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# UNIVERSITY OF CALGARY

Impact of Family Presence on Delirium in Critically III Patients: A Retrospective Cohort

Study

by

Samiha Tarek Mohsen

# A THESIS

# SUBMITTED TO THE FACULTY OF GRADUATE STUDIES

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#### <u>Abstract</u>

Delirium, an acutely disturbed state of consciousness, manifests as a collection of symptoms such as confusion. There is potential for family members of critically ill patients to assist in non-pharmacological delirium prevention and management (e.g., reorientation). Despite this, there are few studies on the impact of Intensive Care Unit (ICU) family presence on delirium. To bridge these knowledge gaps, our study employed a population-based retrospective cohort design to explore the association between family presence and both the incidence and duration of delirium in critically ill patients. The electronic health records of consecutive adult patients admitted to any of 14 adult medical-surgical adult ICUs in Alberta, Canada between January 1, 2014 and December 30, 2018 were examined. Family presence in the ICU (exposure), was extracted using a validated algorithm (1. family physically present, 2. family phone call only, 3. no family presence or call [reference group]). Delirium was measured using the Intensive Care Delirium Screening Checklist (ICDSC). Incident delirium was guantified (primary outcome) using an ICDSC cut-off of  $\geq$ 4 points, after family presence (yes/no). Duration of delirium (secondary outcome) was measured as the total number of ICU days (24-hour periods) with a positive ICDSC score (≥4 points). Multivariable mixedeffects logistic and linear regression models (accounting for clustering by patient readmission and ICU site, where appropriate) were used to evaluate the association between family member presence and the incidence and duration of delirium in critically ill patients in the ICU, respectively. All regression models were adjusted for relevant covariates (e.g., ICU admission type, Glasgow Coma Scale [GCS]). We included 25,537 patients. Family presence in the ICU was associated with lower incidence of delirium

ii

during elective-surgical admissions in patients with intact GCS (OR 0.60, 95%CI:0.39-0.97, p=0.02) as compared to patients in the reference group. Family presence in the ICU decreased duration of delirium (adjusted mean difference -1.87 days, 95%CI: -2.01 to -1.81, p<0.001) as compared to patients in the reference group.

Family presence in the ICU may decrease the incidence of delirium in patients admitted for elective-surgical reasons with intact GCS and duration of delirium in all patients by up to 2 days.

#### **Preface**

The manuscript cited below will be submitted to Intensive Care Medicine for potential publication. The manuscript is described in detail as a full chapter in the thesis presented. The first author cleaned and analyzed data, discussed results, and wrote the manuscript in its entirety. Co-authors provided methodological guidance, clinically relevant suggestions, and feedback on the manuscript.

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v

# **Dedication**

To my beloved mother and sister, Amany and Sarah.

# **Table of Contents**

Abstract	ii
Preface	iv
Acknowledgements	v
Dedication	vi
Table of Contents	vii
List of Tables	ix
List of Figures	x
List of Appendices	
List of Abbreviations	
CHAPTER 1: INTRODUCTION	
1.1 Background of Research Project	2
1.2 Literature Review	
1.2.1 Intensive Care Units	
1.2.2 Delirium in the ICU	
1.2.3 Patient and Family-Centered Care	
1.2.4 Perspectives on Family Presence in Healthcare	
1.2.5 Perspectives on Family Presence in the ICU	
1.2.6 Administrative Data and Documentation of Family Presence in Alberta ICUs	
1.2.7 Knowledge Gap and Significance	
1.3 Aims and Objectives	
1.3.1 Objectives	
1.3.2 Hypotheses	
CHAPTER 2: IMPACT OF FAMILY PRESENCE ON DELIRIUM IN CRITICALLY IL	
PATIENTS: A RETROSPECTIVE COHORT STUDY	
2.1 Abstract	
2.2 Background	
2.3 Methods	
2.3.1 Study Population	
2.3.2 Data Sources	
2.3.3 Measurement of Exposure: Family Presence	
2.3.4 Measurement of Outcomes: ICDSC (Intensive Care Delirium Screening Checklist)	
2.3.5 Measurement of Delirium Risk factors, Modifiers, Confounders	
2.3.6 Data Analysis	
2.4 Results	
2.4.1 Study Population	
2.4.2 Family Presence and Incidence of Delirium	
2.4.3 Family Presence and Duration of Delirium	
2.4.5 Sensitivity Analyses	
2.5 Discussion	
2.6 Conclusion	
2.7 References	
2.8 Figures	40

Figure 1. Participant Flow Chart4	40
Figure 2. Forest plot of odds ratio of delirium associated with in-person family presence	
compared to no family presence or phone call in the intensive care unit4	41
2.9 Tables	
Table 1. Demographic and clinical characteristics of the study population (primary	
outcome)4	42
Table 2. Intensive Care Delirium Screening Checklist and Richmond Agitation Sedation	
Scale upon ICU admission	14
Table 3 Incident delirium and family presence in the intensive care unit4	45
Table 4 Association between delirium duration and family presence in the intensive care	
unit4	46
2.10 Appendices	47
Appendix 1. STROBE and RECORD items checklist4	47
Appendix 2. Sub-Category and category of algorithm code	52
Appendix 3. Summary of inclusion and exclusion criteria for the rule-based classifier5	58
Appendix 4. Variables and covariates included in study objectives and their coding6	35
Appendix 5. Supplement text for data handling and merging	58
Appendix 6. Demographic and clinical characteristics of the study population (secondary	
outcome)6	59
Appendix 7. Sensitivity analysis of incident delirium and family presence excluding those	
who died in the intensive care unit7	71
Appendix 8. Sensitivity analysis of delirium duration and family presence excluding those	
who died in the intensive care unit7	73
Appendix 9. Sensitivity analyses of delirium duration and family presence (coded as binary	y)
in the intensive care unit	74
Appendix 10. Secondary analyses for admission diagnosis upon admission to ICU by patier	
admission type7	
Appendix 11. Secondary analyses for percentage days of delirium and family presence7	
CHAPTER 3: DISCUSSION	
3.1 Summary of Main Findings7	
3.2 Findings in Context of Existing Literature7	
3.3 Strengths	
3.4 Limitations	
3.5 Implications on Clinical Practice and Public Health	
3.6 Directions for Future Research	
3.7 Conclusions	
REFERENCES	96

# List of Tables

<b>Table 1.</b> Demographic and clinical characteristics of the study population (primary outcome)
<b>Table 2.</b> Intensive Care Delirium Screening Checklist and Richmond Agitation SedationScale upon ICU admission
<b>Table 3.</b> Incident delirium and family presence in the intensive care           unit
<b>Table 4.</b> Association between delirium duration and family presence in the intensive care unit

## List of Figures

Figure 1.	Participant Flow	Chart4	10
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**Figure 2.** Forest plot of odds ratio of delirium associated with in-person family presence compared to no family presence or phone call in the intensive care unit.......41

# List of Appendices

Appendix 1. STROBE and RECORD items checklist47
Appendix 2. Sub-Category and category of algorithm code
Appendix 3. Summary of inclusion and exclusion criteria for the rule-based classifier
Appendix 4. Variables and covariates included in study objectives and their coding65
Appendix 5 Supplement text for data handling and merging
<b>Appendix 6.</b> Demographic and clinical characteristics of the study population (secondary outcome)
<b>Appendix 7.</b> Secondary analyses for admission diagnosis upon admission to ICU by patient admission type
<b>Appendix 8.</b> Sensitivity analysis of incident delirium and family presence excluding those who died in the intensive care unit73
<b>Appendix 9.</b> Sensitivity analysis of delirium duration and family presence in the excluding those who died in the intensive care unit74
<b>Appendix 10.</b> Sensitivity analyses of delirium duration and family presence (coded as binary) in the intensive care unit
Appendix 11. Secondary analyses for percentage days of delirium and family           presence

## List of Abbreviations

95%CI	95% Confidence Interval
AHS	Alberta Health Services
APA	American Psychiatric Association
APACHE-II	Acute Physiology and Chronic Health Evaluation II
AUROC	Area Under the Receiver Operating Characteristic
CAD	Canadian Dollars
CAM-ICU	Confusion Assessment Method-ICU
CCI	Charlson Comorbidity Index
COVID-19	Coronavirus Disease 2019
DAD	Discharge Abstract Database
DSM-5	Diagnostic and Statistical Manual of Mental Disorders, 5th
	edition
EHR	Electronic Health Records
FAM-CAM	Family Confusion Assessment Method
GCS	Glasgow Coma Scale
ICDSC	Intensive Care Delirium Screening Checklist
ICU	Intensive Care Unit
IQR	Interquartile Range
MD	Mean Difference
NLP	Natural Language Processing
OR	Odds Ratio
RASS	Richmond Agitation and Sedation Scale
RECORD	REporting of studies Conducted using Observational
	Routinely-collected Data
SOFA	Sequential Organ Failure Assessment
STROBE	STrengthening the Reporting of OBservational studies in
	Epidemiology
UK	United Kingdom
US	United States

# **CHAPTER 1: INTRODUCTION**

#### **1.1 Background of Research Project**

Delirium is an acutely disturbed state of consciousness that is associated with severe disorganization of thought [1]. Hallmarks of delirium include symptoms such as confusion and altered cognition in comparison to baseline awareness [1]. Up to 50% of critically ill patients will develop delirium at least once during their ICU stay [2]. Critically ill patients have life threatening conditions and are exposed to multiple delirium risk factors in the ICU including sedation, pain, and invasive mechanical ventilation [2]. Delirium is extremely distressing to patients during and after their ICU stay [3]. Delirium is associated with negative patient outcomes such as increased risk of mental health problems (e.g., anxiety, depression, post-traumatic stress disorder), distress, higher risk of mortality, and longer hospital stays [2-7]. Given the consequences of delirium, it is imperative for research to focus on its treatment and management.

Delirium in critically ill patients is difficult to prevent and manage, given its multiple risk factors in the ICU [8]. A systematic review studying the efficacy of pharmacological prophylaxis for the treatment and prevention of delirium found that medication for delirium was ineffective and potentially harmful (i.e., increased mortality) in critically ill patients [8, 9]. Non-pharmacological interventions such as reorientation are shown to reduce the incidence of delirium in critically ill patients [9, 10]. Efforts to implement non-pharmacological interventions in the ICU to prevent and manage delirium should be a top priority given the ineffectiveness and consequences of pharmacological delirium management.

Non-pharmacological interventions for delirium may be provided by family members. Family presence in the ICU may facilitate maintenance of a daily schedule,

early mobilization, and environmental support (e.g., sleep hygiene routine) to reduce delirium in critically ill patients [9]. Through this, families may increase cognitive stimulation, reduce pain, and maintain sleep in critically ill patients, which in turn may reduce patient distress associated with delirium [9]. Family members of ICU patients reduce the burden of delirium in critically ill patients (i.e., confusion, agitation) by providing comfort and a familiar environment [9, 11]. Increased family presence in the ICU provides opportunities for collaboration and shared decision-making between critical care physicians, nurses, and family members [11, 12]. Enhanced collaboration between family members and healthcare providers may modify administration of sedatives in patient care, therefore reducing the use of benzodiazepines (common ICU sedative) which are a modifiable risk factor of delirium [11-14].

Family presence may act as a protective factor against delirium onset and duration, yet few studies have investigated the impact of family presence on delirium [15, 16]. The purpose of this population-based retrospective cohort study was to quantify the association between family presence and the incidence and duration of delirium in critically ill patients to bridge knowledge gaps on potential for family to help reduce and manage delirium in ICU settings.

#### **1.2 Literature Review**

#### **1.2.1 Intensive Care Units**

ICUs provide specialized health services to patients with complicated medical problems [17]. Canadian ICUs provide care for over two million critically ill patients per year [19] and ICU admissions account for over 12% [17] of hospital patient admissions per year [17]. Canadian ICUs can be broadly categorized as: general (e.g., medical,

surgical), specialty (e.g., burns, cardiac, neurological), or pediatric/neonatal [17]. ICU admissions may be urgent (emergent), meaning that a patient requires immediate attention upon admission (i.e., trauma from car accident), or planned (elective), meaning that the ICU admission was anticipated as part of their hospital stay (i.e., planned cardiac surgery) [17]. In Canada (2013-2014) 8 out of 10 ICU patient admissions were associated with an urgent hospital admission [17]. In 2013-2014, majority of patient admissions in Canadian ICUs (54%) were due to medical reasons such as myocardial infarction, shock and cardiac arrest, arrhythmia, and heart failure [17]. The average Canadian ICU stay is three days [17]. Males and those of lower socioeconomic status are more likely to be admitted than females and persons of higher socioeconomic status [18, 19].

Advanced life-sustaining medical technologies such as mechanical ventilation, sedative administration, and renal replacement therapy are used to address the complex medical issues that critically ill patients experience [17]. ICU patients have a wide range of needs and require both high intensity and specialized healthcare as demonstrated by the high staff-to-patient ratio in the ICU [17]. Despite advances and innovation in medical technology, the estimated unadjusted mortality in Canadian ICUs in 2013-2014 was 9% [17]. However, this mortality rate was lower than mortality in United States (US) ICUs (2008, 10%) as well as United Kingdom (UK) ICUs (2011, 29%) [20, 21].

Critically ill patients admitted to the ICU are at increased risk of developing negative outcomes such as cognitive impairment [22], intensive care unit acquired weakness [23], and delirium [2]. Cognitive impairment is a common sequela of critical

illness in ICU settings [24]. Critically ill patients may experience cognitive impairment such as deficits in memory and attention as a result of hypoxia, anemia, sepsis, fever, and delirium [24]. In addition, critically ill patients undergo muscular changes in the ICU due to bed rest and immobilization [25]. This may cause muscle atrophy, which is associated with increased ICU length of stay and delirium [26]. ICU delirium is a major risk factor of Post-Intensive Care Syndrome (PICS), which is persistent mental, cognitive, and physical impairments that may follow ICU stay [27]. PICS may lead to decreased health-related quality of life and functional status [27]. The ICU environment contains multiple delirium risk factors such as immobilization, sedation, and invasive interventions (i.e., invasive mechanical ventilation) [7], which leads to a high prevalence of delirium in ICUs (up to 50% of patients) [2]. Negative outcomes from ICU delirium may extend after patient discharge given that delirium is a risk factor for PICS.

Due to the resource-intensive environment of the ICU, the estimated average daily cost of an ICU stay is almost three times the daily cost of a general hospital ward stay in Canada (\$3,592 Canadian Dollars [CAD] per day and \$1,135 CAD per day, respectively) [17]. While estimates are not available in Canada, ICU care accounts for 1% of the US GDP [28]. In 2012, the estimated cost of care for an episode of delirium in Canadian ICUs ranged between \$3,690 and \$12,881 [29]. It is expected that ICU admissions in Canada will increase up to 80% by 2026, given the continuous innovation of technology that allows for population longevity [17]. These current projections do not account for increased ICU length of stay attributable to delirium. If delirium remains an unresolved issue in ICU care, the future burden to the healthcare system may be greater than expected.

#### 1.2.2 Delirium in the ICU

Delirium is an acute confusional state, characterized by fluctuating course, severe disorganized thinking, and reduced ability to focus [1]. Delirium is one of the most distressing complications of critical illness for patients, families, and healthcare providers [2,3]. Delirium is linked to numerous negative health outcomes such as increased length of hospital stay, risk of long-term cognitive impairment, risk of longterm depression, anxiety, post-traumatic stress disorder, and increased mortality [2-7]. It is estimated that for every extra 48 hours of delirium in the ICU, risk of mortality increases by 11% [22]. ICU patients who recall the experience of delirium describe feelings of distress related to hallucinations and the inability to communicate [30]. Family members of critically ill patients may be distressed when witnessing their loved ones experiencing delirium and the negative outcomes associated with it [30].

Despite the high incidence of delirium and its associated adverse outcomes, up to 66% of delirium cases are missed using existing provider-administered screening tools resulting in undetected delirium [31-33]. Delirium detection may be complicated due to its fluctuating nature and multifactorial risk factors [32]. Patients in the ICU are exposed to multiple modifiable and non-modifiable risk factors of delirium. Non-modifiable risk factors associated with delirium include prior coma, older age, dementia, trauma, and higher severity of illness scores on the Acute Physiological and Chronic Health Evaluation II (APACHE-II) scale [2]. It is estimated that 30-40% of delirium cases in the ICU are preventable [8]. Modifiable risk factors of delirium include sleep deprivation, immobility, dehydration, and sedation (e.g., benzodiazepines). Delirium risk factors in the ICU may also be categorized as: 1) pre-existing, 2) illness-related, and 3)

hospital-related [34]. Pre-existing patient characteristics include substance use (e.g., drugs or alcohol) and pre-existing psychiatric disorders (e.g., depression) [35]. Illnessrelated factors include related medications needed to treat the reason for ICU admission, such as sedatives [2]. Lastly, hospital-related factors include environmental settings that prevent patients from resting (i.e., machine noises causing lack of sleep) [36]. Therefore, addressing modifiable risk factors of delirium may be a useful avenue to improve outcomes in critically ill patients.

