

## Introduction

This paper deals with relational data bases [10, 12] that contain what are commonly referred to as recursive [2], cyclic [17, 18], or bill-of-materials [13] many-to-many (n:m) associations. The two prominent applications are bills of materials and parts in manufacturing and design [2, 3, 13, 17, 18] and the family tree of corporations [6]. We intend to consider this type of association in the light of requirements for manipulation by non procedural relational languages [10], particularly SQL [1, 7, 8, 14, 16, 19, 20], and an upward compatible extension to SQL called SQL/N [3, 4, 5] that depends in part on a relational data model that captures more meaning about the associations involved [5]. This data model involves the schema specification of modes of association, in addition to the usual relational data base specifications. The data model is thus much simpler than the RM/T model [12], in that the only additional construct is the mode of association.

We can say that a relation  $R_i$  participates in a recursive n:m association, that is, there is an n:m association between  $R_i$  and itself, if  $R_i$  contains a primary key attribute  $A_{ik}$ , and there exists a further relation  $R_s(A_{s1}, A_{s2}, A_{sk}, \dots)$  where attributes  $A_{s1}$  and  $A_{s2}$  are non primary keys drawn on the same domain as  $A_{ik}$ . As a result, there will be a 1:n association between  $R_i$  and  $R_s$  supported by the attribute pair  $(A_{ik}, A_{s1})$  and a further 1:n association between  $R_i$  and  $R_s$  supported by the pair  $(A_{ik}, A_{s2})$ . In these associations  $R_{s1}$  and  $R_{s2}$  are foreign keys [12, 13, 14, 20] or "connection fields" [3, 4].

The recursive association between  $R_i$  and itself is an example of a non primitive association [5], since if we take a pair of associated  $R_i$  tuples, we cannot find a corresponding pair of matching attribute values. With a primitive association, such as a 1:n association between  $R_i$  and  $R_s$ , such a pair of matching attributes ( $A_{ik}$  and  $A_{s1}$  or  $A_{s2}$  values) will exist (except where the association is not based on equality of the attributes supporting it [5], a rare occurrence). With a non primitive association there is no matching pair of attributes in associated tuples. Instead, two relations

$R_i$  and  $R_j$  are non primitively associated iff there exists a set of intermediate relations  $R_1, R_2, \dots, R_n$ , so that  $R_i$  is primitively associated with  $R_1$ , which is in turn primitively associated with  $R_2$ , and so on, with  $R_n$  finally associated with  $R_j$ . In other words,  $R_i$  and  $R_j$  are non primitively associated if they are at either end of a chain of primitively associated relations. If  $j = k$ , then we have a non primitive recursive association, the association type we are principally concerned with in this paper.

### **The mode of association concept**

The fundamental mode of association concept (for non recursive situations) has been developed in detail in [5], although we shall repeat the essentials here, as we are intending to extend the concept for use with recursive associations. Modes of association have two major uses: (a) they permit the application of both the basic and natural quantifiers to all types of association in a manner similar to natural language, and (b) they permit the construction of an intent analyser in a query reduction system. When we have developed suitable modes of association for the recursive n:m association, readers will be able to see how it is that the combination of modes of association and natural quantifiers [2, 3, 4, 5] can give rise to greater expressive power than is available in SQL. SQL/N permits use of both natural quantifiers and modes of association.

Consider two relations  $R_A(A_1, A_2, \dots)$  and  $R_B(B_1, B_2, \dots)$ , where there is an association supported by the common domain attributes  $A_d$  and  $B_d$ , thus making the association a primitive one. Two tuples  $a(a_1, a_2, \dots, a_d, \dots)$  and  $b(b_1, b_2, \dots, b_d, \dots)$  are thus associated primitively if  $a_d = b_d$ . However, we need to know the meaning, if any, of the association. There could well be no meaning at all, so that although the two tuples are technically associated, the association (mode) could be entirely coincidental.

A mode of association is a set of propositions in logic  $P(e_1, e_2)$ , belonging to a general proposition type  $P$  that describes the association, where  $e_1$  and  $e_2$  are entities that are the subject and object of such a proposition, and are also entities described by a pair of associated tuples for which  $P$  is a mode.

We can illustrate this initially with a simple version of the Supplier-Parts [13] data base, where the supplier relation SR is  $R_A$ , and the part types relation PT is  $R_B$ :

SR(S#, STATUS, CITY)                      PT(P#, COLOR, PCITY)

where CITY is the location of a supplier, and PCITY is the city where a part type is manufactured. Thus CITY is  $A_d$  and PCITY is  $B_d$ . Since PCITY and CITY are drawn on the same domain, they support a primitive association between SR and PT. We might have the modes of association W, P, and Q describing this association, where the symbolisms below represent the individual propositions:

W(S1, P2)              Supplier S1 is in the city of manufacture of P2

W(S3, P1)              Supplier S3 is in the city of manufacture of P1

...

