

1 A biomechanical study of clamping technique on patellar tendon surface strain and material
2 properties using digital image correlation

3

4 Colin R. Firminger^{1,2,3}, W. Brent Edwards^{1,2,3}

5

6 ¹Human Performance Laboratory, Faculty of Kinesiology, University of Calgary, Canada

7 ²Biomedical Engineering Graduate Program, University of Calgary, Canada

8 ³McCaig Institute for Bone and Joint Health, University of Calgary, Canada

9

10 **Corresponding Author:** Colin Firminger

11 **Mailing Address:** KNB 219, Human Performance Laboratory, University of Calgary, 2500

12 University Drive NW, Calgary, AB Canada, T2N 1N4

13 **Phone:** 403-808-2601

14 **Email:** cfirming@ucalgary.ca

15

16 **Abstract**

17 Several clamping techniques exist for *ex vivo* mechanical testing of tendon. For the patellar
18 tendon, one can choose to clamp directly to the bony attachment sites, the tendon itself, or a
19 combination of the two; however, the influence of these techniques on localized strains and gross
20 material properties is unknown. To this end, uniaxial tensile tests were performed on eleven
21 porcine patellar tendons in three clamping setups while digital image correlation was used to
22 measure axial and transverse strains, Young's modulus, and Poisson's ratio. The setups involved
23 clamping to: 1) the patella and tibia, 2) the patella and the dissected distal tendon, and 3) the
24 dissected proximal and distal tendon. Axial strains in the tendon-tendon clamping setup were
25 181% higher than patella-tibia clamping ($p=0.002$) and 131% higher than patella-tendon
26 clamping ($p=0.006$). Transverse strains were not significantly different between clamping
27 conditions ($p\geq 0.118$). Young's modulus was 50% ($p<0.001$) greater for patella-tibia clamping
28 and 42% ($p<0.001$) greater for patella-tendon clamping when compared to tendon-tendon
29 clamping. For all clamping setups, the tendon illustrated auxetic behavior (i.e., negative
30 Poisson's ratio); however, the Poisson's ratios were 80% smaller in the patella-tibia setup
31 ($p=0.006$) and 71% smaller patella-tendon setup ($p=0.007$) compared to the tendon-tendon setup.
32 These results illustrate that discretion should be utilized when reporting material properties
33 derived from mechanical tests involving direct clamping to the dissected patellar tendon at both
34 ends, as this clamping technique significantly increases axial strains, reduces Young's modulus,
35 and alters the tendon's natural auxetic behaviour.

36

37 **Keywords**

38 Auxeticity; Biomechanics; Strain Concentrations; Young's Modulus

39 **Introduction**

40 Tendons are dense bands of regular connective tissue that transmit tensile loads from muscle to
41 bone, or in the case of the patellar tendon - sometimes referred to as the patellar ligament - bone
42 to bone. Tendons have viscoelastic material properties, and exhibit creep when they are
43 transversely compressed within a clamp.¹ In addition, the outer surface of tendon has a low
44 coefficient of friction to allow for sliding against the synovial sheath that encompasses the
45 tendon.^{2,3} This low-friction surface combined with creep during clamping can cause difficulty
46 when creating a solid connection between tendon and actuator for the purpose of mechanical
47 testing.

48

49 When clamping directly to tendon, the majority of previous tensile mechanical testing has been
50 accomplished using either compressive or freeze clamps in an attempt to reduce slippage.⁴⁻⁸ In
51 conjunction with compressive clamps, several techniques have been applied to further reduce
52 slippage, including drying the tendon ends prior to clamping,^{9,10} adhering the tendon to the clamp
53 with cyanoacrylate glue,^{11,12} or placing sandpaper or cardboard on the inner faces of the clamp.
54 While the aforementioned clamp designs and techniques have proven effective for reducing
55 and/or eliminating slippage, they may cause distortions in the collagen fiber alignment at the
56 interface of the clamp and influence the gross and local mechanical behaviour of the tendon

57

58 Several mechanical testing studies have dissected the tendon at the enthesis (i.e., the bony
59 insertion site), creating two tendinous endpoints that must be held during a mechanical test.¹³⁻¹⁵
60 For the patellar tendon, most mechanical testing has been performed by clamping to the bony
61 endpoints (i.e., the patella and tibia), however several studies have also clamped directly to the

62 tendon.¹⁶⁻¹⁸ Furthermore, direct clamping of the patellar tendon may be necessary to investigate
63 differences in mechanical behaviour between specific anatomical regions, as dissecting the
64 patellar/tibial insertions is not easily facilitated. Direct tendon clamping decreases the aspect
65 ratio (i.e., length : width) which may increase midsubstance strains due to strain concentrations
66 formed at the clamped ends that can extend well into the unclamped tendon.¹⁹ For the patellar
67 tendon, the effect of clamping to bony versus tendinous endpoints on the mechanical behaviour
68 and surface strains has yet to be investigated.

69

70 Digital image correlation (DIC) is a novel methodology for non-invasively quantifying full-field
71 surface strains. Briefly, this technique generates a strain map on the surface of a specimen by
72 tracking the displacement of a stochastic speckle pattern applied to the surface. This approach
73 has been utilized to investigate surface strains on human Achilles tendon and porcine collateral
74 ligaments during tensile mechanical testing.^{20,21} By utilizing DIC during mechanical tests of
75 patellar tendon in different clamping setups, the magnitude and size of the strain concentrations
76 near the clamps as well as the midsubstance strains can be evaluated. Additionally, by comparing
77 the nominal strains calculated from both DIC and the actuator crosshead position of the materials
78 testing device, the effect of clamping technique on tendon slippage can be investigated.

79

80 The purpose of this study was to examine the effect of three clamping techniques (patella-tibia
81 clamping, patella-tendon clamping, and tendon-tendon clamping) on axial and transverse surface
82 strains, Poisson's ratio, tendon stiffness, and strain concentration size. We hypothesized that
83 direct tendon clamping would significantly decrease tendon stiffness and increase
84 axial/transverse strains and strain concentration size, as the compression of the tendon within the

85 clamps likely changes the cross section of the tendon from its natural shape, potentially altering
86 its mechanical properties.

87

88 **Methods**

89 *Sample Preparation*

90 Eleven whole patellar tendon samples, still attached to the patella and tibia, were harvested from
91 skeletally mature pigs obtained from a local butcher. Immediately following dissection, samples
92 were wrapped in saline-soaked gauze and stored in a -20°C domestic freezer for a maximum of 2
93 weeks until testing. Previous literature has shown that the tensile material properties are not
94 significantly different between fresh tendons and those which have undergone a single freeze
95 thaw cycle.²² On the day of testing, each specimen was thawed for 45 minutes at room
96 temperature while fully submerged in saline. The medial and lateral edges of the patella were
97 removed using a handheld reciprocating bone saw (M.SR-SCT; Foredom, CT, USA) and the
98 posterior face of the patella was trimmed using a diamond-plated precision saw (MC-660; Mark
99 V Laboratory, CT, USA). The bone saw was also used to dissect the tibial tuberosity from the
100 tibia without altering the tibial enthesis of the patellar tendon. An airbrush with a 0.3 mm tip
101 (Master Precision G444; Master Airbrush, China) was used to apply a speckle pattern to the
102 anterior surface of the tendon. Matte black acrylic paint was used to create a high contrast
103 speckle pattern against the white surface of the tendon.