The American Psychiatric Association's (APA) fifth edition of the Diagnostic and Statistical Manual of Mental Disorders (DSM-5) is considered the gold standard for assessing delirium [1, 37]. DSM-5 delirium diagnostic criteria are: 1) disturbance in attention and awareness, 2) change from baseline attention and awareness in an acute and fluctuating manner, 3) disturbance in cognition, such as memory deficit, 4) the disturbances in 2 & 3 are not due to pre-existing conditions, and 5) evidence from diagnostics that this change is due to another medical condition or intervention (i.e., alcohol withdrawal) [1, 37]. Screening for delirium in the ICU is recommended by clinical practice guidelines [38]. Two common tools are used to detect delirium in the ICU: 1) the Intensive Care Delirium Screening Checklist (ICDSC) [39] and 2) the Confusion Assessment Method-ICU (CAM-ICU) [40]. The ICDSC is an 8-item delirium assessment tool. Items include altered level of consciousness and other symptoms, including inattention; disorientation; hallucination, delusion or psychosis; psychomotor agitation or retardation; inappropriate speech or mood; sleep-wake cycle disturbance; and symptom fluctuation [39]. The ICDSC is validated and commonly used in ICUs worldwide [39]. A score of 0 (not present) or 1 (present) is given per item on the ICDSC [39]. An ICDSC

score of  $\geq$ 4 (out of 8) is indicative of delirium [39]. A meta-analysis of four studies reported that the pooled sensitivity of the ICDSC was 74% and the pooled specificity of the ICDSC was 81.9% [41]. Given the pooled sensitivity of the ICDSC, individuals that present with delirium symptoms may be misclassified as having no delirium (false negative), if their symptoms are attributed to a pre-existing disorder (e.g., neuropsychiatric disorder) rather than delirium. The CAM-ICU is another validated tool commonly used in ICUs [41]. The CAM-ICU is comprised of four delirium criteria (features): 1) fluctuation in mental state, 2) inattention in mental state, 3) altered level of consciousness, and 4) disorganized thinking [41]. A meta-analysis of seven studies reported that the pooled estimated sensitivity of the CAM-ICU was 80.0% and the pooled specificity was 95.9% [41].

Bedside nurses in all Alberta ICUs assess delirium (in eligible patients) twice per shift using the ICDSC [38]. Eligible patients for ICDSC delirium screening are those with a Richmond Agitation and Sedation Scale (RASS) greater than or equal to -3. The RASS is a scale ranging from -5 to +4 used to assess consciousness through evaluating arousal, cognition, and stimulation. RASS scores are used to assess the first item within the ICDSC tool (i.e., altered level of consciousness) [42]. Individuals with a RASS score of zero are considered calm and alert and are able to pay attention to healthcare providers. A score of -1 indicates mild sedation (responds to stimulus for more than 10 seconds) [42]. Incremental scores between -1 to -5 indicate increasing sedation. A score of -5 represents no response to any stimulus (voice or physical) [42]. Scores of +1 to +4 indicate increased agitation and anxiety. A score of +4 indicates extreme violence and potential danger to surroundings.

#### 1.2.3 Patient and Family-Centered Care

The paradigm of medicine has shifted towards optimizing patient and familycentered care, where both patients and their families are integral to shared decisionmaking within the healthcare system [43]. Patient and family-centered care is defined as an approach towards healthcare that provides a mutually beneficial partnership between healthcare providers, families, patients, and all levels within the healthcare system [43]. Patients and families have unique experiences and perspectives that directly inform their needs and satisfaction with healthcare. The Institute for Patient and Family-Centered Care identified four main components of patient and family-centered care: 1) dignity and respect, 2) information sharing, 3) participation, and 4) collaboration [44]. Dignity and respect refer to active listening and honoring of patient and family decisions. This includes incorporating patient and family wishes with regard to their culture, spirituality, beliefs, and values in their care plan. Information sharing refers to healthcare providers providing complete, accurate, and timely dissemination of medical knowledge in an unbiased manner [44]. Participation refers to encouragement of patient and family engagement in all levels within the healthcare system. Lastly, collaboration refers to active shared decision-making, policy making, and program-developing between families, patients, healthcare providers, and healthcare leaders in education, research, and delivery of care [44]. In summary, patient-centered and family-centered care is an approach to planning, developing, and implementing healthcare in a manner that is inclusive to patient and family perspectives.

Research funding agencies and healthcare policy makers have been strong proponents of involving stakeholders in healthcare and research [45-47]. In this case,

stakeholders are defined as those who are responsible for or directly affected by the healthcare system. Stakeholders include but are not limited to the public, healthcare providers (e.g., physicians, nurses, pharmacists), consumers (e.g., patients and families), payers (e.g., insurance companies, government funders), policy makers, product makers, and principal investigators [47]. There are many benefits of engaging patients and their families in research and healthcare. Patients and families have novel expertise that may improve the relevance of research questions, accelerate knowledge translation, and advance research engagement [48]. Involving family in healthcare settings results in greater clinician, patient, family, and staff satisfaction, in addition to increased efficiency in resource allocation [48]. Caregivers and family members of patients may help facilitate psychological support and medication administration that healthcare providers would be unable to do alone, which may maintain medicine regime and reduce the burden of cognitive impairment, patient distress, and pain [49-51]. Engaging patient and stakeholders in research and healthcare enhances patient experience within the healthcare system [48]. Thus, partnerships between patients, families, and healthcare providers leads to improved patient care and outcomes.

#### **1.2.4 Perspectives on Family Presence in Healthcare**

Families are integral to patient well-being, comfort, and healing, leading to positive patient outcomes in hospital settings [48]. Increased family involvement and presence in hospitals has shown to improve delivery of vital information [49], patient adherence to treatment [52], and accountability of healthcare providers [53]. One study found that 46% of families in pediatric settings provided new and necessary patient information during clinical rounds [54]. Patients have voiced their desire to have their

loved one or family member by their side [10]. Family members may motivate patients towards self-management and adherence to treatment regimens during their hospital stay and post-discharge [52]. In addition, family presence in the ICU is shown to reduce adverse events [53], diagnostic tests [55], hospital length of stay [56], and hospital utilization [57].

Family presence and care in the hospital setting requires involvement from healthcare providers [49]. Nurses may have to expand their role to include, educate, and comfort family members at the bedside. Existing studies demonstrate that nurses perceive family involvement as positive despite the work needed for nurses to act as communicators with both patients and their families [58]. In multiple surveys, both nurses and families reported greater satisfaction with open hospital visitation policies as families may provide patient comfort, which is beneficial during patient care [58, 59].

#### 1.2.5 Perspectives on Family Presence in the ICU

Family member presence in the ICU increases opportunities for shared decisionmaking [12, 16]. Through shared decision-making between families and healthcare providers, collaboration on patient medical treatment plans may be achieved. This collaboration may result in greater patient treatment planning [10] and reduced administration of medicine, which in turn may result in positive patient and family experience [10] such as increased recovery time [60]. In addition, family members may also help facilitate methods to calm patient distress [16]. Within the context of delirium, shared decision-making between family, critical care clinicians, and staff may reduce modifiable risk factors for delirium such as patient sleep schedule [2, 60]. Moreover, family members of critically ill patients may employ non-pharmacological interventions

for the management of delirium by providing a familiar environment that can enhance patient sleep hygiene and cognition [7, 9]. Given the benefits of family presence, it is surprising that only 17% of patients have families present in adult ICU populations [16].

Three before-and-after studies have evaluated the effects of increased family visiting hours on the incidence of delirium in critically ill patients [61-63]. Westphal et al. assessed the effects of a 24-hour open visitation policy on the incidence of delirium in critically ill patients [61]. Family members of 248 consecutive patients admitted to the ICU chose between having a flexible 24-hour open visitation (Phase 2) as opposed to the restricted 6-hour visitation (Phase 1) [61]. The authors found that the increase in visitation hours between Phase 1 and Phase 2 resulted in a 5.4% reduction in the cumulative incidence of delirium [61]. These results were consistent with a systematic review including two studies that evaluated flexible family visitation hours and the incidence of delirium in critically ill patients [63-65]. The systematic review found that flexible family visitation hours were associated with both a reduced incidence of delirium and patient anxiety [64]. The above studies used small sample sizes (n<400) and were single-center [64], which decreases the generalizability of these findings. Rosa et al. assessed the effects of extended (12 hour/day) versus restricted (4 hour/day) family visitation hours on the incidence of delirium in a before-and-after study [62]. Results showed that 14 out of 145 (9.7%) patients in the extended visitation group developed delirium compared to 29 patients out of 141 (20.6%) in the restricted group [62]. Although the study reports a statistically significant difference between both groups, limitations must be considered. It is plausible that families of critically ill patients who chose the flexible 24-hour ICU visitation would be more involved in the healing process

of patients than families who declined to be a part of the 24-hour open visitation policy [62]. This could potentially lead to an overestimation of the reduction in the cumulative incidence of delirium. Eghbali-Babadi et al. compared the incidence of delirium in patients within a cardiovascular surgery ICU in a randomized control trial [65]. Family of patients in the intervention group were allowed to visit patients the morning after surgery (n=34) while those in the control group received standard care (visiting 24 hours after surgery) (n=34) [65]; the incidence of delirium was significantly reduced by 11.8% in the intervention group [65]. A randomized control trial exploring flexible ICU visiting hours and the incidence of delirium reported contradictory results to studies mentioned above [66]. The trial reported no association between flexible ICU visitation hours and the incidence of delirium in critically ill patients [66]. Delirium developed in 157 of 831 patients (18.9%) in the intervention group (flexible family visitation hours) and in 170 of 845 patients (20.1%) in the restricted group (limited visitation hours). Additionally, the study cannot be generalizable to Canadian ICUs, given that the standard of care in most Canadian ICUs is 24/7 ICU visitation hours (with exceptions [67]). Although the sample size was large (n=1,685), the estimated data collection time was limited (two months) [66]. The limited intervention time may not be sufficient to measure increased family presence or statistical significance since increased visitation hours might not correlate with direct ICU family presence, especially within a short time-frame. This may result in misclassification bias that underestimates the reduction of cumulative delirium incidence (adjusted difference in incidence of delirium between flexible and restrictive visitation hours, -1.7% [95% CI, -6.1% to 2.7%]; p = 0.44) [66]. Secondly, the trial was susceptible to misclassification bias since increased family visitation hours may not

correlate to increased family member presence. It is plausible that family members in the intervention group may not have engaged or utilized the extended ICU visitation hours. This may have resulted in an underestimation of the reduction in incident delirium [66].

#### 1.2.6 Administrative Data and Documentation of Family Presence in Alberta ICUs

Administrative data is collected by the government for medical record keeping purposes [68, 69]. This data is not collected for the primary purpose of research but may be used in research studies. Therefore, administrative data used in research is often referred to as secondary data. Administrative data provides an efficient method for obtaining a large sample size given that the data was already collected for administrative or clinical purposes [68]. This allows for data collection in a feasible and economic manner compared to other study designs (i.e., prospective cohort studies). However, administrative data does have limitations. Researchers are limited to the variables collected in the administrative data. This may lead to residual confounding if important covariates are not available for use in analysis. In addition, administrative data may be prone to errors and missing data, resulting in limited quality of the dataset used [68].

In Alberta, electronic health records of ICU patients are collected in eCritical [69]. eCritical is a provincial critical care population-based bedside clinical information system which captures in real-time demographic and patient characteristics such as age, sex, severity of illness, delirium, and family presence. Findings from Brundin-Mather *et al.* support the quality of eCritical, as the median kappa between data

extraction from eCritical and manual collection by data auditors was 0.99 (Inter Quartile Range [IQR], 0.92-1.00) [69].

Family communication and visitation (i.e., presence) is recorded as free-text in eCritical [69, 70], which may lead to barriers in coding for family presence in a large cohort. To mitigate this barrier, a Natural Language Processing (NLP) algorithm was developed by Lucini et al. to document family presence through analyzing human language documented in eCrtical [70, 71]. The algorithm incorporated rule-based classifier training. Variables included in the algorithm were: ICU outcomes (length of stay, survival, illness severity), demographics (sex, age), and specific family variables (type of contact, family documented, visit duration). The algorithm was trained to conclude family presence when a set of conditions were satisfied. If the record of a patient contained information related to the defined inclusion category (condition one, e.g. "Presence or Visit in ICU") and sub-category (condition two, "Comment on Family Presence or Meeting"), then the record was classified as "true", which is indicated family presence. Categories included both inclusion and exclusion criteria. For instance, documented family member presence by situation (e.g., did a healthcare provider speak to a family member?) or general documentation (e.g., significant other). Other conditions involved documentation of parameters specific (sub-category) to each patient (e.g., surname, name, or phone number of family member). If family presence was detected by the NLP algorithm (as described above) in any of the patient's recorded ICU days of stay, family was considered present for that patient. The algorithm also captured the mode of family presence (i.e., separating in-person ICU visits and phone calls) [70]. The algorithm was validated by comparing the performance of the rule-based

classifier with a reference standard. The reference standard for family communication was established by an independent and blinded researcher who manually reviewed all records within a random sample of 280 patients [70]. The sensitivity, specificity, and area under the receiver operator curve (AUROC) was high (above 80%) for classification of family physical visits [70] and phone calls between patients and families.

#### 1.2.7 Knowledge Gap and Significance

Given the high incidence of delirium in the ICU and evidence of the positive impact that family presence has on critically ill patients, it is imperative to evaluate the role of family in delirium prevention. Research has shown that critically ill patients value the presence of their loved ones and family members in the ICU [72]. Despite this, there is a paucity of knowledge regarding the direct impact of ICU family presence, rather than visitation hours, on the incidence and duration of delirium in critically ill patients. Prior studies measured the impact of family presence through increased ICU visitation hours rather than estimating direct family physical presence in the ICU. Estimates reported in these studies may underestimate the impact of family presence on delirium since increased visitation hours may not correlate with increased family presence. In addition, to our knowledge none of these studies assessed the impact of family presence on the duration of delirium. To close these knowledge gaps, we used a large multi-center population-based cohort of critically ill patients to evaluate the association between family presence and the incidence and duration of delirium in critically ill patients. Stakeholders (including patients, families, providers, and decision makers) helped to identify treatment of delirium as an important area of research in the ICU [6]

and helped create the proposed study question. Stakeholders were involved during all parts of the research (proposal development, knowledge translation, and discussion), which contributed to the overall success of the project as their involvement increased knowledge and capacity for patient-oriented research. It is hypothesized that there is an association between family presence and reduced delirium incidence and duration in the ICU. Such findings can increase awareness on the importance of family presence in the ICU and thus increase active collaboration between patients, families, healthcare providers, and decision makers. Moreover, findings from this research can directly inform stakeholders, including patients, families, healthcare providers, and decision makers that will increase family involvement in the ICU.

#### **1.3 Aims and Objectives**

#### 1.3.1 Objectives

The study objectives are to evaluate: 1) the association between family presence and the incidence of delirium in critically ill adults admitted to the ICU; and 2) the association between family presence and the duration of delirium in critically ill adults admitted to the ICU. Objectives 1 & 2 were analyzed using mixed-effects models to compare results when data is analyzed per clusters of ICU re-admission and clustering by ICU site where appropriate.

### 1.3.2 Hypotheses

We hypothesize that: 1) family member presence will decrease the incidence of delirium; and 2) family member presence will decrease the duration of delirium in critically ill patients.

# CHAPTER 2: IMPACT OF FAMILY PRESENCE ON DELIRIUM IN CRITICALLY ILL PATIENTS: A RETROSPECTIVE COHORT STUDY

#### 2.1 Abstract

**Purpose:** To assess the impact of family presence on the incidence and duration of delirium in adults admitted to the intensive care unit (ICU).

**Methods:** We obtained electronic health records of consecutive adults ( $\geq$ 18 years) admitted to any medical-surgical ICU (in 14 hospitals) in Alberta, Canada from January 1, 2014 to December 30, 2018, using deterministic linkage of administrative databases. Family presence (exposure) was quantified using a validated algorithm and categorized as: 1) physical presence in ICU, 2) phone call only, and 3) no presence (reference group). Delirium was measured using the Intensive Care Delirium Screening Checklist (ICDSC). An ICDSC  $\geq$ 4, after family presence (yes/no), identified incident delirium. Multivariable mixed-effects logistic and linear regression were used to evaluate the association between family presence and incidence (binary) and duration (days) of delirium, respectively. Models were adjusted for a priori identified covariates (e.g., ICU admission type, Glasgow Coma Scale [GCS]).

**Results:** Family physical presence was associated with increased incidence of delirium in the overall cohort (crude odds ratio [OR] 1.19, 95%CI:1.11-1.27, p=0.02), and reduced incidence of delirium in elective-surgical patients with intact GCS (GCS=15) (adjusted OR 0.60, 95%CI:0.39-0.97, p=0.02), compared to patients in the reference group. Family physical presence decreased duration of delirium (-1.87 days, 95%CI:-2.01 to -1.81, p<0.001).

**Conclusions:** Family presence in the ICU was associated with both decreased incidence (in elective-surgical patients with intact GCS) and decreased duration (among

all ICU patients) of delirium. Findings may inform policy changes that will encourage family presence in the ICU.

#### 2.2 Background

The Intensive Care Unit (ICU) provides health services to patients with complex and critical conditions [1]. Delirium, an acutely disturbed state of consciousness, characterized by sudden onset or fluctuating course, inattention, and disorganized thinking [2], impacts up to 50% of critically ill patients [3], Delirium may lead to worse patient and health system outcomes, such as increased risk of long-term cognitive impairment, mental health problems (e.g., anxiety, depression), higher risk of mortality, and higher healthcare costs [3-7].

