIREMENTS FOR THE DEGREE OF MASTER OF SCIENCE

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### Abstract

Improving the data rate has been a major challenge in mud pulse telemetry. One of the reasons for the confinement in lower data rate is due to the lack of knowledge of the mud communication channel. Furthermore, the mud property, drill string geometry, interferers from the mud pumps and noise affect the pressure wave propagation.

This thesis provides a novel mathematical characterization of a mud communication channel and uses several signal processing techniques to enhance the performance of a mud pulse receiver. By introducing a fluid transmission line model, the attenuation of the pressure wave is characterised and is verified with the experimental results found in the literature. Based on this model, a transfer function of the mud communication channel including the effect of reflections from the multiple junctions has been derived. Finally, the receiver performance is evaluated by cancelling out the narrow-band interferers and equalizing the mud channel.

## Preface

This thesis is submitted for the degree of Master of Science at the University of Calgary. The research described in this thesis was completed under the supervision of Professor Geoffrey Messier and co-supervision of Professor Leonid Belostotski in the Department of Electrical and Computer Engineering.

To the best of my knowledge, this work is original. All the previous works and experimental data discussed and used in this thesis are duly acknowledged and referenced. No part of this thesis has been previously published.

## Acknowledgements

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## Nomenclatures and Abbreviations

a	Outer diameter of a drill pipe (m)				
А	Cross sectional area of a pipe $(m^2)$				
b	Inner diameter of a drill pipe (m)				
В	Bulk modulus of the mud (Pa)				
BER	Bit Error Rate				
BHA	Bore Hole Assembly				
BPSK	Binary Phase Shift Keying				
С	Fluid capacitance per unit length $(kg^{-1}m^3s^2)$				
Е	Young's modulus of elasticity of the pipe (Pa)				
EbNo	Bit energy per noise power spectral density				
ISI	Inter Symbol Interference				
$J_n$	Junction locations in a long pipe where $n = 1, 2, \cdots$				
K	Total number of pipe-junction sections				
L	Fluid inertance per unit length $(kgm^{-5})$				
$l_j$	Length of each junction section (m)				
$l_m$	Location of the pressure transducer from the mud pump (m)				
$l_p$	Length of each pipe section (m)				

LMS	Least Mean Square
LWD	Logging While Drilling
MMSE	Minimum Mean Square Equalization
MPT	Mud Pulse Telemetry
MSK	Minimum Shift Keying
MWD	Measurement While Drilling
OFDM	Orthogonal Frequency Division Multiplexing
Р	Resultant Pressure at one end of a pipe (Pa)
$\Delta P$	Pressure difference across two ends of a pipe (Pa)
PDF	Probability Density Function
PER	Packet Error Rate
PSD	Power Spectral Density
$P_k$	Pressure at the input of a $k^{th}$ pipe or junction (Pa)
$P'_k$	Pressure at the output of a $k^{th}$ pipe or junction (Pa)
$P'_{(2n-1)}$	Resultant pressure at the output of the $n^{th}$ junction of a pipe (Pa)
$P_s$	Pressure at the MWD signal source (Pa)
Q	Volume flow rate through a pipe $(m^3s^{-1})$
$Q_k$	Flow rate at the input of a $k^{th}$ pipe or junction (m <sup>3</sup> s <sup>-1</sup> )
$Q'_k$	Flow rate at the output of a $k^{th}$ pipe or junction (m <sup>3</sup> s <sup>-1</sup> )
$Q'_{(2n-1)}$	Resultant flow rate at the output of the $n^{th}$ junction of a pipe (m <sup>3</sup> s <sup>-1</sup> )
QPSK	Quadrature Phase Shift Keying
$Q_s$	Flow rate at the MWD signal source $(m^3s^{-1})$
R	Fluid resistance per unit length $(kgm^{-5}s^{-1})$

SINR	Signal to Interference and Noise Ratio
SNR	Signal to Noise Ratio
V	Mean fluid velocity $(ms^{-1})$
v	Kinematic viscosity of the fluid $(m^2 s^{-1})$
V	Volume $(m^3)$
$V_{\rm c}$	Velocity of the pressure wave $(ms^{-1})$
WSS	Wide Sense Stationary
$Z_c$	Characteristics impedance $(kgm^{-5}s^{-1})$
$Z_j$	Characteristic impedance of a junction $(kgm^{-5}s^{-1})$
$Z_p$	Characteristic impedance of a pipe or transducer section $(\rm kgm^{-5}s^{-1})$
ρ	Mud density $(kgm^{-3})$
α	Attenuation constant per unit length (Neper/m)
eta	Phase constant per unit length (radian/m)
$\gamma_p$	Propagation constant of pipe or transducer section
$\gamma_j$	Propagation constant of the junction section
λ	Poisson ratio of the pipe material
$\eta$	Dynamic viscosity of the fluid $(kgm^{-1}s^{-1})$

## Chapter 1

### Introduction

This chapter describes the basic groundwork of the thesis. Section 1.1 starts with the discussion of the general borehole telemetry process and various approaches that are used in the transmission of the downhole signal to the surface. In Section 1.2, the structure of a communication system for a borehole telemetry is described. Different sources of channel distortion and noise encountered in a borehole telemetry process are given in Section 1.3. Section 1.4 presents challenges encountered in the reception of the downhole signal and various existing works in the related field are mentioned in Section 1.5. The major contribution of this thesis is outlined in section 1.6. Finally, the organization of the thesis is briefed in Section 1.7.

#### 1.1 Measurement while drilling

The correct reception of real time downhole information at the surface plays a significant role in the performance of borehole telemetry systems. Measurement while drilling (MWD) is a term commonly used in oil and gas fields to represent these real time data transmitted by the downhole electronics and received at the surface by the operator during the actual operating phase of the equipment. The data may include but not limited to temperature and pressure at the bottom surface, orientation and inclination of the drill bit, torque acting on the bit, information regarding the composition and quantity of oil and gas as well as radiation levels [3],[22]. These informations are crucial for the success of a drilling operation as they affect the decision making process at the surface. The early warning provided by these data regarding the equipment failure or any potential safety hazards reduces the overall cost of an operation and maintains a safe working environment.



Figure 1.1: An electromagentic borehole telemetry process [59].

Fig. 1.1 shows one of the many ways by which downhole data is transmitted to the surface. The information bearing data from the sensors present in the downhole modulates the mechanical or electromagnetic waves produced by the downhole electronics. The modulated data is then received and decoded at the surface. As clearly evident from the figure, the harsh environment through which data has to communicate makes it much vulnerable to an error.

The telemetry system can be classified into four groups based on how the MWD data is transmitted from the vicinity of the drill bit to the surface. These are described next.

#### 1.1.1 Acoustic telemetry

Acoustic telemetry system uses sound waves generated by the impulse generator near the drill bit to transmit information to the surface [34],[40]. The information is transmitted to the surface through the metallic drill pipe. The acheivable data rate in acoustic telemetry is upto 50-100 bps [32]. Due to low intensity of the downhole signal and high intensity of the acoustic noise generated due to mechanical vibration of borehole assembly [34], signal detection is very difficult. Moreover, sound waves travelling though the metallic drill pipe suffer much attenuation due to multiple reflections caused by the varying internal diameter at the pipe-junction boundaries. This results in the use of more number of repeaters to overcome the attenuation problem. Thus, acoustic method of telemetry has limited use in MWD data transmission and reception.

#### 1.1.2 Wireline telemetry

The data rate can be significantly increased up to 57,000 bps with the wired connection from the drill bit to the surface [35]. This is the principle used in wireline based telemetry. Furthermore, bi-directional communication between the transmitter and the receiver is possible in this method [40],[60]. However, as the drill bit has to traverse up to several thousands of meters within the ground, maintaining the cable connection is costly and cumbersome. Also, the cable is often damaged by the stress due to continuous rotational nature of a drill string and abrasive nature of the mud used.

#### 1.1.3 Electromagnetic telemetry

Electromagnetic telemetry system is shown in Fig. 1.1. There are several ways by which downhole data is received as an electromagnetic wave at the surface [59]. In one method, electromagnetic wave is modulated by the information bits from one or more sensors present near the drill bit. This modulated electromagnetic wave is transmitted through the earth formation and is received by an antenna located near the surface. The signal is then decoded and information bits are retrieved. Another method consists of an insulated gap-sub placed in the drill string just above the Bore Hole Assembly (BHA) such that the part of drill string above and below the gap-sub act as two sides of an antenna. When an alternating voltage modulated with information bits is applied across the two ends of an insulated gapsub, current is generated which propagates along the drill string. The surface transceiver measures the voltage difference between the drilling rig and remote ground resulting from this current, which is then decoded.

Electromagnetic based borehole telemetry has several advantages and limitations over mud pulse telemetry (MPT). Some of the advantages include higher data rates, reliable bi-directional communication between downhole and surface, higher resistance to the noise resulting from moving downhole parts [41]. The data rate can be as high as 100 bps [33] with the use of repeaters and signal quality is unaffected by the intermittent mud flow unlike in mud pulse telemetry. Limitations include relatively low depth capability and data communication is severely affected for particular type of formation containing high amount of salt (due to increase in ground conductivity). The quality of the received signal measured by the parameter Signal to Noise Ratio (SNR) is affected by the attenuation from the various layers of earth acting as lossy dielectrics. One method to enhance the SNR is by increasing the power of transmitted signal. This however, comes at an expense of high battery power consumption, which is undesirable. As an alternative, adaptive noise cancellation circuitry is used for increasing the SNR in electromagnetic based telemetry [60].

#### 1.1.4 Mud pulse telemetry

The commonly used method of sending data from the downhole assembly to the surface at a relatively lower data rate is through drilling fluid called "mud". Mud is a viscous liquid passed inside the drilling pipe from the mud pumps located at the surface and has many purposes. First, it lubricates the drill bit and other mechanical elements to reduce the friction and also wash away the residues formed by the drill bit with the rock formation [22]. Besides this, as the mud moves back to the surface, the modulating valve present near the bottom of the assembly is opened or closed by the downhole sensors. This creates an increase or decrease in the pressure of the mud inside the drill pipe corresponding to the information from the downhole sensors resulting in the formation of modulated pressure waves [1],[2]. These modulated pressure waves are measured by the pressure sensor at the surface and the downhole data is decoded.

Pressure waves can be discrete pulses or continuous waves. The selection of the particular type depends on the feasibility and requirement of the rig operator. Discrete pulses are high amplitude and low frequency pulses that are used in deep wells as it makes them easier to detect readily at the surface. Continuous waves on the other hand are of lower amplitudes [5]. By varying the frequency of a pulser, various modulation schemes such as Binary Phase Shift keying (BPSK) and Quadrature Phase Shift Keying (QPSK) providing flexible data rates can be achieved. Data rates from 20 to 40 bps are possible with continuous waves [3],[5]. The other significant advantage of using the carrier modulation is that the receiver may employ coherent detection method to achieve same bit error rate as in demodulation of discrete pressure pulses at a lower signal to noise ratio. Their limitation however includes lower SNR due to their low amplitudes and thus, it is hard to detect small amplitude continuous waves from deep wells even at low frequencies. The generation mechanism of both discrete and continuous pressure waves are discussed in detail in Chapter 2.

Tolomotry mothod	Features				
Telemetry method	Maximum	Maximum	Signal	Noise and	Implementation
	data	range(fts)	attenuation	interference	$\cos t$
	rate(bps)			level	
Acoustic	50-100	12,000	High	Medium	Medium
Wireline	57,000	Unlimited	Low	Low	High
Electromagnetic	100	9,000	High	High	Medium
Mud pulse	20-40	35,000-	Medium	High	Low
		40,000			

#### 1.1.5 Comparison of telemetry methods

Table 1.1: Comparison of various telemetry methods (compiled from [40], [54], [55]).

Table 1.1 shows comparison between various methods of telemetry used in MWD communication. Each method of data communication has certain advantages and limitations. Acoustic and electromagnetic telemetry have the most severe limitation of range and are preferred for shallow wells. Wireline telemetry has unlimited theoretical range and data communication can occur at very high rates. However, the implementation and maintenance cost is very high as it often suffers from cable breakage due to the rotatory nature of a drill string. Although mud pulse telemetry has the lowest data rate, it is suited for deep well with minimum cost. This makes mud pulse telemetry a widely used method of MWD.

#### 1.2 Structure of a MWD communication system

The general block diagram of a communication system for MWD signal transmission and reception is shown in Fig. 1.2. The main components of the MWD communication



Figure 1.2: Block diagram of a communication system used in borehole telemetry.

system are discussed next.

#### 1.2.1 Transmitter

The transmitter in MWD communication has a simple structure. The binary data from one or more sensors is either transmitted directly to the surface through an electrical connection (as in wireline telemetry) or the binary data drives the modulator. There are multiple methods by which modulator transmits the data to the surface. In acoustic telemetry, acoustic wave corresponding to the binary data is produced, which travels up the channel. Modulator in mud pulse telemetry drives a valve, creating a pressure difference in the mud, which is transmitted as a pressure wave. In electromagnetic telemetry, modulator is equipped with an antenna, which transmits electromagnetic wave to the surface.

#### 1.2.2 Channel

The transmitted signal propagates through a certain path to reach the receiver. This path is known as channel. Channel may be different for different MWD processes, e.g., for

mud pulse telemetry, mud acts as a channel while for electromagnetic borehole telemetry, earth acts as a channel. The signal propagating through the channel suffers multiple reflections and refractions at various boundaries. This leads to smearing of a symbol over the period of an adjacent symbol, the phenomenon commonly known as intersymbol interference (ISI). ISI causes erroneous detection of bits at the receiver.

#### **1.2.3** Noise and interference

Communication channel adds noise to the transmitted signal. Uncorrelated or white noise in borehole communication system arises from multiple sources such as thermal vibration of electrons in the receiver electronics, torsional vibration of a drill string [36], vibration of the drill pipes in acoustic telemetry etc. It is additive, gaussian and broad-band in nature. In some borehole communication system e.g. mud pulse telemetry, in addition to the broad-band noise, narrow-band interferers are also generated from the mud pumps located near the surface [2],[3]. The interferers generally have higher amplitudes than the desired signal due their closer vicinity to the receiver.

#### 1.2.4 Receiver

One method of making the transmitted signal more resilient to ISI and improving signal to noise ratio at the output of the receiver is by using multiple carrier frequencies at the transmitter to encode the digital bits, e.g. OFDM [45]. This method requires simpler receiver structure in implementation and the complexity is transferred to the transmitter. In borehole communication system however, the transmitter structure is much simpler as explained above and thus, the receiver structure plays an important role in determining the performance of such communication systems. The various parts of a typical borehole receiver system are as follows.

#### Analog pre-filter

The analog pre-filter has two functions. First, it bandlimits the white gaussian noise to have a finite bandwidth. Next, it prevents aliasing. For this, the minimum two-sided bandwidth of an analog pre-filter is assumed to be equal to the sampling frequency. Therefore, it is also known as an anti-aliasing filter.

#### ADC and matched filter

The analog waveform is sampled and digitized for further processing. For a known channel, a matched filter is matched to the overall impulse response of channel and pulse shaping filter. Such matched filter maximizes the SNR, therefore, the receiver performance becomes optimum. However, for unknown channels, the matched filter may be simply matched to the pulse shaping filter. In such case the receiver performance is sub-optimum. The output of a matched filter is sampled either every symbol period or some integral multiples of a symbol period. These samples act as the input to an equalizer.

#### Equalizer and interference estimation

The function of an equalizer is to compensate the distortion caused by the channel. Thus, equalizers produce an output, which is the estimate of the desired signal by mitigating the effect of ISI. The channel distorted noisy signal samples are fed to the input of an equalizer, which when weighted with the equalizer taps, produces an estimate of a desired signal sample. The output of the equalizer contains signal samples with minimum or no ISI along with the noise. Based on these estimated samples, decision is made regarding which bits were transmitted. The spacing between equalizer taps can either be a symbol period (known as symbol spaced equalizers) or some integral multiples of a symbol period (known as fractionally spaced equalizers).

A linear equalizer can be modelled as a tapped-delay line filter as a Weiner filter or an adaptive filter. The solution of optimum filter taps from the Weiner-Hopf equation requires a knowledge of the auto-correlation of the input signal to the equalizer and the cross-correlation between the input signal and the desired signal. Adaptive filter does not require such knowledge and uses a least mean square (LMS) algorithm. The optimum value of such an algorithm converges to the solution provided by the Weiner-Hopf equation.

In addition to an equalizer, a receiver can also employ an interference estimator circuit which minimizes the degradation caused by one or more powerful interferers. A common method used by such circuits often includes frequency transforming the received signal and separating the interferers from the signal.

#### Decoder

Decoder is a decision making device. It compares the output estimates from an equalizer with a known threshold and decides which of the transmitted symbol was sent by the transmitter.

With the general introduction of various methods and communication system used in the borehole telemetry methods, now this thesis focusses on mud pulse telemetry system as it is the most widely used method of transmitting and receiving the downhole signal to the surface.

## 1.3 Intersymbol interference and noise in a mud pulse communication system

The information bearing signal in a mud pulse communication system has to travel from deep inside the earth in the form of a pressure wave along with the mud. Mud is thixotropic <sup>1</sup> in nature and travels along the drill pipe. As it moves from deep inside the solid earth's crust (as in onshore drilling) or from deep inside the sea bed (as in off-shore drilling) through the pipe, pressure wave in mud disperses in time when encounterd with the variable internal diameters in the different regions of a drill string [2]. Thus, a part of the pressure wave is transmitted forward while a part of it is reflected back. The partially transmitted and reflected pressure wave from several pipe joints arrive at the pressure transducer at different time and may interfere destructively. As a result, severe intersymbol interference occurs in the received pressure wave at the surface.

Noise severely affects the performance of a mud pulse telemetry system. The pressure waves have low intensity and have to survive harsh noisy environment. The broad-band noise in mud pulse telemetry is additive, gaussian and can occur due to any electrical and

 $<sup>^1{\</sup>rm fluid}$  which are more viscous under static condition and less viscous when agitated, shaken or with increase in temperature

mechanical disturbances at various sections of the drilling process. Besides additive white gaussian noise, the primary source of noise in mud pulse telemetry are the mud pumps, which circulate mud inside the drill string. The reciprocating action of the mud pumps generate harmonic noise with much higher intensity than that of the desired signal. The fundamental frequency and overtones of the pump noise are the function of pump parameters such as pump stroke rate, number of cylinders in the pump and pump action [2],[3]. Such narrowband noise act as the interferers to the desired signal and may reside in the frequency band of the desired signal causing erroneous detection at the receiver.

The other high amplitude noise typically encountered near the bottom hole in mud pulse telemetry occurs at very low frequency. It is termed as "stick-slip" noise since it occurs due to spontaneous jerking motion when the drill bit alternately sticks and slides over the rock formation [36]. The drill bit sticks with the rock formation when the static friction coefficient between two surfaces in contact is larger than the kinetic friction coefficient. When external force is applied to overcome this static friction coefficient, the sudden rise in velocity causes the drill bit to slide over the formation. The stick-slip motion of the drill bit induces torsional oscillation in the drill string and generates the noise at the resonance frequency of the torsional vibration. Stick-slip noise occurs at frequency less than 0.5 Hz [3]. Such low frequency noise can be easily removed by the filtering process when the signal is sent at higher frequencies. Therefore, the effect of stick-slip vibration on signal degradation is neglected in this thesis.

#### **1.4 Problem statement**

The rapid advancement in drilling technology demands higher communication capabilities such as the availability of higher data rates for processing the real time data from the downhole to the surface. This requires the SNR at the output of the receiver to be fairly high with reasonable data rates. With the increasing innovation in the design of modulator valves, there has been a dramatic rise in the achievable data rates in the mud pulse telemetry over the past few decades [3],[5]. Since, the maximum data rate achieved by a communication system depends upon the knowledge of the channel characteristics, correct modelling of the mud channel plays an important role in improving the data rate of the mud pulse telemetry system.

Developing a channel model that accurately represents the attenuation and dispersion of the transmitted pressure waves posses a unique challenge. A mud pulse channel consists of a series of mud filled drill pipes cascaded at each junction with a joint as shown in Fig. 1.3. As pressure waves propagate along the mud, they get attenuated. The extent of attenuation



Figure 1.3: Drill pipes with joints [57].

depends upon the mud properties like viscosity, density and Bulk modulus as well as on the pipe properties such as the internal diameter, Young's modulus and Poisson ratio. Also, it is well known that higher frequency pressure waves are attenuated more rapidly than lower frequency pressure waves [3], [17] which imply that the dynamic properties of the fluid are frequency dependent. Thus, developing a frequency dependent attenuation model of a mud channel which includes the relationship between the mud properties and the drill pipe parameters is the first problem to be addressed.