104

105 *Mechanical Testing and Digital Image Correlation*

106 A series of uniaxial tensile tests were performed in three clamping setups: 1) patella-tibia
107 clamping, 2) patella-tendon clamping, and 3) tendon-tendon clamping. An ElectroPuls E10000

108 materials testing machine (Instron; MS, USA) was used for all mechanical testing. For the initial
109 (patella-tibia) clamping setup, the patellar and tibial bony endpoints of the tendon were placed
110 into serrated custom clamps. The edges of the clamps were aligned with the tibial and patellar
111 entheses of the tendon to allow for consistent processing of the DIC images. The cross-sectional
112 area of the tendon was assumed to be rectangular and was taken as the average of three locations
113 (proximal, middle, distal), each measured three times using digital calipers while the tendon was
114 loaded to 1 N. Prior to each tensile test, the tendon was preconditioned using a 1 Hz sinusoidal
115 loading pattern to 5 MPa for five cycles to ensure each test had a consistent strain history.¹¹
116 Immediately after preconditioning, the tendon was loaded at a rate of $50 \text{ N}\cdot\text{s}^{-1}$ to a peak load of 9
117 MPa, which corresponded to 10% of the ultimate tensile strength (UTS) of porcine patellar
118 tendon.²³ This loading magnitude was selected to avoid creating damage in the specimen
119 between the first and third loading protocols. An AVE2 non-contacting video extensometer
120 (Instron, MS, USA) with a measurement accuracy of $\pm 1 \mu\text{m}$ captured images of the speckle
121 pattern throughout the test at a frequency of 50 Hz. The sample was kept moist throughout the
122 entire testing procedure with a saline drip at a rate of $3 \text{ mL}\cdot\text{min}^{-1}$.

123
124 The patella-tibia tensile test was repeated to assess the reliability of the DIC strain measurement.
125 Following the second patella-tibia test, the distal enthesis was dissected from the tibial tuberosity
126 (patella-tendon setup). A layer of sandpaper was glued to the inner surfaces of the clamp, and the
127 distal 25 mm of tendon was adhered to the sandpaper with cyanoacrylate glue prior to clamping.
128 For the final test, the proximal enthesis was dissected from the patella and placed in a serrated
129 clamp with cyanoacrylate glue and sandpaper as previously mentioned (tendon-tendon setup).

130

131 *Data Processing and Analysis*

132 Commercially-available software (DIC Replay; Instron, MS, USA) was used to calculate the
133 displacement grid for each test. Raw images (542×80 mm) were captured at 50 Hz with a
134 resolution of 0.26 mm/pixel. A user-defined region of interest was created over the tendon, and
135 displacements were calculated using a 31×31 pixel subset size to create a displacement grid
136 spacing of 8×8 pixels. A strain colourmap of the axial and transverse strain was generated
137 automatically from the displacement grid for each tensile test. Gauge length and midsubstance
138 width were measured from the DIC images. A 9×9 pixel Gaussian filter was applied to smooth
139 the strain map, and custom Matlab code (version R2017b; MathWorks, MA, USA) was used to
140 extract axial and transverse strain values for all pixels within the colourmap based on their
141 greyscale value at peak stress. A region-growing algorithm was utilized to segment out the axial
142 strain concentrations near the clamps as follows: starting at the superior/inferior edges of the
143 region of interest, strain concentrations were defined as all adjacent rows containing at least one
144 pixel with a strain value in the upper quartile of the full field strain. For each clamping condition,
145 median and 75th percentile axial and transverse strains were calculated for the entire region of
146 interest, and median and 75th percentile axial strains were also calculated within and outside of
147 the strain concentrations. Furthermore, Poisson's ratio was calculated for each pixel within the
148 filtered strain map as the negative ratio of transverse to axial strain, and the median value was
149 calculated for each clamping condition. Absolute strain concentration length was measured
150 longitudinally as the difference between the maximum and minimum vertical coordinates of all
151 pixels within the strain concentration. In addition, strain concentration length was normalized
152 both as a percentage of the clamped width and as a percentage of the gauge length. Upper and

153 lower strain concentration lengths were averaged to generate a single value for each clamping
154 type.

155

156 In order to measure the effect of each clamping setup on material stiffness, a virtual
157 extensometer was created within DIC Replay that spanned the entire unclamped length of
158 tendon. Nominal strain calculated from the virtual extensometer was compared with crosshead
159 strain calculated from the displacement of the actuator normalized by the gauge length for a
160 given clamping condition to view if clamping type had an effect on the amount of slippage
161 within the clamps. The Young's modulus of each condition was calculated as the slope of the
162 plot of the respective nominal strain (i.e., virtual extensometer or crosshead) versus stress
163 between 50% and 95% of the peak stress to ensure the Young's modulus calculation did not
164 include the toe region of the stress-strain curve. In addition, a virtual extensometer was placed
165 outside of the strain concentrations to obtain a more representative estimate of the tissue's
166 modulus.

167

168 *Statistical Analysis*

169 The root mean squared coefficient of variation (RMS-CV) was calculated using the median
170 strain to determine the repeatability of strain measurement between the first and second patella-
171 tibia clamping tests. Next, several univariate repeated measures ANOVAs were used to evaluate
172 the effect of each clamping technique on the following variables: DIC-measured gauge length
173 and midsubstance width, median and 75th percentile axial and transverse strains for the entire
174 surface strain map, median and 75th percentile axial strains within and outside of the strain
175 concentrations, Poisson's ratio of the entire strain map, absolute strain concentration length,

176 strain concentration length normalized to both clamped width and gauge length, and Young's
177 modulus outside of the strain concentrations. A 3×2 univariate repeated measures ANOVA (i.e.,
178 3 clamping techniques \times 2 nominal strain measurement techniques) was used to investigate the
179 effect of clamping technique on Young's modulus and slippage within the clamps. All statistical
180 tests had an alpha criterion of $\alpha = 0.05$. In the case of a violation of the assumption of sphericity,
181 a Huynh-Feldt correction was used. In the event of a significant effect, Bonferroni post hoc tests
182 were performed to investigate where differences existed. Furthermore, Cohen's d values were
183 calculated for any significant differences in the post-hoc tests. All statistical tests were performed
184 in SPSS Statistics 26 (IBM, NY, USA).