P(S2, P5)              Supplier S2 can same-day deliver part type P5.

P(S4, P3)              Supplier S4 can same-day deliver part type P3.

...

Q(S2, P1)              Supplier S2 inventories part type P1

Q(S1, P4)              Supplier S1 inventories part type P4.

...

Thus each of the association modes W, P, and Q can be seen to be a set of propositions about the supplier and part type entities that tell us more about the association that is supported by the pair (CITY, PCITY). The mode W is clearly a coincidental mode, as any proposition in this mode about two related supplier and part type entities merely states that they have a common city of location and manufacture. The other modes give greater meaning to the association. Thus a complete set of modes for a given association will fully describe the semantics of the association.

An association is fully defined if we have a system of hypothetical propositions that enable all modes to be determined. For example, if we consider the association between the relations  $R_A$  and  $R_B$ , where the association is supported by the attribute

pair  $(A_d, B_d)$ , and  $A_1$  and  $B_1$  are the primary key attributes, then the following could define the modes of association W, F and G:

- (a)  $\forall a \forall b [(a.A_d = b.B_d) \langle \Rightarrow \rangle W(a.A_1, b.A_2)]$
- (b)  $\forall a \forall b [W(a.A_1, b.A_2) \Rightarrow F(a.A_1, b.A_2)]$
- (c)  $\forall a \forall b [W(a.A_1, b.A_2) \langle \Rightarrow \rangle G(a.A_1, b.A_2)]$

In the above system a and b are tuple variables. Each hypothetical proposition defines or generates a mode of association, with the generated mode on the right. The generator for the coincidental mode W must contain a biconditional; the other generators can have either a biconditional or implication. A set of modes, such as W, F and G, must be consistent, complete and independent if it is to fully describe an association. This is dealt with in [5].

As an example of the utility of such modes, suppose that we have the mode generators for the Supplier-Parts data base above for the primitive association between suppliers (SP) and part types (PT), as follows:

- (a)  $\forall SX \forall PX [(SX.CITY = PX.PCITY) \langle \Rightarrow \rangle W(SX.S\#, PX.P\#)]$
- (b)  $\forall SX \forall PX [W(SX.S\#, PX.P\#) \langle \Rightarrow \rangle SHIPPER(SX.S\#, PX.P\#)]$

Here SX and PX are tuple variables, and the individual proposition SHIPPER(S1, P3) is one of the set SHIPPER(SX.S#, PX.P#), and represents the English language proposition:

Supplier S1 is a same day shipper of part type P3.

The expression SHIPPER SR then refers to to the suppliers that are same-day shippers of a (to be specified) part type. We can better illustrate this concept with the following retrieval request for the Supplier-Parts data base:

Find the part numbers for each part type that can be same-day shipped  
by at least 3 supplier of status 10.

To begin with, it is clear that the SQL expression would be:

```

SELECT P# FROM PT, PTX
WHERE 3 >= (SELECT COUNT(*) FROM SR
           WHERE STATUS = 10
           AND SR.CITY = PTX.CITY)

```

We note that the user must know that same-day shipment is the equivalent of matching CITY and PCITY values. The system has no information about the semantics of the association involved, and certainly cannot check that the expression structure is consistent with these semantics.

With SQL/N, we need schema definitions of the modes of association, to permit the data base system to capture more information about an association, and thus permit it to interpret any mode of association used in an SQL/N expression. It is possible to construct an intent analyser as part of the query reduction system, to check that the SQL/N expression structure is consistent with the semantics involved [5]. The SQL/N expression is:

```

SELECT P# FROM PT
WHERE FOR AT LEAST 3 SHIPPER SR TUPLES (STATUS = 10)

```

In the above expression, FOR AT LEAST 3 is a natural quantifier [2, 3,4,5], specifying a quantity of tuples for which specified conditions must hold. In carrying out the retrieval request we can conveniently imagine that each PT tuple is checked in turn, as is conventional with SQL. For a particular PT tuple being checked, the group of SHIPPER SR tuples are also checked, that is, the specific SR tuples that are associated by the association for which SHIPPER is a mode, specified in the schema. Because of the quantifier, at least 3 of these associated SR tuples must have a STATUS value 10.

The system will use the mode SHIPPER to determine the underlying coincidental mode, and the fact that the association is based on equality of CITY and PCITY values. Using that part of the reduction system called an intent analyser, the system can check for retrieval requests that are inconsistent with the logic of the

mode generators for the association based on the pair (CITY, PCITY), although the details of this are beyond the scope of this paper [5].