At each junction connecting two drill pipes, an abrupt change in the pipe diameter causes reflection of the pressure waves. As such reflections may occur from hundreds of cascaded drill pipe joints, the effect of such phenomena can be severe at certain frequencies. The fully developed transfer function of the mud channel should therefore depict the cumulative effect of reflections from multiple junctions in relation to the changes in pipe-junction diameters which is the second problem to be resolved.

The primary noise from the mud pump consists of multiple narrow-band sinusoidal interferers. One existing method commonly used to avoid the effect of pump noise is to transmit signal in the region of spectrum containing minimum noise. This method however, cannot remove all the narrow-band pump noise when multiple harmonics of significant amplitudes are present in the signal frequency band. Furthermore, this method imposes a restriction in the range of signal frequency selection which is undesirable. Thus, in order to have more flexibility in selecting the range of signal frequency, a suitable filter capable of selectively cancelling out narrow-band interferers present in the signal frequency band is desired which is the next issue to be addressed.

Once the mud channel is modelled, it is required to design a model of a receiver by compensating the effect of mud channel distortion with an equalizer. Further, the performance improvement of the receiver system after cancelling out the interferers and mitigating the channel effects needs to be studied by evaluating performance metrics such as bit error rate (BER). Quantifying the performance of a mud pulse receiver can be very essential for drill rig operators to monitor and evaluate the performance of mud pulse communication system, which is the final problem to be addressed by this thesis.

#### 1.5 Literature review

The concept of sending encoded data from downhole electronics as a pressure wave has been widely studied in the past few decades. The early work of using discrete mud pulses to send modulated pressure waves to the surface from the downhole was described by Arps and Arps in [1] in which a mud pulse communication system prototype was designed and field tested. The modulator consisted of a Plunger valve in the drill collar controlled by the downhole sensors thus generating positive pressure pulses. The data rate achieved was less than 1 bps. More advanced type of phase modulated continuous waves using rotary valve controlled by servomotors were discussed in the work done by Patton et al. [2]. The maximum data rate achieved was 3 bps. The data rates in continuous wave telemetry has since been significantly improved by the innovation in the design of the rotor-stator arrangement and the motion of the rotor. Hutin et al. [3] have described a mud-siren consisting of continuously moving rotor capable of generating a carrier modulated wave at 24 Hz. A novel pulser capable of generating both base-band and carrier modulated wave was described in [4] and [5]. This novel pulser uses a sheer valve comprising of stator and oscillating rotor. By exploiting the fact that rotor velocity reaches zero at the end of each oscillation period, the frequency can be changed instantaneously at these periods without physically accelerating or decelerating the rotor disc, thus saving the time interval for this transition as in mud-siren. The maximum data rate of 40 bps has been achieved with this sheer valve modulator and shows a possibility of further increasing data rates in future. Further details about the continuous wave mud pulser is available in U.S. patents [6], [7] and [8]. Although all aforementioned works focus on improving the data rate, no efforts have been made to understand and characterise the channel through which mud pulse propagates.

The close analogy between the nature of hydraulic and electrical system suggests that the parameters used to describe the dynamic behaviour of an electrical system can be used with their equivalent counterparts in hydraulic system to describe the dynamic behaviour of a fluid system. The basic elements such as resistance, inductance and capacitance that are used to describe the voltage-current relation in a distributed electrical circuit can also be used to describe the pressure-flow rate relation in a fluid system [9]. Thus, by comparing the fluid system model with the standard transmission line model, the analysis of a complex fluid line can be easily made. This fact is exploited in the pioneering work by Auslander [10]. With a linear mechanical system modelled as an equivalent transmission line, the pressure and flow rate relationship at the two ends of a pipe has been described. Furthermore, by decomposing the pressure and flow rate into equivalent wave components travelling in both directions of a pipe, the scattering matrix of a junction between pipes has been developed describing the effect of wave reflection and transmission at the boundary of a pipe. Boucher et al. [11] and Beck et al. [12] adapted this theory of the fluid transmission line to further explain the pneumatic and viscous liquid flow in a conduit. The model were experimentally verified and resulted in a good agreement with the theoretical model described. Although these researches provide a useful insight for the application of a fluid transmission line theory to describe the mud propagation through a drill string in a mud pulse telemetry, these works however describe the fluid transmission line as a pure time delay circuit assuming linear resistance at the junction alone, with the resistance at the pipe being neglected. Authors in [13] and [14] considered viscous resistance as a frequency dependent parameter and derived the frequency response of pneumatic flow in a closed pipe. Further equations and solutions of forced oscillatory flow in a pipeline system and frequency response analysis of a fluid system developed from the fluid transmission line model have been described in [15].

Desbrandes et al. [17], [18] have theoretically and experimentally studied the mud pulse propagation and attenuation characteristics of the mud channel. Various factors affecting the mud pulse attenuation have been described. Their model is extensively used to study the mud pulse propagation in mud pulse telemetry applications. However, their study cannot fully describe the realistic mud pulse propagation as the described channel is over simplified and cannot account for the reflection of the pressure wave at multiple pipe joints.

Hutin et al. [3] have adopted the mud channel characteristics developed by [17], [18]. Of the most significance, they have expressed the mud pump noise as a function of pump parameters. Albeit, the authors suggest a method of pump noise removal by transmitting the signal in the region of spectrum containing no noise, this method of noise removal does not always work for the imperfect mud pumps where harmonics have dominant magnitudes compared to the fundamental frequency and thus may reside in the spectrum of signal. Another method of mud pump noise cancellation is rather manual and requires much computation as described in U.S. patent [19]. In their method, mud pressure is calibrated as a function of pump piston position. When the MWD signal is transmitted, the piston position is tracked and the pump noise is subtracted using the calibration information.

Pioneering work in adaptive filtering of noise signal includes the work of Widrow and Hoff [20]. It describes the equalization of channel distorted signal using steepest descent gradient with a LMS algorithm. This method of signal processing has been borrowed and implemented in mud pulse signal processing as well. For example, U.S patent [21] describes the adaptive filtering of pump noise using LMS algorithm. In this method, the frequency of at least one mud pump is determined and the noise corresponding to this frequency is represented as a harmonic series. The noise is then cancelled out using LMS algorithm. Authors in [22] have roughly approximated the mud communication channel as a low pass RC filter with a cut-off frequency of 0.02 Hz and a DC offset of 2000 psi. In their work, a complex fractionally spaced decision feedback equalizer was used to counter the effect of intersymbol interference. The cut-off frequency approximation of a mud channel with a low pass filter, however needs to be justified for real time mud pulse propagation through the mud channel. The methods of pump noise removal and mud channel equalization imply the increasing use of signal processing techniques from cellular communication to the mud pulse telemetry. Thus, once the mud channel is developed, the distortion caused by the channel can be compensated by using the suitable type of equalizers.

The concept of an adaptive LMS filter has been modified and implemented to either enhance or suppress the narrow-band signals in a broad-band noise for cellular systems. For example, Zeidler et al. in [23] discussed the enhancement of multiple sinusoidal components in a white noise. By a suitable delay of the input signal, the LMS filter was adapted to generate the transfer function of the useful narrow-band sinusoids. Further modification of LMS algorithm to suppress the narrow-band interferers in a wide-band spread spectrum signal was shown by authors in [24] and [25]. In their work, the adaptive filter was adapted to produce notches in the frequency band of narrow-band interferers, thus suppressing them. Significant improvement in the output signal to noise and interference ratio (SINR) has been reported. These works, however, aim to enhance the capability of a receiver designed for cellular communication system. As the mud pulse telemetry system differs in the propagation channel and the noise that affect the pressure waves, a careful analysis of the mud channel and distribution of the noise and interferers need to be done before these concepts can be implemented in the mud pulse telemetry system.

#### **1.6** Contribution of this research

The first contribution of this thesis is the mathematical characterization of the mud channel. The modelled mud channel accounts for the signal distortion due to the attenuation and dispersion of the pressure waves at multiple junctions in a drill string through the implementation of a fluid transmission line model. The attenuation characteristics of the propagating mud developed through the fluid transmission line model at different frequencies is shown to closely agree with the experimentally determined attenuation characteristics of the mud channel described in [17] and [18]. The transfer function of the mud channel has been analytically derived from the fluid transmission line model and is verified with the transfer function of an equivalent electrical transmission line model in the Keysight's Advanced Design System (ADS). The effect of reflections from the multiple junctions on channel transfer function has been described. It has been shown that the location of standpipe transducer from the mud pump affects the frequency response nature of the mud channel. Thus, once the nature of frequency response of a mud channel is determined, it is possible to design efficient receivers with improved bit error rate. With the increasing innovation towards higher data rates in mud pulse telemetry, such realistic mud pulse channel model helps in the efficient utilization of the channel bandwidth.

Another contribution of this thesis is the implementation of the concept of cancelling out the narrow-band interferers present in a wide-band signal as described in [24] and [25] in mud pulse telemetry to reject the narrow-band interferers from the mud pump. This method of cancelling out the narrow-band interferers in mud pulse telemetry has significant advantages due to the fact that interferers present in the signal spectrum can be adaptively removed without having to transmit the signal in the region of no pump noise. By transmitting mud pulses at a higher rate and sufficiently delaying the received signal so that the signal samples on adjacent taps are least correlated as compared to more correlated interferer samples, multiple interferers are removed creating notches in the signal spectrum. Although the formation of notches introduces some distortion in the desired signal, the degradation due to such notches is repaired easily with the use of an equalizer. It has been shown that such a notch filter enhances the SINR as the power of an interferer increases. As the mud pump interferers have higher power compared to the signal, the usefulness of such a notch filter is further apparent.

The final contribution of this thesis is the compensation of the distortion caused by the mud channel and study the receiver performance. The receiver performance is compared for different data rates and interference powers. Equalization is based on the Weiner-Hopf filter modelled as a tapped delay-line filter. The performance metric used to evaluate the performance of the equalizer and notch filter is the bit error rate. It has been shown that significant improvement in the bit error rate can be achieved with the use of an equalizer and notch filter in a mud pulse receiver. It has also been shown that the use of a notch filter to cancel out narrow-band interferers and an equalizer to compensate the channel distortion leads to the significant gain of drill depth, thus making higher data rates possible at greater depths.

The results are significant as they accurately replicate the real time mud pulse propagation, channel distortion and noise experienced by the mud pulse telemetry system. The compensation of distortion caused by the channel combined with the interference cancellation techniques considerably saves much of the valuable rig time operation while providing the higher performance at fairly reasonable rates.

#### **1.7** Organization of the thesis

This thesis contains six chapters. Chapter 2 describes the mud pulse communication system in detail. It starts with an overview of the mud pulse communication system block diagram and presents a trend of data rate improvement in the existing mud pulse telemetry. Two types of mud pulse modulators commonly used in commercial applications to generate continuous waves at higher data rates are described. An overview of a mud channel and two different types of noise commonly encountered in the mud channel are presented. A brief description of a stand pipe transducer used to receive the mud pulse signals is given.

Chapter 3 presents a detailed model of the mud channel developed from the fluid transmission line theory. It begins with an elementary concept of the fluid transmission line and describes the cascaded drill pipe system as an equivalent to the cascaded transmission line structure. General solution of the pressure-flow rate relationships at the various regions of a drill pipe is provided along with the attenuation characteristics of the mud flowing through such cascaded pipes. Transfer function of a mud channel is then developed and the impact of the transducer location on the frequency response of a mud channel is highlighted.

Chapter 4 provides a detailed description of the receiver design and its performance analysis in a mud pulse telemetry system. After presenting the receiver structure used for the optimum detection of pressure waves, BER degradation from the interferers is analysed along with the removal of such interferers with the notch filter. Finally, the method of compensating the channel distortion with the Weiner filter and LMS algorithm is briefed.

Chapter 5 presents results of simulations to support the theory developed in the preceding chapters and important implications from the simulation results are described.

Chapter 6 concludes the work. The significance and limitations of the work are described and the potential future research is presented.

The final section of the thesis contains references and appendices on the fluid transmission line analysis in terms of forward and reverse travelling wave variables. A scattering matrix from the wave variables is derived for the potential future research. ADS simulation of the transmission line as an equivalent to the fluid transmission line is also included in the appendix.

### Chapter 2

# Mud pulse communication system review

This chapter provides a general description of the structure of a mud pulse telemetry system. Section 2.1 begins with an overview of the various parts of a mud pulse telemetry system. In Section 2.2, a comparative study of the trend of data rate improvement in the mud pulse telemetry is given. Section 2.3 describes a mud-pulse transmitter. As innovations in the design of modulator valve have made it possible to achieve flexible data rates over recent years, commonly used modulators to generate discrete pulses or continuous waves have been described. Section 2.4 provides an insight into the communication channel through which pressure waves travel to reach the receiver. It also describes the attenuation and reflection phenomenon, which are the fundamental properties of a mud channel. The channel also adds noise to the system. The source and nature of two commonly encountered noise in mud pulse telemetry are given in Section 2.5. Section 2.6 concludes with an introduction of the pressure sensor commonly known as standpipe transducer used to receive the pressure waves near the surface.

#### 2.1 Overview of a mud pulse telemetry system

A typical mud pulse telemetry system is shown in Fig. 2.1. The overall system components fall under two regions: sub-surface region and surface region. Sub-surface region



Figure 2.1: A mud pulse telemetry system [57].

includes a drill string, a drill bit, sensors and a pulser. A drill string is a long cascaded structure of drill pipes running several hundreds of meters below the ground. The lower end of a drill string is attached to the drill bit capable of penetrating solid earth surface. At the top of a drill bit, sensors are located. These sensors control the movement of a pulser (modulator) thus generating pressure waves in the flowing mud. These pressure waves propagate along the mud through the drill string back to the surface. The surface region includes mud tanks, mud pumps and a pressure sensor. Viscous mud is transferred from the mud tanks inside the drill string via one or more than one powerful mud pumps. Mud travels inside the drill column and exits through the annulus as indicated by the arrows. The up going pressure wave carries encoded information from the sensors and is decoded by the pressure sensor (standpipe transducer) located few feets from the mud pumps.

#### 2.1.1 Mud pulse communication system

The schematic block diagram of a mud pulse communication system is given in Fig. 2.2. The data from one or more downhole sensors drive the modulator (pulser), which creates a series of discrete or continuous pressure waves represented by s(t). These pressure pulses



Figure 2.2: Block diagram of a mud pulse communication system.

propagate through the mud channel. The output pressure waves of the channel can be represented as

$$x(t) = \int_{-\infty}^{\infty} s(\tau) h_c(t-\tau) d\tau, \qquad (2.1)$$

where  $h_c(t)$  represents the impulse response of the mud channel.

As pressure waves reach to the surface, they get corrupted by wideband additive gaussian noise n(t) and interfering signals from the mud pumps i(t). Thus, the received signal at the standpipe transducer is given as

$$r(t) = x(t) + n(t) + i(t).$$
(2.2)

The corrupted signal received by the standpipe transducer is then subjected to the signal processing circuit where the received signal is sampled, filtered to remove mud pump interferers and equalized to compensate the channel distortion. The receiver then makes decision to predict the transmitted data from the sensor.

#### 2.2 Data rates in mud pulse telemetry

In mud pulse telemetry system, the data collected from the downhole fall under two categories: Measurement While Drilling (MWD) data and Logging While Drilling (LWD) data. MWD data contain information for evaluating the trajectory of wellbore. Data include azimuth and inclination of the drill bit so that the rig operator knows the direction in which the well is being bored and the drill bit is moving. MWD data are sent to the surface in real time as pressure waves. LWD refer to the geological data stored in the memory of various sensors below the ground. Some of these data include information of downhole annular pressure, temperature, resistivity, density, porosity, nature of hydrocarbon etc. These stored
data are either downloaded and accessed when the tools are pulled back to the surface or transmitted in the real time to the surface in a similar way as MWD data via pressure waves.

As rig day rates <sup>1</sup> continue to increase, the necessity of more data to analyse the downhole conditions while the actual drilling operation takes place, is sure to increase as well. The elegant and economic solution of this problem is to increase the data rate of the mud pulse telemetry system. In fact, over the past few years, fair amount of efforts have been put in by several companies in the design of mud pulse transmitters to achieve higher data rates. As an example, Fig. 2.3 depicts the improvement in the data rates between 2000 and 2007. For a comparison, the data rate of mud pulse telemetry systems in 2000 was in the range of 1 bps while in 2007 it was nearly 40 bps for shallow wells. The graph also shows the variation of the data rate with the increase in the depth of the borehole. Clearly, as data rate increases, the signal propagation depth decreases. This is due to the fact that higher frequencies attenuate rapidly than lower frequencies as the depth increases.



Figure 2.3: Comparison of data rates of mud pulse telemetry systems between 2000-2007 with depth [5].

Although Fig. 2.3 shows increment in the data rates over past few years, still, the achieved data rate so far seems to be quite low. One of the main reason behind such low data rates is the inability of the existing works to characterize the behaviour of the pressure

<sup>&</sup>lt;sup>1</sup>cost of renting a drilling rig per day

waves through the mud channel as the capacity of any communication system depends on the knowledge of the channel characteristics. Therefore, it is very important to characterize the mud channel in order to design high performance receivers for the mud pulse telemetry systems.

#### 2.3 Mud pulse transmitter

A mud pulse transmitter is an assembly of a valve controlled modulator/pulser used to create a pressure difference in the flowing mud. As shown in Fig. 2.1, to generate the pressure waves, downhole digital data from the sensors trigger the mechanical motor present in the borehole assembly. By controlling the valve action via motion of the motor, change in pressure in the flowing mud is achieved. This change in pressure propagates up along the mud and is detected by the pressure sensor. Early telemetry systems generated discrete pressure pulses. These discrete pulses are still used by some of the rig operators due to their ease of generation and reliability in deeper wells. With the introduction of rotor-stator arrangement in the motor, continuous pressure waves are generated. This arrangement of the rotor and stator has seen significant increase in achievable data rates. Also, by increasing or decreasing the speed of the rotor, carrier modulation is possible. Both discrete and continuous signals generating modulators are discussed below.

#### 2.3.1 Discrete Pulser

A discrete pulser generates binary pressure waveform in the flowing mud. Increase in pressure corresponds to "1" whereas decrease in pressure corresponds to "0". Discrete pulses of very high amplitudes can be easily produced with a simple on-off type modulator. This gives an advantage particularly for the signal propagation from deep wells when high SNR is required at the surface [5]. Also, the power consumption is low in a discrete pulser since the valve simply opens or closes to generate the discrete pulses. The data rate however is very low due to the simple mechanical structure of the mud pulser. The discrete pulses generated by the pulser can be of two types. These are discussed below.

#### Positive pulse



Figure 2.4: Positive pulse generation mechanism [3].

Positive pulses are created by momentarily closing or opening the valve present near the bottom hole assembly as shown in Fig. 2.4. This causes restriction in the flow of the mud inside the drill string based on the nature of data to be transmitted [3],[5]. The restriction of the flow causes pressure rise inside the drill string while the flow of mud returns the pressure to a normal level. The change in pressure is measured by the pressure sensor at the surface.

#### Negative pulse



Figure 2.5: Negative pulse generation mechanism [3].

Negative pulses are created by the sudden reduction in the pressure inside the drill string due to the flow of mud from the drill string to the annular space between the drill pipe and borehole wall [3]. The valve controls the flow of mud. Each time the valve is opened, pressure drop occurs and closing the valve returns pressure to the normal level. The direction of the arrows in Fig. 2.5 represent the flow of the mud from drill pipe into the annulus creating negative pulses.

Both the positive and negative pressure pulses are used for low rate data communication in the range of 1 bps.

#### 2.3.2 Continuous pulser

In order to achieve higher data rates, continuous pressure waves are generated through the modulator driven by the downhole sensors. A continuous pulser is a rotor-stator based system each provided with multiple lobes [3],[5]. By varying the frequency of the rotor, carrier modulation providing flexible data rates can be achieved. This is a major advantage of the continuous pulsers over the discrete ones. The amplitude of the continuous wave however is usually small [5]. This makes them vulnerable to distortion while propagating through deep wells. Two popular continuous pulsers are described below.

#### Mud siren modulator

A mud siren modular consists of multiple lobes of rotor and stator. The motion of rotor is controlled by the control signals from the downhole sensors. Mud flows in the space between the lobes of the rotor and stator. As the rotor rotates, the space between the lobes of the stator are momentarily opened or closed. During opening position, the pressure is low while during the closing position, the pressure remains high. As the rotor rotates continuously, continuous waves can be generated, which propagate up along the mud. Fig. 2.6 shows the generation of continuous waves with a mud siren modulator in which the direction of arrows represent the flow of the mud through the pulser when the rotor opens or closes the space between the the stator. By adjusting the number of lobes of the rotorstator and the speed of rotor, the frequency of generated pressure waves can be controlled. Continuous waves upto 24 Hz have been reported with mud siren modulator [3].