185

186 **Results**

187 The median axial strain RMS-CV was 5.1%, indicating a high degree of reliability in the DIC
188 strain measurement; systematic increases in strain between the first ($3.0 \pm 0.6\%$) and second (2.9
189 $\pm 0.6\%$) test, indicative of tendon damage, were not observed. The gauge length and
190 midsubstance width of the tendon in each clamping setup are shown in Table 1. Significant
191 effects of clamping technique were observed for both gauge length ($F(2,20) = 237.2, p < 0.001$)
192 and midsubstance width ($F(2,20) = 166.6, p < 0.001$). The tendon gauge length significantly
193 decreased from patella-tibia to patella-tendon ($p < 0.001, d = -2.56$) and from patella-tendon to
194 tendon-tendon clamping ($p < 0.001, d = -3.91$), while the midsubstance width significantly
195 increased from patella-tibia to patella-tendon ($p < 0.001, d = 1.38$) and from patella-tendon to
196 tendon-tendon clamping ($p < 0.001, d = 2.37$).

197

198 A significant effect of clamping technique was observed for median axial strain of the entire
199 surface strain map ($F(1.1, 11.2) = 19.3, p = 0.001$, Table 2). Post hoc tests revealed that median
200 axial strain in the tendon-tendon clamping setup was 181% higher than patella-tibia clamping (p
201 $= 0.002, d = 1.90$) and 131% higher than patella-tendon clamping ($p = 0.006, d = 1.66$).
202 Similarly, a significant effect of clamping technique was observed for 75th percentile strain of the
203 entire strain map ($F(1.2, 12.4) = 22.8, p < 0.001$, Table 2). Further, 75th percentile strains in the
204 tendon-tendon setup were 159% greater than the patella-tibia setup ($p = 0.001, d = 2.07$) and
205 115% greater than the patella-tendon setup ($p = 0.003, d = 1.85$). Clamping technique also
206 significantly affected median strain within the strain concentration ($F(2,20) = 9.7, p = 0.001$),
207 with the median strain during tendon-tendon clamping being 87% greater than patella-tibia
208 clamping ($p = 0.004, d = 1.61$). No effect of clamping technique was observed for 75th percentile
209 strains within the strain concentrations ($F(2,20) = 46.7, p = 0.226$). Outside of the strain
210 concentrations, axial strains were significantly greater for both the patella-tendon (median: $p =$
211 $0.020, d = 0.82$; 75th percentile: $p = 0.036, d = 0.92$) and tendon-tendon (median: $p = 0.005, d =$
212 1.70 ; 75th percentile: $p = 0.006, d = 1.74$) setups compared to the patella-tibia setup. Post hoc
213 tests showed significant increases in strain outside of the strain concentrations in the tendon-
214 tendon setup compared to the patella-tendon setup for median ($p = 0.021, d = 1.45$) and 75th
215 percentile strains ($p = 0.027, d = 1.37$). Furthermore, significant effects of clamping technique
216 were not observed for transverse median strain ($F(2,20) = 2.386, p = 0.118$), or transverse 75th
217 percentile strain ($F(2,20) = 1.350, p = 0.282$).
218
219 Median Poisson's ratio was significantly affected by clamping type ($F(1,10) = 9.582, p = 0.001$).
220 Poisson's ratio was negative for all three clamping techniques, but the magnitude was 80%

221 smaller in the patella-tibia setup ($p = 0.006$, $d = 1.94$) and 71% smaller patella-tendon setup ($p =$
222 0.007 , $d = 1.57$) compared to the tendon-tendon setup.

223

224 A significant effect of clamping technique on strain concentration size was observed for the
225 unnormalized measure ($F(2,20) = 7.3$, $p = 0.004$), and when normalized by clamp width
226 ($F(1.4,14.2) = 19.8$, $p < 0.001$) and gauge length ($F(2, 20) = 4.6$, $p = 0.023$). Post hoc tests
227 revealed that the unnormalized strain concentration length was 38% greater for the patella-tibia
228 condition compared to the tendon-tendon condition ($p = 0.037$, $d = 1.34$). For the width-
229 normalized measure, the patella-tibia condition was 33% greater than the patella-tendon setup (p
230 $= 0.010$, $d = 1.16$) and 57% greater than the tendon-tendon setup ($p = 0.002$, $d = 2.16$
231 respectively). Furthermore, width-normalized strain concentration length was 36% greater for the
232 patella-tendon setup compared to the tendon-tendon setup ($p = 0.007$, $d = 1.95$). An opposite
233 trend was observed when the strain concentration lengths were normalized by gauge length, as
234 the tendon-tendon strain concentration was 28% longer than the patella-tendon strain
235 concentration ($p = 0.045$, $d = 1.22$).

236

237 A linear regression was performed on a representative sample to ensure the region of the stress-
238 strain curve from which Young's Modulus was calculated was indeed linear (see Figure 3). R-
239 squared values of 0.999 were reported for the linear regressions of both the crosshead and
240 virtual extensometer derived strains. No interaction was observed between measurement type
241 (i.e., virtual extensometer versus crosshead) and clamping setup for Young's Modulus values
242 ($F(2,60) = 1.0$, $p = 0.362$, Table 3). A significant effect of measurement type on Young's
243 Modulus was observed ($F(1,60) = 19.5$, $p < 0.001$), with the virtual extensometer estimating a

244 35% higher Young's Modulus compared to the crosshead ($p < 0.001$, $d = 1.07$). Clamping type
245 also had a significant main effect on Young's Modulus ($F(2,60) = 25.8$, $p < 0.001$), with post hoc
246 tests revealing that Young's Modulus was 50% greater in the patella-tibia setup ($p < 0.001$, $d =$
247 1.83) and 42% greater in the patella-tendon setup ($p < 0.001$, $d = 1.49$) compared to the tendon-
248 tendon setup. A significant effect of clamping technique was also observed for Young's modulus
249 calculated outside of the strain concentrations ($p = 0.041$, Table 3), with a trend for reduced
250 Young's modulus in the tendon-tendon compared to the patella-tibia setup ($p = 0.091$, $d = -1.06$).

251

252 **Discussion**

253 The purpose of this study was to investigate the effect of clamping technique on patellar tendon
254 surface strains, strain concentration size, and tendon stiffness. Clamping technique had a
255 significant effect on median and 75th percentile strains for the entire strain map, as well as
256 absolute and normalized strain concentration size. Within the strain concentrations, a significant
257 main effect of clamping technique was only observed for median strain. Clamping technique also
258 significantly affected tendon stiffness, as Young's modulus was significantly lower in the
259 tendon-tendon condition compared to the patella-tendon and patella-tibia conditions. Post hoc
260 tests of all significant strain and stiffness measures revealed that patella-tibia and patella-tendon
261 clamping were not significantly different, except for the strain concentration size normalized to
262 clamp width, Poisson's ratio, and axial strain outside of the strain concentrations. While some
263 differences exist, these results indicate that for the patellar tendon, the combination of clamping
264 to the patella and tendon does not substantially alter the mechanical behaviour when compared to
265 clamping to the patella and tibia. On the other hand, clamping to the patellar tendon at both ends
266 significantly reduces stiffness and increases median and 75th percentile axial strain.