#### **Level-1 association modes for recursive n:m associations**

Let us now return to the relations  $R_i$  and  $R_s$ , where there are two separate primitive 1:n associations between  $R_i$  and  $R_s$ , and where in consequence  $R_i$  is n:m non primitively associated with itself. Again, let  $A_{ik}$  be the primary key of  $R_i$  and  $A_{s1}$  and  $A_{s2}$  non key attributes in  $R_s$  that are drawn on the same domain as  $A_{ik}$ . In order to more easily follow the subsequent analysis and discussion, it is convenient to regard  $A_{ik}$  as identifying a part type, and  $A_{s1}$  as identifying a part type that contains a part type identified by  $A_{s2}$ . Alternatively,  $A_{ik}$  can be regarded as identifying a corporation, where  $A_{s1}$  identifies a corporation that has acquired another corporation identified by  $A_{s2}$  in a merger identified by  $A_{sk}$ . Thus in the former case a tuple of  $R_s$  would describe the position of one part ( $A_{s2}$ ) within another ( $A_{s1}$ ). In the latter case a tuple of  $R_s$  would describe an acquisition of one firm ( $A_{s2}$ ) by another firm ( $A_{s1}$ ), that is, what is referred to as a merger in financial circles. A diagram showing the two 1:n associations is given in Figure 1. For convenience of exposition we include a key attribute in  $R_s$ , namely the attribute  $A_{sk}$ . This attribute is not necessary for our theory, but it does make it simpler to define many of the modes of association required.

As is well-known, a many-to-many recursive association involving a relation  $R_i$  will generate either explosions or implosions [2, 3, 13,17,18]. If an  $R_i$  tuple describes a part type, then an explosion for a given part type gives the parts that part contains, the parts the contained part contains, and so on in hierarchical fashion, until we reach those parts that are atomic and thus contain no further parts. Conversely, an implosion for a given part type gives the parts that contain the given part type, the part types that contain these part types and so on until we come to those part types that are not contained by other part types. Thus we can distinguish three situations: (a) where we are dealing only with a given part type and the first level of the explosion or implosion hierarchy, (b) where more than one level, but not

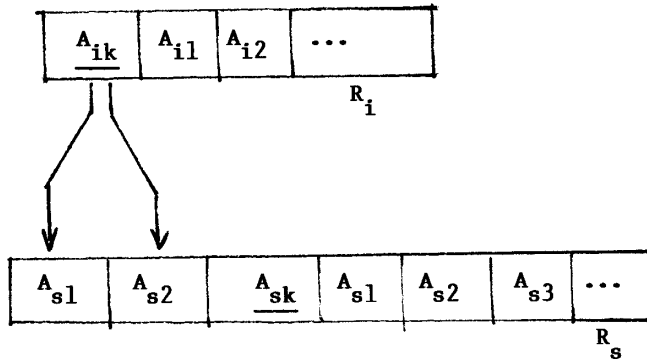


Figure 1. Primary key attributes underlined.

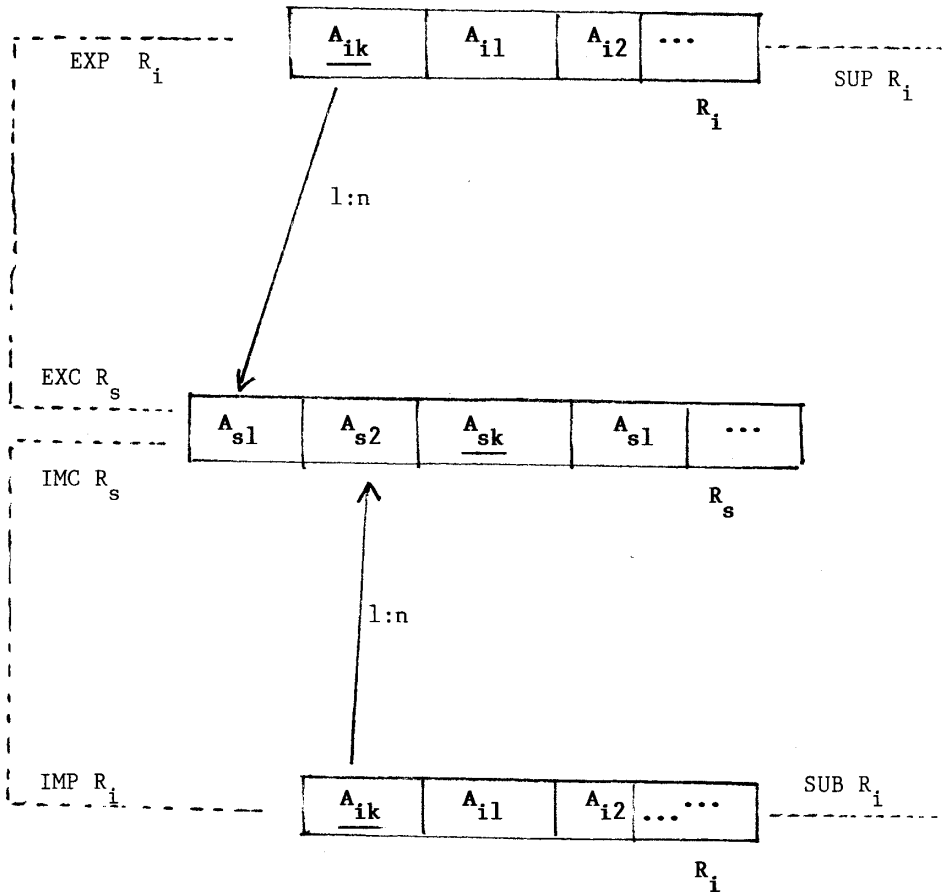


Figure 2. Modes of association for first level 1:n associations at left, and for first level n:m association at right

all levels, of the explosion/implosion hierarchy are involved, and (c) where the complete explosions and implosions are involved. Different modes of association are required for these separate cases, and we begin with the simplest case (a).