Figure 2.6: Mud siren modulator [3].

#### Sheer valve modulator

The relationship between the data rate and the depth levels shown in Fig. 2.3 implies that mud pulser has to be flexible and capable of switching from discrete pulses to continuous waves at any instant of time. This is due to the fact that discrete pulses being of higher amplitudes and low frequencies can be easily detected at the surface from the deep wells, whereas for shallow wells, low amplitude and high frequency continuous waves are preferred due to their higher data rate capability.

Sheer valve modulators are a new class of mud pulsers capable of generating both baseband discrete pulses and carrier modulated continuous waves. At any instant, the system can be switched to generate either signalling scheme by applying appropriate control over the rotor. In contrast to the continuously rotating rotor of mud siren modulator, the rotor in sheer valve modulator oscillates about its mid position thus periodically opening and closing the slot of the stator as shown in Fig. 2.7. The oscillation of the rotor induces pressure change in the flowing mud, causing pressure waves to propagate upward, which is detected by the pressure sensor.

The oscillatory nature of the rotor plays a significant role in increasing the data rate in the sheer valve modulator as compared to the mud siren modulator. In a mud siren modulator, since the rotor rotates continuously, at each instant of frequency or phase change, the speed of the rotor disc needs to be increased or decreased manually. This transition



Figure 2.7: Oscillatory motion of rotor in a sheer valve modulator [5].

time consumes some fraction of the useful time duration dedicated for the pressure waves generation. On the contrary, due to the fact that the rotor remains at a zero velocity state at the end of each oscillation, the frequency or phase can be changed instantaneously at this fraction of time, thus assigning a complete time duration for the generation of the pressure waves.

The other benefits of using oscillatory rotor is the resistance to jamming of the valve from wash away cuttings. The jammed substance is automatically removed due to the rotation of rotor in opposite direction in the other half of the rotation cycle. Data rate upto 40 bps from 3,000 ft has been reported with use of a sheer valve modulator [5].

#### 2.4 Mud pulse channel

The communication channel between the transmitter and receiver in a mud pulse telemetry is the mud column along the cascaded drill pipe. As pressure waves travel up, they experience attenuation and reflection. These two properties of the mud channel are explained below.

#### Attenuation

Attenuation is the fundamental distance dependent property of the mechanical waves. In mud pulse telemetry, the attenuation of the pressure waves increases with the depth travelled by the pressure waves, smaller internal diameter of the pipe, viscosity and compressibility of the mud. Since the viscosity of the mud decreases with rise in temperature, the pressure wave attenuation is high at lower temperatures. Also, pressure wave attenuation increases with the increase in frequency of the propagating wave. It is due to this reason deep wells use low frequency pressure waves while shallow wells prefer higher frequency pressure waves.

#### Reflection



Figure 2.8: Signal undergoing multiple reflections at pipe-joint boundaries.

Reflection causes dispersion of the pressure waves. The sources of reflection include pipe joints, pulsation dampeners and mud pumps among which reflections from the pipe joints are significant due to their multiple number. Whenever a pressure wave experiences a change in the diameter of the pipe, only a fraction of it is transmitted while other part is reflected back. The reflected pulse reaches the receiver after a certain time delay. Due to multiple copies of reflected waves from different junctions arriving at different times, the receiver may perform an erroneous detection thus, degrading the system performance. Fig. 2.8 shows a pressure wave signal reflected from two boundaries of a pipe-joint where solid lines refer to the waves travelling up whereas the dotted lines represent reflected waves travelling down the pipe. The received signal is the superposition of the original signal with multiple delayed and attenuated version of itself.

Authors in [17] have provided a mathematical model of the mud channel characteristics at different frequencies. However, their model only account for pressure wave attenuation and does not consider the reflection phenomenon of pressure waves from the junction of the pipe boundaries. A comprehensive description of the attenuation of the mud pulses based on the fluid transmission line concept will be covered in Chapter 3. The developed attenuation model incorporates mud properties and is frequency dependent. It also includes the effect of the reflection phenomena of the pressure waves. Thus, effects of mud properties and frequency on the mud pulse propagation as well as the reflection properties of the mud channel can be easily obtained from the fluid transmission line model.

#### 2.5 Noise in a mud pulse communication system

In mud pulse telemetry, noise is added to the transmitted signal both from the downhole and from the surface. In this thesis, it has been assumed that the noise from the downhole is wide-band and gaussian in nature. Such a wide-band gaussian noise includes thermal noise from the downhole electronics and noise from the vibration of the entire downhole assembly or simply from the vibration of the drill pipes [36]. These vibrations induced gaussian noise may lead to low SNR at the receiver. This thesis does not provide the characteristics of such vibrations induced gaussian noise. However, the generated gaussian noise is scaled in the simulation in later chapters to imply that the additive gaussian noise includes the summation of these two gaussian noise generated from different sources. Therefore, only thermally generated gaussian noise will be discussed here. Noise from the surface are due to mud pumps and are narrow-band in nature.

#### 2.5.1 Wide-band thermal noise

Thermal noise is an electrical noise due to random vibration of electrons within an electronic circuit. It is ubiquitous in every communication system and follows a gaussian distribution. Since power spectral density of such noise is constant over a wide range of frequencies, it is also called broad-band noise. The two-sided power spectral density is flat and is given as

$$S(f) = \frac{N_o}{2}$$
 watts/Hz, (2.3)

where

 $N_o = KT_e,$ 

K=Boltzman's constant (1.38 \* 10<sup>-23</sup> J/k),

 $T_e$ =Equivalent noise temperature (k).

As given by (2.3), theoretically, thermal noise has an infinite average power. However, their effect is realized once they pass through a system having a finite bandwidth.

The auto-correlation function of the thermal noise is a delta function given by

$$R(\tau) = \frac{N_o}{2}\delta(\tau). \tag{2.4}$$

From (2.4), it is noted that any two samples of the thermal noise are uncorrelated.

#### 2.5.2 Narrow-band mud pump noise

In mud pulse telemetry, mud is circulated into the drilling rig via one or more than one large powerful mud pumps capable of generating high pressure and flow rate. These mud pumps are reciprocating in nature, i.e. one side of the piston/cylinder moves back to take in drilling mud through an input valve while other side pushes drilling mud forward through an output valve. Depending on the number of pistons available, mud pumps are classified as duplex, triplex or hex pumps [58]. Mud pumps are further classified on the basis of the number of working ends each piston has, e.g. a single action mud pump has each piston capable of pumping mud in just one direction, whereas a double action mud pump has each piston capable of pumping mud in both directions. Single action triplex mud pumps are common in mud pulse telemetry. Fig. 2.9 shows a National Oilwell Varco's 14-P-220 triplex mud pump. It has a maximum rated input of 2200 HP at 105 strokes per minute and is capable of maintaining maximum volumetric flow rate of 1215 gpm. The reciprocating nature of the mud pump produces multiple sinusoidal inter-



Figure 2.9: A 14-P-220 Triplex mud pump [61].

ferers acting as a narrow-band noise to the signal. The harmonics produced are proportional to pump stroke rate and are given as [3]

$$f_i = \frac{iCPS}{60} \quad \text{Hz},\tag{2.5}$$

where

 $i=1,2,3,\cdots$ 

 $f_i = i^{th}$  harmonics in Hz,

C = Number of pistons or cylinders in a pump,

P = Pump action (P=1 for single action pump, P=2 for double action pump).

S =Stroke rate, strokes/min.

For example, for a 14-P-220 single action triplex mud pump operating at 105 strokes/min,

the fundamental frequency and harmonics generated can be calculated as

$$f_i = \frac{i \times 3 \times 1 \times 105}{60}$$
$$= 5.25i \text{ Hz.}$$



Figure 2.10: Power spectral density of mud pump noise harmonics.

Thus, the fundamental noise frequency is at 5.25 Hz and the harmonics occur at 10.5 Hz, 15.75 Hz and so on. These frequencies are shown in the power spectrum of the mud pump noise in Fig. 2.10 where only three dominant harmonics have been plotted. Usually for the mud pumps in perfect operating conditions, the amplitude of fundamental frequency is much larger than harmonics, whereas for the mud pumps in poor condition, harmonics have larger amplitudes [3]. It is therefore, for the plot of power spectrum in Fig. 2.10, the amplitude of fundamental frequency is set to 10 volts where as the harmonics are set to have amplitudes of 2, 0.1 and 0.005 volts respectively. The phase of each random sinusoids is assumed to be uniformly distributed between 0 and  $2\pi$ .

#### 2.6 Mud pulse receiver

In mud pulse telemetry, the receiver has a task of correctly decoding the downhole data, thus, various signal processing techniques are used to reduce the bit error rate. Some of these techniques include receiver matched filtering, interferer cancellation and channel equalization. The details of such methods will be given in the chapters to follow. In this section, an introduction to the pressure transducer is given, which is used to sense the downhole pressure variations.

#### 2.6.1 Standpipe transducer

A standpipe pressure transducer is an assembly of a solid metal pipe connected to the drill string at the surface. Generally, it is located few feets from the mud pumps [48] as shown in Fig. 2.1. Inside the metal pipe, the pressure sensor is present. When the information carrying pressure wave in the mud passes through the pressure sensor, a highly sensitive diaphragm inside the sensor senses the variation of the pressure and produces an equivalent electrical voltage. The voltage signal is then sent to the surface signal processing receiver via an electrical connection.

In the existing literature of mud pulse propagation, it has been shown that placing multiple transducers at specific locations on the drill string turns out to be advantageous. Authors in [48] and [49] have shown that using multiple pressure sensors more statistical information of received signal is obtained, which can be used to cancel out interferers or enhance the desired signal at the receiver. However, the effect of the exact location of a pressure transducer on the data rate is underestimated. It will be shown in the subsequent chapters that the location of a pressure sensor in fact affects the frequency response nature of the mud channel and thus affects the maximum data rate achieved by the mud pulse telemetry system.

## Chapter 3

## Mud pulse channel model and noise

In this chapter, a detailed analysis of the mud pulse telemetry (MPT) channel is presented. Section 3.1 introduces a fluid transmission line concept, which forms the basis of our analysis. After justifying the equivalence between the fluid and electrical systems, the solution of the pressure and flow rate at any point along a mud pulse channel is derived in Section 3.2. In Section 3.3, the attenuation characteristics of a mud channel is derived, which is based on the distributed parameters of a fluid transmission line. The derived attenuation characteristics using the fluid transmission line model is verified with the experimentally verified attenuation model of [17], which is based on Lamb's law of pressure attenuation. Similar to a cascaded electrical transmission line, Section 3.4 shows that a mud pulse channel can be represented by cascaded drill pipes. Section 3.5 derives a transfer function of a mud channel. First, a transfer function equation is derived considering a single long pipe, i.e. neglecting junction lengths. Next, a more general equation of transfer function is derived, which includes the length of the junction connecting two drill pipes. Finally the effect of the transducer location on the frequency response of a mud channel is provided in Section 3.6.

#### 3.1 Fluid transmission line model

A fluid transmission line is an equivalence of an electrical transmission line that completely describes the dynamic behaviour of the fluid flow in an open or closed conduit. In mud pulse telemetry, as pressure waves propagate through the fluid, such a model is necessary to establish the mathematical model of a mud communication channel. The propagation of a pressure wave signal through the fluid channel is affected by the mud parameters such as mud viscosity, density and bulk modulus. By incorporating their effects in the pressure wave propagation, a mud pulse telemetry channel is characterized. In order to describe the fluid transmission line in the light of a standard electrical transmission line theory, it is necessary to understand the similarities between these two systems and the basic distributed elements which make the fluid transmission line.

#### 3.1.1 Analogy between elements of hydraulic and electrical system

When fluid flows through a conduit, its behaviour is similar to the flow of current through a conductor. Just like current flows when a potential difference is applied across the two ends of a conductor, fluid flows when pressure difference occurs across the two ends of a conduit. Thus, pressure difference-voltage difference and flow rate-current form analogous pairs when comparing the nature of both systems. A DC voltage source such as a battery or an AC voltage source such as an alternator drives current in an electric circuit whereas a mechanical source such as a pump produces pressure difference necessary to flow fluid in a hydraulic system. Of course the analogy applies to both liquid and pneumatic flow, however, heretofore liquid flow through a circular tube will be assumed in all cases as it is the case of the mud flow through a drill pipe.

In drilling, a hydraulic transmission line is a long tubular structure of drill pipes through which mud flows due to the difference in pressure at both ends. In case of electrical transmission lines, the line parameters (resistance, inductance and capacitance) are distributed continuously along the line varying both with time and position. This is due to the fact that the wavelength of the propagating wave is in the range of the physical dimensions of the circuit elements, hence, current and voltage vary with position and time along the length of a transmission line. Similarly, in a hydraulic transmission line, the line parameters can be assumed to vary accordingly. Thus, similar to the method of obtaining the general solution of the voltage and current along any point in an electrical transmission line, the solution of the pressure and flow rate at any point along the hydraulic line can be obtained by solving a set of partial differential equations by applying necessary boundary conditions. The solution describes the pressure and flow rate as a complex function of distributed elements and is frequency dependent.

#### 3.1.2 Distributed elements of an MPT transmission line

Resistance, inertance<sup>1</sup> and capacitance are the basic distributed elements of a mud pulse telemetry transmission line. These elements are directly dependent on the mud properties and the small-signal performance of such distributed system depends on the frequency at which the mud pulse signal propagates, hence, these elements link the physical property of the mud with the propagation of pressure waves and help to describe the steady-state frequency response of the oscillating pressure waves in terms of mud parameters. The distributed parameters of a mud pulse telemetry channel along with their governing equations are described below.

#### Fluid resistance

The viscous nature of the mud opposes the flow in a drill pipe. To overcome this, more pressure needs to be applied by the mud pump. Thus, a linear relationship between pressure difference and flow rate can be written as

$$\Delta \mathbf{P} = \mathbf{R}\mathbf{Q}\mathbf{l},\tag{3.1}$$

where

 $\Delta P = pressure difference across two ends of a pipe (Nm<sup>-2</sup>),$ 

R= fluid resistance per unit length (kgm<sup>-5</sup>s<sup>-1</sup>),

Q= volume flow rate through a pipe  $(m^3 s^{-1})$ ,

l = length of a pipe section (m).

The fluid resistance depends on the nature of the fluid flow. Depending upon Reynolds number the flow is classified as laminar or turbulent. Resistance in laminar flow is given by Hagen-Poiseuille equation and in turbulent flow is given by Darcy-Weisbach relation [16].

 $<sup>^{1}</sup>$ In fluid terminology, inductance is commonly termed as inertance as it occurs due to inertia of the flowing fluid.

Both of these relations however ignore the effect of the frequency of the propagating pressure waves and thus are applicable only for approximating very low frequency pressure wave propagation (< 0.5 Hz). When the drilling fluid oscillates at a medium to high frequencies, the concentration of the flow becomes significant at the boundary region, a phenomenon similar to the skin effect in high-frequency AC propagation in an electrical transmission line. Thus, resistance in fluid varies with a change in propagating frequency of the pressure waves through the drilling fluid. The frequency dependent viscous resistance based on skin depth has been described in detail in [13] and further simplified approximations of the results are made in [14]. A characteristic frequency separating low frequency and high frequency flow regime is given as

$$\omega_{\rm v} = \frac{8\pi v}{A},\tag{3.2}$$

where

v = kinematic viscosity of the fluid (m<sup>2</sup>s<sup>-1</sup>),

A = cross sectional area of a pipe  $(m^2)$ .

Based on this characteristic frequency, the viscous resistance per unit length of the pipe is expressed as [14]

$$R = \frac{\rho \sqrt{\omega_v \omega}}{2A}, \qquad (3.3)$$

where

 $\rho$ = mud density (kgm<sup>-3</sup>),  $\omega$  = angular frequency (rads<sup>-1</sup>).

#### Fluid inertance

An incompressible fluid offers inertia as it flows through the narrow pipe of uniform cross section. The momentum generated by the flowing fluid can be expressed using the equation of motion as [9]

$$\Delta \mathbf{P} = \rho l \frac{\mathrm{d}\mathbf{v}}{\mathrm{d}\mathbf{t}},\tag{3.4}$$

where

v = mean fluid velocity  $(ms^{-1})$ .

Rearranging (3.4) and using the relation v = Q/A, we get

$$\Delta \mathbf{P} = \frac{\rho l}{\mathbf{A}} \frac{\mathrm{d}\mathbf{Q}}{\mathrm{dt}}$$

or

$$\Delta \mathbf{P} = \mathbf{L} l \frac{\mathrm{d}\mathbf{Q}}{\mathrm{d}\mathbf{t}}.\tag{3.5}$$

In (3.5), the term  $L = \rho/A$  gives fluid inertance per unit length of a pipe.

#### Fluid capacitance

Fluid capacitance arises due to compressible nature of the fluid, as with increased pressure more fluid can be stored in a given volume. The fluid capacitance is obtained from the continuity equation as [9]

$$dv = \frac{l}{B_{eff}} \frac{dP}{dt},$$
(3.6)

where

dv= difference of mean velocity at the two ends of a pipe,

dP= pressure difference at the two ends of a pipe,

 $B_{eff}$  = effective bulk modulus of a fluid in a uniform pipe which is given as [17]

$$B_{\rm eff} = \frac{BM}{B+M} \tag{3.7}$$

and

$$M = \frac{E(a^2 - b^2)}{4b^2(\frac{5}{4} - \lambda) + 2(1 + \lambda)(a^2 + b^2)},$$
(3.8)

where

 $B = bulk modulus of the mud (Nm^{-2}),$ 

E= Young's modulus of elasticity of a drill pipe  $(Nm^{-2})$ ,

a = Outer diameter of a drill pipe (m),

b = Inner diameter of a drill pipe (m),

 $\lambda$  = Poisson ratio of the pipe material.

Rearranging the terms of (3.6) gives

$$\mathrm{dQ} = \frac{\mathrm{A}l}{\mathrm{B}_{\mathrm{eff}}} \frac{\mathrm{dP}}{\mathrm{dt}}$$

or

$$dQ = Cl \frac{dP}{dt}.$$
(3.9)

In (3.9), the term  $C = A/B_{eff}$  represents the fluid capacitance per unit length of a pipe.

## 3.2 General solution of the pressure and flow rate in an MPT transmission line



Figure 3.1: An electrical equivalence of an infinitesimal segment of a drill pipe.

Consider an infinitesimal segment of a drill pipe of length  $\Delta x$ . This small length can be approximated with a lumped model of a transmission line as shown in Fig. 3.1. The resistance R $\Delta x$  accounts for the loss as the wave propagates through the pipe, the inductance L $\Delta x$  denotes the total fluid inertance and the capacitance C $\Delta x$  represents total compressibility of the fluid for length  $\Delta x$ .

Let P(x,t) and  $P(x + \Delta x, t)$  denote the pressure at the two ends of the infinitesimal pipe with the corresponding flow rates at both ends as Q(x,t) and  $Q(x + \Delta x, t)$  respectively. Both pressure and flow rates are the functions of position and time. With an analogy to the electrical transmission line model, the relationship between pressure difference at the two ends of the infinitesimal section can be written as

$$P(x,t) - P(x + \Delta x, t) = R\Delta x Q(x,t) + L\Delta x \frac{\partial Q(x,t)}{\partial t}.$$
(3.10)

Dividing by  $\Delta x$  and as  $\Delta x \to 0$ 

$$\frac{\partial P(x,t)}{\partial x} = -RQ(x,t) - L\frac{\partial Q(x,t)}{\partial t}.$$
(3.11)

Similarly, the relation between flow rates differences at two end of the infinitesimal section can be written as

$$Q(x,t) - Q(x + \Delta x, t) = C\Delta x \frac{\partial P(x + \Delta x, t)}{\partial t}.$$
(3.12)

Dividing by  $\Delta x$  and as  $\Delta x \to 0$ 

$$\frac{\partial Q(x,t)}{\partial x} = -C \frac{\partial P(x,t)}{\partial t}.$$
(3.13)

Since, both pressure and flow rates are the functions of position and time, we can express these quantities in phasor form as

$$P(x,t) = Re[P(x)e^{j\omega t}]$$
(3.14)

and

$$Q(x,t) = Re[Q(x)e^{j\omega t}].$$
(3.15)

Substituting (3.14) and (3.15) in (3.11), we get

$$Re\left[\frac{\partial P(x)e^{j\omega t}}{\partial x}\right] = -R\,Re[Q(x)e^{j\omega t}] - L\,Re[Q(x)j\omega e^{j\omega t}]$$

which can be written as

$$Re\left[\left(\frac{dP(x)}{dx} + (R+j\omega L)Q(x)\right)e^{j\omega t}\right] = 0.$$

Therefore,

$$\frac{dP(x)}{dx} = -(R+j\omega L)Q(x).$$
(3.16)

Similarly, substituting (3.14) and (3.15) in (3.13), we get

$$\frac{dQ(x)}{dx} = -j\omega CP(x). \tag{3.17}$$

Now, differentiating (3.16) with respect to x and substituting (3.17) we get,

$$\frac{d^2 P(x)}{dx^2} = (R + j\omega L)j\omega CP(x)$$

or

$$\frac{d^2 P(x)}{dx^2} - \gamma^2 P(x) = 0, \qquad (3.18)$$

where  $\gamma = \sqrt{j\omega C(R + j\omega L)}$  is known as the propagation constant.