267

268 When a tendon is loaded in tension, collagen fibers are first recruited and begin to lengthen and
269 slide relative to one another.²⁴⁻²⁶ Once strains increase beyond 6%, interfiber and intermolecular
270 crosslinks begin to break and fibers begin to fail, causing the load to be transferred to the
271 remaining intact fibers and initiating the failure of the entire tendon.²⁵ Previously reported
272 monotonic failure strains have ranged from 5.1%-16.3%.²⁷⁻³² These studies used a variety of
273 different clamping setups, therefore we speculate that some of the observed variance in ultimate
274 failure strain could be due to clamping technique rather than differences between the tendons
275 themselves. In this study, median strains were 2.8 times higher (8.2% vs. 2.9%) for tendon-
276 tendon clamping than patella-tibia clamping. Based on these results, utilizing tendon-tendon
277 clamping for ultimate tensile testing of small aspect ratio tendons may underestimate failure
278 strain if nominal measures are used. However, clamping tendon at one end did not significantly
279 increase median or 75th percentile strains compared to patella-tibia clamping. This finding is
280 important as the majority of tendons originate in muscle and insert into bone, and tensile testing
281 must occur with at least one end clamped directly to the tendon.

282

283 For a given isotropic material under load, stress concentrations will exist near the point of load
284 application and, according to Saint Venant's principle, extend into the body at a distance
285 approximately equal to the largest dimension of the body's cross-section in contact with the
286 applied load. This effect is magnified for a highly anisotropic materials such as tendon, as strain
287 concentration lengths can be in excess of ten times the clamped width.¹⁹ The use of digital image
288 correlation allowed us to measure the magnitude and size of the strain concentrations that existed
289 near the clamps, which were arbitrarily defined as all contiguous rows containing a pixel with an

290 axial strain greater than the 75th percentile strain of the entire strain map. Within the strain
291 concentrations, median axial strains were significantly higher when clamping directly to both
292 sides of the tendon than to one side of the tendon or bone-to-bone. Furthermore, median and 75th
293 percentile axial strains outside of the strain concentrations (i.e., the tendon midsubstance) were
294 significantly greater in the patella-tendon and tendon-tendon setups compared to the patella-tibia
295 setup, as well as between the patella-tendon and tendon-tendon setups. Previous tendon
296 mechanical testing studies have recommended an aspect ratio of 4:1 such that midsubstance
297 strains are not affected by strain concentrations.³³ We observed aspect ratios of 3.6:1 and 1.1:1 in
298 the patella-tendon and tendon-tendon setups, respectively, which support our observations of
299 increased axial strains located in the midsubstance as the strain concentrations likely were not
300 able to be dissipated adequately at the tendon midsubstance. If the strain concentrations are not
301 accounted for, the increased strains generated within the tendon during patella-tendon and
302 tendon-tendon clamping may be falsely interpreted as a lower nominal failure strain.

303
304 While the increased midsubstance strains observed in tendon-tendon clamping may be partly due
305 to the strain concentrations extending into the tissue, removing the enthesis from the tendon may
306 also play a large role. Entheses are highly specialized regions in which fibrils insert into bone
307 over a distance of 130 μm ,³⁴ and strain concentrations have been observed at the calcaneal
308 enthesis during tensile testing of Achilles tendon.²⁸ The patellar tendon is highly adapted to
309 transmit load from the patella to the tibia, and moving the point of highest strain from the
310 enthesis to the midsubstance likely hinders the tendon's ability to resist as much tensile load.
311 Furthermore, the transverse compression within the clamps causes distortion of the tendon fibers,
312 likely increasing the shear stress near the clamps as well. This novel loading environment may

313 break some of the intra-fibrillar attachments which have been known to resist fiber sliding as the
314 tendon is loaded, further weakening the tendon's ability to resist tensile load. The combination of
315 a novel loading environment, altered cross-section, and strain concentrations to a poorly adapted
316 part of the tendon act to weaken the tendon's Young's modulus and increase the midsubstance
317 strains observed for a given stress.

318

319 In terms of tendon stiffness, no interaction was observed between strains calculated with the
320 virtual extensometer versus the crosshead displacement. This lack of an interaction illustrates
321 that the tendon was not slipping more in the tendon-tendon clamping condition when compared
322 to the patella-tibia or patella-tendon clamping conditions. A significant main effect of
323 measurement type was observed for Young's modulus. We speculate that significantly lower
324 Young's modulus measured using crosshead displacement was mainly caused by slippage of the
325 tendon in the clamps, and to a lesser degree, the laxity in the loading frame. Furthermore,
326 Young's modulus was significantly lower for tendon-tendon clamping compared to both patella-
327 tibia and patella-tendon clamping, while no difference was observed between the patella-tibia
328 and patella-tendon clamping. When directly clamped, the lower Young's modulus was likely due
329 to a combination of the clamp weakening the tendon structure and the short aspect ratio not
330 allowing the strain concentrations to fully dissipate over the short length of the tissue. Therefore,
331 strains were likely naturally higher for the same axial load, leading to a lower apparent modulus.
332 This is further evidenced by the significant effect of clamping technique on Young's modulus
333 measured outside of the strain concentrations. These findings complement the strain map results,
334 showing that direct tendon clamping at both ends weakens the tendon while clamping to bone at
335 one end and tendon at the other does not significantly alter tendon stiffness.

336
337
338
339
340
341
342
343
344
345
346
347
348
349
350
351
352
353
354
355
356
357

We discovered porcine patellar tendons exhibited a negative Poisson's ratio in the frontal plane. This behaviour, commonly known as auxeticity, indicated that the tendon is widening in the transverse direction as it elongates in the longitudinal direction. While this behaviour seems counterintuitive for tendon, it may be explained by tendon's gross shape in the frontal plane. The porcine patellar tendons displayed a curved, waisted geometry, whereby the patellar and tibial entheses were wider than the tendon midsubstance. As the tendon was loaded, the curved geometry changed to a more fan-like, straight geometry, likely because the individual fibers were straightened in a direct line connecting their tibial and patellar insertions (see Figure 4). As such, the observed auxetic behaviour in the frontal plane is more indicative of a structural or geometric phenomenon rather than a unique material property. These findings are supported by previous research on Achilles tendon, which also reported auxetic behaviour in the frontal plane during uniaxial tensile loading.³⁵ Our results also illustrated that clamping technique affected the degree of auxeticity displayed in the frontal plane, as direct tendon clamping likely altered the orientation of the collagen fibers at the clamp and created a more uniform width throughout the sample. The Poisson's ratio when clamping directly to the tendon ends was reduced by 80% (from -1.39 to -0.28) compared to patella-tibia clamping, and by 71% compared to patella-tendon clamping (from -0.95 to -0.28). While the Poisson's ratio in the tendon-tendon clamping condition was still auxetic, this significant reduction further indicates that tendon-tendon clamping is likely not representative of the structural and material behaviour of the patellar tendon when it is clamped at the patella and tibia.