For the primitive primary (1:n) association between  $R_i$  and  $R_s$  supported by the attribute pair  $(A_{ik}, A_{s1})$ , we have the mode generators:

- 1a  $\forall r_i \forall r_s [(r_i.A_{ik} = r_s.A_{s1}) \Leftrightarrow W_f(r_i.A_{ik}, r_s.A_{sk})]$
- 1b  $\forall r_i \forall r_s [(W_f(r_i.A_{ik}, r_s.A_{sk}) \Leftrightarrow \text{EXP}(r_i.A_{ik}, r_s.A_{sk})]$
- 1c  $\forall r_i \forall r_s [(W_f(r_i.A_{ik}, r_s.A_{sk}) \Leftrightarrow \text{EXC}(r_s.A_{sk}, r_i.A_{ik})]$

For the primitive primary (1:n) association between  $R_i$  and  $R_s$  supported by the attribute pair  $(A_{ik}, A_{s2})$ , we have the mode generators:

- 2a  $\forall r_i \forall r_s [(r_i.A_{ik} = r_s.A_{s2}) \Leftrightarrow W_r(r_i.A_{ik}, r_s.A_{sk})]$
- 2b  $\forall r_i \forall r_s [(W_r(r_i.A_{ik}, r_s.A_{sk}) \Leftrightarrow \text{IMP}(r_i.A_{ik}, r_s.A_{sk})]$
- 2c  $\forall r_i \forall r_s [(W_r(r_i.A_{ik}, r_s.A_{sk}) \Leftrightarrow \text{IMC}(r_s.A_{sk}, r_i.A_{ik})]$

In the above,  $r_s$  and  $r_i$  are tuple variables for the respective relations  $R_s$  and  $R_i$ . It may help understanding of these definitions if we use the version of the data base shown in Figure 2, where  $R_i$  is duplicated for convenience. By convention, we may assume that it is the pair  $(A_i, A_{s1})$  that supports the 1:n association that give the first level of an explosion, so that the first level of entities (part types or companies) in the explosion hierarchy is down (in Figure 2) from the entity being exploded. This convention is embodied in the set of modes generated in 1a, 1b and 1c above.  $W_f$  is the coincidental mode, and we use the modes EXP (explosion parent) and EXC (explosion child) to describe the 1:n association between  $R_i$  and  $R_s$  based on the pair  $(A_i, A_{s1})$ .

Thus if company C1 (an  $A_i$ , and  $A_{s1}$  value) is acquiring company C3 (an  $A_i$  and  $A_{s2}$  value), in merger M4 (an  $A_{sk}$  value), then we would have the following symbolic and English language propositions:



EXP(C1, M4)      Company C1 is the explosion parent of merger M4.

EXC(M4, C1)      Merger M4 is the explosion child of company C1

In addition, EXC  $R_s$  tuples are those  $R_s$  tuples that are EXC associated with a specific  $R_i$  tuple, and EXP  $R_i$  is that  $R_i$  tuple that is EXP associated with a specific  $R_s$  tuple.

Similarly IMP (implosion parent) and IMC (implosion child) are the modes for the 1:n association supported by the attribute pair ( $A_i$ ,  $A_{s2}$ ) as shown at bottom in Figure 2. Thus we have the following propositions for this association:

IMP(C3, M4)      Company C3 is the implosion parent of merger M4.

IMC(M4, C3)      Merger M4 is the implosion child of company C3.

IMC  $R_s$  tuples are also those  $R_s$  tuples that are IMC associated with a specific  $R_i$  tuple, and IMP  $R_i$  is the  $R_i$  tuple that is IMP associated with a specific  $R_s$  tuple.

Note that typically the non key and non foreign key fields of  $R_s$  (for example,  $A_{s3}$ ,  $A_{s4}$ , ...) will hold information about the actual merger, in the case of the corporate family tree, or about the geometrical details of containing in the case of the bill of materials.

For example, suppose that  $R_i$  and  $R_s$  respectively describe companies and mergers, and that  $A_{s3}$  gives the percentage of shares that the acquiring company gains in the acquired company as a result of the merger. We might want the following retrieval carried out:

Find the identifier for each firm where all but 2 immediate subsidiaries are more than 95% owned.