The general solution of (3.18) can be written as

$$P(x) = Ae^{-\gamma x} + Be^{\gamma x}.$$
(3.19)

Similarly, for the flow rate, the solution can be obtained by substituting (3.19) in (3.17). This gives

$$\frac{dQ(x)}{dx} = -j\omega C[Ae^{-\gamma x} + Be^{\gamma x}].$$
(3.20)

Integrating (3.20),

$$Q(x) = \frac{-j\omega C}{\gamma} \left[ -Ae^{-\gamma x} + Be^{\gamma x} \right]$$

or

$$Q(x) = \frac{1}{Z_c} \left[ A e^{-\gamma x} - B e^{\gamma x} \right], \qquad (3.21)$$

where  $Z_c = \sqrt{\frac{R+j\omega L}{j\omega C}}$  is the characteristic impedance. To solve the unknowns A and B, we apply the boundary conditions. At x = 0, i.e. at the sending end,

$$P(0) = P_{\rm s} = A + B \tag{3.22}$$

and

$$Q(0) = Q_{\rm s} = \frac{1}{Z_c} [A - B], \qquad (3.23)$$

where  $P_s$  and  $Q_s$  represent pressure and flow rate at the source respectively. Solving (3.22) and (3.23), we get

$$A = \frac{P_{\rm s} + Z_c Q_{\rm s}}{2}$$

and

$$B = \frac{P_{\rm s} - Z_c Q_{\rm s}}{2}$$

The resultant pressure and flow rate then becomes

$$P(x) = \frac{P_{\rm s} + Z_c Q_{\rm s}}{2} e^{-\gamma x} + \frac{P_{\rm s} - Z_c Q_{\rm s}}{2} e^{\gamma x}$$
(3.24)

and

$$Q(x) = \frac{1}{Z_c} \frac{P_s + Z_c Q_s}{2} e^{-\gamma x} - \frac{1}{Z_c} \frac{P_s - Z_c Q_s}{2} e^{\gamma x}.$$
(3.25)

At receiving end, x = l,  $P(l) = P_r$  and  $Q(l) = Q_r$ . Thus,

$$P_{\rm r} = \frac{P_{\rm s} + Z_c Q_{\rm s}}{2} e^{-\gamma l} + \frac{P_{\rm s} - Z_c Q_{\rm s}}{2} e^{\gamma l}$$
(3.26)

and

$$Q_{\rm r} = \frac{1}{Z_c} \frac{P_s + Z_c Q_s}{2} e^{-\gamma l} - \frac{1}{Z_c} \frac{P_{\rm s} - Z_c Q_{\rm s}}{2} e^{\gamma l}.$$
(3.27)

The hyperbolic form of pressure and flow rate relation becomes

$$P_{\rm r} = P_{\rm s} \cosh(\gamma l) - Z_c Q_{\rm s} \sinh(\gamma l) \tag{3.28}$$

and

$$Q_{\rm r} = \frac{-P_{\rm s}}{Z_c} \sinh(\gamma l) + Q_{\rm s} \cosh(\gamma l).$$
(3.29)

In a matrix form, the pressure and flow rate relationship can be written as

$$\begin{bmatrix} P_{\rm s} \\ Q_{\rm s} \end{bmatrix} = \begin{bmatrix} \cosh(\gamma l) & Z_c \sinh(\gamma l) \\ \frac{1}{Z_c} \sinh(\gamma l) & \cosh(\gamma l) \end{bmatrix} \begin{bmatrix} P_{\rm r} \\ Q_{\rm r} \end{bmatrix}.$$
 (3.30)

Equivalently,

$$\begin{bmatrix} P_{\rm r} \\ Q_{\rm r} \end{bmatrix} = \begin{bmatrix} \cosh(\gamma l) & -Z_c \sinh(\gamma l) \\ \frac{-1}{Z_c} \sinh(\gamma l) & \cosh(\gamma l) \end{bmatrix} \begin{bmatrix} P_{\rm s} \\ Q_{\rm s} \end{bmatrix}, \qquad (3.31)$$

where  $\cosh(\gamma l) = \frac{e^{\gamma l} + e^{-\gamma l}}{2}$  and  $\sinh(\gamma l) = \frac{e^{\gamma l} - e^{-\gamma l}}{2}$ .

#### 3.3 Attenuation characteristics of an MPT channel

Equation (3.24) gives the resultant pressure at any point along a pipe in terms of source pressure. Substituting the values of  $\cosh(\gamma l)$ ,  $\sinh(\gamma l)$  and using the relation  $P_s = Z_c Q_s$  in (3.28) gives

$$P_{\rm r} = P_{\rm s} e^{-\gamma l},\tag{3.32}$$

where the propagation constant can be written as

$$\gamma = \alpha + j\beta = \sqrt{(R + j\omega L)j\omega C}.$$
(3.33)

In (3.33),  $\alpha$  is the attenuation constant in neper/meter and  $\beta$  is the phase constant in radian/meter. Equation (3.32) shows that the pressure wave decays exponentially as it propagates away from the source in a drill pipe. Squaring both sides and separating real and imaginary parts in (3.33), we get

$$\alpha^2 - \beta^2 = -\omega^2 LC \tag{3.34}$$

$$2\alpha\beta = \omega RC. \tag{3.35}$$

Solving for  $\alpha$  gives

$$\alpha = \sqrt{\frac{-\omega^2 L C + \sqrt{\omega^4 L^2 C^2 + \omega^2 R^2 C^2}}{2}}.$$
(3.36)

In (3.36), only the positive root of  $\alpha$  is considered as attenuation is a non negative quantity. Equation (3.36) gives an expression of the attenuation constant in terms of the distributed elements and frequency of the propagation of pressure waves. Thus, the resultant magnitude of pressure waves at a distance l from the source is given as

$$P_{\rm r} = P_{\rm s} e^{-\alpha l}.\tag{3.37}$$

The attenuation characteristics of the pressure wave based on the Lamb's law of pressure wave attenuation has been experimentally verified and is described in [17]. It has been shown that the resultant magnitude of the pressure wave at a distance l from the source is related to the source pressure as

$$P_{\rm r} = P_{\rm s} e^{\frac{-\iota}{\rm L}},\tag{3.38}$$

with

$$\mathcal{L} = \frac{\mathrm{bV_c}}{2} \sqrt{\frac{2}{v\omega}},\tag{3.39}$$

$$v = \frac{\eta}{\rho},\tag{3.40}$$

where

 $\eta = \text{dynamic viscosity of the fluid } (\text{kgm}^{-1}\text{s}^{-1}),$ 

 $V_{\rm c}$  = velocity of the pressure wave  $(ms^{-1})$  which is given as

$$V_{c} = \sqrt{\frac{B_{eff}}{\rho}}.$$
(3.41)

To compare the attenuation behaviour of the pressure wave derived from the fluid transmission line with that of Lamb's law of pressure attenuation, we use the experimental data given in [17] for an oil based mud. The data are summarized in Table 3.1 below. All the data are in their S.I. units as indicated in brackets. Fig. 3.2 is plotted using data from Table

$\rho  (kg/m^3)$	B (Pa)	$\lambda$	$\eta (\text{pas})$	a (m)	b (m)	E (Pa)
1018.5	$2.4 \times 10^{9}$	0.3	0.02	0.1143	0.092	$2.07 \times 10^{11}$

Table 3.1: Properties of an oil based mud and drill pipe dimensions [17].

3.1 and verifies the exponential decay of a 100 kpa pressure wave with depth of the rig at 1 Hz and 10 Hz respectively. Clearly, a 10 Hz pressure wave attenuates much faster than a 1 Hz pressure wave. The graph shows that both the fluid transmission line and Lamb's law predict identical attenuation behaviour of the pressure wave. Thus, the fluid transmission line can be used as a standard model to characterize the behaviour of a pressure wave propagating through a drill pipe.



Figure 3.2: Attenuation of 1 Hz and 10 Hz pressure waves with depth.

#### 3.4 Cascaded MPT system



Figure 3.3: Propagation of forward and reverse waves across multiple sections of a drill pipe.

From the practical point of view, a drill string consists of many identical drill pipes cascaded together at junctions. Fig. 3.3 represents the cascaded fluid transmission line model of a mud pulse telemetry system represented by Fig. 2.1 in Chapter 2. As shown in Fig. 3.3, at each junction, the diameter of a drill string is lower than subsequent pipe sections due to threads used to connect two pipes together. The pressure wave generated by the MWD signal source travels in both forward and reverse direction. As the wave propagates along the drill string, it gets attenuated. Besides, the discontinuity in the diameter causes reflection of the pressure waves in either direction. Thus, the pressure transducer located along the pipe section receives both transmitted and reflected pressure waves leading to signal dispersion.

Let the drill string consists of K pipe-junction sections.  $P_s$  and  $Q_s$  represent the source pressure and flow rate respectively. At any arbitrary pipe or junction k, let  $P_k$  and  $Q_k$  represent the pressure and flow rate at the input of  $k^{th}$  pipe or junction while  $P'_k$  and  $Q'_k$  denote their corresponding values at the output. We assume the standpipe transducer to be located at the end of  $n^{th}$  junction so that  $P'_{2n-1}$  and  $Q'_{2n-1}$  are the pressure and flow rate values at the pressure transducer.

Using (3.31), the pressure and flow rate at the end of the first pipe section are related to source pressure and flow rate as

$$\begin{bmatrix} P_1' \\ Q_1' \end{bmatrix} = \begin{bmatrix} \cosh(\gamma_p l_p) & -Z_p \sinh(\gamma_p l_p) \\ \frac{-1}{Z_p} \sinh(\gamma_p l_p) & \cosh(\gamma_p l_p) \end{bmatrix} \begin{bmatrix} P_s \\ Q_s \end{bmatrix}.$$
 (3.42)

Similarly, at the end of first junction section, the pressure and flow rates are expressed as

$$\begin{bmatrix} P_2' \\ Q_2' \end{bmatrix} = \begin{bmatrix} \cosh(\gamma_j l_j) & -Z_j \sinh(\gamma_j l_j) \\ \frac{-1}{Z_j} \sinh(\gamma_j l_j) & \cosh(\gamma_j l_j) \end{bmatrix} \begin{bmatrix} P_2 \\ Q_2 \end{bmatrix}.$$
 (3.43)

Now, using the condition of continuity of pressure and flow at junction  $J_1$ , we have,  $P'_1 = P_2$ and  $Q'_1 = Q_2$ .

Using this relation in (3.43) and substituting (3.42), we get

$$\begin{bmatrix} P'_2\\ Q'_2 \end{bmatrix} = \begin{bmatrix} \cosh(\gamma_j l_j) & -Z_j \sinh(\gamma_j l_j) \\ \frac{-1}{Z_j} \sinh(\gamma_j l_j) & \cosh(\gamma_j l_j) \end{bmatrix} \begin{bmatrix} \cosh(\gamma_p l_p) & -Z_p \sinh(\gamma_p l_p) \\ \frac{-1}{Z_p} \sinh(\gamma_p l_p) & \cosh(\gamma_p l_p) \end{bmatrix} \begin{bmatrix} P_s\\ Q_s \end{bmatrix}.$$
(3.44)

Similarly, at the end of Junction  $J_2$ ,  $P'_2 = P_3$  and  $Q'_2 = Q_3$ .

Thus, at the end of the second pipe section, we have

$$\begin{bmatrix} P_3'\\ Q_3' \end{bmatrix} = \begin{bmatrix} \cosh(\gamma_p l_p) & -Z_p \sinh(\gamma_p l_p) \\ \frac{-1}{Z_p} \sinh(\gamma_p l_p) & \cosh(\gamma_p l_p) \end{bmatrix} \begin{bmatrix} P_3\\ Q_3 \end{bmatrix}.$$
 (3.45)

At the end of  $K^{th}$  pipe-junction section where the pressure transducer is located, the resultant pressure and flow rate is related to source pressure and flow rate as

$$\begin{bmatrix} P'_{2n-1} \\ Q'_{2n-1} \end{bmatrix} = \begin{bmatrix} \cosh(\gamma_j l_j) & -Z_j \sinh(\gamma_j l_j) \\ \frac{-1}{Z_j} \sinh(\gamma_j l_j) & \cosh(\gamma_j l_j) \end{bmatrix} \begin{bmatrix} \cosh(\gamma_p l_p) & -Z_p \sinh(\gamma_p l_p) \\ \frac{-1}{Z_p} \sinh(\gamma_p l_p) & \cosh(\gamma_p l_p) \end{bmatrix} \begin{bmatrix} P_s \\ Q_s \end{bmatrix}.$$
(3.46)

Equation (3.46) gives resultant pressure and flow rate at the input of the pressure transducer and hence, can be used to analyse the attenuation behaviour of pressure waves when the junction length are included. As an example, Fig. 3.4 shows the amplitude



Figure 3.4: Attenuation of a 10 Hz pressure wave at various depths with and without including junctions.

of a 100 kpa source pressure wave at 10 Hz attenuated from different depths with and without including junctions in the cascaded drill pipe. The graph is plotted from (3.46) with information from Table 3.1. A total of 1076 pipe-junction sections are considered with outer and inner diameter of junction as 0.10m and 0.07m respectively. The selected number of pipe-junction sections makes the overall depth of the well to be approximately 10000 m. The length of each pipe section is assumed to be 9.144 m while the length of each junction is assumed to be 0.1524 m. The graph shows that when the junctions are included, the pressure waves are slightly more attenuated as compared to the case when junctions are neglected. This is due to the fact that junctions have smaller diameters as compared to pipes and hence offer more attenuation. However, the effect of net attenuation from multiple junctions is very low due to their smaller lengths as compared to that of the pipe sections and slightly lesser diameters as compared to that of the pipe sections and slightly lesser diameters as compared to that of the pipe sections and slightly lesser diameters as compared to that of the pipe sections and slightly lesser diameters as compared to that of the pipe sections and slightly lesser diameters as compared to that of the pipe sections. The scattering matrix analysis of the forward and reverse propagating wave components of the pressure wave in a cascaded drill pipe system is included in Appendix A.

## 3.5 Transfer function of MPT channel based on fluid transmission line

We are now ready to derive the frequency response behaviour of the oscillating pressure waves propagating through a cascaded drill pipe network. Fig. 3.5 shows a fluid transmission line model of a mud pulse telemetry system.  $P_s$  represents a small signal pressure source, which behaves identically to a small signal AC voltage source in an electrical network.  $Q_s$  is the source flow rate corresponding to pressure  $P_s$ . Since the pressure source is located inside the drill pipe, it is assumed that the impedance of the pressure source is matched to the characteristic impedance of the drill pipe.  $P_{s_{in}}$  and  $Q_{s_{in}}$  denote the pressure and flow rate at the input of the first pipe section from the source with input impedance equal to  $Z_{in_1}$ .  $l_p$ and  $l_j$  represent the length of each pipe and junction with characteristic impedance equal to  $Z_p$  and  $Z_j$  respectively. The pressure transducer is located along the drill pipe at distance  $l_m$ from the mud pump.  $P_T$  and  $Q_T$  are the pressure and flow rate at the pressure transducer.



Figure 3.5: Cascaded drill pipe system, including junctions with pressure transducer along the drill pipe.

Since the mud pump is located at z = 0, all the distances are negative.

In order to represent the MPT structure with an equivalent fluid transmission line model, certain assumptions are made. First, it is assumed that the mud pump maintains a constant flow and thus acts as an analogous constant-current source. As such, it is identical to an open circuit in an electrical system. Therefore, the input impedance at the mud pump is assumed to be infinite, i.e.  $Z_L = \infty$ . Next, the signal grounds located at the small signal pressure source  $P_s$  and the mud pump as shown in Fig. 3.5 represent the constant pressure levels at the location of the drill bit and the mud pump. These constant pressure levels are represented by  $P_{bottom}$  and  $P_{top}$  respectively. The two constant pressure levels are related as

$$P_{bottom} = P_{top} + P_{hydrostatic} - P_{frictionalloss}$$
(3.47)

In (3.47),  $P_{top}$  represents the constant pressure generated by the mud pump,  $P_{hydrostatic}$  is the excess pressure at the drill bit region due to the well depth and  $P_{frictionalloss}$  represents the pressure loss due to friction.

The constant pressure levels at the top and bottom region of the cascaded drill pipe system result in a DC offset along with the small signal pressure generated by the modulator valve. The DC offset only shifts the signal level about the zero frequency but does not alter the nature of the transfer function of the mud channel defined as the function of frequencies. Furthermore, the DC offset can be compensated by measuring the constant pressure levels and subtracting them from the received pressure signal level. The nature of the transfer function of the mud channel depends only upon the ratio of the small signal pressure at the transducer to the small signal pressure at the source. Therefore, the constant pressure levels at the top and bottom regions are assumed to be zero in this thesis. Hence, the constant pressure levels are replaced by grounds in Fig. 3.5.

The transfer function of the mud channel is obtained by taking a ratio of the resultant pressure at the pressure transducer to the source pressure, i.e.

$$A_p(\omega) = \frac{P_T(\omega)}{P_s(\omega)},$$

where the argument  $\omega$  represents the dependency of the resultant pressure on the frequency as given in (3.14). This argument is simply omitted in the derivations below as the dependency of the pressure wave on the frequency becomes self evident since pressure wave is shown as a function of the propagation constant. First, in Subsection 3.5.1, we consider the case when length of junction region is neglected. This gives a fairly simple expression of the pressure gain, which is useful to visualize the effect of various parameters on the frequency response of the mud channel. Next, in Subsection 3.5.2, a more general expression of the transfer function is derived by taking into account the length of each junction. Considering the length of junction incorporates the scattering and reflection phenomena of the pressure waves at the junctions and thus gives a realistic scenario of the pressure wave propagation through the cascaded drill pipes.

### 3.5.1 Transfer function of an MPT channel when junctions are neglected

Neglecting the junction length  $l_j$ , the simplified form of Fig. 3.5 is represented by Fig. 3.6 below. Using (3.30), for *n* identical cascades of drill pipe, the pressure and flow rate at the input of the first pipe section from the source are related to the pressure and flow rate values at the pressure transducer as

$$\begin{bmatrix} P_{s_{in}} \\ Q_{s_{in}} \end{bmatrix} = \begin{bmatrix} \cosh(\gamma_p n l_p) & Z_p \sinh(\gamma_p n l_p) \\ \frac{1}{Z_p} \sinh(\gamma_p n l_p) & \cosh(\gamma_p n l_p) \end{bmatrix} \begin{bmatrix} P_T \\ Q_T \end{bmatrix}, \quad (3.48)$$



Figure 3.6: A cascade of n drill pipes neglecting junctions length with pressure transducer along the drill pipe.

where

$$Q_T = \frac{P_T}{Z_{in_T}}.$$
(3.49)

Since mud pump acts as an open circuit, the input impedance at the pressure transducer as seen from the mud pump  $Z_{in_T}$  is given as [47]

$$Z_{in_T} = Z_p \coth(\gamma_p l_m). \tag{3.50}$$

Substituting the value of  $Z_{in_T}$  and solving (3.48), we get

$$P_{s_{in}} = P_T \frac{\cosh\{(nl_p + l_m)\gamma_p\}}{\cosh(\gamma_p l_m)}.$$
(3.51)

The pressure at the input of the cascaded pipes is related to the source pressure  $P_s$  as

$$P_{s_{in}} = P_s \frac{Z_{in}}{Z_{in} + Z_p},$$
(3.52)

where  $Z_{in}$  is the impedance at the input of the cascaded pipes as seen from the mud pump and is given as

$$Z_{in} = Z_p \coth\{\gamma_p(nl_p + l_m)\}.$$
(3.53)

Substituting the values of  $P_{s_{in}}$  from (3.51) and  $Z_{in}$  from (3.53) in (3.52), we get

$$\frac{P_T}{P_s} = \frac{\cosh(\gamma_p l_m)}{\cosh\{\gamma_p (nl_p + l_m)\} + \sinh\{\gamma (nl_p + l_m)\}}.$$
(3.54)

The pressure gain (transfer function) is given as

$$A_p = \frac{P_T}{P_s}.$$

The hyperbolic terms in the denominator of (3.54) can be reduced to get

$$A_p = e^{-\gamma_p (nl_p + l_m)} \cosh(\gamma_p l_m). \tag{3.55}$$

Equivalently,

$$A_p = \frac{e^{-n\gamma_p l_p} + e^{-\gamma_p (n l_p + 2l_m)}}{2}.$$
(3.56)

Equation (3.55) gives an expression of the pressure gain in cascaded drill pipes assuming the length of each junctions as zero.