358 One main limitation with this research was that the same sample was used consecutively in the
359 three clamping setups, introducing the possibility that the sample may accrue damage throughout
360 the testing procedure that may falsely inflate the observed strains in the second and third
361 clamping setups. The high degree of repeatability and lack of systematic increase in strain
362 between the first and second patella-tibia tests increases our confidence that the tendon was not
363 being damaged. Furthermore, using a fatigue life relationship developed from cyclically-loaded
364 human extensor digitorum longus tendons, our samples should theoretically be able to withstand
365 2.5 million cycles prior to failure when loaded at 10% UTS.³⁶ Thus, we are confident that the 24
366 total cycles (20 of which were at 5% UTS) did not damage the tendon in a meaningful way.
367 Another limitation associated with this research was that only anterior surface strains were able
368 to be measured with the tendon in full extension. One finite element modeling study suggested
369 that a strain concentration may exist below the tendon surface at the inferior patellar pole,³⁷
370 while several *in vitro* studies reported differences in anterior versus posterior strains with knee
371 angle and location-dependent fascicle material properties.^{11,38,39} Using DIC to investigate the
372 effect of knee flexion on both anterior and posterior patellar tendon strains may therefore be an
373 important future direction. Furthermore, future work on the Poisson's ratio in the sagittal plane
374 would be beneficial to investigate whether it exhibits the auxetic behaviour we observed in the
375 frontal plane. Of course, our findings are specific to the patellar tendon of skeletally mature pigs
376 obtained from a local butcher and may need to be verified for other tendons and animals.

377

378 **Conclusion**

379 This study used digital image correlation to assess the effect of clamping technique on anterior
380 surface patellar tendon strains. We found that clamping directly to the patellar tendon at the

381 proximal and distal ends significantly increased median and 75th percentile strains and
382 significantly decreased Young's modulus and Poisson's ratio compared to clamping at the bony
383 endpoints and when clamping at the patella and distal tendon. These results indicate that for the
384 patellar tendon or tendons with low aspect ratios, caution should be exercised when reporting
385 tendon material properties obtained from material tests involving direct tendon clamping, as this
386 setup has been shown to increase strains and weaken the patellar tendon compared to the
387 idealized setup of clamping to both bony endpoints.

388

389 **Acknowledgements**

390 Funding for this research was provided in part by the Natural Sciences and Engineering Research
391 Council of Canada (NSERC; RGPIN 01029-2015, CGSD3 504212-2017) and from an Alberta
392 Innovates Graduate Studentship. Research infrastructure for this study was funded by a Canadian
393 Foundation for Innovation (CFI) John R. Evans Leaders Fund (JELF; project #37134).

394 **References**

- 395 1. Buckley CP, Salisbury STS, Zavatsky AB. 2016. Viscoelasticity of tendons under
396 transverse compression. *J. Biomech. Eng.* 138(10):1–8.
- 397 2. Uchiyama S, Coert JH, Berglund L, et al. 1995. Method for the measurement of friction
398 between tendon and pulley. *J. Orthop. Res.* 13(1):83–89.
- 399 3. Amadio PC. 2012. Friction of the gliding surface: Implications for tendon surgery and
400 rehabilitation. *J. Hand Ther.* 18(2):112–119.
- 401 4. Shi DF, Wang DM, Wang CT, Liu A. 2012. A novel, inexpensive and easy to use tendon
402 clamp for in vitro biomechanical testing. *Med. Eng. Phys.* 34(4):516–520.
- 403 5. Cheung JTM, Zhang M. 2006. A serrated jaw clamp for tendon gripping. *Med. Eng. Phys.*
404 28(4):379–382.
- 405 6. Sharkey NA, Smith TS, Lundmark DC. 1995. Freeze clamping musculo-tendinous
406 junctions for in vitro simulation of joint mechanics. *J. Biomech.* 28(5):631–635.
- 407 7. Riemersa DJ, Schamhardt HC. 1982. The cryo-jaw, a clamp designed for in vitro rheology
408 studies of horse digital flexor tendons. *J. Biomech.* 15(8):619–620.
- 409 8. Schechtman H, Bader DL. 2002. Fatigue damage of human tendons. *J. Biomech.*
410 9290(97):347–353.
- 411 9. Haut RC. 1983. Age-dependent influence of strain rate on the tensile failure of rat-tail
412 tendon. *J. Biomech. Eng.* 105(3):296–299.
- 413 10. Wang XT, Ker RF. 1995. Creep rupture of wallaby tail tendons. *J. Exp. Biol.* 198:831–
414 845.
- 415 11. Haraldsson BT, Aagaard P, Krogsgaard M, et al. 2005. Region-specific mechanical
416 properties of the human patella tendon. *J. Appl. Physiol.* 98:1006–1007.

- 417 12. Shadwick RE. 1990. Elastic energy storage in tendons: Mechanical differences related to
418 function and age. *J. Appl. Physiol.* 68(3):1033–1040.
- 419 13. Lynch HA, Wu JP, Elliott DM. 2003. Effect of Fiber Orientation and Strain Rate on the
420 Nonlinear Uniaxial Tensile Material Properties of Tendon. 125(October).
- 421 14. Hubbard RP, Soutas-Little RW. 1984. Mechanical properties of human tendon and their
422 age dependence. *J. Biomech. Eng.* 106(2):144–50 Available from:
423 <http://www.ncbi.nlm.nih.gov/pubmed/6738019>.
- 424 15. Wang XT, Ker RF, Alexander RM. 1995. Fatigue rupture of wallaby tail tendons. *J. Exp.*
425 *Biol.* 198:847–852 Available from: <http://jeb.biologists.org/content/198/3/831.long>.
- 426 16. Ristaniemi A, Stenroth L, Mikkonen S, Korhonen RK. 2018. Comparison of elastic,
427 viscoelastic and failure tensile material properties of knee ligaments and patellar tendon. *J.*
428 *Biomech.* Available from:
429 <https://linkinghub.elsevier.com/retrieve/pii/S0021929018306134>.
- 430 17. Stäubli HU, Schatzmann L, Brunner P, et al. 1999. Mechanical tensile properties of the
431 quadriceps tendon and patellar ligament in young adults. *Am. J. Sports Med.* 27(1):27–34.
- 432 18. Beynon BD, Proffer D, Drez DJ, et al. 1995. Biomechanical Assessment of the Healing
433 Response of the Rabbit Patellar Tendon After Removal of Its Central Third. *Am. J. Sports*
434 *Med.* 23(4):452–457.
- 435 19. Horgan CO, Simmonds JG. 1994. Saint-Venant end effects in composite structures.
436 *Compos. Eng.* 4(3):279–286.
- 437 20. Luyckx T, Verstraete M, De Roo K, et al. 2014. Digital image correlation as a tool for
438 three-dimensional strain analysis in human tendon tissue. *J. Exp. Orthop.* 1(7):7 Available
439 from: <http://www.jeo-esska.com/content/1/1/7>.