The SQL/N expression is:

```
SELECT Aik FROM Ri
WHERE FOR ALL BUT 2 EXC Rs TUPLES (As3 > 95)
```

We leave the construction of the corresponding SQL expression to the reader as an exercise. It is quite involved. The above expression uses the natural quantifier FOR ALL BUT 2.

As an example involving the implosion at just the first level of the implosion hierarchy, take the retrieval:

Find the identifier for each firm where one and all immediate parents each hold less than 5% of the stock.

The SQL/N expression is:

```
SELECT Aik FROM Ri
WHERE FOR ONE AND ALL IMC Rs TUPLES (As3 < 5)
```

Finally, to demonstrate the use of the mode EXP (IMP is used similarly), take the retrieval:

Find the merger identifiers for each merger in which the acquiring firm had assets of more than 10 million.

We assume that A<sub>il</sub> is the attribute of R<sub>i</sub> that holds asset values. The SQL/N expression is:

```
SELECT Ask FROM Rs
WHERE FOR EXACTLY ONE EXP Ri TUPLE (Ail > 10)
```

We can now turn to n:m association between R<sub>i</sub> and itself. We can use the coincidental modes W<sub>f</sub> and W<sub>r</sub> defined above (generator sets 1 and 2), and define a set of modes for the association (referring to Figure 2) between R<sub>i</sub> at the top, and R<sub>i</sub> at the bottom. It should be remembered that the association is non primitive and depends on the two primitive (1:n) associations between R<sub>i</sub> and R<sub>s</sub>, for which W<sub>f</sub> and W<sub>r</sub> are the coincidental modes.

We need distinct tuple variables for R<sub>i</sub>. It is convenient to imagine rx for R<sub>i</sub> at the top in Figure 2, and ry for R<sub>i</sub> at the bottom.

$$\begin{aligned}
 3a. \quad & \forall r_x \forall r_y \forall r_s [W_f(r_x.A_{ik}, r_s.A_{sk}) \quad \wedge \quad W_r(r_y.A_{ik}, r_s.A_{sk}) \\
 & \quad \quad \quad \langle \Rightarrow \rangle \quad W_R(r_x.A_{ik}, r_y.A_{ik})] \\
 3b. \quad & \forall r_x \forall r_y [W_R(r_x.A_{ik}, r_y.A_{ik}) \quad \langle \Rightarrow \rangle \quad SUB(r_y.A_{ik}, r_y.A_{ik})]
 \end{aligned}$$

$$3c \quad \forall rx \forall ry [W_R(rx.A_{ik}, ry.A_{ik}) \Leftrightarrow SUP(rx.A_{ik}, ry.A_{ik})]$$

If we are dealing with a bill of materials, and part type P1 immediately contains part type P5, then we could have the following symbolic and English-language propositions:

SUB(P5, P1) Part type P5 is immediately subsidiary to or contained in part type P1.

SUP(P1, P5) Part type P1 is immediately superior to or contains part type P5.

Furthermore SUB  $R_i$  tuples will describe the part types immediately contained by a given part type, and SUP  $R_i$  tuples the part types that contain a given part type.

Let us continue to suppose that the data base in Figure 2 represents a bill of materials, and that attribute  $A_{i2}$  gives the length of a part. If we now have the retrieval:

Find the part number for each part type that immediately contains not more than 6 12-inch long part types.

The SQL/N expression would be

```
SELECT Aik FROM Ri
WHERE FOR AT MOST 6 SUB Ri TUPLES (Ai2 = 12)
```

Here FOR AT MOST 6 is a natural quantifier. The corresponding SQL expression is illustrative of the semantics predefined in the mode SUB:

```
SELECT Aik FROM Ri, RX
WHERE 6 <= (SELECT COUNT (*) FROM Ri, RX
            WHERE Ai2 = 12
            AND Aik IN (SELECT As2 FROM Rs
                        WHERE As1 = RX.Aik))
```

Here we must spell out the nature of the n:m association in terms of the 1:n association between  $R_i$  (top in Figure 2) and  $R_s$ , and the 1:n association between  $R_i$  (bottom in Figure 2) and  $R_s$ . With the SQL/N expression, this is done in the schema declared specification for the coincidental mode  $W_R$  (in mode set 3 above), on which the mode SUB is based. In a way, a mode of association can be looked upon as being the non

procedural equivalent of a function in a procedural language. It does nothing that cannot be done without it, but once constructed and understood, saves a lot of programming or specification effort.

As an illustration of the use of the other mode SUP for the n:m association, we could have the retrieval:

Find the part number of each part type that is immediately contained in exactly 4 part types that are 12 inches long.

Remembering that the mode SUB is used with explosions, and the mode SUP with implosions, the SQL/N expression is:

```
SELECT Aik FROM Ri
WHERE FOR 4 SUP Ri (Ai2 = 12)
```

In any explosion or implosion the hierarchy involved contains multiple levels. With an explosion, we go from  $R_i$  to  $R_s$  and back to  $R_i$  to obtain the entities at the first level, as is illustrated above. To get the entities at the next level, we repeat this procedure, and so on. The same is true for implosions, except that we use the converse set of 1:n associations.