## 3.5.2 Transfer function of an MPT channel when junctions are included

The effect of reflections on the transfer function of a mud channel becomes apparent by considering the length of junctions. As shown in Fig. 3.5, the pressure and flow rate at the input and output of the first drill pipe can be related in a similar manner using relations from (3.30) as

$$\begin{bmatrix} P_{p_{in}} \\ Q_{p_{in}} \end{bmatrix} = \begin{bmatrix} \cosh(\gamma_p l_p) & Z_p \sinh(\gamma_p l_p) \\ \frac{1}{Z_p} \sinh(\gamma_p l_p) & \cosh(\gamma_p l_p) \end{bmatrix} \begin{bmatrix} P_{p_{out}} \\ Q_{p_{out}} \end{bmatrix}.$$
 (3.57)

Similarly, the pressure and flow rate at the input and output of the first junction segment can be related as

$$\begin{bmatrix} P_{j_{in}} \\ Q_{j_{in}} \end{bmatrix} = \begin{bmatrix} \cosh(\gamma_j l_j) & Z_j \sinh(\gamma_j l_j) \\ \frac{1}{Z_j} \sinh(\gamma_j l_j) & \cosh(\gamma_j l_j) \end{bmatrix} \begin{bmatrix} P_{j_{out}} \\ Q_{j_{out}} \end{bmatrix}.$$
 (3.58)

Since the output of first pipe section acts as an input to the first junction section, for K such pipe-junction sections, the input of the first pipe and the input to the transducer (i.e., output

of the  $k^{th}$  pipe) are simply related by the chain multiplication of the adjacent matrices as

$$\begin{bmatrix} P_{s_{in}} \\ Q_{s_{in}} \end{bmatrix} = \begin{bmatrix} \cosh(\gamma_p l_p) & Z_p \sinh(\gamma_p l_p) \\ \frac{1}{Z_p} \sinh(\gamma_p l_p) & \cosh(\gamma_p l_p) \end{bmatrix} \begin{bmatrix} \cosh(\gamma_j l_j) & Z_j \sinh(\gamma_j l_j) \\ \frac{1}{Z_j} \sinh(\gamma_j l_j) & \cosh(\gamma_j l_j) \end{bmatrix} \begin{bmatrix} k-1 \\ \frac{1}{Z_j} \sinh(\gamma_j l_j) & \cosh(\gamma_j l_j) \end{bmatrix} \\ \times \begin{bmatrix} \cosh(\gamma_p l_p) & Z_p \sinh(\gamma_p l_p) \\ \frac{1}{Z_p} \sinh(\gamma_p l_p) & \cosh(\gamma_p l_p) \end{bmatrix} \begin{bmatrix} P_T \\ Q_T \end{bmatrix},$$
(3.59)

where

$$Q_T = \frac{P_T}{Z_{inT}}.$$
(3.60)

The impedance at the input of  $K^{th}$  pipe section from the mud pump can be written as

$$Z_{in_K} = Z_p \coth\{\gamma_p(l_m + l_p)\}.$$
(3.61)

Similarly, the impedance at the input of  $(K-1)^{th}$  junction from the mud pump is given as [47]

$$Z_{in_{(K-1)}} = Z_j \frac{Z_{in_K} + Z_j \tanh(\gamma_j l_j)}{Z_j + Z_{in_K} \tanh(\gamma_j l_j)}.$$
(3.62)

Thus, at the input of  $1^{st}$  pipe section, the input impedance can be written as

$$Z_{in_1} = Z_p \frac{Z_{in_2} + Z_p \tanh(\gamma_p l_p)}{Z_p + Z_{in_2} \tanh(\gamma_p l_p)}.$$
(3.63)

Now, the pressure at the input of  $1^{st}$  section is related to source pressure  $P_s$  as

$$P_{s_{in}} = P_s \frac{Z_{in_1}}{Z_p + Z_{in_1}} \tag{3.64}$$

or

$$P_s = P_{s_{in}} \frac{Z_p + Z_{in_1}}{Z_{in_1}}.$$
(3.65)

The pressure gain is thus

$$A_p = \frac{P_T}{P_s},\tag{3.66}$$

where the value of  $P_{s_{in}}$  is obtained by substituting (3.60) in (3.59).

Fig. 3.7 shows transfer functions of a mud channel with and without including junc-



Figure 3.7: Transfer function of a cascaded drill pipe system with and without including junctions.

tions. The graph is plotted using (3.55) and (3.66) respectively with the data taken from the Table 3.1. A total of 1076 pipe-junction sections are included. For the plot, each drill pipe is assumed to be 9.144 m long with the outer and inner diameter as 0.1143 m and 0.092 m respectively, whereas each junction is assumed to be 0.1524 m long with the outer and inner diameter as 0.10 m and 0.07 m respectively. The standpipe transducer is located at a distance of 15.24 m from the mud pump [48]. At low frequencies, the effect of junctions on the channel gain is negligible while at higher frequencies, the junctions slightly reduce the channel gain. Also, the transfer function of the mud channel with junctions shows a notch around 74.5 Hz. The notch formation is due to the effect of reflections from multiple junctions.

## 3.6 Effect of the transducer location on mud channel transfer function

The distance of the pressure transducer from the mud pump has an impact on the nature of the frequency response of a mud communication channel. It is evident from (3.54) that the distance  $l_m$  determines the position of minima in the transfer function equation.

From (3.54), we have  $\gamma_p = \alpha_p + j\beta_p$  as the complex propagation constant of the pipe,  $f_p$  and  $\lambda_p$  are the frequency and wavelength of the pressure wave propagating with velocity  $V_p$ . Then, neglecting the junction length, for zeros in the transfer function, letting numerator of (3.54) to zero, we get

$$\beta_p l_m = m \frac{\pi}{2}, \ m = 1, 3, 5, \cdots$$

or

$$f_p = \frac{m \mathcal{V}_p}{4l_m}.$$
(3.67)

The result of (3.67) also holds true when junction lengths are included.

Using the parameters given in Table 3.1 and (3.41), the velocity of pressure wave at the pipe  $V_p$  equals to 1388.6 m/s. Let the distance of the pressure transducer from the mud pump be  $l_m=30.48$  m (100 ft.). Then from (3.67), zeros in transfer function occur at 11.38 Hz, 34.17 Hz, 56.9 Hz and so on as shown in Fig. 3.8(a). Fig. 3.8(b) shows the transfer function of a mud channel when the pressure transducer is placed 152.4 m (500 ft.) from the mud pump. In this case, minima in the transfer function occur at 2.28 Hz, 6.83 Hz, 11.39 Hz and so on. On comparing the frequency response nature of the two plots, it can





(b) Transducer at 152.4 m from mud pump.

Figure 3.8: Transfer function of a cascaded drill pipe system (neglecting junctions) with varying pressure transducer distance from the mud pump.

be inferred that when transducer is located further away from the mud pump, the coherent bandwidth of the mud channel decreases due to the rapid null formations in the transfer function. These nulls cause severe degradation of the transmitted signals. An equalizer with a suitable number of taps is thus required to compensate the distortion caused by such nulls in the mud channel.

## Chapter 4

# Receiver design and performance analysis

This chapter focusses on the receiver design and its performance evaluation in a mud pulse communication system. Various signal processing techniques aiming to reduce the bit error rate at the receiver output have been described. Section 4.1 introduces a mud pulse communication system and sets up various notations describing the transmitter, channel, noise, interferers and receiver. The effect of narrowband interferers from the mud pump on signal degradation has been elaborated in detail in Section 4.2. Section 4.3 presents an adaptive filter capable of selectively cancelling out these narrow-band mud pump interferers. Along with the design of such filter, its performance is analysed. Section 4.4 describes the basics of channel equalization concept. In particular, the theory of Weiner filter and LMS algorithm are summarized. Weiner filter will be used in the later chapter to compensate the effect of signal distortion caused by the mud channel. Since, the adaptive notch filter is designed with a modification to an LMS algorithm, the basics of the LMS algorithm is summarized.

#### 4.1 Structure of a receiver used in an MPT system

Fig. 4.1 shows a base-band structure of a mud pulse communication system.  $I_k$  represents the information symbol transmitted by the borehole electronics. Considering a simple


Figure 4.1: Structure of a mud pulse communication system.

On-Off keying modulation scheme, these information symbols correspond to bits 1 and 0 respectively. Modulator converts the information symbols 1 and 0 into corresponding pressure waves s(t) with amplitudes  $\pm 1$  respectively. Thus, the output of a modulator consists of a train of pulses at a rate  $\frac{1}{T}$  bps, where T represents symbol period. The transmitted pulse at time t has a form

$$s(t) = \sum_{k=0}^{\infty} I_k g(t - kT),$$
 (4.1)

where g(t) represents the pressure pulse shape corresponding to the information sequence  $I_k$ . The transmitted pressure waves propagate through mud as a channel. The impulse response of the mud channel is denoted by  $h_c(t)$ . The output of the channel at time t is thus given as

$$x(t) = \sum_{k=0}^{\infty} I_k v(t - kT) + i(t) + n(t), \qquad (4.2)$$

where

$$v(t) = \int_{-\infty}^{\infty} s(\tau) h_c(t-\tau) d\tau$$
(4.3)

represents the convolution of channel impulse response  $h_c(t)$  and transmitted pressure wave s(t), i(t) represents the narrow-band interference and n(t) is the additive white gaussian noise.

The front end of a receiver unit placed at the surface includes a standpipe transducer, which converts the pressure waves into equivalent voltage signals. A conventional receiver design approach is followed in this section, which assumes an analog adaptive notch filter followed by a matched filter. Thus, the received voltage signal acts as an input to an adaptive notch filter  $h_n(t)$  that cancels out the narrow-band interferers. The output of the notch filter is

$$y(t) = \int_{-\infty}^{\infty} x(\tau) h_n(t-\tau) d\tau.$$
(4.4)

The output of the notch filter is fed to the matched filter  $h_m(t)$  matched to an overall response of pulse shape and channel for a known channel. The received signal at the output of matched filter is

$$r(t) = \sum_{k=0}^{\infty} I_k w(t - kT) + u(t), \qquad (4.5)$$

where

$$w(t) = \int_{-\infty}^{\infty} \tilde{v}(\tau) h_m(\tau - t) d\tau$$
(4.6)

and

$$u(t) = \int_{-\infty}^{\infty} \tilde{n}(\tau) h_m(\tau - t) d\tau.$$
(4.7)

In (4.6) and (4.7),  $\tilde{v}(t)$  and  $\tilde{n}(t)$  represent signal and noise components filtered by the notch filter respectively. It is to be noted that this noise component includes the wideband channel noise and the residues of narrow-band interferers that notch filter fails to remove.

The output r(t) of a matched filter is now sampled at some integral multiples of symbol times  $t = mT + \tau_0$ ,  $m = 0, 1, 2, \cdots$  where  $\tau_0$  denotes the transmission delay through the channel. Thus, the output of the sampler is given as

$$r(mT + \tau_0) = \sum_{k=0}^{\infty} I_k w(mT - kT + \tau_0) + u(mT + \tau_0).$$
(4.8)

If an equalizer is assumed to have response  $c(mT + \tau_0)$  at time  $t=mT + \tau_0$ , the output estimate of desired signal s(t) at time  $mT + \tau_0$  is given as

$$z(mT + \tau_0) = \sum_{n = -\infty}^{\infty} r(nT)c(mT + \tau_0 - nT) + \tilde{u}(mT + \tau_0), \qquad (4.9)$$

where  $\tilde{u}(mT + \tau_0)$  represents filtered noise at the output of the equalizer.

# 4.2 Receiver performance degradation due to mud pump interferers

Interferers generated from the mud pumps severely affect the receiver performance in a mud pulse telemetry system. The interferers are narrow-band and can be represented by sinusoids with phase distributed uniformly between 0 and  $2\pi$ . As described in Chapter 2, the harmonics generated by the mud pump lie at integral multiples of the fundamental frequency. It means that multiple additive interferers may corrupt the signal leading to a high bit error rate at the receiver output. An expression for the bit error rate with interferers derived in this section is adopted from [28], [29] and [30]. The final value of the probability of a bit error is obtained by averaging over all possible random phases of all sinusoids.

# 4.2.1 Bit error rate expression with white noise and mud pump interferers

The net interference i(t) from the mud pumps as shown in Fig. 4.1 can be expressed as

$$i(t) = \sum_{n=1}^{N} a_n \cos(2\pi f_n t + \theta_n),$$
(4.10)

where N is the total number of interferers,  $a_n$  is the  $n^{th}$  interferer amplitude with frequency  $f_n$ ,  $\theta_n$  is the random phase of a  $n^{th}$  interferer and is uniformly distributed between 0 and  $2\pi$ . The averaged interference at the output of a matched filter can be written as

$$\tilde{i}(t) = \frac{1}{T} \int_{0}^{T} i(t) dt$$

or

$$\tilde{i}(t) = \frac{1}{T} \int_{0}^{T} \sum_{n=1}^{N} a_n \cos(2\pi f_n t + \theta_n) dt, \qquad (4.11)$$

where T is the signal time period. In (4.11), it is assumed that the matched filter consists of a square pulse matched to the signal pulse shape. Thus, the received signal sample at the output of the matched filter at a sampling instant t = mT can be written as

$$r(mT) = S + u(mT) + \tilde{i}(mT), \qquad (4.12)$$

where S is the signal amplitude equal to  $\pm 1$  and u(mT) is the white noise sample at the matched filter output with one sided power spectral density equal to  $N_o$ . The probability density function (PDF) of the received signal is gaussian distributed with its mean equal to  $\pm S + \tilde{i}(kT)$  and variance equal to  $\sigma^2 = \frac{N_o}{2T}$ .

Let us assume the transmitter transmits symbol 1 corresponding to the signal amplitude +S. In this case, the conditional PDF of the received signal for a given  $\theta_n$  can be written as

$$f_{r|\theta_n}(r) = \frac{1}{\sqrt{2\pi\sigma}} exp\left[\frac{-1}{2\sigma^2} \left\{r - (S + \tilde{i}(mT))\right\}^2\right].$$
 (4.13)

For a given  $\theta_n$ , the conditional probability of error is given as

$$P_{e1|\theta_n} = P(r < 0)$$

or

$$P_{e1|\theta_n} = \int_{-\infty}^{0} f_r(r) dr$$

or

$$P_{e1|\theta_n} = \frac{1}{2} erfc \left[ \frac{\left\{ S + \tilde{i}(mT) \right\} \sqrt{T}}{\sqrt{N_o}} \right].$$
(4.14)

As  $\theta_n$  are independent and uniformly distributed between 0 and  $2\pi$ , the total unconditional probability is the average of all  $\theta_n$  over 0 to  $2\pi$ . Therefore,

$$P_{e1} = \frac{1}{(2\pi)^N} \int_{0}^{2\pi} \cdots \int_{0}^{2\pi} P_{e1|\theta} d\theta_1 \cdots d\theta_N.$$
(4.15)

When the transmitter transmits symbol 0 corresponding to signal amplitude -S, same form

of (4.14) and (4.15) are obtained as symbols 1 and 0 are equally likely. Thus,

$$P_{e0|\theta_n} = \frac{1}{2} erfc \left[ \frac{\left\{ S + \tilde{i}(mT) \right\} \sqrt{T}}{\sqrt{N_o}} \right], \qquad (4.16)$$

$$P_{e0} = \frac{1}{(2\pi)^N} \int_{0}^{2\pi} \cdots \int_{0}^{2\pi} P_{e0|\theta} d\theta_1 \cdots d\theta_N.$$
(4.17)

The total error probability is then equal to

$$P_e = P(0)P_{e0} + P(1)P_{e1}, (4.18)$$

where  $P(0) = P(1) = \frac{1}{2}$ .



Figure 4.2: Bit error rate curve with a single narrowband interferer.

Fig. 4.2 shows theoretical and simulated bit error rate vs bit energy per noise power spectral density (EbNo) curve for a single narrow-band sinusoid interferer. The theoretical expression is plotted using (4.18). The signal is assumed to be a base-band square pulse of amplitude 1 volt and frequency 10 Hz, whereas the interferer is a random phased sinusoid of amplitude 0.7 volt with the frequency of 5.25 Hz.

It is important to note the effect of the matched filter and interferer time period on the bit error rate degradation. Since the matched filter output is sampled at every signal duration, as shown by (4.11), the averaged interference sample at the output of the matched filter becomes zero when the signal time period is equal to the integral multiple of the interferer time period. In this case, there is no bit error degradation due to the interferer even if the interferer is actually present. As an example, we consider a simple scenario when only one interferer is present, i.e. N=1. For this case, the total error probability can be written as

$$P_e = \frac{1}{2\pi} \int_{0}^{2\pi} \left[ \frac{1}{2} erfc \left\{ \frac{\left(S + \tilde{i}(mT)\right)\sqrt{T}}{\sqrt{N_o}} \right\} \right] d\theta_1.$$
(4.19)

Let the signal frequency be f Hz and interferer frequency be equal to  $f \times l$  Hz, l = 1, 2, ...For this case, from (4.11), we have

$$\tilde{i}(mT) = 0. \tag{4.20}$$

Therefore, the bit error rate becomes

$$P_e = \frac{1}{2\pi} \int_{0}^{2\pi} \left[ \frac{1}{2} erfc \left\{ \sqrt{\frac{S^2T}{N_o}} \right\} \right] d\theta_1$$

or

$$P_e = \frac{1}{2} erfc \left\{ \sqrt{\frac{S^2 T}{N_o}} \right\}.$$
(4.21)

Equation (4.21) is the bit error rate expression for the signal corrupted with an additive white gaussian noise only. On the other hand, when the signal time period is not equal to integral multiple of the interferer time period, then the bit error rate expression can be evaluated from (4.19).

Fig. 4.3 shows plots of above two cases. The signal frequency, f = 10 Hz. For the first case, the interferer frequency is 10 Hz while for the second case the interferer frequency is 5.25 Hz. Both the signal and interferer have amplitude equal to 1.



(a) signal and interferer at 10 Hz
(b) signal at 10 Hz and interferer at 5.25 Hz
Figure 4.3: Bit error rate vs EbNo plots at various interferer frequencies.

# 4.3 Cancellation of the narrow-band mud pump interferers

An adaptive filter to cancel out narrow-band mud pump interferers is now presented. The design of the interference cancellation filter described in this section is based on [23], [24] and [25]. The operation of the adaptive line enhancer circuit used in cellular communication is modified such that the filter taps are now adjusted to suppress one or more number of narrow-band interferers by forming notches in the interferers frequency band. Such a filter is useful only when the signal is wide-band and the interferers are narrow-band as is the case of a high data rate discrete mud pulse telemetry system, where signal is wide-band compared to the mud pump interferers. Also, since the filter operates by correlating the received signal with the delayed version of itself, no additional training data sequence is required as in the ordinary least mean square algorithm. Although signal degradation occurs due to the notch formation in the signal frequency band, the effect of notches can be compensated by an equalizer that follows the sampler.

We first begin with the design of an adaptive notch filter to cancel out narrow-band mud pump interferers in Subsection 4.3.1. Then in Subsection 4.3.2, it will be shown that the performance of an adaptive filter increases with the increase in interferer power. Thus, an adaptive notch filter is advantageous in cancelling out powerful mud pump interferers.



#### 4.3.1 Design of an adaptive notch filter

Figure 4.4: An adaptive notch filter for cancelling out narrow-band interferers.

The received signal at the output of mud channel as given in (4.2) can be written as

$$x(t) = s(t) + i(t) + n(t), (4.22)$$

where the channel impulse response,  $h_c(t) = \delta(t)$  is taken for convenience and i(t) is the net interference as given in (4.10). Let  $\sigma_d^2$  denotes power of a desired signal s(t),  $\sigma_{i_n}^2 = \frac{a_n^2}{2}$  represents the power of the  $n^{th}$  narrow-band interferer and  $\sigma_w^2$  is the variance of the white noise.