- 440 21. Lionello G, Sirieix C, Baleani M. 2014. An effective procedure to create a speckle pattern
441 on biological soft tissue for digital image correlation measurements. *J. Mech. Behav.*
442 *Biomed. Mater.* 39:1–8 Available from: <http://dx.doi.org/10.1016/j.jmbbm.2014.07.007>.
- 443 22. Huang H, Zhang J, Sun K, et al. 2011. Effects of repetitive multiple freeze-thaw cycles on
444 the biomechanical properties of human flexor digitorum superficialis and flexor pollicis
445 longus tendons. *Clin. Biomech.* 26(4):419–423 Available from:
446 <http://dx.doi.org/10.1016/j.clinbiomech.2010.12.006>.
- 447 23. Ringer GW. 1998. Mechanical Characteristics of Porcine Patellar Tendons.
- 448 24. Mosler E, Folkhard W, Knörzer E, et al. 1985. Stress-induced molecular rearrangement in
449 tendon collagen. *J. Mol. Biol.* (182):589–596.
- 450 25. Screen HRC, Lee DA, Bader DL, Shelton JC. 2004. An investigation into the effects of
451 the hierarchical structure of tendon fascicles on micromechanical properties. *Proc. Inst.*
452 *Mech. Eng. Part H J. Eng. Med.* 218(2):109–119.
- 453 26. Maganaris C, Narici M. 2005. Mechanical properties of tendons. In: Maffulli N, Renstrom
454 P, Leadbetter W, editors. *Tendon Injuries*. London: Springer. p 385–396.
- 455 27. Yamamoto N, Hayashi K. 1992. Mechanical properties of collagen fascicles from the
456 rabbit patellar tendon. *J. Biomech. Eng.* 114:332–337.
- 457 28. Wren TAL, Yerby SA, Beaupré GS, Carter DR. 2001. Mechanical properties of the
458 human achilles tendon. *Clin. Biomech.* 16(3):245–251.
- 459 29. Johnson GA, Tramaglino DM, Levine RE, et al. 1994. Tensile and viscoelastic properties
460 of human patellar tendon. *J. Orthop. Res.* 12(6):796–803 Available from:
461 <http://www.ncbi.nlm.nih.gov/pubmed/7983555>.
- 462 30. Butler D, Grood ES, Noyes FR, et al. 1984. Effects of structure and strain measurement

- 463 technique on the material properties of young human tendons and fascia. *J. Biomech.*
464 17(8):579–596.
- 465 31. Nakagawa Y, Hayashi K, Yamamoto N, Nagashima K. 1996. Age-related changes in
466 biomechanical properties of the Achilles tendon in rabbits. *Eur. J. Appl. Physiol. Occup.*
467 *Physiol.* 73:7–10.
- 468 32. Butler D, Kay M, Stouffer D. 1986. Comparison of Material Properties in Fascicle-Bone
469 Units From Human Patellar Tendon and Knee Ligaments. *J. Biomech.* 19(6):425–432.
- 470 33. Elliott DM, Leroux MA, Laursen TA, Setton LA. 1997. Formulation of a Continuum
471 Anisotropic Model for the Annulus Fibrosus in Tension. *Adv. Bioeng. ASME BED*
472 36:165–166.
- 473 34. Gao J, Messner K. 1996. Quantitative comparison of soft tissue-bone interface at chondral
474 ligament insertions in the rabbit knee joint. *J. Anat.* 188(2):367–373.
- 475 35. Gatt R, Vella Wood M, Gatt A, et al. 2015. Negative Poisson’s ratios in tendons: An
476 unexpected mechanical response. *Acta Biomater.* 24:201–208 Available from:
477 <http://dx.doi.org/10.1016/j.actbio.2015.06.018>.
- 478 36. Schechtman H, Bader DL. 1997. In vitro fatigue of human tendons. *J. Biomech.*
479 30(8):829–835.
- 480 37. Lavagnino M, Arnoczky SP, Elvin N, Dodds J. 2008. Patellar Tendon Strain is Increased
481 at the Site of the Jumper’s Knee Lesion during Knee Flexion and Tendon Loading:
482 Results and Cadaveric Testing of a Computational Model. *Am. J. Sports Med.*
483 36(11):2110–2118 Available from:
484 <http://journals.sagepub.com/doi/10.1177/0363546508322496>.
- 485 38. Almekinders LC, Vellema JH, Weinhold PS. 2002. Strain patterns in the patellar tendon

486 and the implications for patellar tendinopathy. *Knee Surgery, Sport. Traumatol. Arthrosc.*
487 10(1):2–5.

488 39. Basso O, Amis AA, Race A, Johnson DP. 2002. Patellar tendon fiber strains: their
489 differential responses to quadriceps tension. *Clin. Orthop. Relat. Res.* (400):246–253.

490

491 **Tables**

492 Table 1: Average (SD) tendon dimensions for each clamping setup measured from DIC images

Clamping Setup	Gauge length	
	(mm)	Midsubstance width (mm)
Patella-Tibia	71.14 (5.13)	15.49 (1.82)
Patella-Tendon	54.66 (7.52) ^a	18.23 (2.12) ^a
Tendon-Tendon	27.38 (6.38) ^{a,b}	24.11 (2.79) ^{a,b}
<i>p</i> -value	<0.001	<0.001

493 ^aSignificantly different from Patella-Tibia Clamp ($p < 0.050$), ^bSignificantly different from Patella-

494 Tendon Clamp ($p < 0.050$)

495 Table 2: Effect of clamping setup on average (SD) surface axial and transverse strain distribution, strain concentration size, and
 496 Poisson's ratio