Delirium is difficult to detect and manage given its fluctuating course, numerous risk factors (e.g., age, sedatives, infection, sleep deprivation), and resistance to pharmacological management [8]. Given this, the Society of Critical Care Medicine guidelines highlight the management and treatment of delirium as top priority for future research [5]. Non-pharmacological therapies (e.g., early mobilization) are preferred over pharmacological interventions to prevent and manage delirium in the critically ill [8, 9]. A systematic review reported that pharmacological prophylaxis for the prevention and the treatment of delirium was not only ineffective, but in some cases was harmful and led to increased mortality in critically ill patients. Conversely, the use of non-pharmacological interventions, reduce the incidence of delirium in critically ill patients [5, 10].

Family members of critically ill patients may help facilitate non-pharmacological delirium prevention and management interventions such as maintaining a day/night

schedule, promoting early mobilization, and general environmental support (e.g. hygiene routine) [11, 12]. Additionally, family member presence in the ICU may relieve patient anxiety [13]. As such, family presence may help minimize delirium burden among patients admitted to ICUs. Few studies have investigated the impact of family presence on the incidence of delirium, and to our knowledge, none have assessed the impact of family presence on the duration of delirium in critically ill patients [14, 15]. The purpose of this study was to evaluate the association between family presence and: 1) delirium incidence and 2) duration of delirium among adults admitted to an ICU.

#### 2.3 Methods

This retrospective cohort study was reported according to STrengthening of Reporting of OBservational studies in Epidemiology (STROBE) [16], and REporting of studies Conducted using Observational Routinely-collected Data (RECORD) statement (Appendix 1) [17]. The project was approved by the Conjoint Health Research Ethics Board at the University of Calgary (REB17-0389).

#### 2.3.1 Study Population

The study population consisted of patients admitted to any of 14 general medicalsurgical ICUs in Alberta, Canada between January 1, 2014 and December 30, 2018. All ICUs utilized the same standard of care which includes open visitation hours and routine delirium assessment, prevention, and management [18]. Patients were included if they met the following criteria: 1) aged 18 years or older; 2) had at least one assessment of delirium using the Intensive Care Delirium Screening Checklist (ICDSC) during their ICU stay; 3) stayed at least 24 hours in the ICU; 4) had data that was linked

to the Discharge Abstract Database (DAD); and 5) resided in Alberta at the time of ICU admission (to ensure population from Alberta).

#### 2.3.2 Data Sources

Patient clinical information was extracted from eCritical, a population-based bedside clinical information system which captures real-time clinical data for all adult ICU patients in Alberta [19]. eCritical was linked to the DAD, which includes demographic, diagnostic, and procedural data on all patients discharged from the hospital [20]. Using deterministic linkage to eCritical, the DAD was used to confirm Alberta residency status [20].

#### 2.3.3 Measurement of Exposure: Family Presence

Family presence was recorded in eCritical as free-text, making it time-consuming to categorize manually [21, 22]. To eliminate this barrier, a Natural Language Processing (NLP) algorithm was developed by our team (KK, FL) to determine family presence from the medical record [21]. The NLP algorithm was developed by analyzing human language documented in eCritical [21, 23] using a rule-based classifier training, which uses IF-THEN rules [21]. If a record for a patient contained information related to the defined inclusion category (condition one, e.g. "Phone Calls") and sub-category (condition two, e.g. "Comment on Family Phone Call"), then the record was classified as "true", which was indicative of family presence (See Appendix 2 for conditions). The algorithm also captured the mode of family presence (e.g., in-person or phone call), yielding a three-level exposure (physical presence in ICU, phone call only (direct between patient and family), no presence or phone call [reference group]; details in

Appendix 3). The algorithm was validated by comparing the performance of the rulebased classifier with a reference standard (area under the curve above 80%) [21].

#### 2.3.4 Measurement of Outcomes: ICDSC (Intensive Care Delirium Screening Checklist)

Among eligible patients with a Richmond Agitation and Sedation Scale (RASS) score >-4, bedside nurses in all Alberta ICUs assess delirium twice per shift (morning and night) [24], using the ICDSC [25]. The ICDSC is a validated 8-item delirium assessment tool for use in the ICU (1 point per item [i.e., inattention, disorientation, hallucination] minimum 0 and maximum 8) [26]. Scores of 4 out of 8 on the ICDSC are indicative of delirium (sensitivity: 99%; specificity: 64%) [26]. For the primary outcome, incident delirium was defined as an ICDSC score of ≥4, that occurred after documentation of family presence. The secondary outcome, duration of delirium (in patients who had delirium), was measured as the total number of ICU days (24-hour periods) with a positive ICDSC score (≥4 points) . Proportion of days with delirium (secondary analysis) was calculated by dividing the number of days with a positive ICDSC score by the total length of ICU stay and subsequently reported in the following strata: 0%-24.99%, 25-49.99%, 50%-74.99%, 75%-100%.

#### 2.3.5 Measurement of Delirium Risk factors, Modifiers, Confounders

Selected covariates to include in the regression models were informed by previous studies [4, 15, 27, 28]. Patient characteristics from eCritical included age (continuous and dichotomous [≥65, <65]), sex (dichotomous [female, male]), patient chronic health conditions upon admission dichotomized as ever/never (heart failure, respiratory insufficiency, metastatic cancer, immune suppression, cirrhosis, and diabetes mellitus), Clinical Frailty Scale (scores ranging from 0-9, [continuous]) [29],

admission Acute Physiology And Chronic Health Evaluation II [APACHE-II] score (ordinal) [30] and Sequential Organ Failure Assessment [SOFA] (ordinal), clinical characteristics assessed upon admission such as the Glasgow Coma Scale (GCS) (dichotomous, intact GCS [GCS=15], impaired GCS [GCS <15]) [31], and, Charlson Comorbidity Index (CCI) score (ordinal), ICU admission type (elective-surgical, emergency-surgical, medical), ICU interventions such as invasive mechanical ventilation, non-invasive mechanical ventilation, dialysis, vasoactive medication, continuous renal replacement therapy (dichotomized, ever/never), RASS (Agitated [+1 to +4], Alert and Calm [0], Sedated [-1 to -4], and Comatose [-5]) [24], and ICDSC (continuous and ordinal scores of 0, 1-3, 4-5, 6-8) [32]. Hospital characteristics were also included, such as teaching status (dichotomous, yes/no), hospital type (tertiary, community, and regional), and hospital size (median number of ICU and hospital beds). **2.3.6 Data Analysis** 

Statistical analyses were performed using Stata Version 16.0 (StataCorp, Texas) and the two-sided significance level was set at 5%, with 95% confidence intervals (CIs) accompanying estimates. Patient characteristics were summarized using descriptive statistics (i.e., mean, median, proportions). Methods of data handling and cleaning are described in Appendices 4 & 5 respectively [20, 33, 34].

Multivariable mixed-effects logistic regression was used to evaluate the association between family presence (physically present in the ICU, phone call only, no presence or phone calls [reference group]) and incidence of delirium; outcomes of association are presented as odds ratios (OR). Multivariable mixed-effects linear regression was used to evaluate the association between family presence and delirium

duration, wherein regression estimates reflect the difference in mean days of delirium comparing family presence with no family presence or phone calls (reference group). Family presence was categorized as family physical presence in the ICU during or prior to patient delirium onset. The above models were performed using a mixed-effects modeling to compare estimates when data were analyzed after accounting for clustering by ICU site and ICU re-admission and standard errors of repeated measures. The analysis accounted for both random and fixed effects [34]. Random effects represent shared effects of each patient [34, 35], which means the outcomes of patients were allowed to vary in defined aggregated group means (ICU re-admission, ICU site). Results from the mixed-effects analysis (for logistic and linear regression) were reported where the omnibus test was significant, for either clustering by re-admission or ICU site, meaning it is necessary to adjust estimates for either patient re-admission, ICU site, or both. Covariates in models were assessed as potential effect measure modifiers prior to an assessment of confounding by examining the significance of interaction terms in each model. If an interaction term was statistically significant (p < 0.05), effect modification was deemed present. Sensitivity analyses were performed: 1) excluding those who died in the ICU and 2) comparing family presence as a binary variable (grouping physical presence and call). Secondary analyses were completed to explore granular patient diagnoses (e.g., cancer, trauma) for each admission type and percentage days with delirium stratified by family presence [36].

### 2.4 Results

### 2.4.1 Study Population

Between January 1st, 2014 and December 31st, 2018, 47, 195 unique patients were admitted at least once to an Alberta ICU. A total of 36,496 unique patients met initial inclusion criteria (Figure 1). Of those patients, 10.396 patients did not have the data required for the family presence algorithm, leaving 14,847 patients with delirium for the secondary outcome (Appendix 6). For the primary outcome, 563 patients had delirium prior to family exposure, leaving 25,537 unique patients in the study population. Included patients had a median age of 59 years (interguartile range [IQR], 46-70), were predominately male (n=14,690, 57.5%), and admitted for medical reasons (74.7%) (Table. 1). The median ICDSC score during ICU stay was 4 (IQR, 2-6) and 14,284 had delirium at least once during their ICU stay (55.9%, 95%CI: 55.3-56.5%) (Table 2). Patients who had family members present in the ICU had a median admission APACHE-II score of 19 (IQR, 14-25), while those with a family phone call or no ICU family present had a median APACHE-II score of 17 (IQR, 12-22) and 14 (IQR, 10-19), respectively (Table 3). The most common ICU admitting diagnoses by admission type are shown in Appendix 7.

# 2.4.2 Family Presence and Incidence of Delirium

The omnibus test from the mixed-effects model, adjusting for ICU re-admission, had a p-value of 0.04, suggesting that adjustment of results by ICU re-admissions was necessary to assess the relationship between family presence and incidence of delirium. The omnibus test for the mixed-effects model clustering by ICU site was nonsignificant (p=0.89). Family physical presence was associated with increased incidence

delirium in the overall cohort (unadjusted odds ratio [OR] 1.19, 95%CI:1.11-1.27, p=0.02), compared to patients in the reference group (Table 3). When stratified by admission type (elective-surgical, emergency-surgical, medical; effect modifier, p=0.01) and whether the patient's GCS score was intact (GCS=15 vs < 15; effect modifier, p<0.001) (concurrent effect modification by GCS and admission type, p<0.001), family physical presence was associated with lower incidence of delirium for patients admitted following elective surgery with intact GCS (GCS=15) (OR 0.60, 95%CI:0.39-0.97), compared to patients in the reference group (Table 3). There was no significant difference in incidence of delirium among patients with intact or impaired GCS (GCS=15) vs < 15) in medical and emergency-surgical admissions given family presence compared to patients in the reference group (Table 3 and Figure 2).

## 2.4.3 Family Presence and Duration of Delirium

The omnibus test from the mixed-effects regression, adjusting for ICU readmission, had a p-value of 0.01, suggesting that adjusting for ICU re-admission was necessary to assess the relationship between family presence and delirium duration. The omnibus test for mixed-effects by ICU site clustering was non-significant (p=0.09). After adjusting for covariates, both family physical presence and a family call significantly decreased the duration of delirium (MD [Mean Difference] -1.87, 95%CI: -2.01 to -1.81) and (MD -1.41, 95%CI: -1.52 to -1.31) respectively, as compared to patients in the reference group (Table 4).

# 2.4.5 Sensitivity Analyses

Sensitivity analysis excluding patients who died in the ICU showed similar results for the association between family presence and the incidence and duration of

delirium (Appendices 8 & 9). The adjusted MD (-1.90 days, 95%CI: -2.13 to -0.73) in patient delirium days comparing family presence (as a binary variable) and the reference group was similar to ICU family physical presence when coded as a threelevel exposure (Appendix 10). The association between family presence and percentage days with delirium are shown in Appendix 11.

### 2.5 Discussion

This retrospective population-based cohort study among 25,537 adults admitted to the ICU found that compared to no family visits or phone call, family physical presence was associated with increased incidence of delirium among all patients and reduced incidence of delirium in patients admitted following elective surgery with intact GCS at the time of admission. In all patients, family presence was associated with reduced duration of delirium compared to when no family physical visits or phone calls were observed. In general, sicker patients had more in-person visit than those with less severe illness. Our findings suggest that in select critically ill patients, family presence is associated with reduced incidence and duration of patient delirium.

Few studies have evaluated the effect of family presence on delirium in critically ill patients [37-40]. A before-and-after study by Westphal et al. found that an increase in visitation hours resulted in a significant (5.4%) reduction in the cumulative incidence of delirium in critically ill patients [37]. A systematic review reported that flexible visitation policies were associated with reduced frequency of delirium in critically ill patients (pooled OR 0.39; 95%CI:0.22-0.69; I2 = 0%) [40]. Similarly, our findings support that family presence may reduce incidence of delirium in elective-surgical critically ill patients with intact GCS. In contrast, a randomized controlled trial of flexible ICU visitation hours

reported no association between flexible ICU visitation hours and the incidence of delirium in critically ill patients [41]. We found that family presence decreased delirium duration by two days when adjusting for patient re-admission. Patients who were previously admitted to the ICU may be predisposed to experiencing delirium in their next admission, thereby underestimating the effect of recurrent delirium when analyzing per patient admission [42]. If patients are re-admitted to an ICU, it may be important for family members to be present to aid in delirium management.

The association between family presence and incidence of delirium in the ICU is complex, with major differences observed among strata defined by patient admission type, and GCS on admission. As such, family absence could be a modifiable risk factor for incident delirium in elective-surgical patients with intact GCS. Patients admitted for elective-surgical reasons have lower risk of developing delirium, compared to patients admitted for emergency-surgical or medical reasons, given that they have less risk factors for delirium such as reduced illness severity [27]. ICU patients admitted for medical reasons may have limited benefit from family member presence given their high exposure to non-modifiable delirium risk factors (i.e., high comorbidity level) [27]. Patients admitted with intact GCS (i.e., high GCS scores indicating normal consciousness and brain function) can receive cognitive stimulation from family members through family interaction [43]. Conversely, patients with impaired GCS (low GCS scores) may not be able to meaningfully engage with their family members due to the patient's limited capacity [44], thereby restricting potential benefit from interaction with the family member. Our study was only able to measure family presence in the

ICU; family ability to engage with patients (i.e., who have intact GCS [31]) encourages further interaction, which may in turn reduce incident delirium.

Given the high incidence of delirium and its detrimental outcomes, it is imperative to understand how family member presence impacts patient delirium. Our findings highlight the importance of family presence in the ICU. However, more research is needed on increasing opportunities for meaningful family engagement. For example, a recent study showed that it is feasible for family to aid in the detection of delirium, therefore increasing opportunities for them to provide bedside care and aid in shared decision-making [45]. Lastly, future studies may explore mechanisms between family presence on different ICU admission types (e.g., elective-surgical, medical) and patient consciousness level on delirium in critically ill patients.

This study has strengths and limitations. Our large population-based sample size (n=25,537) from all 14 adult ICUs in Alberta is a major strength, increasing the precision of our results. This may allow generalization to other ICUs with similar healthcare systems and populations. Family presence was captured using a novel NLP algorithm developed by our team [16], yielding an accurate representation of family presence compared to quantifying family presence according to visitation policy alone, however family presence may have been underreported as its documentation was optional for nursing staff to complete. This may have led to misclassification of exposure status (i.e., family presence) irrespective of outcome status, thereby biasing estimates of effect toward the null value. The study is also limited in that family presence was coded when family met with ICU nurses or healthcare staff. We assumed that families would visit their loved ones before or after these meetings. Additionally, we did not have data on

what activities, if any, the family members engaged in. For example, some family members may not have actively engaged with the patient, thus underestimating the effect of active family engagement on incidence and duration of delirium. Lastly, we did not assess for time-dependent change in the incidence of delirium associated with family presence (exposure). Thus, our results may be a conservative estimate of the effect of family presence on delirium in critically ill patients.

## 2.6 Conclusion

ICU family presence was associated with a reduction in the incidence of ICU delirium in patients with intact GCS admitted following elective surgery. In all patients, family presence in the ICU and phone call was associated with reduced duration of delirium of up to two days and one day, respectively. Family member presence (and involvement in care) in the ICU may be an important mechanism to achieve better delirium-related outcomes for critically ill patients. Findings may inform stakeholders and future research on policy changes that will encourage family presence in ICU care.