Fig. 4.4 shows the structure of an adaptive notch filter. The objective is to design a filter such that the narrow-band interferers are suppressed at the output, i.e. the filter places notches at the interferers frequency band. This is possible by sufficiently delaying the received signal x(t) by an amount  $\Delta$  so that the delayed signal samples of  $s(t + \Delta)$  and the original signal samples of s(t) are approximately uncorrelated. Thus, the signal and noise samples being wide-band are uncorrelated at the different filter taps, whereas the interferers being narrow-band consist of correlated samples. The present sample of the interferers can be predicted from their past samples, which when subtracted from the received input gives an error output comprising of the desired signal and wide-band noise ideally. The error is then used to adjust the filter weights such that the resulting mean-square error is minimized. The received signal at sampling instant t = mT is given as

$$x(mT) = s(mT) + \sum_{n=1}^{N} a_n \cos(\omega_n mT + \theta_n) + n(mT).$$
 (4.23)

The Wiener-Hopf solution for the optimum tap weights for the notch filter can be written as

$$\sum_{k=0}^{L-1} r_{xx}(l-k)w_{opt}(k) = r_{xx}(l+\Delta), \quad l = 0, 1, \dots L - 1.$$
(4.24)

In (4.24),  $r_{xx}(l-k)$  denotes the auto-correlation of the received signal x(mT),  $r_{xx}(l+\Delta)$  denotes the cross-correlation of the received signal x(mT) with its own delayed version  $x(mT + \Delta)$  and  $w_{opt}(k)$  represents the optimum tap weight. The auto-correlation function of the received signal for a lag l can be written as

$$r_{xx}(l) = (\sigma_d^2 + \sigma_w^2)\delta(l) + \sum_{n=1}^N \sigma_{i_n}^2 \cos(l\omega_n).$$
 (4.25)

The values of the optimum tap weights can be obtained by the method of undetermined coefficients [23],[24],[25]. In this method, for the sinusoidal narrow-band signals in a wideband signal, the solution of  $w_{opt}(k)$  is assumed in terms of unknown constants, which when substituted in (4.24) give a set of equations for the unknown constants. The solution of the tap weights for N input sinusoidal interferers can be written as [23]

$$w_{opt}(k) = \sum_{n=1}^{N} A_n (e^{jk\omega_n} + e^{-jk\omega_n}),$$
 (4.26)

where  $A_n$  are the unknown coefficients to be determined.

Equation (4.26) is the general solution of optimum filter taps for N sinusoidal interferers. For the convenience of computational simplicity, we derive the optimum filter taps of the notch filter for N = 1. In this case,

$$w_{opt}(k) = A_1(e^{jk\omega_1} + e^{-jk\omega_1}).$$
(4.27)

For the solution of unknown  $A_1$ , substituting (4.25) and (4.27) in  $l^{th}$  equation of (4.24), we get

$$\sum_{k=0}^{L-1} \left\{ (\sigma_d^2 + \sigma_w^2) \delta(l-k) + \sigma_i^2 \cos(l-k)\omega_1 \right\} A_1(e^{jk\omega_1} + e^{-jk\omega_1})$$
  
=  $(\sigma_d^2 + \sigma_w^2) \delta(l+\Delta) + \sigma_i^2 \cos(l+\Delta)\omega_1,$  (4.28)

where  $\sigma_i^2 = \sigma_{i_1}^2 = \frac{a_1^2}{2}$  is the power of a single interferer. Further simplification of (4.28) yields

$$\begin{split} \sum_{k=0}^{L-1} A_1(\sigma_d^2 + \sigma_w^2) e^{jk\omega_1} \delta(l-k) + \sum_{k=0}^{L-1} A_1(\sigma_d^2 + \sigma_w^2) e^{-jk\omega_1} \delta(l-k) + \sum_{k=0}^{L-1} A_1\sigma_i^2 \cos(l-k)\omega_1 e^{jk\omega_1} + \\ \sum_{k=0}^{L-1} A_1\sigma_i^2 \cos(l-k)\omega_1 e^{-jk\omega_1} = (\sigma_d^2 + \sigma_w^2) \delta(l+\Delta) + \sigma_i^2 \cos(l+\Delta)\omega_1 e^{jk\omega_1} + \\ \sum_{k=0}^{L-1} A_1\sigma_i^2 \cos(l-k)\omega_1 e^{-jk\omega_1} = (\sigma_d^2 + \sigma_w^2) \delta(l+\Delta) + \sigma_i^2 \cos(l+\Delta)\omega_1 e^{jk\omega_1} + \\ \sum_{k=0}^{L-1} A_1\sigma_i^2 \cos(l-k)\omega_1 e^{-jk\omega_1} = (\sigma_d^2 + \sigma_w^2) \delta(l+\Delta) + \sigma_i^2 \cos(l+\Delta)\omega_1 e^{jk\omega_1} + \\ \sum_{k=0}^{L-1} A_1\sigma_i^2 \cos(l-k)\omega_1 e^{-jk\omega_1} = (\sigma_d^2 + \sigma_w^2) \delta(l+\Delta) + \sigma_i^2 \cos(l+\Delta)\omega_1 e^{jk\omega_1} + \\ \sum_{k=0}^{L-1} A_1\sigma_i^2 \cos(l-k)\omega_1 e^{-jk\omega_1} = (\sigma_d^2 + \sigma_w^2) \delta(l+\Delta) + \sigma_i^2 \cos(l+\Delta)\omega_1 e^{jk\omega_1} + \\ \sum_{k=0}^{L-1} A_1\sigma_i^2 \cos(l-k)\omega_1 e^{-jk\omega_1} = (\sigma_d^2 + \sigma_w^2) \delta(l+\Delta) + \sigma_i^2 \cos(l+\Delta)\omega_1 e^{jk\omega_1} + \\ \sum_{k=0}^{L-1} A_1\sigma_i^2 \cos(l-k)\omega_1 e^{-jk\omega_1} = (\sigma_d^2 + \sigma_w^2) \delta(l+\Delta) + \sigma_i^2 \cos(l+\Delta)\omega_1 e^{jk\omega_1} + \\ \sum_{k=0}^{L-1} A_1\sigma_i^2 \cos(l-k)\omega_1 e^{-jk\omega_1} = (\sigma_d^2 + \sigma_w^2) \delta(l+\Delta) + \sigma_i^2 \cos(l+\Delta)\omega_1 e^{jk\omega_1} + \\ \sum_{k=0}^{L-1} A_1\sigma_i^2 \cos(l-k)\omega_1 e^{-jk\omega_1} = (\sigma_d^2 + \sigma_w^2) \delta(l+\Delta) + \sigma_i^2 \cos(l+\Delta)\omega_1 e^{jk\omega_1} + \\ \sum_{k=0}^{L-1} A_1\sigma_i^2 \cos(l-k)\omega_1 e^{-jk\omega_1} = (\sigma_d^2 + \sigma_w^2) \delta(l+\Delta) + \sigma_i^2 \cos(l+\Delta)\omega_1 e^{jk\omega_1} + \\ \sum_{k=0}^{L-1} A_1\sigma_i^2 \cos(l-k)\omega_1 e^{-jk\omega_1} = (\sigma_d^2 + \sigma_w^2) \delta(l+\Delta) + \\ \sum_{k=0}^{L-1} A_1\sigma_i^2 \cos(l-k)\omega_1 e^{-jk\omega_1} + \\ \sum_{k=0}^{L-1} A_1\sigma_i^2 \cos(l-k)\omega_1 e^{-jk\omega_1} = (\sigma_d^2 + \sigma_w^2) \delta(l+\Delta) + \\ \sum_{k=0}^{L-1} A_1\sigma_i^2 \cos(l-k)\omega_1 e^{-jk\omega_1} + \\ \sum_{k=0}^{L-1} A_1\sigma_i^2 \cos(l-k)\omega_1 e^{-j\omega_1} + \\ \sum_{k=0}^{L-1} A_1\sigma_i^2 \cos(l-k)\omega_1 + \\ \sum_{k=0}$$

or

$$A_{1}(\sigma_{d}^{2} + \sigma_{w}^{2})e^{jl\omega_{1}} + A_{1}(\sigma_{d}^{2} + \sigma_{w}^{2})e^{-jl\omega_{1}} + \frac{A_{1}\sigma_{i}^{2}}{2} \left[\sum_{k=0}^{L-1} \left\{ e^{j(l-k)\omega_{1}} + e^{-j(l-k)\omega_{1}} \right\} e^{jk\omega_{1}} \right] + \frac{A_{1}\sigma_{i}^{2}}{2} \left[ \sum_{k=0}^{L-1} \left\{ e^{j(l-k)\omega_{1}} + e^{-j(l-k)\omega_{1}} \right\} e^{-jk\omega_{1}} \right] = (\sigma_{d}^{2} + \sigma_{w}^{2})\delta(l+\Delta) + \frac{\sigma_{i}^{2}}{2} \left[ e^{j(l+\Delta)\omega_{1}} + e^{-j(l+\Delta)\omega_{1}} \right].$$

$$(4.29)$$

Comparing coefficients of  $e^{jl\omega_1}$  (or  $e^{-jl\omega_1}$ ) on both sides of (4.29) gives

$$A_1(\sigma_d^2 + \sigma_w^2) + \frac{A_1\sigma_i^2 L}{2} + \frac{A_1\sigma_i^2}{2} \sum_{k=0}^{L-1} e^{-2jk\omega_1} = \frac{\sigma_i^2}{2} e^{j\Delta\omega_1}$$
(4.30)

or

$$A_{1} = \frac{\sigma_{i}^{2} e^{j\Delta\omega_{1}}}{2(\sigma_{d}^{2} + \sigma_{w}^{2}) + \sigma_{i}^{2}L + \sigma_{i}^{2} \sum_{k=0}^{L-1} e^{-2jk\omega_{1}}}.$$
(4.31)

Equivalently,

$$A_{1} = \frac{\sigma_{i}^{2} e^{j\Delta\omega_{1}}}{2(\sigma_{d}^{2} + \sigma_{w}^{2}) + \sigma_{i}^{2}L + \sigma_{i}^{2} \frac{\sin(L\omega_{1})}{\sin(\omega_{1})} e^{-j(L-1)\omega_{1}}}.$$
(4.32)

The estimate of the spectrum of the interferers, which is given by the transfer function of

adaptive filter is then given as

$$H(\omega) = \sum_{k=0}^{L-1} w_{opt}(k) e^{-j\omega k}$$

or

$$H(\omega) = \sum_{k=0}^{L-1} A_1 \left[ e^{jk\omega_1} + e^{-jk\omega_1} \right] e^{-j\omega k}.$$
 (4.33)

Substituting the value of  $A_1$  in (4.33) we get

$$H(\omega) = \frac{\sigma_i^2 e^{j\Delta\omega_1 \left[\sum_{k=0}^{L-1} e^{j(\omega_1 - \omega)k} + \sum_{k=0}^{L-1} e^{-j(\omega_1 + \omega)k}\right]}}{2(\sigma_d^2 + \sigma_w^2) + \sigma_i^2 L + \sigma_i^2 \frac{\sin(L\omega_1)}{\sin(\omega_1)} e^{-j(L-1)\omega_1}}$$

or

$$H(\omega) = \frac{\sigma_i^2 e^{j\Delta\omega_1 \left[\frac{\sin\left\{(\omega_1 - \omega)\frac{L}{2}\right\}}{\sin\left\{(\omega_1 - \omega)\frac{1}{2}\right\}} e^{\frac{j}{2}(\omega_1 - \omega)(L-1)} + \frac{\sin\left\{(\omega_1 + \omega)\frac{L}{2}\right\}}{\sin\left\{(\omega_1 + \omega)\frac{1}{2}\right\}} e^{\frac{-j}{2}(\omega_1 + \omega)(L-1)}}{2(\sigma_d^2 + \sigma_w^2) + \sigma_i^2 L + \sigma_i^2 \frac{\sin(L\omega_1)}{\sin(\omega_1)} e^{-j(L-1)\omega_1}}.$$
(4.34)

As shown in Fig. 4.4, the estimate of the interferers is now subtracted from the received signal resulting in the estimate of the desired signal and the wide-band noise. Once the estimate of interferers is known, the frequency response of a notch filter  $H_{sf}(\omega)$  can be obtained as

$$H_{sf}(\omega) = 1 - H^*(\omega), \qquad (4.35)$$

where  $H^*(\omega)$  denotes complex conjugate of  $H(\omega)$ .

As an example plot, Fig. 4.5 shows the spectrum of a single sinusoid at 5.25 Hz (32.96 rad/sec) and response of an adaptive notch filter forming a notch at the frequency of an interfering sinusoid. The plot is generated using (4.34) and (4.35), where the signal, interference and noise are assumed to have unit power each. The received signal is delayed by the time equal to twice the signal duration to ensure that the desired signal at each filter taps are approximately uncorrelated. The total number of filter taps for this plot is 101.



Figure 4.5: Frequency response of a notch filter for a single interferer at 32.96 rad/sec.

### 4.3.2 Performance of an adaptive notch filter

The minimum mean-square error at the output of a notch filter shown in Fig. 4.1 at a time t = mT is given as [25]

$$E[y_m^2]_{min} = r_{xx}(0) - \sum_{k=1}^L r_{xx}(k) w_{opt}(k), \qquad (4.36)$$

where y(mT) is denoted by  $y_m$  for simplicity. Substituting the values of  $r_{xx}(0)$ ,  $r_{xx}(k)$  and  $w_{opt}(k)$  from (4.25) and (4.27) in (4.36) for a single interferer, we get

$$E[y_m^2]_{min} = \sigma_d^2 + \sigma_w^2 + \sigma_i^2 - \sum_{k=1}^{L} \left[ (\sigma_d^2 + \sigma_w^2) \delta(k) + \sigma_i^2 \cos(k\omega_1) \right] A_1(e^{j\omega_1 k} + e^{-j\omega_1 k}), \quad (4.37)$$

where the value of  $A_1$  is obtained from (4.32). The minimum mean-square error at the output of a notch filter represents the power of the signal and noise. Thus, (4.36) can also

be written as

$$E[y_m^2]_{min} = \sigma_d^2 + E[n_m^2], \tag{4.38}$$

where  $E[n_m^2]$  represents the noise power of a sample at the output of a notch filter which is the combined power of the wide-band channel noise and the residual interferers. Substituting (4.38) in (4.37) and using relation  $\delta(k) = 0$ ,  $k \neq 0$ , we get

$$E[n_m^2] = \sigma_i^2 + \sigma_w^2 - 2\sigma_i^2 A_1 \sum_{k=1}^L \cos^2(k\omega_1)$$
  
=  $\sigma_i^2 + \sigma_w^2 - \frac{A_1 \sigma_i^2}{2} \left[ 2L + \sum_{k=1}^L e^{2jk\omega_1} + \sum_{k=1}^L e^{-2jk\omega_1} \right]$   
=  $\sigma_i^2 + \sigma_w^2 - \frac{A_1 \sigma_i^2}{2} \left[ 2L - 1 + \frac{\sin\{(2L+1)\omega_1\}}{\sin(\omega_1)} \right].$  (4.39)

Now, the improvement in SINR due to the notch filter can be shown by considering SINR at the input and output of a notch filter. The SINR at the input of a notch filter is given as

$$SINR_{in} = \frac{\sigma_d^2}{\sigma_i^2 + \sigma_w^2}.$$
(4.40)

The SINR at the output of a notch filter is given as

$$SINR_{out} = \frac{\sigma_d^2}{E[n_m^2]}.$$
(4.41)

The improvement in the SINR is then given as

$$\gamma = \frac{SINR_{out}}{SINR_{in}} = \frac{\sigma_i^2 + \sigma_w^2}{\sigma_i^2 + \sigma_w^2 - \frac{A_1\sigma_i^2}{2} \left[2L - 1 + \frac{\sin\{(2L+1)\omega_1\}}{\sin(\omega_1)}\right]}.$$
(4.42)

Substituting the magnitude of  $A_1$  from (4.32) in (4.42), we get

$$\gamma = \frac{\sigma_i^2 + \sigma_w^2}{\sigma_i^2 + \sigma_w^2 - \frac{\sigma_i^4}{4(\sigma_d^2 + \sigma_w^2) + 2\sigma_i^2 L + 2\sigma_i^2 \frac{\sin(L\omega_1)}{\sin(\omega_1)} e^{-j(L-1)\omega_1}} \left[2L - 1 + \frac{\sin\{(2L+1)\omega_1\}}{\sin(\omega_1)}\right]}.$$
(4.43)

To better understand the effect of the interferer to signal power ratio in the performance improvement, lets assume  $\sigma_w^2 = 0$ . Then (4.43) becomes

$$\gamma = \frac{1}{1 - \frac{1}{\frac{4}{J} + 2L + 2\frac{\sin(L\omega_1)}{\sin(\omega_1)}e^{-j(L-1)\omega_1}} \left[2L - 1 + \frac{\sin\{(2L+1)\omega_1\}}{\sin(\omega_1)}\right]}.$$
(4.44)

where,  $J = \frac{\sigma_i^2}{\sigma_d^2}$  is the ratio of interferer power to the signal power. Equation (4.44) shows that, higher the interference-to-signal power ratio, higher is the performance improvement with the use of a notch filter.



Figure 4.6: Performance improvement by a notch filter with different interferer to signal power ratios.

Fig. 4.6 shows the plot of (4.44), where the frequency of an interferer is set to 5.25 Hz. The total number of filter taps, L is 101. It can be seen from the plot that as the ratio of the interferer to signal power, J increases, the improvement in the performance,  $\gamma$  increases. Thus, a notch filter is suitable for cancelling out the narrow-band interferers from the mud pump, where the sinusoidal interferers have higher power compared to the desired wide-band signal.

## 4.4 Channel Equalization

Channel equalization is the process of compensating the effect of the channel distortion. An equalizer is used as a linear filter to minimize the effect of ISI at the output of the receiver. A much simpler approach of obtaining no ISI condition at the output of a receiver is by modelling an equalizer as an inverse of the channel impulse response. This is the principle used in zero-forcing equalizers. However, the major drawback of this approach is the severe noise enhancement. Since the mud channel impulse response exhibits nulls and higher attenuation at upper frequencies, simply inverting the channel response tends to supply high gain at such frequency regions leading to noise enhancement. Thus, there is always a compromise between the noise enhancement and channel equalization. A good balance between these two parameters can be achieved in minimum mean-square error equalizers (MMSE). In these equalizers, the mean-square error between the desired response and the filter output is taken as the cost function and an attempt is made to minimize this error while taking care of noise exaggeration problem.

MMSE equalizers are commonly implemented as Weiner filters. The theory of Weiner filter is summarized in Subsection 4.4.1. An optimum solution of Weiner filter taps can also be obtained from LMS algorithm. Basic steps for LMS algorithm are briefed in Subsection 4.4.2.





Figure 4.7: Weiner filter as a tapped-delay line.

According to the Wiener filter theory, a linear filter can be assumed as a discrete time

tapped-delay line [44]. The output of the filter as shown in (4.9) at any discrete time k is z(k), where the notation k is used hereafter to represent the sampled signal at time kT. The delay  $\tau_0$  is simply omitted for simplicity. This output acts as an estimate of the desired response. The estimation error at time k, e(k), is defined as the difference between the desired response s(k) and the estimate of the desired response z(k). This error is inevitable as both the input to the filter and the desired response are taken as a single realization of a wide sense stationary random process. The requirement of the Weiner filter is to find the optimum filter weights such that mean-square value of e(k) is minimum.