If desired, we can use the modes SUB and SUP with any specified number of levels, since SQL/N permits nesting after the WHERE clause. However, care must be taken with the logic, since, unlike the case with the basic quantifiers, natural quantifiers do not so readily "flow through" from one level to another. To see this, suppose that we have the retrieval:

Obtain the part number for each part type for which at least 1 part type two levels down in the explosion is 12 inches long.

The SQL/N expression is:

```
SELECT Aik FROM Ri
WHERE FOR AT LEAST 1 SUB Ri TUPLE
(FOR AT LEAST 1 SUB Ri TUPLE (Ai2 = 12))
```

By repeated nesting in the manner shown in the expression, we can express retrieval of entities dependent on properties multiple levels down in the explosion (or up in the implosion). We used the basic quantifier `FOR AT LEAST 1` (existential quantifier) in the above expression, since at least 1 entity two levels down implies at least 1 entity 1 level down for which there is at least 1 (SUB) associated entity a further level down, thus permitting the neat nesting in the expression. The same expression structure could have been used had the basic (universal) quantifier `FOR ALL` been applied to the entities two levels down. But if we use any of the natural quantifiers this implication will not hold. For example, at least 4 part types two levels down 12 inches long does not mean at least 4 part types one level down that contain these part types. Let us suppose for the moment that SUB(2) is the mode of association between  $R_i$  at the top in Figure 2, and  $R_i$  two levels down. In that case, the above expression could be rewritten:

```
SELECT Aik FROM Ri
WHERE FOR AT LEAST 1 SUB(2) Ri TUPLES (Ai2 = 12)
```

Any of the natural quantifiers could meaningfully replace the existential quantifier in the above SQL/N expression, something that would not be meaningful in most cases with the former expression using nesting and the (1-level) mode SUB. We have yet to examine the basis for (multiple-level) modes of association, like SUB(2), that permit quantification of associated entities, more than one level apart in the hierarchy for an explosion or implosion.

### **Modes of association for multiple explosion/implosion levels**

We shall initially restrict discussion to the case of 2 hierarchical levels past level 0, since the principles involved are the same for  $n$  levels. The various modes that can be defined are illustrated in Figure 3. For convenience, we assume that in an explosion, we are traversing the relation replicas in a downward direction, and the converse for an implosion. In general any entity that can be exploded can be imploded. Hence the structure of the diagram.