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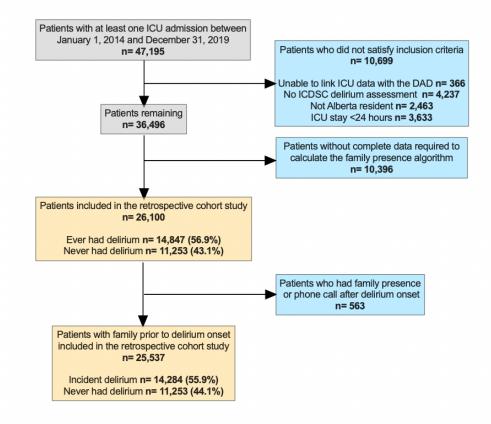
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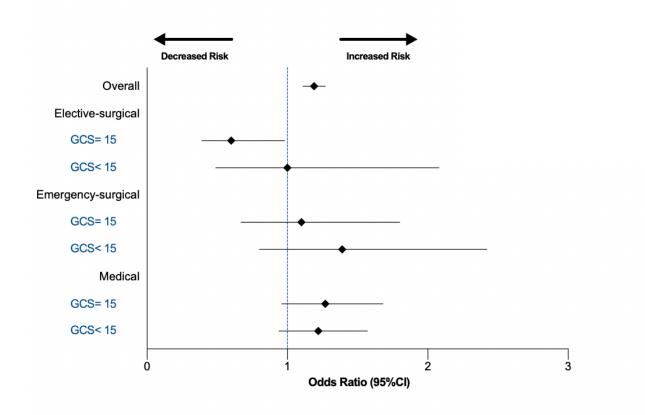
# 2.8 Figures

# **Figure 1. Participant Flow Chart**



Abbreviations: DAD, Discharge Abstract Database; ICDSC, Intensive Care Delirium Checklist; ICU, Intensive Care Unit

Figure 2. Forest plot of odds ratio of delirium associated with in-person family presence compared to no family presence or phone call in the intensive care unit



Abbreviations: GCS, Glasgow Coma Scale; CI, Confidence Intervals

# <u>2.9 Tables</u>

# Table 1. Demographic and clinical characteristics of the study population (primary outcome)

		Family presence			
	Total	Physical presence <sup>1</sup>	Family call only <sup>2</sup>	No visit <sup>3</sup>	
Characteristics	(n=25,537)	(n= 23,121)	(n= 591)	(n= 1,825)	
Age, yr, median (IQR)	59 (46-70)	59 (46-70)	59 (49-68)	58 (47-67)	
≥65 years, n (%)	9,580 (37.5)	8,789 (38.0)	201 (34.0)	590 (32.3)	
Sex, female, n (%)	10,847 (42.5)	9,980 (43.2)	202 (34.2)	665 (36.4)	
Patient admitting type, n (%) <sup>a</sup>	0.040 (0.4)	4 507 (7 0)			
Elective-surgical	2,018 (8.1)	1,597 (7.0)	65 (11.4)	356 (21.5)	
Emergency-surgical Medical	4,285 (17.2)	3,904 (17.2)	1.07 (18.7)	274 (16.5)	
Comorbidities, n (%)	18,600 (74.7)	17,171 (75.7)	400 (69.9)	1,029 (62.0)	
Diabetes	5,194 (20.3)	4,725 (20.4)	126 (21.3)	343 (18.8)	
Cirrhosis	1,427 (5.6)	1,328 (5.7)	42 (7.1)	57 (3.1)	
Heart failure	1,456 (5.7)	1,347 (5.8)	40 (6.8)	69 (3.8)	
Hepatic failure	796 (3.1)	754 (3.3)	14 (2.4)	28 (1.5)	
Metastatic cancer	889 (3.5)	799 (3.5)	23 (3.9)	67 (3.7)	
Immune suppression	2,044 (8.0)	1,889 (8.2)	45 (7.)	110 (6.0)	
Respiratory insufficiency	3,082 (12.1)	2,844 (12.3)	78 (13.2)	160 (8.8)́	
Acute Physiology and Chronic Health	, , ,	, , ,	(	( )	
Disease Classification System II <sup>4</sup>					
Score, median (IQR)	19 (14-25)	19 (14-25)	17 (12-22)	14 (10-19)	
Score, by quartile, n (%)					
Quartile 1 (<14)	6,330 (24.8)	5,292 (22.9)	181 (30.6)	857 (47.0)	
Quartile 2 ( $\leq$ 19 & $\geq$ 14)	7,150 (28.0)	6,409 (27.7)	199 (33.7)	541 (29.6)	
Quartile 3 (<25 & >19)	5,376 (21.1)	5,012 (21.7)	111 (18.8)	253 (13.9)	
Quartile 4 (≥25)	6,682 (26.2)	6,408 (27.7)	100 (17.0)	174 (9.5)	
Charlson Comorbidity Index, median (IQR) <sup>4</sup>	1 (0-3)	1 (0-2)	1 (0-2)	0 (0-2)	
Sequential Organ Failure Assessment, median (IQR) <sup>4</sup>	6 (4-9)	6 (4-9)	5 (3-8)	4 (2-6)	
Glasgow Coma Scale, median (IQR) <sup>4</sup>	14 (10-15)	14 (10-15)	15 (13-15)	15 (14-15)	
Score, by severity, n(%)	14 (10-13)	14 (10-13)	13 (13-13)	15 (14-15)	
Glasgow Coma Scale 15	10,239 (40.1)	8,955 (38.7)	292 (49.4)	992 (54.4)	
Glasgow Coma Scale <15	15,298 (60.0)	14,166 (61.3)	299 (50.6)	833 (45.6)	
Frailty Score, median (IQR) <sup>4,b</sup>	3 (2-5)	3 (2-5)	3 (2-4)	4 (2-4)	
ICU interventions, n $(\%)^5$	- ()	- ( /	- ( /	- ( /	
Dialysis	642 (2.5)	575 (2.5)	17 (2.9)	50 (2.7)	
Vasoactive medication	11,504 (47.4)	10,929 (47.3)	205 (34.7)	369 (20.2)	
Invasive mechanical ventilation	16,398 (64.2)	15,440 (6.8)	305 (51.6)	653 (35.8)	
Non-invasive ventilation	3,624 (14.2)	3,415 (14.8)	72 (12.2)	136 (7.5)	
Continuous renal replacement therapy	1,506 (5.9)	1,485 (6.4)	3 (0.5)	18 (1.0)	
Hospital length of stay, days, median (IQR)	12 (6-26)	13 (6-27)	10 (5-20.5)	9 (4-17)	
ICU length of stay, median (IQR) days	4.2 (2.3-8.0)	4.5 (2.6-8.6)	2.7 (1.9-4.1)	2.1 (1.6-3.4)	
Score, by quartile, n (%)		E 004 (00 0)	054 (40.0)	4 000 (50 0)	
Quartile 1 (<2.4) Quartile 2 (<4.2.8 $\ge$ 2.4)	6,501 (25.5)	5,221 (22.6)	254 (43.0)	1,026 (56.2)	
Quartile 2 ( $\leq 4.2 \& \geq 2.4$ )	6,426 (25.2)	5,734 (24.8)	195 (33.0)	497 (27.2)	
Quartile 3 ( $< 8.1 \& > 4.2$ )	6,320 (24.8)	5,999 (26.0)	113 (19.1)	208 (11.4)	
Quartile 4 ( $\geq$ 8.1)	6,290 (24.6)	6,167 (26.7)	29 (4.9)	94 (5.2)	
Patient mortality, n (%) Died in ICU	2,107 (8.3)	2,076 (9.0)	11 (1.9)	20 (1.1)	
	2,107 (0.5)	2,010 (0.0)	11(1.3)	20(1.1)	

Died in hospital	3,579 (14.0)	3,479 (15.1)	32 (5.4)	68 (3.7)
Hospital characteristics				
Teaching hospital, n (%)	19,936 (78.1)	18,167 (78.6)	465 (78.7)	1,304 (71.5)
Number of hospital beds, median (IQR)	695 (367-890)	695 (365-890)	695 (367-890)	695 (288-695)
Number of ICU beds, median (IQR)	18 (10-28)	18 (10-28)	25 (10-28)	14 (10-28)
Hospital type, n (%)				
Community	8,395 (35.0)	7,841 (35.9)	153 (26.5)	401 (25.6)
Regional	3,421 (14.3)	2,894 (13.3)	96 (16.6)	431 (27.5)
Tertiary	12,167 (50.7)	11,103 (50.8)	329 (56.9)	735 (46.9)

<sup>1</sup>Family physical presence as defined by physical presence at any time during ICU stay <sup>2</sup>Family call only as defined by telephone communication, without physical presence

<sup>3</sup>No visit means that the patient did not receive any in-person family ICU presence or phone call

<sup>4</sup>Score reported from assessment during admission to the ICU <sup>5</sup>At any point during ICU admission

<sup>a</sup>634 missing admission type

<sup>b</sup>23,502 missing frailty score Abbreviations: ICU, Intensive Care Unit; IQR, Interquartile Range

		Family presence			
Characteristics	Total (n=25,537)	Physical presence <sup>1</sup> (n= 23,121)	Family phone call only <sup>2</sup> (n= 591)	No visit <sup>3</sup> (n= 1,825)	
Delirium Prevalence <sup>4</sup>	56.9% (95%CI: 56.3-57.4)				
Intensive Care Delirium Screening Checklist <sup>5</sup>					
Score, median (IQR)	4 (2-6)	4 (2-6)	3 (1-6)	1 (2-4)	
Score, by severity, n (%)		× ,	, , , , , , , , , , , , , , , , , , ,	· · · ·	
Scores of 0	1,144 (4.5)	901 (3.9)	35 (5.9)	208 (11.4)	
Scores of 1-3	10,109 (39.6)	8,772 (37.9)	294 (50.0)	1,043 (57.2	
Scores of 4-5	5,184 (20.3)	4,830 (20.9)	106 (17.9)	248 (13.6	
Scores of 6-8	9,100 (35.6)	8,618 (37.3)	156 (26.4)	326 (17.9	
Richmond Agitation Sedation Scale, n (%)					
Agitated (scores of +1 to +4)	3,374 (13.2)	3,141 (13.6)	71 (12.0)	162 (8.9)	
Alert and calm (score of 0)	11,966 (46.9)	10, 506 (45.4)	299 (50.6)	1,161 (63.	
Sedated (scores of -1 to -4)	9,484 (37.1)	8,786 (38.0)	209 (35.4)	489 (26.8	
Comatose (scores of -5)	713 (2.8)	688 (3.0)	12 (2.0)	13 (0.7)	

# Table 2. Intensive Care Delirium Screening Checklist and Richmond Agitation Sedation Scale upon ICU admission

<sup>1</sup>Family physical presence as defined by physical presence at any time during ICU stay <sup>2</sup>Family call only as defined by telephone, without physical presence

<sup>3</sup>No visit means that the patient did not receive any in-person family ICU presence or phone call

<sup>4</sup>Maximum score reported from assessment during admission to the ICU

<sup>a</sup>Two missing RASS score

Abbreviations: ICU, Intensive Care Unit; IQR, Interguartile Range

## Table 3 Incident delirium and family presence in the intensive care unit

				Adjusted Odds Ratios** (95% CI)				
Family presence	Crude Odds Ratio (95% Cl) (n=25,537)	(95% CI) Ratios* (95% Admission Admission Admission		Admission		Medical admission (n= 18,600)		
			GCS 15	GCS < 15	GCS 15	GCS < 15	GCS 15	GCS < 15
Physical presence <sup>1</sup> (n=23,121)	4.20 (3.63-4.78) p<0.001	1.19 (1.11-1.27) <i>p=0.02</i>	0.60 (0.39-0.97) <i>p=0.02</i>	1.00 (0.49-2.08) <i>p=0.88</i>	1.10 (0.67-1.80) <i>p=0.13</i>	1.39 (0.80-2.42) <i>p=0.78</i>	1.27 (0.96-1.68) <i>p=0.77</i>	1.22 (0.94-1.57) <i>p=0.13</i>
Family call only <sup>2</sup> (n=591)	2.00 (1.58-2.52) p<0.001	1.14 (0.87-1.48) <i>p=0.34</i>	0.84 (0.35-1.79) <i>p=0.61</i>	0.75 (0.03-1.88) <i>p=0.90</i>	1.28 (0.52-2.69) <i>p=0.59</i>	0.92 (0.30-2.84) <i>p=0.89</i>	1.28 (0.52-1.40) <i>p=0.59</i>	1.31 (0.81-1.88) <i>p=0.32</i>
No visit <sup>3</sup> (n=1,825)								

<sup>1</sup>Family physical presence as defined by physical presence at any time during ICU stay

<sup>2</sup>Family call only as defined by telephone, without physical presence

<sup>3</sup>No visit means that the patient did not receive any in-person family ICU presence or phone call

\*Adjusted for age, sex, hospital type, admission type, Acute Physiology and Chronic Health Disease Classification System II score at ICU admission, Richmond Agitation Sedation Scale score at ICU admission, hospital length of stay, ICU length of stay, number of ICU beds, any receipt of invasive mechanical ventilation, Charlson Comorbidity Index score at ICU admission, Sequential Organ Failure Assessment score at admission, and Glasgow Coma Scale score at admission

\*\*Adjusted for age, sex, hospital type, Acute Physiology and Chronic Health Disease Classification System II score at ICU admission, Richmond Agitation Sedation Scale score at ICU admission, hospital length of stay, ICU length of stay, number of ICU beds, any receipt of invasive mechanical ventilation, Charlson Comorbidity Index score at ICU admission, Sequential Organ Failure Assessment score at admission

Dashes indicate reference group for multivariable logistic regression analyses

Multilevel mixed effect model accounts for patient repeated ICU admission

Abbreviations: ICU, Intensive Care Unit; IQR, Interquartile Range; GCS, Glasgow Coma Scale

Family presence	Crude Model (95% Cl) (n=14,847)	Adjusted Model* (95% C All patient admissions* (n=14,847)	:I)
Physical presence <sup>1</sup> (n=13,984)	1.33 (1.26-1.41) p<0.001	-1.87 (-2.01 to -1.81) <i>p&lt;</i> 0.001	
Family call only <sup>2</sup> (n=289)	-0.74 (-0.86 to -0.63) <i>p&lt;0.001</i>	-1.41 (-1.52 to -1.31) <i>p&lt;</i> 0.001	
No visit <sup>3</sup> (n=574)			

Table 4 Association between delirium duration and family presence in the intensive care unit

<sup>1</sup>Family physical presence as defined by physical presence at any time during ICU stay <sup>2</sup>Family call only as defined by telephone, without physical presence

<sup>3</sup>No visit means that the patient did not receive any in-person family ICU presence or phone call \*Adjusted for age, sex, hospital type, Acute Physiology and Chronic Health Disease Classification System II score at ICU admission, Richmond Agitation Sedation Scale score at ICU admission, hospital length of stay, ICU length of stay, number of ICU beds, any receipt of invasive mechanical ventilation, Charlson Comorbidity Index score at ICU admission, Sequential Organ Failure Assessment score at admission, and Glasgow Comma Scale score at admission

Dashes indicate reference group for multilevel mixed-effects linear regression analyses Abbreviations: ICU, Intensive Care Unit; CI, Confidence Intervals

# 2.10 Appendices

# Appendix 1. STROBE and RECORD items checklist

	Item No.	STROBE items	RECORD items	Paper
Title and abstra	act			-
	1	(a) Indicate the study's design with a commonly used term in the title or the abstract (b) Provide in the abstract an informative and balanced summary of what was done and what was found	RECORD 1.1: The type of data used should be specified in the title or abstract. When possible, the name of the databases used should be included. RECORD 1.2: If applicable, the geographic region and timeframe within which the study took place should be reported in the title or	Abstract
Introduction			abstract. RECORD 1.3: If linkage between databases was conducted for the study, this should be clearly stated in the title or abstract.	
Background rationale	2	Explain the scientific background and rationale for the investigation being reported		
Objectives	3	State specific objectives, including any prespecified hypotheses		
Methods				
Study Design	4	Present key elements of study design early in the paper		
Setting	5	Describe the setting, locations, and relevant dates, including periods of recruitment, exposure, follow-up, and data collection		
Participants	6	(a) Cohort study - Give the eligibility criteria, and the sources and methods of selection of participants. Describe methods of follow-up	RECORD 6.1: The methods of study population selection (such as codes or algorithms used to identify subjects) should be listed in detail. If this is not possible, an explanation should be provided.	Methods and Appendix 4

		<i>Case-control study</i> - Give the eligibility criteria, and the sources and methods of case ascertainment and control selection. Give the rationale for the choice of cases and controls <i>Cross-sectional study</i> - Give the	RECORD 6.2: Any validation studies of the codes or algorithms used to select the population should be referenced. If validation was conducted for this study and not published elsewhere, detailed methods and results should be provided.	Methods and Appendix 2-4
		eligibility criteria, and the sources and methods of selection of participants	RECORD 6.3: If the study involved linkage of databases, consider use of a flow diagram or other graphical display to demonstrate the data linkage process, including the number	Figure 1
		(b) Cohort study - For matched studies, give matching criteria and number of exposed and unexposed <i>Case-control study</i> - For matched studies, give matching criteria and the number of controls per case	of individuals with linked data at each stage.	
Variables	7	Clearly define all outcomes, exposures, predictors, potential confounders, and effect modifiers. Give diagnostic criteria, if applicable.	RECORD 7.1: A complete list of codes and algorithms used to classify exposures, outcomes, confounders, and effect modifiers should be provided. If these cannot be reported, an explanation should be provided.	Appendix 2-4
Data sources/ measurement	8	For each variable of interest, give sources of data and details of methods of assessment (measurement). Describe comparability of assessment methods if there is more than one group		
Bias	9	Describe any efforts to address potential sources of bias		
Study size	10	Explain how the study size was arrived at		
Quantitative variables	11	Explain how quantitative variables were handled in the analyses. If applicable, describe which groupings were chosen, and why		
Statistical methods	12	(a) Describe all statistical methods, including those used to control for confounding		