As shown in Fig. 4.7, for a filter of order M, let  $\mathbf{r}(k)$  represents an  $M \times 1$  tap input vector which is the vector of discrete samples at the input of an equalizer as given in Fig. 4.1. Then, the matrix  $E[\mathbf{r}^T(k)\mathbf{r}(k)]$  denotes the auto-correlation matrix between the tap input vector to the filter. Denoting the auto-correlation matrix by  $\mathbf{R}$ , the  $M \times M$  matrix has the following structure

$$\mathbf{R} = \begin{bmatrix} E[r^{2}(k)] & E[r(k)r(k-1)] & \dots & E[r(k)r(k-M+1)] \\ E[r(k-1)r(k)] & E[r^{2}(k-1)] & \dots & E[r(k-1)r(k-M+1)] \\ \dots & \dots & \dots & \dots \\ E[r(k-M+1)r(k)] & E[r(k-M+1)r(k-1)] & \dots & E[r^{2}(k-M+1)] \\ \end{bmatrix}.$$
(4.45)

For a real zero mean wide stationary random process, the auto-correlation matrix is a symmetric Toeplitz matrix. The wide sense stationary nature of random process also implies that the auto-correlation matrix is an even function independent of the time shift. The  $n^{th}$  entry of a matrix **R** is given as

$$a_{rr}(n) = E[r(k)r(k+n)]$$

and

$$a_{rr}(-n) = a_{rr}(n).$$

Thus, the matrix  $\mathbf{R}$  can be written in an expanded form as

$$\mathbf{R} = \begin{bmatrix} a_{rr}(0) & a_{rr}(1) & \dots & a_{rr}(M-1) \\ a_{rr}(1) & a_{rr}(0) & \dots & a_{rr}(M-2) \\ \dots & \dots & \dots & \dots \\ a_{rr}(M-1) & a_{rr}(M-2) & \dots & a_{rr}(0) \end{bmatrix}.$$
 (4.46)

Similarly, the vector  $E[\mathbf{r}(k)s(k)]$  denotes the cross-correlation between the tap input vector and the desired response. Denoting the cross-correlation vector by  $\mathbf{P}$ , the  $M \times 1$  vector has following structure

$$\mathbf{P} = \begin{bmatrix} E[r(k)s(k)] \\ E[r(k-1)s(k)] \\ \dots \\ E[r(k-M+1)s(k)] \end{bmatrix}.$$
(4.47)

The cross-correlation vector is also independent of time and thus can also be written in the form

$$\mathbf{P} = \begin{bmatrix} p(0) \\ p(1) \\ \dots \\ p(M-1) \end{bmatrix}.$$
(4.48)

Now, for a given value of  $\mathbf{R}$  and  $\mathbf{P}$  the Weiner filter problem is to find the optimum values of tap vector  $\mathbf{c}$  such that the mean square value of the error is minimum. The optimum value of the tap vector is given by Weiner-Hopf equation [44] as

$$\mathbf{c}_{opt} = \mathbf{R}^{-1} \mathbf{P}.\tag{4.49}$$

#### 4.4.2 LMS algorithm

The implementation of the Weiner-Hopf equation to determine the optimum filter weights requires the knowledge of the auto-correlation matrix  $\mathbf{R}$  and the cross-correlation vector  $\mathbf{P}$ . Furthermore, the optimum solution exists only if the matrix  $\mathbf{R}$  is non singular.

Another method of determining the optimum filter weights without explicitly computing  $\mathbf{R}$  and  $\mathbf{P}$  is by the method of steepest gradient algorithm. An stochastic gradient algorithm assumes that the optimal solution to the weight vector exists. Since the mean-square error given by the Weiner filter is quadratic in nature, the error performance has a unique minimum and hence, the optimum weight vector exists.

LMS algorithm is an example of an stochastic gradient algorithm. The algorithm is iterative in nature, i.e. it starts from any arbitrary value of a tap weight vector and with each iteration, the weights are updated. The final weight vector converges to the Weiner-Hopf solution given by (4.49). The LMS algorithm can be summarized as follows [44].

1. Start with an arbitrary value  $\mathbf{c}(n-1)$  of the tap weight vector  $\mathbf{c}$  of size  $M \times 1$  at time t = n - 1. This arbitrary vector serves as an initial guess of the optimum tap weights.

**2.** Form an input vector of equalizer input  $\mathbf{r}(n)$ , n = 1, 2, ..., N of size  $M \times 1$ , where N is the total number of signal samples input to an equalizer.

**3.** For each sample of the equalizer input r(n), the equalizer output at time n is computed as

$$z(n) = \mathbf{c}^T(n-1)\mathbf{r}(n)$$

. 4. The error e(n) is then given as

$$e(n) = s(n) - z(n)$$

where, s(n) is the desired signal sample at the time instant n.

5. The tap coefficients of the equalizer at the instant n are updated as

$$\mathbf{c}(n) = \mathbf{c}(n-1) + \Delta \mathbf{r}(n)e(n)$$

, where  $\Delta$  is the step size of the LMS algorithm. It controls the incremental correction of the weight vector and plays an important role in the speed of convergence of the LMS algorithm [44]. Generally, a small value of  $\Delta$  gives a lower minimum mean-square error. This however comes at the cost of the increased run time of the algorithm. The value of  $\Delta$  is typically selected as  $\left[46\right]$ 

$$0 < \Delta < \frac{1}{10MP_x},\tag{4.50}$$

where M is the size of adaptive filter and  $P_x$  is the power of input signal.

**6.** Go to step 2 and terminate when n = N.

When the tap coefficients attain the optimum values,  $\mathbf{c}_{opt}$  obtained from the LMS algorithm converges to the optimum values of the tap coefficients obtained from the Weiner-Hopf solution.

# Chapter 5

## Simulation results

This chapter provides simulation results to verify the theories developed in the preceding chapters. Base-band discrete pressure pulses at 10 bps and 20 bps are considered for the simulations. Section 5.1 tabulates mud, drill pipe and mud pump parameters that are used in the simulations. The presented data are chosen to match closely with the actual parameters used in the industry. Section 5.2 presents the attenuation behaviour of the mud pulse at different data rates based on the fluid transmission line model. In Section 5.3, the mud channel transfer function obtained from the fluid transmission line is verified with an equivalent electrical transmission line circuit in ADS and the corresponding impulse response functions are plotted. Finally, in Section 5.4, the designed receiver is tested to equalize the mud channel and cancel out the narrow-band interferers. The performance of the equalizer and notch filter are measured in terms of bit error rates and packet error rates.

#### 5.1 Simulation parameters

As described in the preceding chapters, the attenuation characteristics and the transfer function of the mud channel derived from the fluid transmission line depend on the properties of the mud, physical dimension of the drill pipe and junction as well as on the distance of pressure transducer from the mud pump. The interference on the other hand being originated from the mud pump depend on the characteristics of the mud pump used. Thus, information regarding the properties of the mud, the dimension of drill pipe and junction, the characteristics of the mud pump used and the distance of pressure sensor from the mud pump are given next.

For the simulations, water-based mud from [17] is considered. The various properties of the mud along with their SI units are listed in Table 5.1.

Mud properties	Values
Density( $\rho$ ), kgm <sup>-3</sup>	1066.5
Dynamic viscosity( $\eta$ ), kgm <sup>-1</sup> s <sup>-1</sup>	$1.9 \times 10^{-2}$
Kinematic viscosity( $v$ ), m <sup>2</sup> s <sup>-1</sup>	$1.78 \times 10^{-5}$
Bulk modulus(B), Pa	$2.39 \times 10^9$

Table 5.1: Drilling mud parameters.

A frequently used IEU S135 drill pipe is considered for the simulations. The drill pipe is made up of 42CrMo material. The details of the drill pipe as described in [51] and [52] are given in Table 5.2. With the available data, the geometry of the drill pipe including the

Drill pipe and junction properties	Values
Youngs $modulus(E)$ , Pa	$2.07 \times 10^{11}$
Poisson ratio $(\lambda)$	0.29
Length of each pipe section $(l_p)$ , m	9.144
Pipe outer diameter $(a_p)$ , m	0.254
Pipe inner diameter $(b_p)$ , m	0.2172
Length of each junction section $(l_j)$ , m	0.1524
Junction outer diameter $(a_j)$ , m	0.336
Junction inner diameter $(b_j)$ , m	0.181

Table 5.2: Drill pipe and junction parameters.

transition area and junction is shown in Fig. 5.1. The pressure transducer is considered to



Figure 5.1: Geometry of the drill pipe with junction.

be located at a distance of 15.24 m from the mud pump [48].

National Oilwell Varco's 14-P-220 triplex mud pump described in Chapter 2 is considered for the simulation. It has a maximum rated input of 2200 HP at 105 strokes per minute and is capable of maintaining maximum volumetric flow rate of 1215 gpm. The specifications of the mud pump from [61] are summarized in Table 5.3.

Mud pump specifications	Values
Number of pistons	3
Pump action	1
Stroke rate (strokes/min)	105

Table 5.3: A 14-P-220 triplex mud pump parameters.

# 5.2 Attenuation of the pressure pulses at different data rates

Using the data from Table 5.1 and Table 5.2, the values of R, L, C and  $\alpha$  for the pipe and junction sections are obtained using (3.3),(3.5),(3.9) and (3.36) respectively, as given in Chapter 3. Then, (3.46) is applied to obtain the amplitude of the attenuated pressure pulses received by the pressure sensor from different depths. As stated earlier, the pressure pulses are assumed to be discrete base-band square pulse signals. A base-band square pulse has a  $sinc^2$  power spectrum centred around 0 Hz with an infinite bandwidth. However, as maximum energy of the spectrum is concentrated in the main lobe of a  $sinc^2$  spectrum, the truncated spectrum with one sided bandwidth of 10 Hz and 20 Hz are considered for 10 bps and 20 bps square pulses respectively. It means that substituting the value of the frequency as 10 Hz or 20 Hz in (3.36) approximates the attenuation per unit length for 10 bps or 20 bps square pulse respectively. The amplitude versus depth plot of 100 kpa pressure wave at 10 and 20 bps is shown Fig. 5.2.

The plot in Fig. 5.2 verifies the exponential decay nature of the pressure pulses as a function of the well depth and the fact that a 20 bps pressure pulse attenuates rapidly as compared to a 10 bps pressure pulse.



Figure 5.2: Attenuation of the pressure pulses at different data rates with depth.

# 5.3 Transfer function and impulse response of the mud channel

Once the fluid resistance, inertance and capacitance for the pipe and junction are known, fluid transmission line model can be used to determine the transfer function of the mud channel. As given by the mud pulse telemetry data rate trend of Fig. 2.3 in Chapter 2, the maximum depth possible for 10 bps and 20 bps pressure pulses are 9144 m and 6096 m respectively. Therefore, with the length of each drill pipe and junction as 9.144 m and 0.1524 m, to plot the transfer function of the mud channel for these two data rates, a total of 983 and 655 cascaded drill pipe-junction sections are considered. Fig. 5.3.a and Fig. 5.4.a show the transfer function of a cascaded drill pipe system for 10 bps and 20 bps pressure pulses. The transfer function plot is obtained using generalized expression of the pressure gain given in (3.66) in Chapter 3. The transfer function is then verified with an equivalent cascaded electrical transmission line in ADS. The schematics of the transmission line modelling in ADS is given in Appendix B.

By taking the inverse fourier transform of the transfer function, the impulse response

of the mud channel is obtained. In simulations, the continuous time base-band square pulse, noise and interferers from the mud pump are approximated with their discrete forms sampled at 100 Hz, therefore, the channel is also sampled at 100 Hz. The truncated impulse response of the mud channel for 10 bps and 20 bps pressure pulses are shown in Fig. 5.3.b and Fig. 5.4.b respectively.



(a) Transfer function of mud channel. (b) Impulse response of mud channel.

Figure 5.3: Transfer function and impulse response of a 9144 m deep mud channel (for 10 bps pressure pulse).



Figure 5.4: Transfer function and impulse response of a 6096 m deep mud channel (for 20 bps pressure pulse).

Fig. 5.3.a and Fig. 5.4.a show the frequency selective nature of a mud channel. The channel gain of the mud channel with a total depth of 6096 m is higher than the channel gain of the mud channel with a total depth of 9144 m due to the smaller overall depth of the

pressure wave propagation. At higher frequencies, fluid resistance increases. This increases the attenuation of the pressure pulses and hence the channel gain in both cases decreases, resulting in the severe amplitude distortion of the pressure pulses.

As shown by (3.67) in Chapter 3, with the pressure transducer at a distance of 15.24 m from mud pump and pressure wave velocity at the pipe to be 1307 ms<sup>-1</sup>, nulls in the transfer function of Fig. 5.3.a and Fig. 5.4.a occur at 21.4 Hz, 64.2 Hz and so on. Due to the cascade of pipe and junctions, the effect of reflections from the junctions causes notch at 70.2 Hz. It is also to be noted that the location of nulls for both 9144 m and 6096 m deep pressure pulse channel in Fig. 5.3.a and Fig. 5.4.a are same. It is because, the locations of the nulls given by (3.67) do not depend on the depth of the mud channel but only depend on the location of the pressure sensor from the mud pump. A sinusoidal modulated pressure wave with a frequency matching with the frequency of nulls or notches may result in complete destruction of the transmitted pressure wave signal.



(a) Transducer at a distance of 30.48 m.

(b) transducer at distance of 152.4 m.

Figure 5.5: Transfer function of a 9144 m deep mud channel with varying distance of pressure transducer from the mud pump.

The effect of changing the transducer location on the channel transfer function is shown in Fig. 5.5.a and Fig. 5.5.b. For simplicity, the transfer function corresponding to 10 bps pressure pulse is shown and the case with 20 bps can be analysed accordingly. Comparing Fig. 5.3.a with Fig. 5.5.a and Fig. 5.5.b it is seen that the nulls appear frequently in the transfer function when the transducer location is moved away from the mud pump which decrease the coherent bandwidth of the mud channel. Such nulls have adverse effect on the signal reception as it causes severe distortion in the transmitted signal. An equalizer with suitable number of taps is thus required to compensate the signal distortion created by the nulls in the channel transfer function.

## 5.4 Receiver performance analysis of an MPT communication receiver

The performance of a mud pulse communication system receiver is now simulated under various scenarios. For the simulation, base-band square pressure pulses at 10 bps and 20 bps with a power of 0 dBW in each cases are considered. The unit of power is dBW due to the fact that the pressure transducer converts the received pressure pulse into an equivalent voltage signal. As mentioned in Section 5.2, the truncated power spectrum of a base-band square pressure pulse at 10 bps or 20 bps, with only main lobe considered has a one sided bandwidth of 10 Hz or 20 Hz respectively. Pressure pulses, interferers and noise are sampled at 100 samples/sec. The sampling frequency of 100 Hz is sufficient to ensure that Nyquist's criteria is satisfied for either data rate chosen. For a 10 bps pressure pulse, an interferer with the fundamental frequency at 5.25 Hz and the second harmonic at frequency 10.5 Hz is obtained from Table 5.3 using (2.5) as given in Chapter 2. The power of the fundamental and the second harmonic of the interferers generated by the mud pump are chosen as 2 dBW and 1 dBW respectively, so that the interferers have higher power than the signal as in an actual mud pulse telemetry system scenario [3]. For a 20 bps pressure pulse, a third harmonic at 15.75 Hz with the power of 0 dBW is also included as it falls in the signal frequency band.

First, in Subsection 5.4.1, the effect of applying an adaptive notch filter in the spectrum of the received signal is given. For this simulation, only a 10 bps pressure pulse is considered and the case of a 20 bps pressure pulse can be analysed accordingly. Then in Subsection 5.4.2, the bit error rate performance of the receiver with a notch filter and an equalizer is simulated and analysed. Finally, in Subsection 5.4.3, the packet error rate performance of the receiver versus the depth is discussed to further signify the importance of the notch filter and the equalizer.

### 5.4.1 Performance of an adaptive notch filter



(a) Spectrum at the input of a notch filter.



Figure 5.6: Power spectrum of a 10 bps pressure pulse, interferers and noise at the input and output of a notch filter.



Figure 5.7: Time domain signal at the input and output of a notch filter.

Fig.5.6.a and Fig.5.6.b show the power spectrum of a 10 bps pressure pulse signal and interferers at 5.25 Hz and 10.5 Hz respectively at the input and output of an adaptive notch filter. For the plot, an arbitrary white gaussian noise of variance -30 dBW is considered which includes the power of the thermal noise and any wide-band gaussian noise due to the vibration of the downhole assembly. The power spectral density of the pressure pulse signal

follows *sinc*<sup>2</sup> function. The spikes at 5.25 Hz and 10.5 Hz in Fig. 5.6.a are the power spectral densities of the random sinusoids generated from the mud pump. A notch filter discussed in Section 4.3.1 in Chapter 4 is used and has a total 1001 taps. The large number of taps is due to the fact that the notch filter is implemented before the matched filter as discussed in Chapter 4. The received signal is delayed by a time period equal to twice the signal period and is applied to the notch filter to ensure that the signal samples at the different filter taps are approximately uncorrelated. The step size of the LMS algorithm used by the filter is chosen according to (4.50) given in Chapter 4. The power spectral density at the output of the notch filter in Fig. 5.6.b shows interferer free signal and noise spectrum with notches at 5.25 Hz and 10.5 Hz formed by the notch filter. The time domain filtered signal from the notch filter is shown in Fig. 5.7.

## 5.4.2 Bit error rate performance of a mud pulse communication system receiver under various scenarios

The bit error rate performance of a mud pulse communication system receiver is now simulated. The simulation is performed under two scenarios. First, a 10 bps pressure pulse is considered. For this case, the impulse response of the channel shown in Fig. 5.3.b distorts the pressure pulses. Random sinusoids at 5.25 Hz and 10.5 Hz generated from the mud pump act as narrow-band interferers along with the additive gaussian noise. The information regarding the sampling frequency, power of the signal and interferers are given in Section 5.4.

Next, a 20 bps pressure pulse with an impulse response of the channel shown in Fig. 5.4.b is considered. In this case, a third interferer at 15.75 Hz is also considered as it falls within the signal frequency band.

For the above two scenarios, an adaptive notch filter described in Subsection 5.4.1 is used. The output of the filter undergoes matched filtering, which is sampled every symbol time and the output is applied to a Weiner filter described in Subsection 4.4.1 in Chapter 4 with the tap size twice the size of the channel. An LMS algorithm discussed in Subsection 4.4.2 in Chapter 4 can also be used as an equalizer when the channel is considerably long. As the significant taps of the channel impulse response, shown in Fig. 5.3.b and Fig. 5.4.b, span less than twice the signal period, the channel is rather short, so the Weiner filter is used in the simulation as optimum filter taps can be easily determined with the Weiner-Hopf equation without requiring the knowledge of the step size as in the case of an LMS algorithm.

The performance of the receiver system in terms of the bit error rate for both the 10 bps and the 20 bps pressure pulses is compared by considering the five different cases. First, we consider a standard receiver case which is the receiver with channel degradation and a matched filter. Next, interferers from the mud pump are further added and the receiver bit error rate is plotted. The scenario with the channel degradation only is then subjected to an equalizer to see the effect of an equalizer on channel distortion alone. The performance of the equalizer alone on the degradation caused by the channel and interferers is then plotted. Finally, the performance of a receiver system with the notch filter and the equalizer is simulated to see their effect on the receiver performance improvement.



Figure 5.8: BER performance for a 10 bps pressure pulse under various conditions.

Fig. 5.8 shows the above five scenarios for a 10 bps pressure pulse. The BER degradation due to the channel distortion alone for a 10 bps is less severe. This is evident from the channel impulse response for a 10 bps pressure pulse shown in Fig. 5.3.b. As the pressure pulse has a time period of 0.1 sec, the delay spread of the channel is nearly within the signal period. This results is the lesser ISI. As a result, the effect of the channel is not harsh. As the interferers at 5.25 Hz and 10.5 Hz do not fall at the integral multiples of the signal frequency, from Section 4.2 in Chapter 4, we expect the adverse effect of the interferers on the bit error rate. This is clearly shown by Fig. 5.8, where the receiver with the channel and the interferers acting together has the highest bit error rate. Applying an equalizer to compensate the effect of the channel distortion alone provides the least bit error rate. However, when the interferers are present, applying an equalizer alone does not seem much useful to improve the bit error rate. The equalizer in this case, compensates the channel distortion, however, fails to remove the effect of the interferers on the signal distortion. This degradation of the receiver performance can be much improved by using a notch filter to cancel out the narrow-band interferers followed by an equalizer to compensate the channel distortion as shown by the improvement in the bit error rate. As described in Subsection 5.4.1, the use of a notch filter to remove the narrow-band interferers creates notches in the signal frequency band. This causes slight distortion of the useful signal and hence contributes to the bit error rate degradation. This explains the degradation of the bit error rate using a notch filter and an equalizer in Fig. 5.8 as compared to the performance of the receiver system using an equalizer to compensate the effect of the channel degradation only. Finally, referring to Fig. 5.8, at an EbNo ratio of 5 dB, the use of a notch filter and an equalizer leads to a reduction of bit error rate by nearly 10 times as compared to the case when no notch filter and equalizer are used in the receiver system. The BER performance is further improved for higher EbNo ratios. Thus, the application of the notch filter and an equalizer in receiver performance improvement is justified.

Fig. 5.9 describes the same five scenarios for a 20 bps pressure pulse. In this case, the severe effect of the channel is clearly represented by the bit error rate curve due to the channel distortion alone. The severe effect of the channel is evident from the impulse response for a 20 bps mud channel shown by Fig. 5.4.b, where the delay spread of the signal



Figure 5.9: BER vs EbNo plots of a 20 bps pressure pulse under various conditions.

is nearly twice the signal period of 0.05 seconds. This causes severe ISI resulting in the BER degradation. Thus, applying an equalizer improves the system performance significantly in this case. The nature of the graphs and their implications in Fig. 5.9 can be explained similarly as explained with the case of Fig. 5.8 except that a third interferer at 15.75 Hz now acts on the system along with the interferers acting on the 10 bps pressure pulse.