We shall show how some of these modes are defined, as this should be sufficient

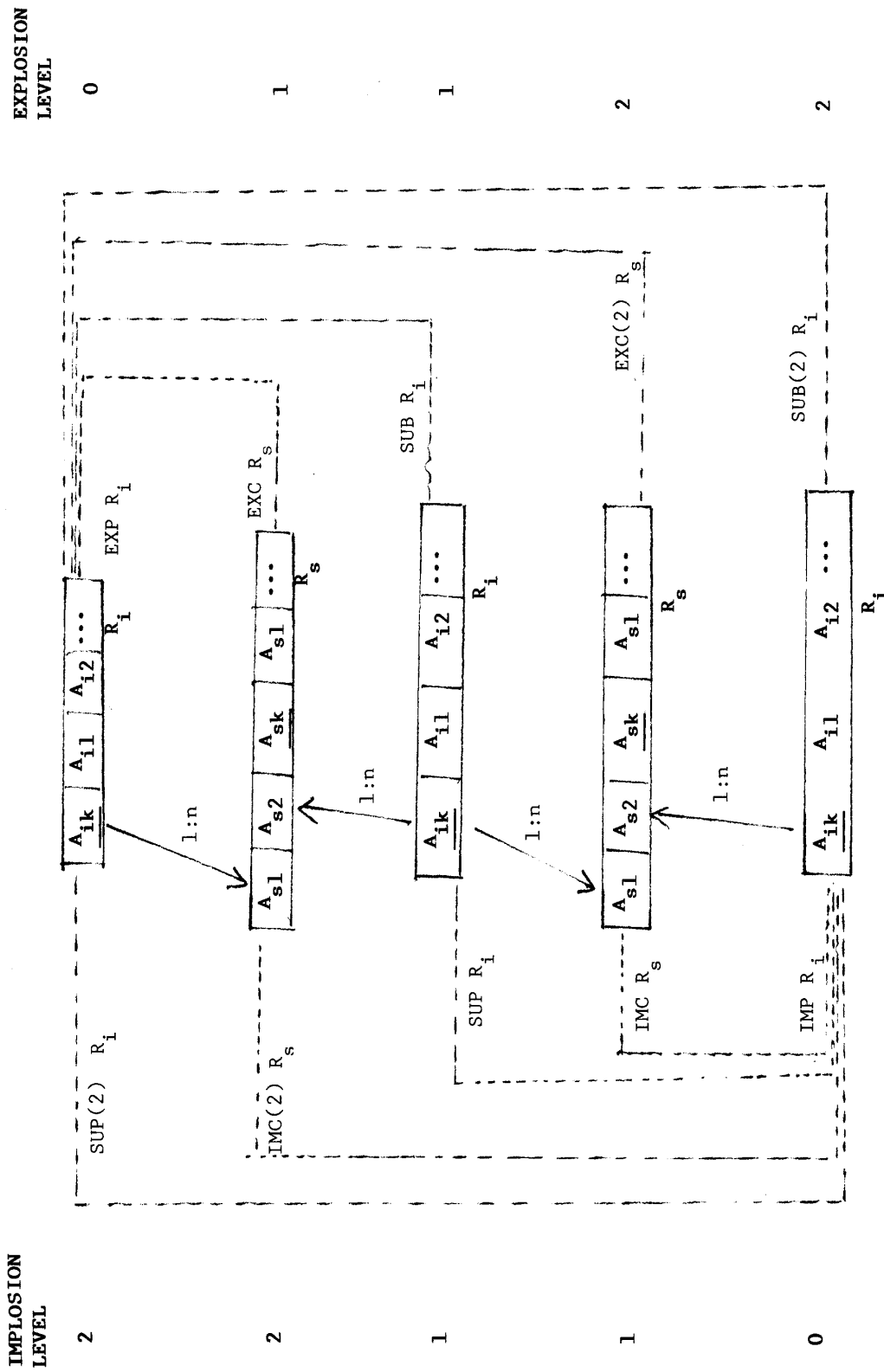


Figure 3. Association modes for both 1:n and n:m associations for 1 and 2 explosion/implosion levels

for understanding how to construct definitions for the others. In the previous section we have already defined the 1-level modes EXP, EXC, IMC, and IMP for the case of the 1:n associations between  $R_i$  and  $R_s$ , as well as the modes SUP and SUB for the case of the n:m association between  $R_i$  and  $R_i$ .

The mode EXC(2) deals with an entity in  $R_i$  and the details of containment or merger 2 levels down. Thus if corporation C2 owns C12 and C14, and C12 has acquired C130 in merger M15, then the proposition EXC(2)(M15, C2) could represent the English language proposition:

Merger M15 is the explosion child, two levels down, of company C2.

Also EXC(2)  $R_s$  entities are those mergers where the subsidiaries of a given company have acquired further companies.

EXC(2) would be defined by the mode generator:

$$4. \quad \forall x_{r_i} \forall y_{r_i} \forall z_{r_s} [W_R(XR_i.A_{ik}, YR_i.A_{ik}) \Leftrightarrow W_f(YR_i.A_{ik}, ZR_s.A_{sk}) \\ = EXC(2)(XR_i.A_{ik}, ZR_s.A_{sk})]$$

The association described by the mode EXC(2) is clearly a non primitive one, since it depends the association chain from  $XR_i$  through  $YR_s$  through  $YR_i$  through  $ZR_s$ .

This is illustrated in Figure 3.

Turning to the mode SUB(2), and using the example above, we could say that SUB(2)(C130, C2) denotes the proposition:

Company C130 is the subsidiary, two levels down, of the company C2.

Furthermore SUB(2)  $R_i$  are the subsidiaries, two levels down, of a given company.

SUB(2) is defined by the mode generator:

$$5. \quad \forall x_{r_i} \forall y_{r_i} \forall z_{r_s} [W_R(XR_i.A_{ik}, YR_i.A_{ik}) \Leftrightarrow W_R(YR_i.A_{ik}, ZR_i.A_{ik}) \\ = SUB(2)(XR_i.A_{ik}, ZR_i.A_{ik})]$$

The modes EXP(2), IMP(2), IMC(2) can be defined with a mode generator similar to generator 4, and mode SUP(2) can be defined with a generator similar to generator

5. These modes are also illustrated in Figure 2.

As to the utility of these modes of association, let us suppose that  $R_i$  describes companies and  $R_s$  mergers, with  $A_{ik}$  as the company identifier,  $A_{s1}$  the identifier of a company that takes over a company identified by  $A_{s2}$  in a merger identified by  $A_{sk}$ , with  $A_{s3}$  giving the percent of shares held by the acquirer following the acquisition.

Suppose that we have the retrieval request:

Find the companies, where all but 2 immediate subsidiaries of immediate subsidiaries are 95% owned.

The SQL/N expression is:

```
SELECT Aik FROM Ri
WHERE FOR ALL BUT 2 SUB(2) Ri TUPLES (As3 = 95)
```

We can define modes SUP(n), SUB(n), EXC(n), EXP(n), IMC(n), IMP(n) where n is any positive integer, although for any mode M(1), we can, by default, use the name M. These modes are for handling entities n levels down or up in the explosion/implosion hierarchy. The modes would be generated using mode generators similar to generators 4 and 5, except that the chain of coincidental modes used at the left in the generator will contain a number of coincidental modes equal to n. We may refer to n as the level of the mode.