	Entire Surface					Strain Concentrations					Outside of Strain Concentrations (Midsubstance)	
	Axial Strain		Transverse Strain		Poisson's Ratio	Axial Strain		Length (mm)	Length (% clamped width)	Length (% gauge length)	Axial Strain	
	Median (%)	75 th (%)	Median (%)	75 th (%)		Median (%)	75 th (%)					
Patella-Tibia Clamp	2.91 (0.65)	5.31 (1.69)	5.02 (2.50)	9.94 (3.29)	-1.39 (0.75)	7.40 (3.54)	16.10 (6.75)	10.04 (3.90)	51.48 (19.14)	17.69 (6.52)	2.15 (0.53)	3.35 (0.58)
Patella-Tendon Clamp	3.53 (0.82)	6.39 (1.12)	3.80 (2.37)	7.80 (3.30)	-0.95 (0.54)	8.85 (2.95)	16.75 (4.07)	8.11 (1.63)	34.31 (8.58) ^a	17.66 (4.02)	2.65 (0.67) ^a	4.11 (1.02) ^a
Tendon-Tendon Clamp	8.17 (3.87) ^{a,b}	13.74 (5.52) ^{a,b}	2.75 (2.40)	2.75 (2.40)	-0.28 (0.28) ^{a,b}	13.81 (4.37) ^a	19.95 (5.57)	6.20 (1.13) ^a	21.95 (2.60) ^{a,b}	24.53 (6.90) ^b	5.75 (2.93) ^{a,b}	7.45 (3.28) ^{a,b}
p-value	0.001	<0.001	0.118	0.282	0.001	0.001	0.226	0.004	<0.001	0.023	0.003	0.003

497 ^aSignificantly different from Patella-Tibia Clamp ($p < 0.050$), ^bSignificantly different from Patella-Tendon Clamp ($p < 0.050$).

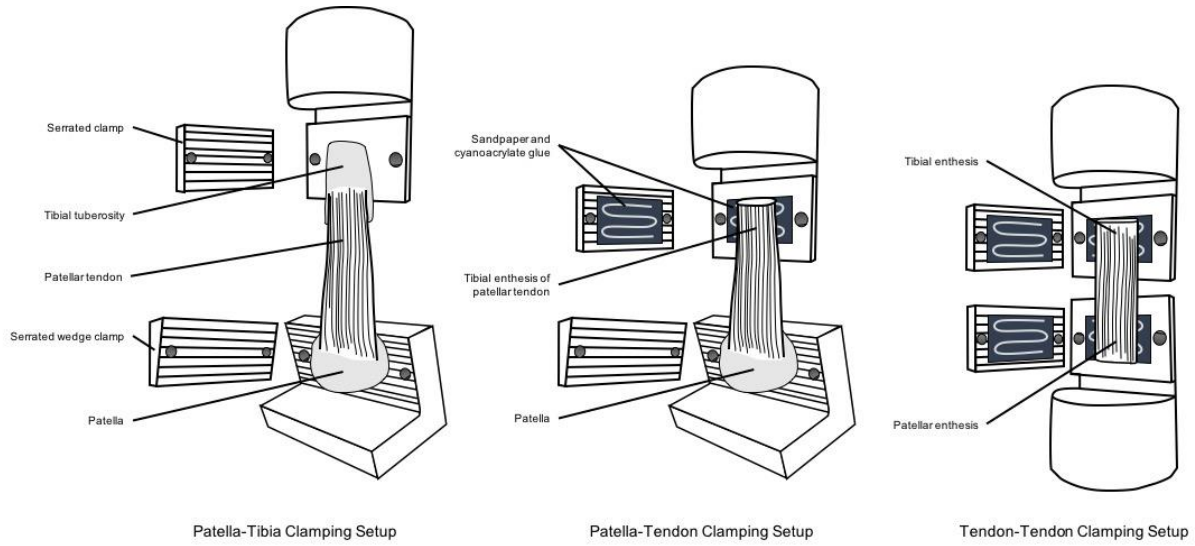
498 Table 3: Estimates of Average (SD) Young's modulus using virtual extensometer versus
 499 crosshead data

		Young's Modulus (MPa)	
		Strain concentrations included	Strain concentrations removed
Measurement Type	Virtual Extensometer	263.4 (53.8)	-
	Crosshead Displacement	172.1 (31.9)	-
	p-value	<0.001	-
Clamping Setup	Patella-Tibia Clamp	278.4 (61.6)	526.7 (228.7)
	Patella-Tendon Clamp	237.0 (55.4)	454.9 (287.9)
	Tendon-Tendon Clamp	137.9 (35.4) ^{a,b}	311.5 (175.6)
	p-value	<0.001	0.041
Interaction p-value		0.158	-