## 5.4.3 Packet error rate performance of a mud pulse communication system receiver with depth

Fig. 2.3 of Chapter 2 gives the depth vs data rate trend of the existing mud pulse telemetry systems. According to the graph, a 10 bps pressure pulse can experience a maximum depth of 30000 ft.

The packet error rate is related to the bit error rate as

$$PER = 1 - (1 - BER)^n, (5.1)$$

where n is the number of bits per packet.

Consider an arbitrary packet with the total number of bits as 96. Assuming the receiver performance with the channel degradation and the matched filter only of Fig. 5.8 as the standard receiver performance, for 96 bits per packet, we first set threshold packet error rate as 90 %, i.e. the standard receiver stops working when the PER exceeds 0.90. This PER corresponds to the 30000 ft depth. Now, the PER of 90% corresponds to the 5 dB EbNo ratio from the BER curve of standard receiver performance as given in Fig. 5.8. With this EbNo value corresponding to the 30000 ft, and for the given signal energy, we find the noise level. This noise level is set as the constant noise level for all depths. Furthermore, for a unit amplitude 10 bps pressure pulse and the EbNo ratio of 5 dB, the noise level turns out to be much higher than the noise level considering the thermal noise alone. This means that, the calculated constant noise level includes the gaussian noise from additional sources such as downhole vibrations besides the thermal noise. As the depth decreases, the channel gain increases and hence, the receiver performs better. With the fixed noise level corresponding to 30000 ft, we find the BER and correspondingly the PER using (5.1), at various depths. Using this information, the depth vs PER plots of the five scenarios discussed in Subsection 5.4.2 are given in Fig. 5.10.

The trend of the PER performance improvement under various scenarios shown by the Fig. 5.10 follows the same pattern as explained with Fig. 5.8 and Fig. 5.9. Furthermore, it is seen from the graph that the addition of interferers to the standard receiver reduces its depth capability from 30000 ft to 21000 ft. Using a notch filter and an equalizer to the cancel out the narrow-band interferers and compensate the channel distortion gives a total depth capability of 33000 ft to the mud pulse receiver, a total gain of 12000 ft as compared to the receiver with the channel distortion and the mud pump interferers.



Figure 5.10: Depth vs packet error rate performance of the mud pulse receiver for a 10 bps pressure pulse under various scenarios.

## Chapter 6

## Conclusion and future works

## 6.1 Conclusion

This research helps to understand the behaviour of the communication channel of a mud pulse telemetry system and thus makes it easier to develop efficient receivers to achieve the minimum bit error rate. By introducing a fluid transmission line model with lumped components such as fluid resistance, inertance and capacitance, the dynamic properties of the mud are easily coupled with the geometry of the drill pipe. This makes it possible to obtain the attenuation characteristics of the pressure waves in an analogous way to an electrical transmission line. The attenuation characteristics obtained from the developed fluid transmission line model have been shown to match very closely with the attenuation characteristics from the experimentally verified Lamb's law of pressure wave attenuation present in the existing literature for different frequencies. Further, the fluid transmission line model is extended to represent the cascaded system of drill pipes including the mud pump and the pressure transducer. The cascaded system of drill pipes gives a clear representation of the pressure pulse reflection phenomenon from the junction connecting two drill pipes. Thus, a frequency selective transfer function of the mud channel demonstrating the effect of the signal attenuation and reflections in mud pulse telemetry system is obtained. The obtained transfer function is verified with the transfer function of an analogous electrical transmission line in ADS.

Another outcome of this research is the demonstration of the application of an adaptive

notch filter to cancel out multiple narrow-band interferers from the mud pumps. The interference cancellation technique using an adaptive notch filter is better than the conventional approach of avoiding the mud pump interferers by transmitting the signal in a different frequency band because it offers flexibility to the rig operators to choose any desirable frequency band for the signal transmission. Also, the adaptive filter is easy to implement as it does not require the knowledge of the desired signal bits as a training sequence for the filter. The designed filter is shown to improve the SINR as the interference power is increased. Thus, it is useful to cancel out multiple powerful narrow-band interferers from the mud pump.

Finally, the research shows the performance analysis of the receiver system of mud pulse telemetry using a notch filter and an equalizer. The use of interference cancellation technique with a notch filter and an equalizer to compensate the effect of the channel distortion shows a significant improvement in the bit error rate performance of the receiver. Packet error rate versus depth plot for 10 bps pressure wave shows that the inclusion of a notch filter with an equalizer gives a gain of 12000 ft which is significant for deep well data communication using the mud pulse telemetry system.

## 6.2 Future Works

This thesis offers an enormous future potential research to further deepen our understanding towards the behaviour of the mud pulse propagation channel. The research presents a mathematical model of the mud communication channel and needs to be verified with the actual experimental data from the drilling rig. The communication system described in the thesis is a base-band model. Pass-band systems involving complex modulation schemes such as QPSK and MSK can be used in the future. These complex modulation schemes offer twice data rate for the same available bandwidth as compared to the simple base-band modulation. Several error correction schemes can also be introduced to make the signal less vulnerable to the degradation from the downhole process. This thesis assumes that the impedance of the mud signal source is matched to the drill pipe and the effect of pulsation dampeners present near the mud pump on the signal reception has been neglected. The effect of an impedance mismatch and the role of dampeners needs to be scrutinized in the future. Noise from the downhole has been assumed to be additive and gaussian. The exact characteristics of the noise from the downhole vibration and the stick-slip motion of the drill bit needs to be studied.

To conclude, this research offers a new level of understanding towards the behaviour of the communication channel of a mud pulse telemetry system and various signal processing techniques that can be used to reduce the error rate at the receiver. The fluid transmission model used to analyse the mud channel turns out to be a powerful tool as it is easier to implement and provides a realistic scenario of the mud pulse propagation through a series of cascaded drill pipes. The cancellation of the narrow-band interferers along with the compensation of channel distortion reduces the bit error rate dramatically, thus making the data communication through the mud channel reliable at fair rates.
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## Appendix A

# Scattering matrix of wave variables from the fluid transmission line

Equation (3.31) can be written as

$$\begin{bmatrix} P_{\rm r} \\ Q_{\rm r} \end{bmatrix} = \begin{bmatrix} \frac{e^{\gamma l} + e^{-\gamma l}}{2} & -Z_c \frac{e^{\gamma l} - e^{-\gamma l}}{2} \\ \frac{-1}{Z_c} \frac{e^{\gamma l} - e^{-\gamma l}}{2} & \frac{e^{\gamma l} + e^{-\gamma l}}{2} \end{bmatrix} \begin{bmatrix} P_{\rm s} \\ Q_{\rm s} \end{bmatrix}.$$
 (A.1)

Rearranging the terms of (A.1), we can write

$$P_{\rm r}\sqrt{\frac{2}{Z_c}} = \frac{1}{\sqrt{2}} \left[\frac{P_{\rm s}}{\sqrt{Z_c}} + Q_{\rm s}\sqrt{Z_c}\right] e^{-\gamma l} + \frac{1}{\sqrt{2}} \left[\frac{P_{\rm s}}{\sqrt{Z_c}} - Q_{\rm s}\sqrt{Z_c}\right] e^{\gamma l}$$
(A.2)

and

$$Q_{\rm r}\sqrt{2Z_c} = \frac{1}{\sqrt{2}} \left[\frac{P_{\rm s}}{\sqrt{Z_c}} + Q_{\rm s}\sqrt{Z_c}\right] e^{-\gamma l} - \frac{1}{\sqrt{2}} \left[\frac{P_{\rm s}}{\sqrt{Z_c}} - Q_{\rm s}\sqrt{Z_c}\right] e^{\gamma l}.\tag{A.3}$$

Now, we define

$$f = \frac{1}{2} \left[ \frac{P}{\sqrt{Z_c}} + Q\sqrt{Z_c} \right] \tag{A.4}$$

as the amplitude of the wave travelling in the forward or right direction and

$$r = \frac{1}{2} \left[ \frac{P}{\sqrt{Z_c}} - Q\sqrt{Z_c} \right] \tag{A.5}$$

as the amplitude of the wave travelling in the reverse or left direction. In a matrix form,

$$\begin{bmatrix} f \\ r \end{bmatrix} = \frac{1}{2} \begin{bmatrix} \frac{1}{\sqrt{Z_c}} & \sqrt{Z_c} \\ \frac{1}{\sqrt{Z_c}} & -\sqrt{Z_c} \end{bmatrix} \begin{bmatrix} P \\ Q \end{bmatrix}.$$
 (A.6)

Let  $f_s$  and  $r_s$  denote the right and left going waves at the source with the pressure and flow rate values as  $P_s$  and  $Q_s$ ,  $f_r$  and  $r_r$  are their corresponding values at the destination with the pressure and flow rate values as  $P_r$  and  $Q_r$ . The source and destination are the two ends of a long pipe. Then, (A.6) can be used to relate the forward and reverse waves at source and destination with their corresponding pressure and flow rate values as

$$\begin{bmatrix} f_s \\ r_s \end{bmatrix} = \frac{1}{2} \begin{bmatrix} \frac{1}{\sqrt{Z_c}} & \sqrt{Z_c} \\ \frac{1}{\sqrt{Z_c}} & -\sqrt{Z_c} \end{bmatrix} \begin{bmatrix} P_s \\ Q_s \end{bmatrix}.$$
 (A.7)

and

$$\begin{bmatrix} f_r \\ r_r \end{bmatrix} = \frac{1}{2} \begin{bmatrix} \frac{1}{\sqrt{Z_c}} & \sqrt{Z_c} \\ \frac{1}{\sqrt{Z_c}} & -\sqrt{Z_c} \end{bmatrix} \begin{bmatrix} P_r \\ Q_r \end{bmatrix}.$$
 (A.8)

Using (3.30) in (A.7)

$$\begin{bmatrix} f_s \\ r_s \end{bmatrix} = \frac{1}{2} \begin{bmatrix} \frac{1}{\sqrt{Z_c}} & \sqrt{Z_c} \\ \frac{1}{\sqrt{Z_c}} & -\sqrt{Z_c} \end{bmatrix} \begin{bmatrix} \cosh(\gamma l) & Z_c \sinh(\gamma l) \\ \frac{1}{Z_c} \sinh(\gamma l) & \cosh(\gamma l) \end{bmatrix} \begin{bmatrix} P_r \\ Q_r \end{bmatrix}.$$
 (A.9)

Equation (A.8) can be rearranged as

$$\begin{bmatrix} P_{\rm r} \\ Q_{\rm r} \end{bmatrix} = \begin{bmatrix} \sqrt{Z_c} & \sqrt{Z_c} \\ \frac{1}{\sqrt{Z_c}} & \frac{-1}{\sqrt{Z_c}} \end{bmatrix} \begin{bmatrix} f_r \\ r_r \end{bmatrix}.$$
(A.10)

Thus, (A.9) becomes

$$\begin{bmatrix} f_s \\ r_s \end{bmatrix} = \frac{1}{2} \begin{bmatrix} \frac{1}{\sqrt{Z_c}} & \sqrt{Z_c} \\ \frac{1}{\sqrt{Z_c}} & -\sqrt{Z_c} \end{bmatrix} \begin{bmatrix} \cosh(\gamma l) & Z_c \sinh(\gamma l) \\ \frac{1}{Z_c} \sinh(\gamma l) & \cosh(\gamma l) \end{bmatrix} \begin{bmatrix} \sqrt{Z_c} & \sqrt{Z_c} \\ \frac{1}{\sqrt{Z_c}} & \frac{-1}{\sqrt{Z_c}} \end{bmatrix} \begin{bmatrix} f_r \\ r_r \end{bmatrix}$$
(A.11)

or

$$\begin{bmatrix} f_s \\ r_s \end{bmatrix} = \frac{1}{2} \begin{bmatrix} \frac{e^{\gamma l}}{\sqrt{Z_c}} & \sqrt{Z_c}e^{\gamma l} \\ \frac{e^{-\gamma l}}{\sqrt{Z_c}} & -\sqrt{Z_c}e^{-\gamma l} \end{bmatrix} \begin{bmatrix} \sqrt{Z_c} & \sqrt{Z_c} \\ \frac{1}{\sqrt{Z_c}} & \frac{-1}{\sqrt{Z_c}} \end{bmatrix} \begin{bmatrix} f_r \\ r_r \end{bmatrix}.$$
 (A.12)

On simplifying we get

$$\begin{bmatrix} f_s \\ r_s \end{bmatrix} = \begin{bmatrix} e^{\gamma l} & 0 \\ 0 & e^{-\gamma l} \end{bmatrix} \begin{bmatrix} f_r \\ r_r \end{bmatrix}.$$
 (A.13)

From (A.13)



Figure A.1: Forward and reverse propagating waves at two ends of a pipe.

$$f_r = e^{-\gamma l} f_s \tag{A.14}$$

and

$$r_r = e^{\gamma l} r_s. \tag{A.15}$$

(A.14) and (A.15) give expression of the forward and reverse wave experiencing attenuation as they propagate in either direction in a drill pipe.

Fig. A.2 shows a cascade of the drill pipes and junctions along with the signal source, mud pump and the location of the pressure transducer from the mud pump. Using (A.13)



Figure A.2: Propagation of the forward and reverse waves across multiple sections of a drill pipe.

at junction  $J_1$ , the wave variables at the end of the first pipe section are related to wave

variables at the source as

$$\begin{bmatrix} f_1' \\ r_1' \end{bmatrix} = \begin{bmatrix} e^{-\gamma_p l_p} & 0 \\ 0 & e^{\gamma_p l_p} \end{bmatrix} \begin{bmatrix} f_s \\ r_s \end{bmatrix},$$
 (A.16)

where the wave variables are related to the source pressure and flow rate as

$$f_s = \frac{1}{2} \left[ \frac{P_s}{\sqrt{Z_p}} + Q_s \sqrt{Z_p} \right] \tag{A.17}$$

as the wave travelling in the forward or right direction of a pipe and

$$r_s = \frac{1}{2} \left[ \frac{P_{\rm s}}{\sqrt{Z_p}} - Q_{\rm s} \sqrt{Z_p} \right] \tag{A.18}$$

as the wave travelling in the reverse or left direction of a pipe.

Now, using the condition of continuity of pressure and flow at junction 1, we have,  $P'_1 = P_2$  and  $Q'_1 = Q_2$ , i.e.

$$\sqrt{Z_p}(f'_1 + r'_1) = \sqrt{Z_j}(f_2 + r_2)$$
 (A.19)

and

$$\frac{1}{\sqrt{Z_p}}(f_1' - r_1') = \frac{1}{\sqrt{Z_j}}(f_2 - r_2).$$
(A.20)

In a matrix form,

$$\begin{bmatrix} f_2 \\ r_2 \end{bmatrix} = \frac{1}{2\sqrt{Z_p Z_j}} \begin{bmatrix} Z_p + Z_j & Z_p - Z_j \\ Z_p - Z_j & Z_p + Z_j \end{bmatrix} \begin{bmatrix} f'_1 \\ r'_1 \end{bmatrix}.$$
 (A.21)

Similarly, at Junction  $J_2$ ,

 $P'_2 = P_3$  and  $Q'_2 = Q_3$  i.e,

$$\begin{bmatrix} f_3 \\ r_3 \end{bmatrix} = \frac{1}{2\sqrt{Z_p Z_j}} \begin{bmatrix} Z_p + Z_j & -(Z_p - Z_j) \\ -(Z_p - Z_j) & Z_p + Z_j \end{bmatrix} \begin{bmatrix} f'_2 \\ r'_2 \end{bmatrix}, \quad (A.22)$$

where

$$\begin{bmatrix} f'_2 \\ r'_2 \end{bmatrix} = \begin{bmatrix} e^{-\gamma_j l_j} & 0 \\ 0 & e^{\gamma_j l_j} \end{bmatrix} \begin{bmatrix} f_2 \\ r_2 \end{bmatrix}.$$
 (A.23)

Thus, the wave variables at the end of the second pipe can be written as

$$\begin{bmatrix} f'_3 \\ r'_3 \end{bmatrix} = \begin{bmatrix} e^{-\gamma_p l_p} & 0 \\ 0 & e^{\gamma_p l_p} \end{bmatrix} \begin{bmatrix} f_3 \\ r_3 \end{bmatrix}.$$
 (A.24)

Substituting (A.16), (A.21) and (A.22) in (A.24) assuming the length of the junction to be zero, i.e.  $l_j \approx 0$ , and performing matrix chain multiplication, the right and left travelling waves at the end of the second pipe can be related to the corresponding waves at the source as

$$\begin{bmatrix} f'_3\\ r'_3 \end{bmatrix} = \begin{bmatrix} e^{-2\gamma_p l_p} & 0\\ 0 & e^{2\gamma_p l_p} \end{bmatrix} \begin{bmatrix} f_s\\ r_s \end{bmatrix}.$$
 (A.25)

Equation (A.25) can be generalized to represent the wave flowing in any direction at any end section of a pipe. If we consider the pressure transducer to be located at the end of  $n^{th}$  section, the forward and reverse travelling waves at  $n^{th}$  junction as recorded by pressure transducer can be related to the the corresponding waves at source as

$$\begin{bmatrix} f'_{2n-1} \\ r'_{2n-1} \end{bmatrix} = \begin{bmatrix} e^{-n\gamma_p l_p} & 0 \\ 0 & e^{n\gamma_p l_p} \end{bmatrix} \begin{bmatrix} f_s \\ r_s \end{bmatrix}.$$
 (A.26)

(A.26) can be re-arranged in the form of T-parameters as

$$\begin{bmatrix} r_s \\ f_s \end{bmatrix} = \begin{bmatrix} e^{-n\gamma_p l_p} & 0 \\ 0 & e^{n\gamma_p l_p} \end{bmatrix} \begin{bmatrix} r'_{2n-1} \\ f'_{2n-1} \end{bmatrix}.$$
 (A.27)

We can also re-write (A.27) in terms of S-parameters as

$$\begin{bmatrix} r_s \\ f'_{2n-1} \end{bmatrix} = \begin{bmatrix} 0 & e^{-n\gamma_p l_p} \\ e^{-n\gamma_p l_p} & 0 \end{bmatrix} \begin{bmatrix} f_s \\ r'_{2n-1} \end{bmatrix}.$$
 (A.28)

(A.27) shows that with the length of junction neglected, the attenuation behaviour of the

pressure waves in a cascaded system of the drill pipes is identical to that in a single long drill pipe of length equal to  $nl_p$ .

### Appendix B

#### **Transmission line schematics**

Fig. B.1 shows an ADS schematic of the transmission line circuit to calculate the voltage gain of an equivalent single section of a fluid transmission line. The MWD signal source is represented by a voltage source with an input frequency of 10 Hz. A single drill pipe is represented as a physical transmission line with length set to 9.144 m (30 ft) and characteristic impedance of  $3.7616 \times 10^7$  ohm. The source impedance is matched with the transmission line impedance. The values of relative permeability and relative permittivity of the transmission line are adjusted as per the value of the velocity of the pressure wave in a drill pipe, which is equal to  $1307 \text{ ms}^{-1}$ . The physical transmission line is set with an attenuation of  $5.2716 \times 10^{-5}/\text{m}$  to represent the attenuation of a 10 Hz pressure wave at the pipe section. Since the mud pump acts as an open circuit, it is simulated by connecting the transmission line with a load of very high impedance. The pressure sensor is located at a distance of 15.24 m (50 ft) from the mud pump. The expression  $\frac{V_{out}}{V_{in}}$  then gives the voltage gain across the transmission line, where  $V_{in}$  represents the source voltage and  $V_{out}$  represents the voltage recorded by the transducer.

Fig. B.2 shows an ADS schematic of the cascaded transmission lines to represent the simulation of the cascaded drill pipes. For simplicity, only two junction sections are shown in the figure. All the parameters of the drill pipe are same as described above. Each junction is assumed to be of length 0.1524m (0.5 ft). The characteristics impedance of the junction is  $5.993 \times 10^7$  ohm and the velocity at the junction is  $1446 \text{ ms}^{-1}$  respectively. The attenuation of the junction is set to  $5.7177 \times 10^{-5}/\text{m}$  at 10 Hz. The pressure sensor is located at a

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Figure B.1: ADS schematic to calculate the voltage gain of a single long transmission line.

distance of 15.24m (50 ft) from the mud pump.



Figure B.2: ADS schematic to calculate the voltage gain of a cascaded transmission line.