Data access and cleaning methods		<ul> <li>(b) Describe any methods used to examine subgroups and interactions</li> <li>(c) Explain how missing data were addressed</li> <li>(d) Cohort study - If applicable, explain how loss to follow-up was addressed</li> <li>Case-control study - If applicable, explain how matching of cases and controls was addressed</li> <li>Cross-sectional study - If applicable, describe analytical methods taking account of sampling strategy</li> <li>(e) Describe any sensitivity analyses</li> </ul>	RECORD 12.1: Authors should describe the extent to which the investigators had access to the database population used to create the study population.	Appendix 5
Linkage			RECORD 12.2: Authors should provide information on the data cleaning methods used in the study. RECORD 12.3: State whether the study included person-level, institutional-level, or other data linkage across two or more databases. The methods of linkage and methods of linkage quality evaluation should be provided.	Appendix 4 & 5 Methods & Appendix 5
Results				
Participants	13	(a) Report the numbers of individuals at each stage of the study ( <i>e.g.</i> , numbers potentially eligible, examined for eligibility, confirmed eligible, included in the study, completing follow-up, and analysed)	RECORD 13.1: Describe in detail the selection of the persons included in the study ( <i>i.e.</i> , study population selection) including filtering based on data quality, data availability and linkage. The selection of included persons can be described in the text and/or by means of the study flow diagram.	Figure 1

14		
	clinical, social) and information on	
	exposures and potential	
15		
15		
	•	
16		
	estimates and their precision (e.g.,	
	adjusted for and why they were	
	included	
	(b) Report category boundaries	
	when continuous variables were	
	categorized	
17	Report other analyses done—e.g.,	
	14	<ul> <li>participants (e.g., demographic, clinical, social) and information on exposures and potential confounders         <ul> <li>(b) Indicate the number of participants with missing data for each variable of interest</li> <li>(c) Cohort study - summarise follow-up time (e.g., average and total amount)</li> </ul> </li> <li>Cohort study - Report numbers of outcome events or summary measures over time Case-control study - Report numbers of exposure category, or summary measures of exposure category, or summary measures of summary measures follow-up time for events or summary measures for any measures or summary measures for a summary measures for a summary measures for a summary measures of exposure category, or summary measures of exposure for outcome events or summary measures for a summary summary summary measures for a summary summary m</li></ul>

		interactions, and sensitivity analyses		
Discussion				
Key results	18	Summarize key results with reference to study objectives		
Limitations	19	Discuss limitations of the study, taking into account sources of potential bias or imprecision. Discuss both direction and magnitude of any potential bias	RECORD 19.1: Discuss the implications of using data that were not created or collected to answer the specific research question(s). Include discussion of misclassification bias, unmeasured confounding, missing data, and changing eligibility over time, as they pertain to the study being reported.	Discussion
nterpretation	20	Give a cautious overall interpretation of results considering objectives, limitations, multiplicity of analyses, results from similar studies, and other relevant evidence		
Generalisability	21	Discuss the generalisability (external validity) of the study results		
Other Informatio	on			
Funding	22	Give the source of funding and the role of the funders for the present study and, if applicable, for the original study on which the present article is based		
Accessibility of protocol, raw data, and programming code			RECORD 22.1: Authors should provide information on how to access any supplemental information such as the study protocol, raw data, or programming code.	Appendix 2-5

\*Reference: Benchimol EI, Smeeth L, Guttmann A, Harron K, Moher D, Petersen I, Sørensen HT, von Elm E, Langan SM, the RECORD Working Committee. The REporting of studies Conducted using Observational Routinely-collected health Data (RECORD) Statement. *PLoS Medicine* 2015; in press.

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Dataset	Category	Sub-Category	Granularity	Occurrences Class "False"	Occurrences Class "True"	Dataset Status
1	Documented family or friends	Any family documented?	macro	129	151	Included
2	Documented family or friends	Significant other	macro	206	74	Included
3	Documented family or friends	Significant other – man	macro	252	28	Included
4	Documented family or friends	Significant other – woman	macro	235	45	Included
5	Documented family or friends	Significant other – unknown	macro	279	1	Excluded
6	Documented family or friends	Child	macro	203	77	Included
7	Documented family or friends	Child – boy/man	macro	241	39	Included
8	Documented family or friends	Child – girl/woman	macro	235	45	Included
9	Documented family or friends	Child – unknown	macro	277	3	Excluded
10	Documented family or friends	Parent	macro	258	22	Included
11	Documented family or friends	Parent – man	macro	271	9	Excluded
12	Documented family or friends	Parent – woman	macro	263	17	Included
13	Documented family or friends	Parent – unknown	macro	279	1	Excluded
14	Documented family or friends	Siblings	macro	250	30	Included
15	Documented family or friends	Siblings – boy/man	macro	267	13	Included
16	Documented family or friends	Siblings – girl/woman	macro	259	21	Included

# Appendix 2. Sub-Category and category of algorithm code

17	Documented family or friends	Siblings – unknown	macro	280	0	Excluded
18	Documented family or friends	Other	macro	244	36	Included
19	Documented family or friends	Other – boy/man	macro	274	6	Excluded
20	Documented family or friends	Other – girl/woman	macro	255	25	Included
21	Documented family or friends	Other – unknown	macro	269	11	Included
22	Documented family or friends	Not specified	macro	276	4	Excluded
23	Visits	Any documented visit?	macro	50	230	Included
24	Visits	Any documented visit?	micro	52	1621	Included
25	Visits	Significant other	macro	149	131	Included
26	Visits	Significant other	micro	1180	493	Included
27	Visits	Significant other – man	macro	234	46	Included
28	Visits	Significant other – man	micro	1515	158	Included
29	Visits	Significant other – woman	macro	195	85	Included
30	Visits	Significant other – woman	micro	1341	332	Included
31	Visits	Significant other – unknown	macro	277	3	Excluded
32	Visits	Significant other – unknown	micro	1670	3	Excluded
33	Visits	Child	macro	149	131	Included
34	Visits	Child	micro	1140	533	Included
35	Visits	Child – boy/man	macro	201	79	Included
36	Visits	Child – boy/man	micro	1423	250	Included
37	Visits	Child – girl/woman	macro	185	95	Included
38	Visits	Child – girl/woman	micro	1346	327	Included
39	Visits	Child – unknown	macro	266	14	Included
40	Visits	Child – unknown	micro	1653	20	Included
41	Visits	Parent	macro	242	38	Included
42	Visits	Parent	micro	1532	141	Included
43	Visits	Parent – man	macro	260	20	Included
44	Visits	Parent – man	micro	1613	60	Included
45	Visits	Parent – woman	macro	247	33	Included
46	Visits	Parent – woman	micro	1562	111	Included
47	Visits	Parent – unknown	macro	280	0	Excluded
48	Visits	Parent – unknown	micro	1673	0	Excluded

49	Visits	Siblings	macro	205	75	Included
50	Visits	Siblings	micro	1427	246	Included
		Siblings –				
51	Visits	boy/man	macro	243	37	Included
50	) ( site	Siblings –		4504	400	
52	Visits	boy/man	micro	1534	139	Included
50	Vioito	Siblings –		220	50	Included
53	Visits	girl/woman	macro	228	52	Included
54	54 Visits	Siblings –	micro	1550	123	Included
J4	VISILS	girl/woman	micro	1550	125	Included
55	Visits	Siblings –	macro	276	4	Excluded
00	VIOICO	unknown	maore	210	•	Excluded
56	Visits	Siblings –	micro	1669	4	Excluded
		unknown				
57	Visits	Other	macro	158	122	Included
58	Visits	Other	micro	1289	384	Included
59	Visits	Other – boy/man	macro	225	55	Included
60	Visits	Other – boy/man	micro	1566	107	Included
61	Visits	Other –	macro	209	71	Included
		girl/woman				
62	Visits	Other –	micro	1458	215	Included
		girl/woman				
63	Visits	Other – unknown	macro	222	58	Included
64	Visits	Other – unknown	micro	1566	107	Included
65	Visits	Pet	macro	278	2	Excluded
66	Visits	Pet	micro	1670	3	Excluded
67	Visits	Not specified	macro	163	117	Included
68	Visits	Not specified	micro	1299	374	Included
69	Visits	Not specified – boy/man	macro	276	4	Excluded
70	Visits	Not specified – boy/man	micro	1668	5	Excluded
71	Visits	Not specified – unknown	macro	163	117	Included
72	Visits	Not specified – unknown	micro	1317	356	Included
73	Visits	Not specified – girl/woman	macro	277	3	Excluded
74	Visits	Not specified –	micro	1659	14	Excluded
14	VISILS	girl/woman	micro	1009		
75	Visits	Did social work	macro	235	45	Included
15	VIGILG	speak with family?	macro	200	+5	included
76	Visits	Did social work speak with family?	micro	1595	78	Included
77	Visits	Is this a family meeting / conference?	macro	241	39	Included
78	Visits	Is this a family meeting / conference?	micro	1608	65	Included
79	Visits	Does this discuss goals of care?	macro	249	31	Included
80	Visits	Does this discuss goals of care?	micro	1631	42	Included

		Did a daataa				
81	Visits	Did a doctor speak with the family?	macro	188	92	Included
82	Visits	Did a doctor speak with the family?	micro	1503	170	Included
83	Visits	Did allied health speak with the family?	macro	275	5	Excluded
84	Visits	Did allied health speak with the family?	micro	1668	5	Excluded
85	Visits	Did bedside nurse speak with the family?	macro	229	51	Included
86	Visits	Did bedside nurse speak with the family?	micro	1595	78	Included
87	Visits	Is family meeting at beside?	macro	270	10	Excluded
88	Visits	Is family meeting at beside?	micro	1661	12	Excluded
89	Visits	Is family meeting at the conference room?	macro	278	2	Excluded
90	Visits	Is family meeting at the conference room?	micro	1669	4	Excluded
91	Visits	Is family meeting unspecified?	macro	265	15	Included
92	Visits	Is family meeting unspecified?	micro	1657	16	Included
93	Visits	Did the meeting discuss organ donation?	macro	278	2	Excluded
94	Visits	Did the meeting discuss organ donation?	micro	1670	3	Excluded
95	Visits	Did family attend rounds?	macro	260	20	Included
96	Visits	Did family attend rounds?	micro	1648	25	Included
97	Phone calls	Any documented phone calls?	macro	128	152	Included
98	Phone calls	Any documented phone calls?	micro	129	437	Included
99	Phone calls	Significant other	macro	233	47	Included
100	Phone calls	Significant other	micro	447	119	Included
101	Phone calls	Significant other – man	macro	268	12	Included
102	Phone calls	Significant other – man	micro	539	27	Included
103	Phone calls	Significant other – woman	macro	245	35	Included

104	Phone calls	Significant other – woman	micro	474	92	Included
105	Phone calls	Significant other – unknown	macro	280	0	Excluded
106	Phone calls	Significant other – unknown	micro	566	0	Excluded
107	Phone calls	Child	macro	220	60	Included
108	Phone calls	Child	micro	439	127	Included
109	Phone calls	Child – boy/man	macro	258	22	Included
110	Phone calls	Child – boy/man	micro	528	38	Included
111	Phone calls	Child – girl/woman	macro	241	39	Included
112	Phone calls	Child – girl/woman	micro	479	87	Included
113	Phone calls	Child – unknown	macro	279	1	Excluded
114	Phone calls	Child – unknown	micro	564	2	Excluded
115	Phone calls	Parent	macro	263	17	Included
116	Phone calls	Parent	micro	542	24	Included
117	Phone calls	Parent – man	macro	275	5	Excluded
118	Phone calls	Parent – man	micro	561	5	Excluded
119	Phone calls	Parent – woman	macro	266	14	Included
120	Phone calls	Parent – woman	micro	546	20	Included
121	Phone calls	Parent – unknown	macro	280	0	Excluded
122	Phone calls	Parent – unknown	micro	566	0	Excluded
123	Phone calls	Siblings	macro	244	36	Included
124	Phone calls	Siblings	micro	490	76	Included
125	Phone calls	Siblings – boy/man	macro	264	16	Included
126	Phone calls	Siblings – boy/man	micro	543	23	Included
127	Phone calls	Siblings – girl/woman	macro	255	25	Included
128	Phone calls	Siblings – girl/woman	micro	513	53	Included
129	Phone calls	Siblings – unknown	macro	280	0	Excluded
130	Phone calls	Siblings – unknown	micro	566	0	Excluded
131	Phone calls	Other	macro	245	35	Included
132	Phone calls	Other	micro	509	57	Included
133	Phone calls	Other – boy/man	macro	275	5	Excluded
134	Phone calls	Other – boy/man	micro	559	7	Excluded
135	Phone calls	Other – girl/woman	macro	255	25	Included
136	Phone calls	Other – girl/woman	micro	526	40	Included
137	Phone calls	Other – unknown	macro	271	9	Excluded
138	Phone calls	Other – unknown	micro	555	11	Excluded
139	Phone calls	Not specified	macro	253	27	Included
140	Phone calls	Not specified	micro	524	42	Included
141	Phone calls	Not specified – boy/man	macro	279	1	Excluded
142	Phone calls	Not specified – boy/man	micro	565	1	Excluded

143	Phone calls	Not specified – girl/woman	macro	278	2	Excluded
144	Phone calls	Not specified – girl/woman	micro	564	2	Excluded
145	Phone calls	Not specified – unknown	macro	255	25	Included
146	Phone calls	Not specified – unknown	micro	527	39	Included
147	Phone calls	Did social work speak with the family?	macro	276	4	Excluded
148	Phone calls	Did social work speak with the family?	micro	562	4	Excluded
149	Phone calls	Did a doctor speak with the family?	macro	276	4	Excluded
150	Phone calls	Did a doctor speak with the family?	micro	561	5	Excluded
151	Phone calls	Did bedside nurse speak with the family?	macro	273	7	Excluded
152	Phone calls	Did bedside nurse speak with the family?	micro	558	8	Excluded
153	Phone calls	Did allied health speak with the family?	macro	280	0	Excluded
154	Phone calls	Did allied health speak with the family?	micro	566	0	Excluded
155	Phone calls	Was a message left for the family?	macro	267	13	Included
156	Phone calls	Was a message left for the family?	micro	551	15	Included

Category / Sub-Category	Inclusion Criteria	Exclusion Criteria
Documented family or friends	[note parameter = Family Quick	Not applicable
	View Summary	
	OR note parameter = Contact	
	Information Family]	
Visits	[note parameter = OLD_Family	[note parameter = Comment
	Visit Comment	Family Phone Call]
	OR note parameter = Comment	
	Family In	
	OR note parameter = Comment	
	Family Conference	
	OR note parameter = MD	
	Comment Family Conference	
	OR note parameter = OLD_MD	
	Present During Family Conference	
	OR note parameter = Comment	
	Family Out]	
	OR	
	[token = accompanied	
	OR token = accompanying	
	OR token = appear	
	OR token = appeared	
	OR token = appears	
	OR token = arrive	
	OR token = arrived	
	OR token = arrives	
	OR token = assisted to chair	
	OR token = at bedside	
	OR token = at the bedside	
	OR token = attain	
	OR token = attained	
	OR token = attains OR token = aware of transfer	
	OR token = aware of transfer OR token = bringing	
	OR token = brought in	
	OR token = came by	
	OR token = check in	
	OR token = checked in	
	OR token = come by	
	OR token = conference room	
	OR token = discharged	
	OR token = discussion with	
	family	
	OR token = drop by	
	OR token = drop in	
	OR token = drop over	
	OR token = dropped by	
	OR token = dropped in	
	OR token = dropped over	
	OR token = enter	

# Appendix 3. Summary of inclusion and exclusion criteria for the rule-based classifier

OR token = entered OR token = enters OR token = explained to pt family OR token = explained to the family OR token = family appreciative OR token = family aware OR token = family conference OR token = family is in	
OR token = explained to pt family OR token = explained to the family OR token = family appreciative OR token = family aware OR token = family conference	
family OR token = explained to the family OR token = family appreciative OR token = family aware OR token = family conference	
OR token = explained to the family OR token = family appreciative OR token = family aware OR token = family conference	
family OR token = family appreciative OR token = family aware OR token = family conference	
OR token = family appreciative OR token = family aware OR token = family conference	
OR token = family aware OR token = family conference	
OR token = family conference	
OR token = family is in	
OR token = family is requesting	
OR token = family meeting	
OR token = family room	
OR token = family wanting	
OR token = for pt	
OR token = found cry outside	
OR token = given to #any known	
relation#	
OR token = given to pt s	
OR token = giving	
encouragement to pt	
OR token = goal of care	
OR token = gold bracelet taken	
OR token = hearing aid	
OR token = in the room	
OR token = in to	
OR token = in waiting room	
OR token = introduced	
OR token = left	
OR token = look around	
OR token = look in on	
OR token = look up	
OR token = looked around	
OR token = looked in on	
OR token = looked up	
OR token = met with	
OR token = out to	
OR token = packed all valuable	
in room	
OR token = parking pas	
OR token = patient and #any	
known relation#	
OR token = patient s #any	
known relation#	
OR token = patient sent home	
via wheelchair with	
OR token = plan of care	
OR token = pop in	
OR token = pop up	
OR token = popped in	
OR token = popped up	
OR token = present	
OR token = presently in	
OR token = provided the patient	
s	

		· · · · · · · · · · · · · · · · · · ·
	OR token = pt s #any known	
	relation#	
	OR token = pt #any known	
	relation#	
	OR token = reviewed with both	
	pt and his	
	OR token = show up	
	OR token = showed up	
	OR token = spoke to family	
	OR token = stay with	
	OR token = stayed with	
	OR token = step in	
	OR token = stepped in	
	OR token = stop by	
	OR token = stop off	
	OR token = stopped by OR token = stopped off	
	OR token = swing by	
	OR token = swing by	
	OR token = take in	
	OR token = to discus	
	OR token = to speak with	
	OR token = took belonging	
	OR token = took in	
	OR token = updated	
	OR token = visit	
	OR token = visited	
	OR token = wa here	
	OR token = were here	
	OR token = will return	
	OR token = with #any known	
	relation#	
	OR token = writer discussed	
	OR token = writer explained	
	OR token = writer provided	
	OR token = writer supporting	
	family]	
Phone calls	[note parameter = Comment	[note parameter = OLD_Family
	Family Phone Call]	Visit Comment
		OR note parameter = Comment
	OR	Family In
		OR note parameter = Comment
	[token = called	Family Conference
	OR token = calling	OR note parameter = MD
	OR token = telephoned]	Comment Family Conference
	_	OR note parameter = OLD_MD
		Present During Family
		Conference
		OR note parameter = Comment
		Family Out]
		OR
		[token = blue called
		OR token = called ems