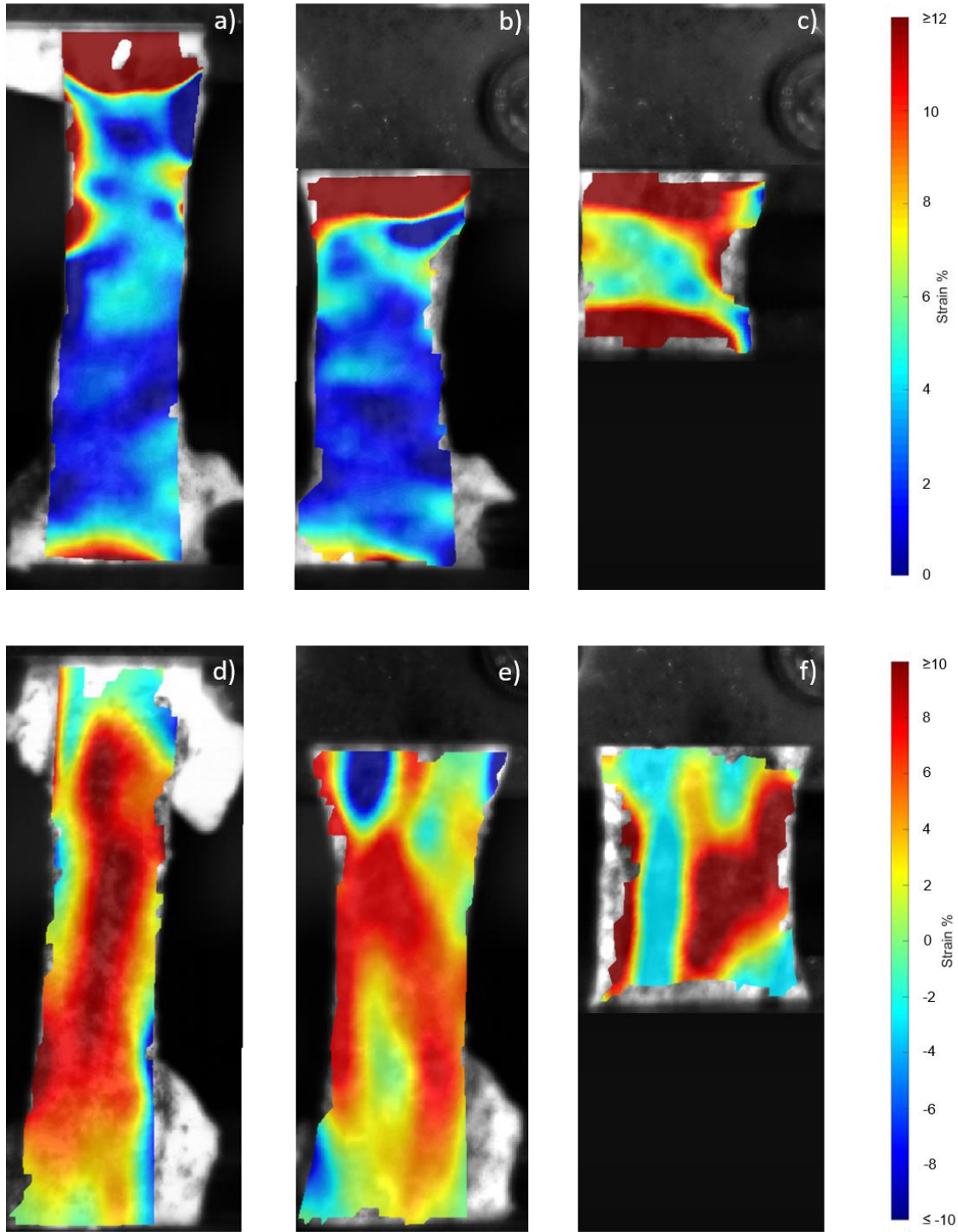
500

501 ^aSignificantly different from Patella-Tibia Clamp ($p < 0.050$), ^bSignificantly different from Patella-
 502 Tendon Clamp ($p < 0.050$)

503 **Figures**



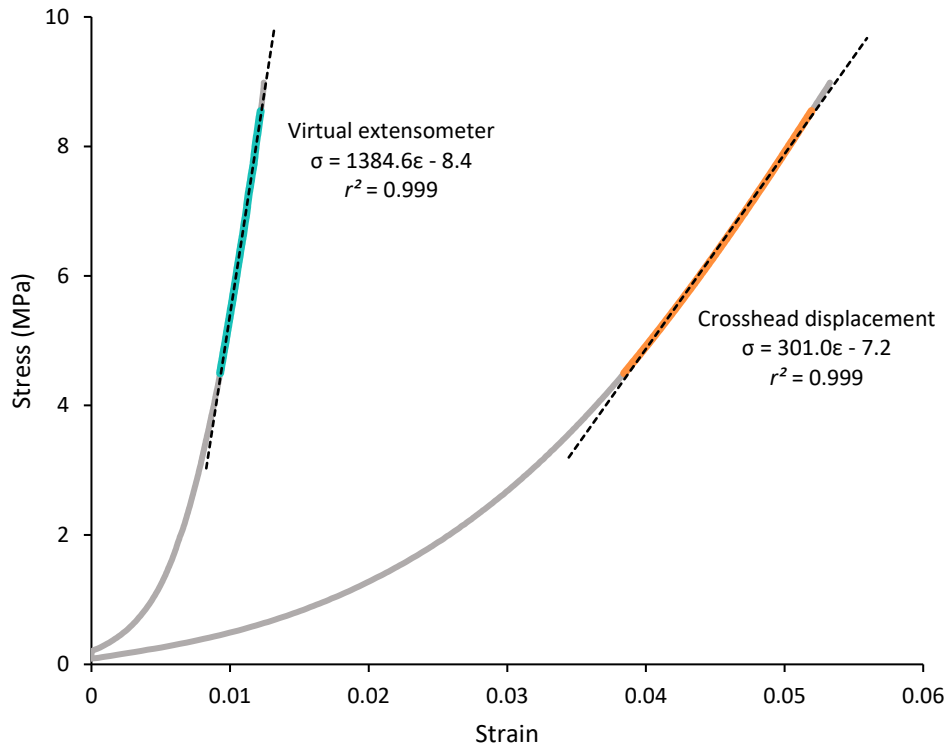
505 Figure 1: Schematics of each clamping setup



506

507 Figure 2: Representative strain maps for each clamping setup. Top row shows axial strain while
 508 bottom row shows transverse strain. Panels a),d): patella-tibia clamping; panels b), e): patella-
 509 tendon clamping; panels c), f): tendon-tendon clamping.

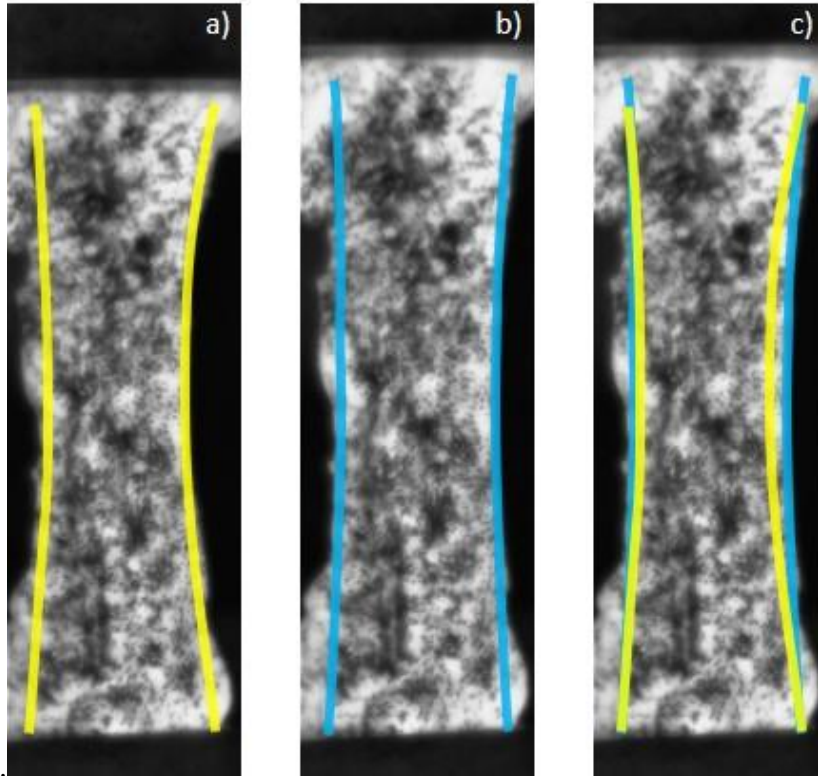
510



511

512 Figure 3: Stress-strain curves of a representative sample derived from a virtual extensometer and
513 crosshead displacement. Coloured regions represent 50-95% of peak stress, and the dotted black
514 lines represent the linear regression fitted to the coloured region.

515



516

517 Figure 4: The gross frontal profile of a representative sample at: a) zero load; b) peak load (9

518 MPa); c) peak load with the unloaded profile overlaid