Suppose now that we want the explosion for part type P2, going 3 levels into the explosion hierarchy, assuming that  $R_i$  describes part types. Thus we want  $A_{ik}$  values from each duplication of  $R_i$  (see Figure 3, although it deals with only 2 levels), going down three levels, where the  $A_{ik}$  values retrieved are all part types contained geometrically by  $A_{ik}$ , where  $A_{ik} = 'P2'$  in the  $R_i$  duplication at level 0.

The SQL/N expression is:

```
SELECT Aik FROM EACH Ri TUPLE,
      Aik FROM EACH SUB(1) Ri TUPLE,
```



```

Aik FROM EACH SUB(2) Ri TUPLE,
Aik FROM EACH SUB(3) Pi TUPLE
WHERE Ri.Aik = 'P2'

```

The explosion for P2, going 3 levels up, would be the same as the above expression with SUB changed to SUP.

In the above expression, if in the explosion hierarchy, P2 contained B1, C1 and B1 part types (in different geometrical positions), and if in turn B1 contained D11 and D13, and C1 contained E21 and E22, then the retrieved data would form the relation:

```

P2  B1  D11 ...
P2  B1  D13 ...
P2  C1  E21 ...
P2  C1  E22 ...
P2  B1  D11 ...
P2  B1  D13 ...

```

Further peripheral processing would be required if the data were to be displayed as a data tree.

A more detailed explosion (implosion) would give details of the geometrical aspects of containment in the case of part types, or legal ownership in the case of corporations, and would require attribute values from R<sub>s</sub> (duplications) images as well. This would be expressed by the SQL/N expression:

```

SELECT Aik FROM EACH Ri TUPLE,
As2, As3 FROM EACH EXC(1) Rs TUPLE,
As2, As3 FROM EACH EXC(2) Rs TUPLE,
As2, As3 FROM EACH EXC(2) Rs TUPLE
WHERE Ri.Aik = 'P2'

```

Remember that A<sub>s2</sub> gives a part type contained, and A<sub>s3</sub> gives details of geometrical placement of A<sub>s2</sub> within the containing part type. The repetitive parts of the above expressions can be eliminated by means of the SQL/N provision for repetition:

```

SELECT Aik FROM EACH Ri TUPLE,
      As2, As3 FROM EACH EXC(N) Rs TUPLE FOR N = 1 UNTIL 3
WHERE Ri.Aik = 'P2'

```

Corresponding SQL expressions are difficult, and we leave them to the reader to ponder. It might be mentioned, that given published SQL syntax and semantics, there is doubt as to whether SQL expressions are possible.

### Terminal explosions and implosions

In all cases so far, we have dealt with a specific number of levels in an explosion or implosion. However, when we want the complete or terminal explosion (or implosion) for an entity, we want retrieval of the entire hierarchy for the explosion (or implosion), even though the number of levels will not be known beforehand, since the number of levels in an explosion (or implosion) depends on the individual entity being exploded (or imploded).

For example, suppose that we want the explosion for entity (company) C4, where we do not know beforehand how many levels are in the explosion hierarchy. We could use the SQL/N expression:

```

SELECT Aik FROM [EACH] Ri [TUPLE],
      Aik FROM [EACH] SUB(N) Ri [TUPLE] FOR N = 1 UNTIL END [OF EXPLOSION]
WHERE Ri.Aik = 'C4'

```

Where the implosion is needed SUP(N) will replace SUB(N). Items in parenthesis are to aid readability only and may be omitted. When full details of legal ownership (or geometry of containment) are needed, the SQL/N expression for the implosion if C4 will be:

```

SELECT Aik FROM [EACH] Ri [TUPLE],
      As1, As3 FROM [EACH] EXP(N) Rs [TUPLE] FOR N = 1 UNTIL END [OF IMPLOSION]
WHERE Ri.Aik = 'C4'

```

Expressions involving complete explosions and implosions cannot be constructed in conventional SQL. The expressions above are for the simplest possible explosion

or implosion retrievals. Much more complex retrievals involve specified conditions for the entities at different levels of the explosion. SQL/N permits expression of such retrievals with a minimum of syntax. In addition, the language permits expression of fine shades of meaning that cannot easily be expressed even in English.

### **Conclusions**

The concept of a mode of association can be applied to the case of non primitive recursive associations (many-to-many-to-many ..., and one-to-many-to-many ... and ... to-many to-many-to-one) that occur in data bases bill-of-materials and similar data bases. These modes of association can be used to specify entities at different levels in explosions and implosions, and when, in addition, natural quantifiers are applied to such specified entities, a highly flexible and effective method of expression results. This method of expression is used in SQL/N, the upward compatible extension to conventional SQL. As a result SQL/N can be used to express retrievals involving bills of materials or corporate family trees with only a few lines as compared with either many lines of SQL or the impossibility of an SQL expression.

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