		OR token = code called
		OR token = ems called
		OR token = met call
		OR token = met called
		OR token = research coordinator
		called
		OR token = writer called]
Significant other	Any occurrence of significant	Not applicable
	other – male, significant other –	
	female or significant other -	
	unknown	
Significant other – male	[token = boyfriend	Not applicable
	OR token = ex_boyfriend	
	OR token = ex_husband	
	OR token = fiance	
	OR token = husband]	
Significant other – female	[token = ex_girlfriend	Not applicable
	OR token = ex_wife	
	OR token = fiancee	
	OR token = girlfriend	
	OR token = wife]	
Significant other – unknown	[token = common_law	Not applicable
	OR token = partner	
	OR token = significant_other	
	OR token = spouse]	
Child	Any occurrence of child – male,	Not applicable
	child – female or child –	
	unknown	
Child – male	[token = son]	Not applicable
Child – female	[token = daughter]	Not applicable
Child – unknown	[token = child]	Not applicable
Parent	Any occurrence of parent – male,	Not applicable
	parent – female or parent -	
	unknown	
Parent – male	[token = father]	Not applicable
Parent – female	[token = mother]	Not applicable
Parent – unknown	[token = parent]	Not applicable
Siblings	Any occurrence of siblings –	Not applicable
	male, siblings – female or	
	siblings - unknown	
Siblings – male	[token = brother]	Not applicable
Siblings – female	[token = sister]	Not applicable
Siblings – unknown	[token = sibling]	Not applicable
Other	Any occurrence of other – male,	Not applicable
	other – female or other –	
	unknown	
Other – male	[token = brother_in_law	Not applicable
	OR token = father_in_law	
	OR token = grandfather	
	OR token = grandson	
	OR token = great_grandfather	
	OR token = great_grandson	
	OR token =	
	great_great_grandfather	

		1
	OR token =	
	great_great_grandson OR token = half brother	
	OR token = nephew	
	OR token = son in law	
	OR token = stepbrother	
	OR token = stepfather	
	OR token = stepson	
	OR token = uncle]	
Other – female	[token = aunt	Not applicable
	OR token = daughter_in_law	
	OR token = granddaughter	
	OR token = grandmother	
	OR token = great_granddaughter	
	OR token = great_grandmother	
	OR token =	
	great_great_granddaughter	
	OR token =	
	great_great_grandmother	
	OR token = half_sister	
	OR token = mother_in_law OR token = niece	
	OR token = sister in law	
	OR token = stepdaughter	
	OR token = stepmother	
	OR token = stepsister]	
Other – unknown	[token = cousin	Not applicable
	OR token = friend	
	OR token = godparent	
	OR token = grandchild	
	OR token = grandparent	
	OR token = guardian	
	OR token = other	
	OR token = roommate	
	OR token = visitors]	Net exclusion
Not specified	Any occurrence of not specified	Not applicable
	- male, not specified - female or	
Not specified male	not specified – unknown [Documented family or friends =	[Any other relation - True]
Not specified – male	True	[Any other relation = True]
	OR Visits = True	
	OR Phone Calls = True]	
	AND	
	[Unknown male name = True]	
Not specified – female	[Documented family or friends =	[Any other relation = True]
	True	
	OR Visits = True	
	OR Phone Calls = True]	
	AND	
	[Unknown female name = True]	
Not specified – unknown	[Documented family or friends =	[Any other relation = True
	True	OR Not specified – male = True
L		

	OR Visits = True	OR Not specified – female =
	OR Phone Calls = True]	True]
Pet	[token = cat	Not applicable
	OR token = dog	
	OR token = pet]	
Did a doctor speak with the	[token = doctor	Not applicable
family?	OR token = dr	
icitiity :	OR token = md	
	OR token = physician]	
Did allied health speak with the	[token = ot	Not applicable
family?	OR token = physio	
	OR token = rt]	
Did bedside nurse speak with the	[token = bedside nurse	Not applicable
family?	OR token = nurse	
ianing i	OR token = rn]	
Did family attend rounds?	[token = round]	Not applicable
Did social work speak with	[token = social work	Not applicable
family?	OR token = sw]	
Did the meeting discuss organ	[token = donation	Not applicable
donation?	OR token = organ	
	OR token = organ donation]	
Does this discuss goals of care?	[token = C1	Not applicable
Does this discuss yours of care :	OR token = C2	
	OR token = comfort care	
	OR token = end of life	
	OR token = end of life care	
	OR token = goal of care	
	OR token = GOC	
	OR token = M1	
	OR token = M2	
	OR token = palliative	
	OR token = R1	
	OR token = R2	
	OR token = R3]	
Is family meeting at beside?	Is this a family meeting /	Not applicable
	conference? = True	
	AND	
	[token = at bedside	
	OR token = at the bedside]	
Is family meeting at the	Is this a family meeting /	Not applicable
conference room?	conference? = True	
	AND	
	[token = conference room	
	OR token = family room]	
Is family meeting unspecified?	Is this a family meeting /	[token = at bedside
,	conference? = True	OR token = at the bedside
		OR token = conference room
		OR token = family room]
Is this a family meeting /	[note parameter = MD Comment	Not applicable
conference?	Family Conference	
	,	

	OR note parameter = Comment Family Conference OR note parameter = OLD_MD Present During Family Conference]	
	OR	
	[token = explained to the family OR token = family conference OR token = family meeting OR token = in waiting room]	
Was a message left for the family?	[token = message]	Not applicable

\*Reference: Lucini FR, Krewulak K, Stelfox HT. Natural language processing to evaluate documented family presence and mode of communication in Alberta ICUs. In Press.

Variable	Description of Parameter	Coding
eCritical	· · · · · · · · · · · · · · · · · · ·	
Age	Age recorded upon ICU admission Older Adults	Continuous Categorical >65=1 <65=0
Sex	Identified patient sex as female or male.	Female=1 Male=0
Admission Category	Medical, Surgical, Neuro, Trauma	Medical=0 Surgical=1 Neuro=2 Trauma=3
Admission Type	Elective post-surgery, Emergency post-surgery, and Nonsurgical	Elective post-surgery=0 Nonsurgical=1 Emergency post-surgery=2
Comorbidities	Flagged 1 for present or Null for not present upon admission: diabetes, heart failure, respiratory insufficiency, metastatic cancer immune suppression, cirrhosis, hepatic failure.	Diabetes Yes=1 No=0 Heart failure Yes=1 No=0 Respiratory insufficiency Yes=1 No=0 Metastatic cancer Yes=1 No=0 Immune suppression Yes=1 No=0 Cirrhosis Yes=1 No=0 Hepatic failure Yes=1 No=0
Acute Physiology and Chronic Health Evaluation II score (APACHE-II )	Illness severity score at ICU admission	Continuous Categorical Score, by quartile Quartile 1 <25% Quartile 2 >25% and <50% Quartile 3 >50% & <75%

# Appendix 4. Variables and covariates included in study objectives and their coding

		Quartile 4 >75%
Sequential Organ Failure assessment (SOFA)	Illness severity score at ICU admission	Continuous
Charlson Comorbidity Index (CCI)	score at ICU admission	Continuous
Glasgow Coma Scale (GCS)	assessment of consciousness at admission	Continuous Categorical High Glasgow Coma Scale (≥15) Low Glasgow Coma Scale (<15
ICU Interventions		
Invasive mechanical ventilation	In minutes at admission, binary variable formed	Minutes greater than 0 is coded as 1 Minutes of zero is coded as 0
Non-Invasive mechanical ventilation	In minutes at admission, binary variable formed	Minutes >0 = 1 Minutes 0 = 0
Vasoactive medication	If patient is administered any of the following drugs upon admission (dopamine, dobutamine, epinephrine, isoproterenol, milrinone, norepinephrine, phenylephrine or vasopressin) flagged for 1. Variable in minutes; therefore, cleaned to compose one binary yes/no variable that is inclusive of any of the above drugs.	Yes=1 No=0
Dialysis	Flagged 1 for present or Null for not present.	Yes=1 No=0
Continuous renal replacement therapy	In minutes at admission, binary variable formed	Minutes >0 = 1 Minutes 0 = 0
Hospital Type	A key is used to create a variable that translates ICU site to hospital type (Tertiary, Community, Regional)	Tertiary=0 Community=1 Regional=2
Hospital length of stay	Total length of stay in days in first admission	Continuous
Teaching hospital	A key is used to create a variable that translates ICU site to teaching hospital (binary)	Yes=1 No=0
Number of ICU beds	A key is used to create a variable that translates ICU site to number of ICU beds	Continuous
Number of hospital beds	A key is used to create a variable that translates ICU site that translates ICU site to number of hospital beds	Continuous
Length of ICU stay	Total length of stay in days in first admission	Continuous Categorical Score, by quartile

Length of hospital stay Died in ICU Died in hospital	Total length of stay in days in first admission Anytime during ICU stay Anytime during hospital stay	Quartile 1 <25% Quartile 2 >25% and <50% Quartile 3 >50% & <75% Quartile 4 >75% Continuous Yes=1 No=0 Yes=1
Objective outcomes and exposure		No=0
ICDSC, Obj 1	Intensive Care Delirium Screening Checklist, delirium identified after family visit.	Score of $\ge 4 = 1$ Score of $< 4 = 0$
ICDSC, Obj 2	Count of days during ICU admission with a delirium present (ICDSC, Obj 1). Variable created to calculate total number of delirium days during ICU stay.	Ordinal
Family presence	Algorithm code was used to explore ecritical and determine if family member was present via call, in- person, or not present.	Mutually exclusive three variable exposure. Family in-person Family phone call No family presence or call
DAD		1
Patient residency	Province of patient residency	Alberta (AB)=1 Other=0

Abbreviations: DAD, Discharge Abstract Database; ICDSC, Intensive Care Delirium Checklist; ICU, Intensive Care Unit

#### Appendix 5. Supplement text for data handling and merging

Data was received de-identified with scrambled unique identifiers from Alberta Health Services (AHS, data custodian). Deterministic data linkage was used to link the two patient-level databases (eCritical and DAD) via a unique identifier [20], which was assigned to each patient by the custodian. Given that all administrative data were recorded for administration of health services, the study anticipated missing variables to be missing at random or due to random human error. However, if missing data exceeded 10% for covariates, listwise deletion was used when applicable [33]. Covariate cell sizes less than 5 were excluded from the analysis described below to prevent over-fitting the models [34]. All patients had complete outcome and exposure data.

# Appendix 6. Demographic and clinical characteristics of the study population (secondary outcome)

outcomey		Family presence			
		Physical	Family	No	
	Total	presence <sup>1</sup>	call only <sup>2</sup>	visit <sup>3</sup>	
Characteristics	(n=26,100)	(n= 23,657)	(n= 618)	(n= 1,825)	
Age, yr, median (IQR)	59 (46-67)	58 (47-67)	59 (49-68)	58 (47-57)	
≥65 years, n (%)	9,789 (37.5)	8,988 (38.0)	211 (34.1)	590 (32.3)	
Sex, female, n (%)	11,059 (42.4)	10,181 (43.0)	213 (34.5)	665 (36.4)	
Patient admitting type, n (%) <sup>q</sup>					
Elective-surgical	2,051 (7.9)	1,629 (7.0)	66 (11.0)	356 (21.5)	
Emergency-surgical	4,374 (16.8)	3,991 (17.2)	109 (18.2)	274 (16.5)	
Medical	19,032 (72.9)	17,580 (75.8)	423 (70.7)	1,029 (62.0)	
Comorbidities, n (%)	=				
Diabetes	5,330 (20.4)	4,855 (29.5)	132 (21.4)	343 (18.8)	
Cirrhosis	1,473 (5.6)	1,368 (5.8)	48 (7.8)	57 (3.1)	
Heart failure	1,487 (5.7)	1,396 (5.9)	37 (6.0)	40 (2.2)	
Hepatic failure	816 (3.3)	773 (3.3)	15 (2.4)	28 (1.5)	
Metastatic cancer	904 (3.5)	813 (3.4)	24 (3.9)	67 (3.7)	
Immune suppression	2,083 (8.0)	1,927 (8.2)	46 (7.4)	110 (6.0)	
Respiratory insufficiency	3,139 (12.0)	2,889 (12.3)	80 (13.0)	160 (8.8)	
Acute Physiology and Chronic Health					
Disease Classification System II <sup>4</sup>		40 (44 05)	47 (40.00)	44 (40 40)	
Score, median (IQR)	19 (14-25)	19 (14-25)	17 (12-22)	14 (10-19)	
Score, by quartile, n (%)	0 444 (04 0)	E 070 (00 7)	404 (00.0)	057 (47.0)	
Quartile 1 ( $<$ 14)	6,414 (24.6)	5,373 (22.7)	184 (29.8)	857 (47.0)	
Quartile 2 ( $\leq$ 19 & $\geq$ 14)	7,300 (28.0)	6,552 (27.7)	207 (33.5)	541 (29.6)	
Quartile 3 (<25 & >19)	5,506 (21.1)	5,136 (21.7)	117 (18.9)	253 (13.9)	
Quartile 4 (≥25)	6,880 (26.4)	6,596 (27.9)	110 (17.8)	174 (9.5)	
Charlson Comorbidity Index, median (IQR) <sup>4</sup>	1 (0-3)	1 (0-3)	1 (0-2)	0 (0-2)	
Sequential Organ Failure Assessment,	6 (4-9)	6 (4-9)	5 (3-8)	4 (2-6)	
median (IQR) <sup>4</sup>	14 (10 15)	14 (10 15)	14 (10 15)	15 (14 15)	
Glasgow Coma Scale, median (IQR) <sup>4</sup> Score, by severity, n(%)	14 (10-15)	14 (10-15)	14 (12-15)	15 (14-15)	
Glasgow Coma Scale 15	10,888 (41.7)	9,430 (39.9)	312 (50.5)	992 (54.4)	
Glasgow Coma Scale <15	15,213 (58.3)	14,227 (60.1)	306 (49.5)	833 (45.6)	
Frailty Score, median (IQR) <sup>4,b</sup>	3 (2-5)	3 (2-5)	3 (2-4)	4 (2-4)	
ICU interventions, n (%) <sup>5,c</sup>	3 (2-3)	3 (2-3)	3 (2-4)	4 (2-4)	
Dialysis	654 (2.5)	585 (2.5)	19 (3.1)	50 (2.7)	
Vasoactive medication	11,224 (47.4)	10,645 (45.0)	210 (34.0)	369 (20.2)	
Invasive mechanical ventilation		15,858 (67.0)	326 (52.8)	653 (35.8)	
Non-invasive ventilation	3,714 (14.2)	3,504 (14.8)	74 (12.0)	136 (7.5)	
Continuous renal replacement therapy	1,552 (6.0)	1,529 (6.5)	5 (0.8)	18 (1.0)	
Hospital length of stay, days, median (IQR)	12 (6-27)	13 (6-27)	10 (5-20.5)	9 (4-17)	
ICU length of stay, median (IQR) days	4.2 (2.4-8.1)	4.6 (2.6-8.6)	2.7 (1.9-4.4)	2.1 (1.6-3.4)	
Score, by quartile, n (%)	··· (-··· (···)	1.0 (2.0 0.0)	<b>L</b> . ( 1.0 <b>T</b> . T)	2.1 (1.0 0.7)	
Quartile 1 (<2.4)	6,533 (25.0)	5,250 (22.2)	257 (41.6)	1,026 (56.2)	
Quartile 2 ( $\leq 4.2 \& \geq 2.4$ )	6,537 (25.1)	5,838 (25.0)	202 (32.7)	497 (27.2)	
Quartile 3 ( $< 8.1 \& > 4.2$ )	6,513 (25.0)	6,179 (26.1)	126 (20.4)	208 (11.4)	
Quartile 4 ( $\geq$ 8.1)	6,518 (25.0)	6,390 (27.0)	33 (5.3)	94 (5.2)	
Patient mortality, n (%)	0,010 (2010)	0,000 (2110)		· (0.2)	
Died in ICU	2,188 (8.4)	2,157 (9.1)	11 (1.8)	20 (1.1)	
Died in hospital	3,701 (14.2)	3,599 (15.2)	35 (5.7)	68 (3.7)	
Hospital characteristics <sup>d</sup>	-,····)	-,)	(•)	,	
Teaching hospital, n (%)	20,394 (78.1)	18,603 (78.6)	487 (78.8)	1,304 (71.5)	
	, ( - )	, ( )	x/	/ \ -/	

Number of hospital beds, median (IQR) Number of ICU beds, median (IQR)	695 (367-890) 18 (10-28)	695 (365-890) 18 (10-28)	695 (367-890) 25 (10-28)	695 (288-695) 14 (10-28)
Hospital type, n (%)	10 (10 20)	10 (10 20)	20 (10 20)	11 (10 20)
Community	8,527 (34.8)	7,968 (35.7)	158 (26.2)	401 (25.6)
Regional	3,496 (14.3)	2,965 (13.3)	100 (16.6)	431 (27.5)
Tertiary	12,461 (50.9)	11,378 (51.0)	346 (57.3)	735 (46.9)

<sup>1</sup>Family physical presence as defined by physical presence at any time during ICU stay

<sup>2</sup>Family call only as defined by telephone communication, without physical presence

<sup>3</sup>No visit means that the patient did not receive any in-person family ICU presence or phone call

<sup>4</sup>Score reported from assessment during admission to the ICU

<sup>5</sup>At any point during ICU admission

<sup>a</sup>657 missing admission category

<sup>b</sup>643 missing admission type <sup>c</sup>23,502 missing frailty score

<sup>d</sup>Two missing ICU interventions

<sup>e</sup>Two missing all hospital characteristics

Abbreviations: ICU, Intensive Care Unit; IQR, Interquartile Range

## Appendix 7. Secondary analyses for admission diagnosis upon admission to ICU by patient admission type

		Patient Admission			
Admission Diagnosis <sup>1,a</sup>	Total (n=24,736)	Elective- surgical (n= 1,948)	Emergency- surgical (n= 4,208)	Medical admission (n= 18,575)	
Cancer	1,060 (4.3)	705 (36.2)	205 (4.9)	148 (0.80)	
Cardiovascular	3,189 (12.9)	274 (14.21)	420 (10.0)	2,493 (13.4)	
Gastrointestinal	2,927 (11.8)	187 (9.7)	1,421 (33.8)	1,319 (7.1)	
Medical other	2,259 (9.1)	270 (13.9)	613 (14.6)	1,375 (7.4)	
Neurological other	1,564 (6.3)	66 (3.4)	209 (5.0)	1,289 (6.9)	
Overdose, withdrawal, seizures, or metabolic coma	2,653 (10.7)	7 (0.4)	8 (0.19)	2,638 (14.2)	
Pneumonia	3,530 (14.3)	11 (0.6)	22 (0.5)	3,499 (18.9)	
Pregnancy or genitourinary	843 (3.4)	90 (4.6)	252 (6.0)	501 (2.7)	
Respiratory other	2,947 (11.9)	127 (6.5)	243 (5.8)	2,577 (13.8)	
Trauma	1,814 (7.3)	87 (4.5)	659 (15.7)	1,068 (5.8)	
Orthopedic	247 (1.0)	116 (5.9)	122 (2.9)	9 (0.05)	
Sepsis Diagnosis upon admission to ICU	1,703 (6.9)	8 (0.4)	36 (0.86)	1,659 (8.9)	

<sup>a</sup>801 missing admission category Abbreviations: ICU, Intensive Care Unit

#### Appendix 8. Sensitivity analysis of incident delirium and family presence excluding those who died in the intensive care unit

			Adjusted Odds Ratios** (95% CI)					
Family presence	Crude Odds Ratio (95% Cl) (n=21,958)	Adjusted Odds Ratios* (95% Cl) (n=21,958)	Elective-surgical admission (n=21,920)		admi	y-surgical ssion ,710)	admi	lical ission 5,745)
			GCS 15	Low < 15	GCS 15	GCS <15	GCS 15	GCS <15
Physical presence <sup>1</sup> (n=19,642)	4.18 (3.95-4.41) <i>p&lt;0.001</i>	1.18 (1.11-1.27) <i>p&lt;0.001</i>	0.59 (0.38-0.92) <i>p=0.02</i>	0.83 (0.41-1.72) <i>p=0.62</i>	0.92 (0.58-1.48) <i>p=0.74</i>	1.37 (0.82-2.31) <i>p=0.07</i>	1.16 (0.87-1.54) <i>p=0.31</i>	1.04 (0.82-1.33) <i>p=0.72</i>
Family call only <sup>2</sup> (n=559)	1.99 (1.80-2.21) <i>p&lt;0.001</i>	1.29 (1.80-2.21) <i>p&lt;0.001</i>	0.58 (0.25-1.37) <i>p=0.22</i>	0.63 (0.16-2.54) <i>p=0.52</i>	1.13 (0.53-2.40) <i>p=0.75</i>	1.10 (0.43-2.80) <i>p=0.07</i>	1.39 (0.85-2.3) <i>p=0196</i>	0.99 (0.67-1.47) <i>p=0.96</i>
No visit <sup>3</sup> (n=1,757)			-					-

<sup>1</sup>Family physical presence as defined by physical presence at any time during ICU stay

<sup>2</sup>Family call only as defined by telephone, without physical presence

<sup>3</sup>No visit means that the patient did not receive any in-person family ICU presence or phone call

\*Adjusted for age, sex, hospital type, admission type, Acute Physiology and Chronic Health Disease Classification System II score at ICU admission, Richmond Agitation Sedation Scale score at ICU admission, hospital length of stay, ICU length of stay, number of ICU beds, any receipt of invasive mechanical ventilation, Charlson Comorbidity Index score at ICU admission, Sequential Organ Failure Assessment score at admission, and Glasgow Coma Scale score at admission

\*\* Adjusted for age, sex, hospital type, Acute Physiology and Chronic Health Disease Classification System II score at ICU admission, Richmond Agitation Sedation Scale score at ICU admission, hospital length of stay, ICU length of stay, number of ICU beds, any receipt of invasive mechanical ventilation, Charlson Comorbidity Index score at ICU admission, Sequential Organ Failure Assessment score at admission Dashes indicate reference group for multivariable logistic regression analyses

Abbreviations: ICU, Intensive Care Unit; CI, Confidence Intervals

Appendix 9. Sensitivity analysis of delirium duration and family presence excluding those who died in the intensive care unit

Family presence	Crude Model (95% Cl) (n=13,153)	Adjusted Model* (95% Cl) All patient admissions (n=13,153)
Physical presence <sup>1</sup> (n= 12,313)	1.25 (1.26-2.30) p<0.001	-1.58 (-1.94 to -1.40) <i>p&lt;0.001</i>
Family call only <sup>2</sup> (n= 182)	-0.70 (-0.50 to -0.91) <i>p&lt;0.001</i>	-1.00 (-2.18 to -1.80) <i>p&lt;0.001</i>
No visit <sup>3</sup> (n=558)		

<sup>1</sup>Family physical presence as defined by physical presence at any time during ICU stay <sup>2</sup>Family call only as defined by telephone, without physical presence

<sup>3</sup>No visit means that the patient did not receive any in-person family ICU presence or phone call \*Adjusted for age, sex, hospital type, Acute Physiology and Chronic Health Disease Classification System II score at ICU admission, Richmond Agitation Sedation Scale score at ICU admission, hospital length of stay, ICU length of stay, number of ICU beds, any receipt of invasive mechanical ventilation, Charlson Comorbidity Index score at ICU admission, Sequential Organ Failure Assessment score at admission, and Glasgow Comma Scale score at admission

Dashes indicate reference group for multivariable linear regression analyses Abbreviations: ICU, Intensive Care Unit; CI, Confidence Intervals Appendix . Sensitivity analyses of delirium duration and family presence (coded as binary) in the intensive care unit

Family presence	A Crude Model (95% Cl) (n=26,100)	Adjusted Models* (95% CI) All patient admissions (n=26,100)
Physical Presence and call <sup>1</sup> (n= 14,273)	1.35 (1.26-2.30) <i>p&lt;0.001</i>	-1.90 (-2.13 to -0.73) <i>p=0.004</i>
No visit <sup>2</sup> (n= 574)		

<sup>1</sup>Family physical presence as defined by physical presence at any time during ICU stay and family call as defined by telephone call.

<sup>2</sup>No visit means that the patient did not receive any in-person family ICU presence or phone call \*Adjusted for age, sex, hospital type, Acute Physiology and Chronic Health Disease Classification System II score at ICU admission, Richmond Agitation Sedation Scale score at ICU admission, hospital length of stay, ICU length of stay, number of ICU beds, any receipt of invasive mechanical ventilation, Charlson Comorbidity Index score at ICU admission, Sequential Organ Failure Assessment score at admission, and Glasgow Comma Scale score at admission

Dashes indicate reference group for multilevel mixed-effects linear regression analyses Abbreviations: ICU, Intensive Care Unit

### Appendix 11. Secondary analyses for percentage days of delirium and family presence

		Family Presence		
Percentage Days of Delirium <sup>1</sup>	Total (n=26,100)	Physical presence <sup>2</sup> (n= 23,657)	Family call only <sup>3</sup> (n= 618)	No Visit⁴ (n= 1,825)
Percentage, by quartile, n (%)				
Quartile 1 (<25%)	16,587 (63.6)	14,763 (62.4)	402 (65.1)	1,422 (77.9)
Quartile 2 (≤50% & ≥25%)	3,212 (12.3)	3,075 (13.0)	57 (9.2)	80 (4.4)
Quartile 3 (<75% & >50%)	3,722 (14.3)	3,722 (14.3)	73 (11.8)	165 (9.0)
Quartile 4 (≥75%)	2,579 (9.9)	2,579 (9.9)	86 (13.9)	158 (8.7)

<sup>1</sup>Total days delirium divided by total ICU length of stay

<sup>2</sup>Family physical presence as defined by physical presence at any time during ICU stay <sup>3</sup>Family call only as defined by telephone, without physical presence

<sup>4</sup>No visit means that the patient did not receive any in-person family ICU presence or phone call

# **CHAPTER 3: DISCUSSION**

#### 3.1 Summary of Main Findings

The work presented in this thesis examined the associations between family presence of critically ill adult patients admitted to ICU and the incidence and duration of delirium. Family presence was categorized as: 1) family physically present in the ICU, 2) family phone call only, and 3) no family presence or phone call (reference group). The study presented in Chapter 2 employed a multi-center population-based retrospective cohort design to examine these two objectives. Less than 10% of patients included in the study had no family member presence nor a phone call during their ICU stay. In general, patients who were sedated or had higher illness severity were more likely to have family member present in the ICU or receive a family phone call. The majority of patients included in the study were admitted for medical reasons followed by emergency-surgical reasons, and elective-surgical reasons. Over half of patients did not have an intact GCS (limited eye, verbal, or motor response). Family physical presence in the ICU was associated with increased incidence of delirium in all patients compared to patients receiving no family presence or phone call (unadjusted). Reasons for admission to the ICU as well as GCS score (intact GCS versus without intact GCS) were found to modify the association between family presence and the incidence of delirium in critically ill patients. After adjusting for concurrent effect modification by admission type and GCS score, family presence reduced incidence of delirium during elective-surgical admissions in patients with intact GCS compared to patients with no family presence or phone call (reference group), adjusted for patient re-admission. Family presence was not associated with incidence of delirium in patients without intact GCS admitted for elective-surgical and medical reasons as compared to patients in the

reference group, adjusted for patient re-admission. Family phone calls were not associated with incidence of delirium in any ICU patient.

Among all patients in the cohort, regardless of admission type and GCS score, family member presence was associated with reduced duration of delirium by up to two days compared to patients in the reference group, adjusted for patient re-admission. Additionally, a family phone call (to a patient) was associated with reduced duration of delirium by up to one day compared to patients in the reference group, adjusted for patient re-admission. For the association between family presence and both the incidence and duration of delirium, clustering by ICU re-admission was necessary. However, clustering by ICU site was not found to affect the association between family presence and both the incidence and duration of delirium. Therefore, exposure to delirium during an ICU admission may be a risk factor to subsequent delirium in future ICU admissions.

A sensitivity analysis estimating the association between family member presence and duration of delirium excluding those who died during either the ICU admission or subsequent hospitalization found similar associations as the main findings. However, estimates had wider confidence intervals, and the impact of family presence compared to family phone call only did not statistically differ in their effect on duration of delirium. Overall family member presence (combining both family member presence and family phone call as one category) was associated with reduced duration of delirium by up to two days. This is similar to results seen with family member presence in the ICU alone. Secondary analyses exploring reasons for ICU admission found that elective-surgical patients were less likely to be diagnosed with neurological problems as

compared to their counterparts admitted for emergency-surgical and medical reasons. This further supports the observed effect modification between ICU admission type and GCS score (measure of intact consciousness) found in the association between family member presence and the incidence of delirium in critically ill patients. Secondary analyses exploring percentage patient days with delirium demonstrated that patients with family presence or a phone call had the highest odds of having delirium during three-quarters or greater of their ICU stay, as compared to patients in reference group. Family members may be more likely to be present when their loved ones are experiencing prolonged delirium.

#### **3.2 Findings in Context of Existing Literature**

The prevalence of delirium in the current study (55.9%) was congruent with other studies using Alberta ICU data [73, 74], but was higher than the pooled prevalence of delirium reported in a meta-analysis that included studies conducted in North America, Europe, and Asia (n=42 studies; 31.8%) [2]. The meta-analysis identified delirium in 5280 of 16,595 (31.8%) critically ill patients by multiple screening or diagnostic instruments (i.e., CAM-ICU, ICDSC, DSM-5) and excluded patients with prior primary central nervous system disorder (i.e., stroke, brain trauma, brain injury), cardiac surgery, and alcohol or drug withdrawal. The exclusion of patients in these groups may account for the discrepancies between delirium prevalence estimates from our study and the international meta-analysis. Patients with central nervous system disorders or alcohol and drug withdrawal have a higher risk of developing delirium in the ICU [15]; therefore, the meta-analysis may have included less cases of ICU patients with delirium than our study [15]. Given that our study encompassed the majority of patient groups in

the ICU (i.e., did not exclude based on diagnosis or illness severity), it is understandable that the estimated prevalence of delirium was greater than that reported in the meta-analysis.

The findings from the retrospective cohort study add to the limited body of literature regarding the impact of family presence on delirium in the ICU. Few studies have investigated the impact of family presence on delirium in critically ill patients [61, 62, 64-66, 75]. A meta-analysis of two studies reported that flexible family visitation hours in the ICU reduced the odds of delirium (pooled OR 0.39; 95% CI:0.22-0.69; I<sup>2</sup> = 0%) compared to patients with restricted ICU visitation hours [62, 64, 65]. An RCT by Eghbali-Babadi et al. found that patients within a cardiovascular surgical ICU had lower incidence of delirium when their family visited them the morning after the operation than when family members visited 24-hours after surgery (OR 0.33; 95% CI:0.21-0.82) [65]. A before-and-after study by Rosa et al. found that a 12-hour extended ICU visitation policy, as opposed to a 4-hour restricted visitation policy, resulted in a significant reduction in the odds of delirium in critically ill patients (OR 0.50; 95% CI:0.21-0.82) [62]. A subgroup analysis of the Rosa *et al.* study found that patients with extended family visitation had a higher reduction (compared to patients with restricted family visitation) in the odds ratio of delirium (OR 0.43; 95% CI:0.22-0.87) when they were admitted for medical reasons compared to the odds ratio (comparing incidence of delirium in patients with flexible visitation hours versus restricted hours) of patients admitted for elective and emergency-surgical reasons [62]. Rosa et al. reported that patients with extended flexible family visitation hours admitted for both elective and emergency-surgical reasons (combined as one category) did not have significantly

reduced odds of delirium compared to patients with restricted family ICU visitation. Our study stratified ICU admission type into three groups: 1) elective-surgical, 2) emergency-surgical and 3) medical and similarly found that family presence was not associated with incidence of delirium in critically ill patients admitted for emergencysurgical reasons. However, our findings highlight that family presence reduced the incidence of delirium in critically ill patients with intact GCS admitted for elective reasons. It is possible that the Rosa et al. study found no significant association in the reduction of delirium in patients admitted for elective-surgical and emergency-surgical reasons because the two admission types were combined as one group when each ICU type alone could have a different effect (i.e., increase/decrease delirium). It is also possible that our study's findings were due to chance. Findings from our study are also supported by results from Westphal et al [61]. Westphal et al. found that patients in a 24-hour open visitation policy group, compared to a restricted visitation policy group, had a lower incidence of delirium (by 5.4%) [61]. We found that family presence reduced the incidence delirium in some critically ill patients (elective-surgical with intact GCS). In contrast, a randomized controlled trial by Rosa et al. reported no association between flexible ICU visitation hours and the incidence of delirium in critically ill patients [66]. The randomized controlled trial was performed over a study period of two months and compared an open 24-hour ICU visitation intervention group to a restricted ICU visitation control group [66]. The randomized controlled trial may have underestimated the incidence of delirium (adjusted difference in incidence of delirium between flexible and restrictive visitation hours, -1.7% [95% CI, -6.1% to 2.7%], given the limited intervention time (two months), which may not have been sufficient to estimate

statistical significance [66]. In addition, the study measured increased family ICU visitation hours, which may not correlate with increased family presence or engagement, potentially further underestimating the adjusted difference in incidence of delirium between flexible and restrictive visitation hours (-1.7% [95% CI, -6.1% to 2.7%]).

Our study found that the association between family presence and delirium was modified by two clinical factors (i.e., admission type and GCS score at admission). Family presence decreased the incidence of delirium during elective-surgical admissions in patients with intact GCS, compared to patients in the reference group, after adjusting for patient readmission. Patients admitted for elective-surgical reasons are likely to have less risk factors for delirium such as lower illness severity (compared to patients admitted for medical or emergency-surgical reasons) [73]. Current literature supports that patients with intact GCS (i.e., high GCS scores indicating normal consciousness and brain function) are able to better engage with their family member in hospital settings [76, 77]. Additionally, patients with intact GCS are able to receive emotional (i.e., care, calming effect), environmental (i.e., opening windows to increase daylight), and cognitive support (i.e., active neurological stimulation) through interacting with their family member during their ICU stay, which may reduce delirium [12]. In addition, critically ill patients admitted for medical and emergency-surgical reasons may have limited benefit from family members even with intact GCS. ICU patients admitted for emergency-surgical and medical reasons likely have more delirium risk factors such as illness severity and comorbidity, when compared to patients admitted for electivesurgical reasons [73]. The association between family presence and incidence of

delirium was not statistically significant in medical patients, irrespective of GCS scores. Conversely, patients without intact GCS (indicated by lower GCS scores) may have limited cognitive capacity, which is a barrier to effective family engagement [76, 77]. In a qualitative study, some nurses reported limiting family interaction in patients with severe impaired consciousness or medical conditions for safety reasons [76]. However, as patients recovered from impaired consciousness, family were re-introduced to engage in mental, physical, and emotional patient support [76]. Family members may be more likely to engage and support critically ill patients when they are conscious (i.e., high GCS score).

While the majority of previous studies assessed the impact of flexible visitation hours on the incidence of delirium, research gaps pertaining to the impact of family presence on the duration of delirium in critically ill patients still remain. Our study addressed this gap, and found that among all ICU patients, both family presence and family phone call significantly reduced the duration of delirium in critically ill patients by up two days and one day compared to patients in the reference group, respectively. Estimates were adjusted by ICU re-admission (to account for clustering) as patients previously exposed to delirium in ICU settings may have a higher risk of experiencing delirium in subsequent admissions [78]. It is plausible that family member presence in the ICU may reduce the duration of delirium by providing non-pharmacological interventions such as reorientation [9, 12]. Family members may provide emotional support and sensory stimulation through direct phone calls with critically ill patients [79], which may be a plausible mechanism in reducing delirium after onset [79].

#### 3.3 Strengths

The study has several strengths. This study employed a large, multi-centered population-based cohort from all 14 adult medical-surgical ICUs in Alberta. The feasible obtainment of a large sample size also increased power, precision, and minimized type II error. This also allows for generalization to other ICUs with similar healthcare structures and ICU populations. Patients were merged using deterministic linkage by a unique patient identifier (Medical Record Number), which increased the reliability of data linkage and limited selection bias associated with unlinked (therefore excluded) patients. In addition, family presence was recorded in a novel manner with the use of an NLP algorithm developed by our team. Although eCritical contains a check box for family presence/absence, sometimes the check box is left unrecorded. The algorithm is able to interpret free-text recorded (in eCritical) by healthcare providers (e.g., nurses, physicians, social workers) on family member presence. This enables the NLP to capture a more accurate representation of family presence than increased unit-wide visitation hours alone. In addition, the NLP algorithm enables categorization of family presence as a three-level exposure: 1) family physical presence in the ICU, 2) family phone call only, and 3) no family presence or call. This allowed for reporting estimates for patients receiving telephone contact and direct family presence in the ICU, which adds to the clinical relevance of our findings. The rule-based AUROC for visits by family or friends was 0.882 95%CI: 0.82–0.94 and for patient receiving a family phone call was 0.975, 95%CI: 0.95–0.99 [70]. The rule-based classifier excluded phone calls that were between family members and hospital staff. Additionally, this study examined multiple covariates, informed by the literature, as potential effect modifiers and confounders.

Concurrent modification was identified by both ICU admission type (elective-surgical, emergency-surgical, and medical) and GCS scores in the association between family presence and the incidence of delirium in critically ill patients. No effect modification was found in the association between family presence and the duration of delirium in critically ill patients. Using rigorous methodology, estimates of the association between family presence and both the incidence and duration of delirium in critically ill patients were adjusted for clustering by patient re-admission to eliminate bias associated with repeated ICU admissions.

#### 3.4 Limitations

This project has limitations that must be considered. First, selection bias may have been introduced since patients who died in the ICU and hospital were included in the analysis. Patients with complex medical problems and delirium have higher mortality rates and patients are more likely to have family visit in-person near the end-of-life. The duration of delirium for these patients would be underestimated since they would die earlier (survival bias), leading to selection bias. This would lead to a decrease in exposed individuals with the outcome (duration of delirium), thereby overestimating the numerator of the mean duration of delirium between exposed (family presence) and unexposed (no family presence) patients. However, this bias would be minimal, as a sensitivity analysis excluding patients who died in the ICU or hospital showed similar results to the full cohort (i.e., including those who died). Second, there is a risk of underreporting family presence in eCritical because it is not required that bedside nurses or physicians document this variable. In addition, the algorithm did not quantify

meaningful family engagement or family presence at the bedside alone (rather physical presence in the ICU). We assumed that family visiting the ICU would also visit their loved one at the bedside or that their presence increased collaboration with healthcare providers, which may positively impact ICU patient outcomes. Family members who were present in the ICU may or may not have engaged with the patient at the bedside. Classification of family presence using the algorithm may have led to non-differential misclassification bias. Family members providing limited to no engagement would be classified as present rather than not present, irrespective of outcome status, thereby biasing estimates of effect toward the null value (i.e., closer to 1 for OR and closer to 0 for duration). Despite this limitation in classifying family presence, the novel use of the NLP algorithm enabled a comprehensive view of family presence, which is more informative than guantifying family presence through visitation hours alone. Another limitation to this study is the moderate specificity of the ICDSC tool, which can result in an increase in false positive delirium identification. For instance, a nurse using the ICDSC who does not know a patient's baseline mental state could mistakenly identify a critically ill patient with delirium if the patient presents with inattention symptoms that are attributable to dementia or other psychiatric problems, rather than delirium. Given that the ICDSC is used on both patients with family (exposed) and patients without family (unexposed), the low specificity of the ICDSC would lead to a non-differential misclassification bias resulting in an estimate of effect that is closer to the null value (i.e., closer to 1 for OR and closer to 0 for duration). Given that only one estimate was significant in our study (the association between family presence and incidence of delirium), it is plausible that this effect was due to chance (random error). It is also

possible that the study did not control for all potential confounders (e.g., frailty score), due to limitations in administrative data sources, leading to residual confounding. Due to limited reporting of frailty scores in the dataset, frailty was not used in the analysis to prevent overfitting of models. Though, frailty could have potentially been a confounder in the association between family presence and the incidence and duration of delirium, the magnitude of bias may have been low. If those with higher frailty scores were more likely to have delirium and family present in the ICU for support, the direction of bias would be negative and lack of accounting for frailty scores would thus underestimate the OR. Taken together, these potential sources of bias would have underestimated the results of the present study, and the true effect may be larger than reported.

#### 3.5 Implications on Clinical Practice and Public Health

The main aims of public health are to increase overall population health, identifying major risks to disease, and implementing strategies to reduce disease onset, duration, and follow-up sequalae [80]. Public health within the field of critical care medicine has identified prevention, early detection, and management of delirium as a top priority [81]. Delirium is common in ICUs and is associated with worsened patient outcomes, such as long-term cognitive impairment and mortality [2]. Delirium is difficult to identify and treat [34]. Given delirium's fluctuating nature, many cases of delirium are missed in ICUs worldwide [34]. Moreover, to date, pharmacological interventions have not been shown to be effective in the treatment of delirium [8]. Conversely, non-pharmacological interventions in hospital settings have shown to reduce delirium occurrence by 30% [82]. Given the high prevalence of delirium and its associated negative outcomes, the International Drive to Illuminate Delirium seeks to implement

primary, secondary, and tertiary prevention and management strategies for delirium [81]. Primary prevention interventions involve preventing the onset of delirium through early mitigation of delirium risk factors such as immobilization, sleep deprivation, and cognitive decline [81]. Secondary prevention interventions involve early detection and management of delirium [81]. Tertiary prevention interventions involve providing therapies (e.g., treatment to prevent long-term consequences of disease) to return critically ill patients to baseline after onset of delirium [81]. Family engagement in the ICU may facilitate opportunities for both primary, secondary, and tertiary prevention of delirium through non-pharmacological interventions [12].

The current study highlights the positive impact of direct family presence on both the incidence and duration of delirium in critically ill patients. Previous studies relied on measuring the impact of family presence on delirium by comparing extended and restricted family visitation hours, which limited quantifying direct family presence [61, 62, 65]. However, our study used a validated algorithm to detect family presence that was specific to the rich administrative data from eCritical (a provincial wide, populationbased, ICU bedside recording system) in Alberta, Canada. Family presence in the ICU was found to decrease the incidence of delirium in some patients (those with intact GCS admitted for elective-surgical reasons). However, family presence did not significantly reduce the incidence of delirium in patients with or without intact GCS admitted for emergency-surgical and medical reasons. These findings, combined with future research on early management of delirium, may identify patients (i.e., those without intact GCS admitted for medical or emergency-surgical reasons) that have limited benefit from non-pharmacological interventions facilitated by family in the ICU. In

addition, the study found that family presence in the ICU decreased the duration of delirium by up to two days, which can reduce the risk of mortality associated with delirium [83]. Interestingly, patients who received a family phone call only had reduced duration of delirium by up to one day compared to patents receiving no family presence or phone call. This may be relevant in situations where family presence at the bedside is not feasible. For instance, pandemics such COVID-19 [Coronavirus Disease 2019], can result in restrictions to family visitation in ICUs worldwide (for safety reasons regarding COVID-19 transmission) [84]. A multi-center cohort study of 69 adult ICUs across 14 countries, including patients with COVID-19, found that patients receiving virtual family contact had lower risk of developing delirium than patients receiving no virtual contact [85]. Lack of family presence either in-person or by phone call is a modifiable risk factor to delirium [85]. Future policy recommendations may adapt ICUs to allow for family phone calls or virtual contact in instances where family presence at the bedside is not allowed, in order to reduce the risk of delirium in critically ill patients. Telemedicine options may provide opportunities for family to engage with patients and overcome challenges to family bedside participation in care (i.e., distance to hospitals, work/family obligations) [79]. The findings from the current study, alongside findings from the COVID-19 pandemic, highlight an additional benefit to promoting family engagement or contact in efforts to prevent and reduce ICU delirium.

There is potential for family members to aid in both the identification and management of delirium [7, 86]. Early identification of delirium is difficult and requires routine delirium screening in ICU settings [87, 88]. Identifying delirium in early stages allows for efficient management that reduces associated negative outcomes (i.e.,

cognitive impairment, post-traumatic stress disorder, longer hospital stay, and mortality) [89]. Family members may aid in early detection of delirium [86]. There are two delirium detection tools which may be used by family members in the ICU [86]. The first is the Family Confusion Assessment Method (FAM-CAM) [90] and the second is the Sour Seven guestionnaire [91]. Fiest et. al assessed the validity of family using the FAM-CAM and Sour Seven guestionnaire to detect delirium [86]. The study included 147 dyads (patient and family), and found family delirium detection tools to be feasible, with fair diagnostic accuracy (area under the receiver operating characteristic curve on the Family Confusion Assessment Method was 65.0% [95%:CI60.0–70.0%]) [86]. Family members may also aid in the management of delirium by employing nonpharmacological management strategies such as patient mobilization, sleep maintenance, and cognitive stimulation to manage delirium in critically ill patients [92]. The Society of Critical Care Medicine recommends the use of the ABCDEF (A = Assessment and treatment of pain; B = Both spontaneous awakening and breathing trials; C = Medication choice and de-escalation; D = Delirium screening and prevention; E = Early mobilization; and F = Family engagement) bundle to reduce the incidence of delirium in critically ill patients [7]. The bundle emphasizes the benefits of family engagement in the management of delirium [93]. Our study highlights the potential benefits of family presence in reducing both the incidence and duration of delirium in critically ill patients.

Encompassing patient and family engagement in clinical practice is gaining momentum, but it is not without barriers. Burns *et al.* discussed that family engagement barriers may stem from: 1) patients, 2) families, and 3) organizational critical care

structures [10]. Patients with higher illness severity, prone to longer ICU stays, may have limited ability to meaningfully engage with family in the ICU [94]. Families may experience both anxiety and depression associated with having their loved one admitted to the ICU [95]. Families reported emotional distress and suffering from seeing their relative experience pain and illness [96]. Family members may be prone to posttraumatic stress disorder up to three months after admission of a relative or loved one in the ICU [97]. This may cause reluctance of family members to participate in patient care and shared decision-making [95, 96]. There are opportunities for nurses to facilitate family participation to increase family confidence and reduce family distress associated with an ICU admission [98]. Knowledge gaps still persist regarding the positive impact of family on patient care, which may limit motivation for family to be present at the bedside [99]. Lastly, organizational barriers to family engagement may be both environmentally [100] and clinician related [101]. Environment barriers include limited ICU space, nurse work-flow interruption, and clinician time required to explain disease and care delivery [100]. There also seems to be a mismatch between clinician and family perception on family engagement [100]. In a cross-sectional survey, 97% of family members reported interest in participating in ICU rounds, while 38% of clinicals perceived moderate family interest in participating [100]. Barriers to family engagement are seen across the healthcare system. However, the challenges and barriers to ICU family engagement can be overcome through research and interventions to allow for a system that continually improves patient and family-centered care.

#### **3.6 Directions for Future Research**

Future research should focus on: 1) increasing knowledge translation to stakeholders (i.e., clinicians, researchers, patients, families, and policy makers) on the impact of family engagement in the ICU; 2) creating metrics that accurately measure the impact of meaningful family involvement on delirium outcomes; 3) understanding the complex association between family presence and delirium; 4) implementing family interventions that are safe, inclusive, and specific to assist delirium management in critically ill patients.

Knowledge translation of findings alongside further research on the impact of family presence on delirium in critically ill patients may promote family presence in the ICU. Family member engagement may be underutilized in ICU settings [100]. This may stem from general lack of knowledge pertaining to family importance in patient wellbeing across all levels of stakeholders [102]. Clinicians may underestimate the willingness of family interest in participation [100]. In addition, family members may not be aware of their potentially positive effect on patient outcomes in critical care [103]. Therefore, public health and policy makers may target education on the multiple benefits of family presence in ICU settings through journal articles, conferences, and mandatory clinician training, as a means to promote knowledge within the healthcare system. It is important to note the complex dynamic of hospital settings and the key players involved in the healthcare system (i.e., federal and provincial governments, nurses, pharmacists, doctors, educational institutions, social workers, policy makers, medical researchers, patient advocacy groups, and social media) [104]. Integrated knowledge translation, encompassing involvement of all key stakeholders may enhance

the translation of research into clinical practice [105]. For instance, engagement of patients and families in knowledge translation may directly inform key mechanisms to improve the relevance, impact, and efficiency of public knowledge efforts [105]. Integrated knowledge translation research can utilize the unique experiences of families and patients to highlight their importance in ICU settings amongst the general public and the healthcare system [105].

While efforts have increased over the past decade to call for greater family engagement in ICU settings, there is a paucity in research on how to define and measure family engagement [10]. While the findings from our study highlight the impact of family presence on delirium in the ICU, limitations on measuring meaningful engagement persist. Therefore, future research may assess the definition of family engagement in the management and prevention of delirium through both gualitative and quantitative studies to generate holistic metrics [10]. Gradinger et al, proposed three ways to quantify engagement in a system: 1) accountability and transparency of research and change, 2) quality and validity of measurements, and 3) partnership and respect among stakeholders [106]. Metrics quantifying the dose-response of family engagement may also be clinically relevant. For example, a study may measure the duration, frequency, and quality of meaningful family engagement on delirium outcomes in critically ill patients. Meaningful family engagement could be determined through administration of a questionnaire to family members on the activities they participate in. The definition of engagement may vary by the culture of the ICU [107], family dynamics and personalities [108], and patient values [109]. Therefore, defining family engagement may require continuous evaluation and assessment of patient and family satisfaction

and values through qualitative methods [76]. Research on quantifying the impact of meaningful family engagement is required to develop appropriate system-level interventions that may utilize family members as partners in reducing ICU patient delirium.

After identifying and assessing effective family engagement in ICU settings, future research may focus on family-facilitated delirium interventions. Family conceptions of engagement may differ across race [110], culture [110], gender [111], and spirituality [112]. Family engagement metrics may be used in a prospective cohort study to assess the relationship between meaningful family engagement on the incidence and duration of delirium. Future research may then personalize intervention strategies to enhance support provided by family members on delirium in ICU settings. For instance, clinicians may tailor family-facilitated delirium interventions based on family needs, values, and perspectives [11]. This may be facilitated through patient aid tools that assess willingness, comfort, and perspectives of family participating in delirium interventions. Feasibility and work-load capacity to implement such interventions will also be needed to ensure sustainability [113].

#### 3.7 Conclusions

The study conducted in this thesis found that family presence at the beside of critically ill patients reduced the incidence of ICU delirium in patients with intact GCS admitted for elective-surgical reasons. In all patients, family presence in the ICU was associated with reduced duration of delirium (up to two days). Additionally, in all patients, a family phone call was associated with reduced duration of delirium reduced duration of delirium (up to two days). Family members of critically ill patients may be important partners to prevent and

manage delirium in the ICU. Findings may inform stakeholders and future research on knowledge translation, measuring family engagement, and family-facilitated delirium interventions to increase family engagement in the ICU and reduce ICU delirium. This in turn may improve delirium-related patient and healthcare outcomes, such as mortality and costs, respectively, and allow for an ICU system that continually incorporates patients and families as partners in care.

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